

Elementary Particles I

5 – QCD

Color, Gauge Fields, Gluons, Asymptotic Freedom,
Quarkonium

Hadrons: Re-Examining the Evidence

Experiments probing the EM structure, like DIS and similar:

Scaling of the structure functions

Evidence for point-like constituents, funny behavior:

Like free particles when interacting with EM currents at high Q^2

Never observed outside hadrons → Tightly bound?

Experiments probing the strong interaction:

Large particle zoo

Evidence for highly symmetrical grouping and ordering

Strong suggestion of a substructure: Quarks

Funny, ad-hoc rules driving the observed symmetry

Can We Believe in Constituents?

Besides the general, puzzling behavior observed, finally identify a number of serious problems, cast into a few, quantitative issues:

Baryons and the Pauli Principle

The R Ratio

The π^0 Decay Rate

The τ Lepton Branching Ratios

From all these questions, and others, a common conclusion:

Our picture of the quark model is not complete

The Pauli Principle

Quark model:

Besides its many, remarkable successes, a central point is at issue:

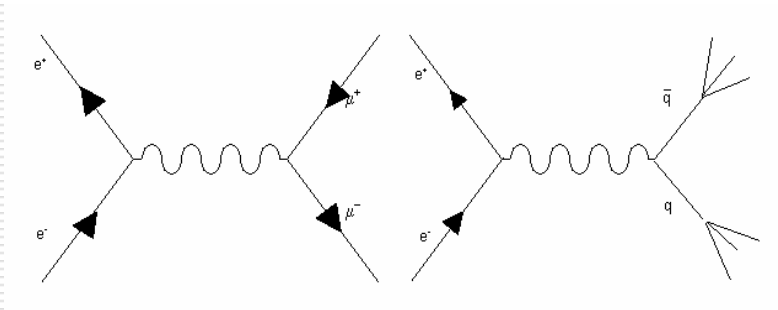
*The baryon wave function
(space \times spin \times flavor)
is symmetric*

Pauli Principle seems to be lost, which is very bad news:

The Spin-Statistics Theorem is a consequence of very general principles of relativistic QFT, not easily dismissed

The R Ratio - I

Assume the process $e^+e^- \rightarrow \text{hadrons}$ to proceed at the lowest order through $e^+e^- \rightarrow q \bar{q} \rightarrow \text{hadrons}$



As for DIS:
Don't care about quark *hadronization*,
assume the time scales for hard and soft
sub-processes to be wildly different

$$\sigma(e^+e^- \rightarrow \mu^+\mu^-) = \frac{4\pi\alpha^2}{3s}$$

$$\sigma(e^+e^- \rightarrow q \bar{q}) = \frac{4\pi\alpha^2 Q_q^2}{3s}, \quad Q_q = \text{quark charge in } e \text{ units}$$

$$R(E_{CM}) = \frac{\sigma(e^+e^- \rightarrow \text{adroni})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = \frac{\sum_q \sigma(e^+e^- \rightarrow q\bar{q})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = \sum_q Q_q^2$$

R counts the number of different quark
species created at any given E_{CM}

The R Ratio - II

Expect:

$$u, d \rightarrow R = \left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 = \frac{5}{9}$$

Low energy

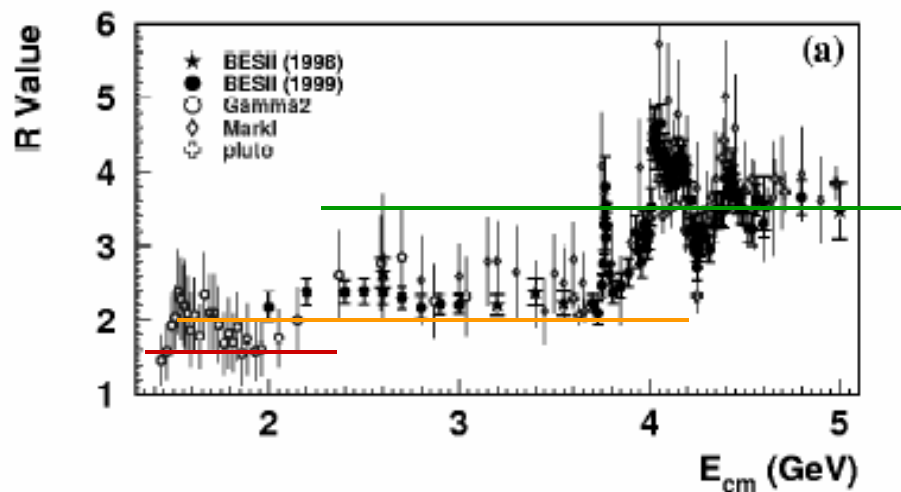
$$u, d, s \rightarrow R = \left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 = \frac{6}{9}$$

$E > 1-1.5 \text{ GeV}$

$$u, d, s, c \rightarrow R = \left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(\frac{2}{3}\right)^2 = \frac{10}{9}$$

$E > 3 \text{ GeV}$

@TBA



By taking 3 quark species of any flavor:

$$u, d \rightarrow R = \frac{15}{9}$$

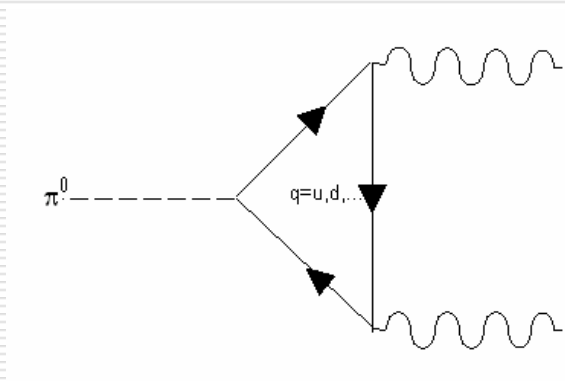
$$u, d, s \rightarrow R = \frac{18}{9}$$

$$u, d, s, c \rightarrow R = \frac{30}{9}$$

The π^0 Decay Rate

Difficult subject: Strong interaction effects are *large*

Basic diagram



Originally calculated by taking p, \bar{p} in the triangle loop (Steinberger 1949)

$$J_{(A)}^\mu \approx e \sum_{i=u,d} \bar{\psi}_i \gamma^\mu \gamma^5 \tau_3^i \psi_i \quad \text{Axial loop current matching } \pi^0 - \text{parity}$$

$$\sum_{i=u,d} \tau_3^i Q_i^2 = 1 \cdot \left(\frac{2}{3}\right)^2 - 1 \cdot \left(-\frac{1}{3}\right)^2 = \frac{4}{9} - \frac{1}{9} = \frac{1}{3}$$

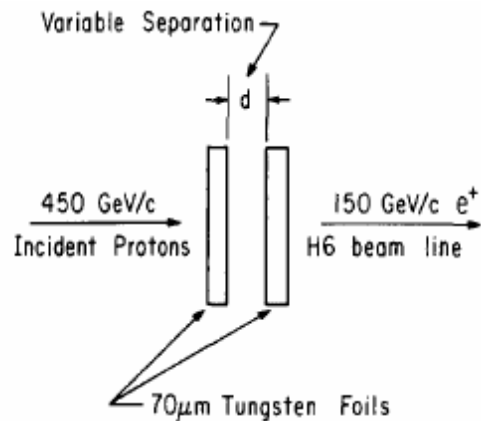
$$\Gamma_{quark}(\pi^0 \rightarrow \gamma\gamma) = \frac{\alpha^2}{64\pi^3} \frac{m_\pi^3}{f_\pi^2} \sum_i g_A^{(i)} e_i^2 = \frac{1}{9} \Gamma_{proton}(\pi^0 \rightarrow \gamma\gamma)$$

A whole *lot* of physics in this problem:

Simple guess on approximate symmetry of the initial state would lead to conclude that *the neutral pion is stable!*

Explanation of this paradox led to discovery of the first *anomaly* in QFT (Adler, Bell, Jackiw): Advanced topic, quite relevant to the Standard Model

The π^0 Lifetime: Direct Method

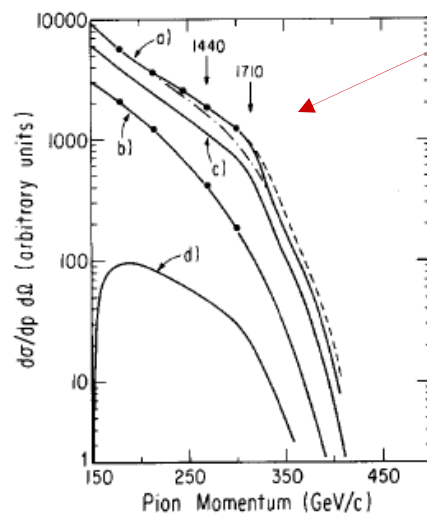


π^0 produced in a first thin foil, when not decayed do not contribute to e^+ yield from γ conversion in a second thin foil

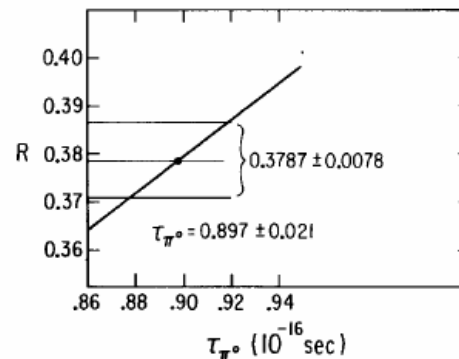
$$Y(d) = N \{ A + B [1 - \exp(-d/\lambda)] \}$$

$$\lambda = \beta \gamma c \tau \simeq \gamma c \tau \quad \text{Energy dependent}$$

Use known energy spectra for pions



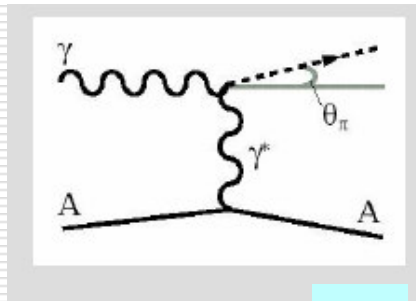
@TBA



$$\tau = 0.897 \pm 0.021 \cdot 10^{-16} \text{ s}$$

$$\Gamma = 7.34 \pm 0.18 \pm 0.11 \text{ eV}$$

The π^0 Lifetime: Primakoff Effect



@TBA

Very simple idea:

Get a high energy photon beam + high Z target

Pick-up a virtual photon from the nuclear Coulomb field
2-photon coupling will (sometimes) create a π^0

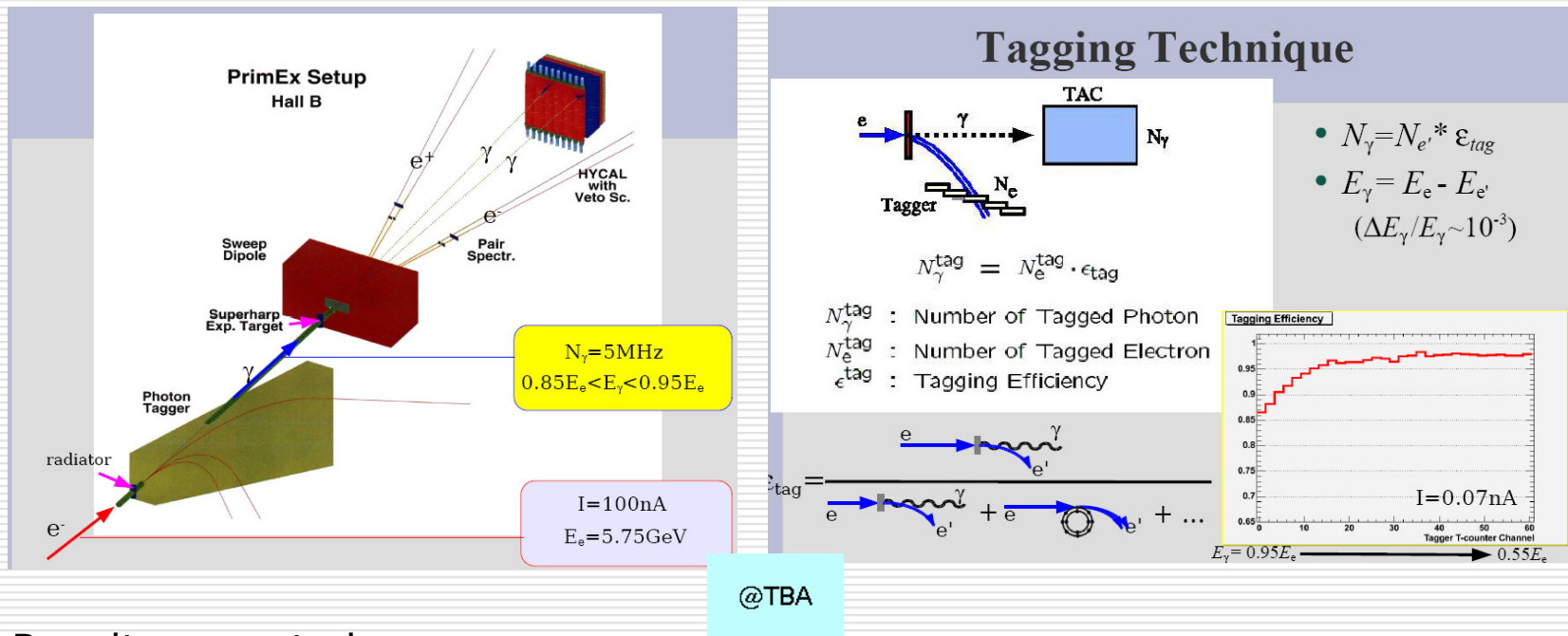
$$\frac{d\sigma_{\text{Prim}}}{d\Omega_{\text{LAB}}} \propto \Gamma_{\pi^0 \rightarrow \gamma\gamma} Z^2 \frac{8\alpha E^4}{m_{\pi^0}^2} \frac{|F(q^2)|^2}{q^4} \sin^2 \theta_{\pi^0}$$

$\Gamma = 1/\tau$ can be extracted by measuring the differential cross-section
 Nuclear form factor is required

Strongly forward peaked
 Quickly increasing with energy
 Strongly Z dependent: Coherence

A Recent Experiment

PrimEx at Jefferson Lab (Virginia)



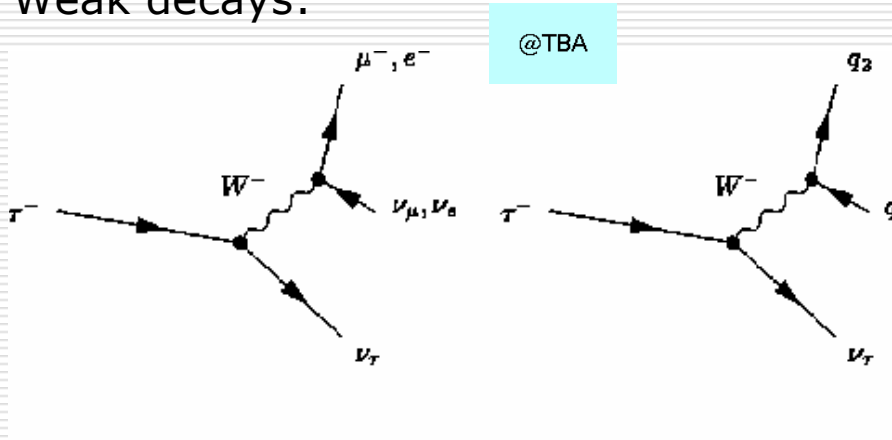
Results expected soon...

The τ Lepton Decays

τ : Heavy brother of e and μ

$m_\tau = 1776$ MeV

Weak decays:



$q, \bar{q} : u, d, s$

$BR(e) \sim 18\%$

$BR(\mu) \sim 17\%$

$BR(q\bar{q}) \sim 65\%$

In the absence of color, weak interaction universality would lead to predict:

$BR(e) \sim BR(\mu) \sim BR(q\bar{q}) \sim 33\%$

With color:

$$\Gamma(q\bar{q}) \sim 3 \Gamma(l\bar{l}) \rightarrow BR(q\bar{q}) \sim \frac{3 \Gamma(l\bar{l})}{3 \Gamma(l\bar{l}) + 2 \Gamma(l\bar{l})} \sim 60 \% \quad \text{OK}$$

Color

New hypothesis:

*There is a new degree of freedom for quarks
Call it color*

Each quark can be found in one of 3 different states

Internal space (mathematically identical to flavor):

States = 3-component complex vectors

Base states:

$$R(ed) = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, G(reen) = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, B(lue) = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

Needless to say, nothing to do with our old, beloved color concept
(nature, art, politics..):

Just a *name* for another, non-classical property of hadron constituents

Benefits from the Color Hypothesis

Provided one can build a color wave function for 3 fermions which is antisymmetric, the Fermi statistics problem is solved
Total wave function of a baryon

$$\psi = \psi_{color} \underbrace{\psi_{orbital} \psi_{spin} \psi_{flavor}}_{\text{Symmetric}} \rightarrow \psi_{color} : \text{Antisymmetric}$$

To account for 3 different color states, the R ratio must be multiplied by 3 \rightarrow OK with experimental data

Just the same conclusion for hadronic τ decays: Multiply rate by 3
The correct π^0 rate is obtained by inserting a factor 9

Observe:

When computing R , τ decay rates we add the *rates* for different colors
 \rightarrow Factor $\times 3$

We deal with quarks as with real particles: Ignore fragmentation

When computing π^0 decay rate, we add the *amplitudes*
 \rightarrow Factor $\times 9$

Quarks are virtual particles: Amplitudes interfere

Color as a Quantum Number

Must be possible to build hadron states as color *singlets*
Do not expect hadrons to fill larger irr.reps.: Would imply large degeneracies for hadron states, not observed
In other words:

Color is fine, but we do not observe any colored hadron

How colored hadrons would show up? Just as an example:
Should the nucleon fill the **3** of $SU(3)_c$ there would be 3 different species of protons and neutrons. Then each nucleon level in any nucleus could accommodate 3 particles instead of one: The nuclear level scheme would be far different from the observed one

Therefore we assume the color charge is *confined*: Never observed directly, as it is the electric charge

Why? For the moment, nobody really knows

The Color Group: $SU(3)_C$

Guess $SU(3)$ as the color group

Take the two fundamental decompositions:

$$\mathbf{3} \otimes \mathbf{3} \otimes \mathbf{3} = \mathbf{1} \oplus \mathbf{8} \oplus \mathbf{8} \oplus \mathbf{10} \quad \text{Baryons}$$

$$\mathbf{3} \otimes \mathbf{3}^* = \mathbf{1} \oplus \mathbf{8} \quad \text{Mesons}$$

Both feature a singlet in the direct sum: OK

No singlets in $\mathbf{3} \otimes \mathbf{3}$: OK

Can't say the same for other groups...

Take $SU(2)$ as an example:

Say the quarks live in the adjoint $SU(2)$ representation, $\mathbf{3}$

Then for qq :

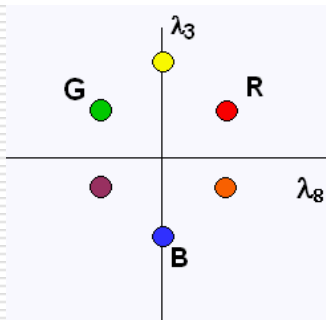
$$\mathbf{3} \otimes \mathbf{3} = \mathbf{1} \oplus \mathbf{3} \oplus \mathbf{5}$$

Observe: This is $\mathbf{3}$ of $SU(2)$, which is quite different from $\mathbf{3}$ of $SU(3)$

Diquarks can be in color singlet

→ Should find diquarks as commonly as baryons or mesons..

The Color of Quarks



$$I_3^c = \frac{\lambda_3}{2}, \quad Y^c = \frac{\lambda_8}{\sqrt{3}}$$

	I_3^c	Y^c		I_3^c	Y^c
R	$+1/2$	$+1/3$	\bar{R}	$-1/2$	$-1/3$
G	$-1/2$	$+1/3$	\bar{G}	$+1/2$	$-1/3$
B	0	$-2/3$	\bar{B}	0	$+2/3$

$SU(3)_C$ is an *exact symmetry*:

$$m_u^{(R)} = m_u^{(G)} = m_u^{(B)}, \dots$$

Beware: $SU(3)_C$ has nothing to do with $SU(3)_F$:
 Quark quantum numbers are independent
 from their color state
 They are left unchanged by QCD transitions

The Color of Hadrons

According to our fundamental hypothesis:

Mesons: $3 \otimes 3^* = 1 \oplus 8$

$$\rightarrow \psi_c = \frac{1}{\sqrt{3}}(R\bar{R} + G\bar{G} + B\bar{B})$$

Pick singlet

Baryons: $3 \otimes 3 \otimes 3 = 1 \oplus 8 \oplus 8 \oplus 10$

$$\rightarrow \psi_c = \frac{1}{\sqrt{6}}(RGB - RBG + GBR - GRB + BRG - BGR)$$

Mesons: *No particular exchange symmetry (2 non identical particles)*

However, by properly extending the Pauli principle to include particle-antiparticle pairs, singlet can be shown to be antisymmetrical (or so they say...)

Baryons: *Fully antisymmetrical color wave function (3 identical particles)*

Extending the Color Hypothesis: *QCD*

Color: A new degree of freedom for quarks
Compare to other quantum numbers:

Baryonic/Leptonic numbers, Flavor
Conserved, *not originating interactions*

Electric charge
Conserved, *origin of the electromagnetic field*

A deep question:

What is the true origin of the electromagnetic interaction?

We have used freely the interaction term $j^\mu A_\mu$, only based on the classical analogy: Is there a deeper origin for it?

QED as a Gauge Theory - I

Symmetry: Absolute phase not defined for a wave function.
Expect invariance as per our old acquaintance, Noether's Theorem

$$L_0 = \bar{\psi}(x)(i\gamma^\mu \partial_\mu - m)\psi(x) \quad \text{Free Dirac Lagrangian}$$

Global gauge (=Phase) transformation:

Just meaning:

Take *all* particle states; Re-phase each state proportionally to its charge

$$G: \psi(x) \rightarrow \psi'(x) = U_\theta \psi(x) = e^{-iq\theta} \psi(x) \quad q\theta: \text{New phase} \propto \text{Charge}$$

$\rightarrow L_0$ invariant wrt $G \rightarrow$ Charge conservation

Generalize to local phase transformation:

$$G_L: \psi(x) \rightarrow \psi'(x) = U_\theta \psi(x) = e^{-iq\theta(x)} \psi(x) \quad \text{Local gauge transformation}$$

$\rightarrow L_0$ not invariant wrt G_L : Derivative term troublesome

\rightarrow Local gauge invariance cannot hold in a world of free particles
Symmetry requires interaction

QED as a Gauge Theory - II

New transformation rule:

$$\begin{cases} \psi(x) \rightarrow \psi'(x) = U_\theta \psi(x) = e^{-iq\theta(x)} \psi(x) & \text{As before} \\ A_\mu(x) \rightarrow A_\mu(x) + q \partial_\mu \theta(x) & \text{New character in the comedy} \end{cases}$$

Equivalent to re-define derivative for ψ :

$$\partial_\mu \rightarrow \partial_\mu + iqA_\mu \quad \text{Vector field} \quad \boxed{\text{Experts say this has a } \textit{deep} \text{ geometrical meaning...}}$$

Add a new term to Lagrangian:

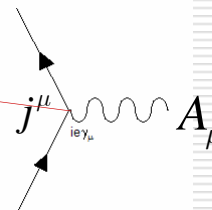
$$L_0 = \bar{\psi}(x)(i\gamma^\mu \partial_\mu - m)\psi(x) \rightarrow L_0 + L_i \quad \text{Sum is invariant}$$

$$L_i = -\underbrace{q\bar{\psi}(x)\gamma^\mu\psi(x)}_{j^\mu} A_\mu \quad \leftarrow \text{Interaction term}$$

...And another one:

$$-\frac{1}{4}F^{\mu\nu}F_{\mu\nu}, \quad F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu \quad \text{Field energy}$$

Must be there because the field carries energy+momentum



Reminder:

$F^{\mu\nu}$ is the EM field

$$F^{\mu\nu} = \begin{pmatrix} 0 & -E_x & -E_y & -E_z \\ E_x & 0 & -B_z & B_y \\ E_y & B_z & 0 & -B_x \\ E_z & -B_y & B_x & 0 \end{pmatrix}$$

QED as a Gauge Theory - III

(One) Reason to insist on local transformations:

*Global gauge changes would allow for non-local charge conservation:
Then one would happily violate our beloved Principle of Relativity...*

Field must be massless to have L gauge invariant

Consider all the phase transformations as defined before:

$$\psi(x) \rightarrow \psi'(x) = U_\theta \psi(x) = e^{-iq\theta(x)} \psi(x)$$

The full set is a group: $U(1)$ Unitary, 1-dimensional

$$e^{-iq\theta_1(x)} e^{-iq\theta_2(x)} \psi(x) = e^{-iq[\theta_1(x)+\theta_2(x)]} \psi(x) \in U(1)$$

1 parameter: $\theta(x)$

Abelian:
$$e^{-iq\theta_1(x)} e^{-iq\theta_2(x)} \psi(x) = e^{-iq\theta_2(x)} e^{-iq\theta_1(x)} \psi(x)$$

$U(1)$ is the (Abelian) *gauge group* of QED
Equivalent to $SO(2)$, group of 2D rotations

QCD as a Gauge Theory - I

Extend gauge transformations to a 3-component wave function:

$$\Psi \equiv \begin{pmatrix} \psi_R \\ \psi_G \\ \psi_B \end{pmatrix}$$

Global gauge transformation: Phase change for individual components
→ Phase change will mix color components

$$G_L^C : \Psi(x) \rightarrow \Psi'(x) = \mathbf{U}_G \cdot \Psi(x) = e^{-ig\mathbf{M}} \cdot \Psi(x) \quad \mathbf{U}_G \text{ unitary} \rightarrow \mathbf{M} \text{ Hermitian}$$

$$e^{-ig\mathbf{M}} = \mathbf{1} - ig\mathbf{M} + \frac{(-ig\mathbf{M})^2}{2!} + \dots \quad \mathbf{M}: 3 \times 3 \text{ Hermitian matrix}$$

g : Color charge

\mathbf{M} acting on the 3 color components of the quark state

Since the color symmetry group is $SU(3)_C$:

$$\mathbf{M} = \sum_{i=1}^8 \lambda_i \theta_i \equiv \vec{\lambda} \cdot \vec{\theta}$$

$\vec{\lambda}$: Vector of 8 3×3 Gell-Mann matrices; $\vec{\theta}$: Vector of 8 parameters

QCD as a Gauge Theory - II

As for QED, extend to *local* gauge transformations

As before, in order to guarantee invariance of L :

→ Re-define derivative adding new vector fields:

$$\partial_\mu \rightarrow \partial_\mu \mathbf{1} + ig\mathbf{C}_\mu$$

$$\mathbf{C}_\mu : \begin{cases} \text{4-vector field} & \text{Lorentz structure} \\ \text{Matrix} \in SU(3)_c & \text{Color space} \end{cases}$$

We know how to express any Hermitian matrix $\in SU(3)_c$:

Use $SU(3)_c$ generators → Gell-Mann matrices

$$\rightarrow \mathbf{C}_\mu = \frac{1}{2} \sum_{a=1}^8 G_\mu^a \boldsymbol{\lambda}_a \equiv \vec{G}_\mu \cdot \vec{\lambda} \quad \text{8 fields required: Gluons}$$

So gluons are a bit like 8 different “photons”, exchanged between color charges

But: *They are non Abelian*

QCD as a Gauge Theory - III

Local gauge transformation for $SU(3)_c$:

$$\begin{cases} \Psi(x) \rightarrow \Psi'(x) = U_\theta \Psi(x) = e^{-ig\vec{\lambda} \cdot \vec{\theta}(x)} \Psi(x) \\ G_\mu^a(x) \rightarrow G_\mu'^a(x) = G_\mu^a(x) + \partial_\mu \theta^a + g \sum_{b,c=1}^8 f^{abc} G_\mu^b(x) \theta^c(x) \end{cases} \quad a=1,\dots,8$$

Very important:

New term, coming from $SU(3)$ being non Abelian

Reminder:

$$\left[\frac{\lambda_a}{2}, \frac{\lambda_b}{2} \right] = if^{abc} \frac{\lambda_c}{2} \quad f^{abc}: SU(3) \text{ structure constants}$$

A set of *integer numbers*,
kind of DNA of each group

$$L_0 = \bar{\Psi}(x)(i\gamma^\mu \partial_\mu - m)\Psi(x) \rightarrow L_0 + L_i$$

$$L_i = -g \underbrace{\left[\bar{\Psi}(x) \gamma^\mu \left(\frac{\vec{\lambda}}{2} \right) \Psi(x) \right]}_{\vec{j}_\mu} \cdot \vec{G}_\mu \quad \text{Interaction term}$$

$$-\frac{1}{4} \vec{G}_{\mu\nu} \cdot \vec{G}^{\mu\nu} = -\frac{1}{4} \sum_{a=1}^8 G_{\mu\nu}^a \cdot G^{a\mu\nu} \quad \text{Field energy term}$$

QCD as a Gauge Theory - IV

Take the expression of fields in terms of potentials:

$$G_{\mu\nu}^a = \partial_\mu G_\nu^a - \partial_\nu G_\mu^a - g \sum_{b,c=1}^8 f_{abc} G_\mu^b G_\nu^c$$

Very important: *Absent in QED* ($f=0$)
New term, coming from $SU(3)$ being non Abelian

$\rightarrow G_{\mu\nu}^a G_{\mu\nu}^a$ contains terms with $\underbrace{\partial_\mu G_\nu^a \cdot G_\mu^b G_\nu^c}_{3 \text{ gluons}}, \underbrace{G_\mu^b G_\nu^c \cdot G_\mu^b G_\nu^c}_{4 \text{ gluons}}$

These pieces of L correspond to 3 and 4 gluons vertexes

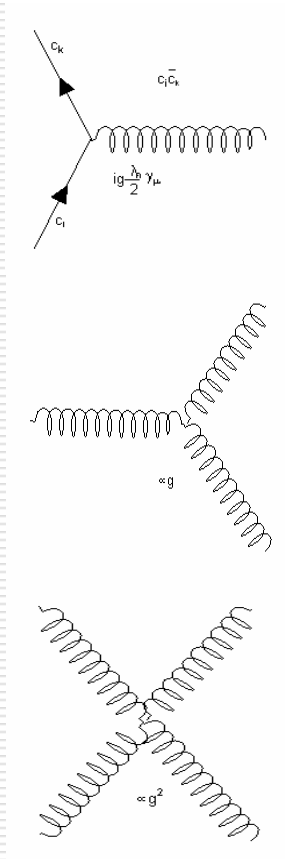
The form of QCD Lagrangian leads to predict the existence of a new kind of gluon-gluon color interaction

Since color interaction is tied to color charge, we are saying *the gluons carry their own color charge*

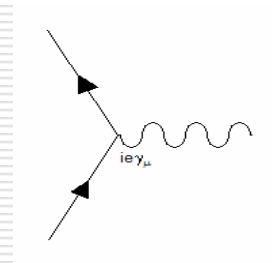
Sounds unfamiliar? Well, that's all after playing with a non-Abelian gauge group. Unlike the electric charge, color charge can manifest itself in more than one way. Indeed, gluons carry a type of color charge different from quarks/antiquarks:
Color + Anticolor

QCD as a Gauge Theory - V

QCD Vertices



$$ig \frac{\lambda_a}{2} \gamma_\mu \quad \text{Similar to QED:}$$



$$\propto g \quad (\text{Lorentz structure not shown})$$

$$\propto g^2 \quad (\text{Lorentz structure not shown})$$

The Color of Gluons

Compare to mesons in $SU(3)_F$: *Flavor + Antiflavor*
 But: *Gluons are not bound states of Color+Anticolor!*
 Still, they share the same math:
 Gluons live in the adjoint (**8**) irr.rep. of $SU(3)_C$

A very natural question: Gluons couple to $q\bar{q}$
 Since one can decompose the total $q\bar{q}$ color state as:

$$\mathbf{3} \otimes \mathbf{3}^* = \mathbf{1} \oplus \mathbf{8}$$

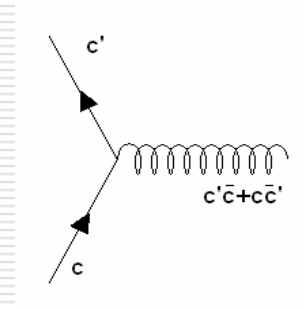
Then: Where is the singlet gluon?

Does not exist: There are only 8 gluons, not 9

$$|1\rangle = \frac{1}{\sqrt{2}}(r\bar{b} + b\bar{r}), |2\rangle = -\frac{i}{\sqrt{2}}(r\bar{b} - b\bar{r}), |3\rangle = \frac{1}{\sqrt{2}}(r\bar{r} - b\bar{b})$$

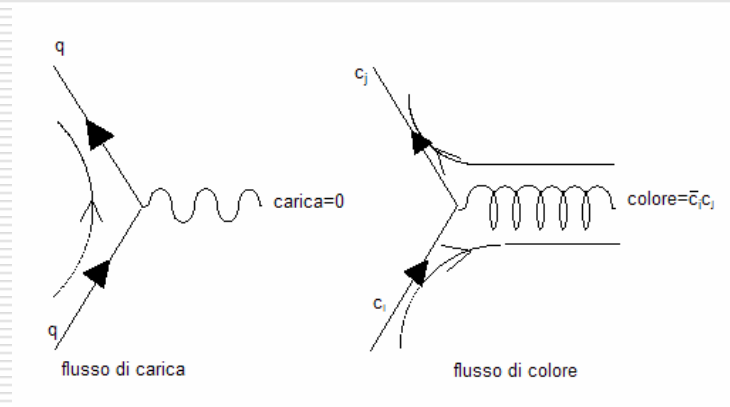
$$|4\rangle = \frac{1}{\sqrt{2}}(r\bar{g} + g\bar{r}), |5\rangle = -\frac{i}{\sqrt{2}}(r\bar{g} - g\bar{r}), |6\rangle = \frac{1}{\sqrt{2}}(b\bar{g} + g\bar{b})$$

$$|7\rangle = -\frac{i}{\sqrt{2}}(b\bar{g} - g\bar{b}), |8\rangle = \frac{1}{\sqrt{6}}(r\bar{r} + b\bar{b} - 2g\bar{g})$$



Color vs. Charge Flow

Compare the different situations:



QED

Photon is neutral
Neither sourcing,
nor sinking charge

QCD

Gluon is colored
Sourcing color,
sinking anti-color

Should the singlet gluon actually exist, it would behave more or less like a "photon":
Would be 'white' (= Singlet)
Would couple to color charges in the same way as photon couples to electric charges
Would give rise to a sort of "QED-like" color interaction, not observed

Comparing *QED* and *QCD*

Comparison of coupling constants:

α vs. α_s Adimensional constants (*Interaction strength*)

Can define elementary charge in terms of α , α_s

Measure particle charge by its ratio to elementary charge: *Number*

What are the allowed values for these numbers?

QED: Gauge group is *Abelian*

Electric charge can be *any* number: No reason for charge quantization

Photon charge is strictly 0

QCD: Gauge group is *non Abelian*

Color charge value is *fixed* for every representation

Quarks: **3,3*** $\rightarrow Q = 4/3$

Gluons: **8** $\rightarrow Q = 8$

Similar to $I(I+1)$ for any isospin ($SU(2)$) multiplet

The Color Factor

Consider the interaction between 2 charges:

QED

For fixed $|q|$, the 'charge factor' can be defined as:

$$f_{12} = \frac{q_1 q_2}{|q|^2} = \begin{cases} +1 & q_1 q_2 > 0 \\ -1 & q_1 q_2 < 0 \end{cases}$$

Very simple for an Abelian interaction

QCD

The 'color factor' depends on the irr.reps of the initial and final states
Since total color is conserved in all processes, expect a color factor:

Representation dependent
Identical for any transition in a given representation

Less simple in this non-Abelian interaction

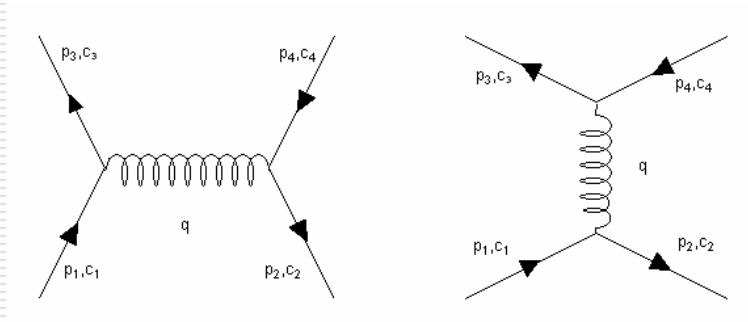
Color Interaction - I

$$q\bar{q} \rightarrow q\bar{q}$$

$$\mathbf{3} \otimes \mathbf{3}^* = \mathbf{1} \oplus \mathbf{8}$$

$$\text{Total color conservation: } \begin{cases} \mathbf{1} \rightarrow \mathbf{1} \\ \mathbf{8} \rightarrow \mathbf{8} \end{cases}$$

Observe:
Similar to conservation of total I-spin



$$T_{fi} = i \sum_{\alpha, \beta=1}^8 \underbrace{\left[\bar{u}(3) c_3^\dagger \right] \left[-i \frac{g_s}{2} \lambda^\alpha \gamma^\mu \right] \left[u(1) c_1 \right]}_{\text{color current}} \underbrace{\left[-i \frac{g_{\mu\nu} \delta^{\alpha\beta}}{q^2} \right]}_{\text{propagator}} \underbrace{\left[\bar{v}(2) c_2^\dagger \right] \left[-i \frac{g_s}{2} \lambda^\beta \gamma^\nu \right] \left[v(4) c_4 \right]}_{\text{color current}}$$

Sum is over all 8 color matrices

c_i are the color states of initial, final $q\bar{q}$

$$T_{fi} = \frac{-g_s^2}{q^2} \left[\bar{u}(3) \gamma^\mu u(1) \right] \left[\bar{v}(2) \gamma_\mu v(4) \right] \underbrace{\frac{1}{4} \sum_{\alpha} \left[c_3^\dagger \lambda^\alpha c_1 \right] \left[c_2^\dagger \lambda^\alpha c_4 \right]}_{\text{color factor}}$$

Color Interaction - II

Octet

$r\bar{b}$

Just as an example: Result is the same for all octet states

$$\left. \begin{array}{l} c_1 = c_3 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \\ c_2 = c_4 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \end{array} \right\} \rightarrow f = \frac{1}{4} \sum_{\alpha=1}^8 (1 \ 0 \ 0) \lambda^\alpha \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} (0 \ 1 \ 0) \lambda^\alpha \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$
$$\rightarrow f = \frac{1}{4} \sum_{\alpha=1}^8 \lambda_{11}^\alpha \lambda_{22}^\alpha = \frac{1}{4} (\lambda_{11}^3 \lambda_{22}^3 + \lambda_{11}^8 \lambda_{22}^8) = -\frac{1}{6}$$

Color Interaction - III

Singlet

$$\frac{1}{\sqrt{3}}(r\bar{r} + b\bar{b} + g\bar{g}) \quad \text{Only this state in the singlet}$$

But: Any component can go into *any other*..

$$f_i = \frac{1}{4} \frac{1}{\sqrt{3}} \sum_{\alpha=1}^8 \left[c_3^\dagger \lambda^\alpha \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right] \left[(1 \ 0 \ 0) \lambda^\alpha c_4 \right] + \left[c_3^\dagger \lambda^\alpha \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \right] \left[(0 \ 1 \ 0) \lambda^\alpha c_4 \right] + \left[c_3^\dagger \lambda^\alpha \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \right] \left[(0 \ 0 \ 1) \lambda^\alpha c_4 \right]$$

$$i=1,2,3$$

$$f = \frac{1}{4} \frac{1}{\sqrt{3}} \frac{1}{\sqrt{3}} \sum_{\alpha=1}^8 \sum_{i,j=1}^3 \lambda_{ij}^\alpha \lambda_{ji}^\alpha = \frac{1}{12} \text{Tr}(\lambda^\alpha \lambda^\alpha)$$

$$\text{Tr}(\lambda^\alpha \lambda^\beta) = 2\delta^{\alpha\beta}$$

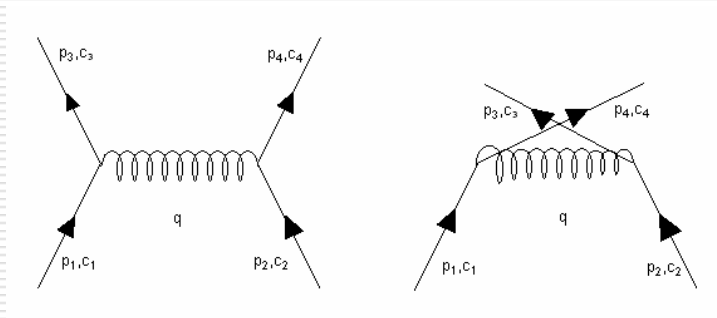
$$\text{Tr}(\lambda^\alpha \lambda^\alpha) = 16$$

$$\rightarrow f = \frac{4}{3}$$

Color Interaction - IV

qq

$$\mathbf{3} \otimes \mathbf{3} = \mathbf{3}^* \oplus \mathbf{6}$$



$$T_{fi} = \frac{-g_s^2}{q^2} [\bar{u}(3) \gamma^\mu u(1)] [\bar{u}(4) \gamma_\mu u(2)] \underbrace{\frac{1}{4} \sum_{\alpha=1}^8 [c_3^\dagger \lambda^\alpha c_1] [c_4^\dagger \lambda^\alpha c_2]}_{\text{color factor}}$$

$$f_{qq} = \frac{1}{4} \sum_{\alpha=1}^8 (c_3^\dagger \lambda^\alpha c_1) (c_4^\dagger \lambda^\alpha c_2)$$

Color states of the triplet and sextet:

$$\mathbf{3}^*: \quad \frac{1}{\sqrt{2}}(rb - br), \frac{1}{\sqrt{2}}(bg - gb), \frac{1}{\sqrt{2}}(gr - rg) \quad \text{Antisymmetric}$$

$$\mathbf{6}: \quad rr, bb, gg, \frac{1}{\sqrt{2}}(rb + br), \frac{1}{\sqrt{2}}(bg + gb), \frac{1}{\sqrt{2}}(gr + rg) \quad \text{Symmetric}$$

Color Interaction - V

Sextet

rr

Just as an example: Result is the same for all sextet states

$$c_1 = c_2 = c_3 = c_4 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

$$\begin{aligned} f &= \frac{1}{4} \sum_{\alpha=1}^8 \left[(1 \ 0 \ 0) \lambda^\alpha \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right] \left[(1 \ 0 \ 0) \lambda^\alpha \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right] = \frac{1}{4} \sum_{\alpha=1}^8 (\lambda_{11}^\alpha \lambda_{11}^\alpha) \\ &= \frac{1}{4} (\lambda_{11}^3 \lambda_{11}^3 + \lambda_{11}^8 \lambda_{11}^8) = \frac{1}{3} \end{aligned}$$

Color Interaction - VI

Triplet

$$\frac{1}{\sqrt{2}}(rb - br)$$

Just as an example as before

$$f = \frac{1}{4} \frac{1}{\sqrt{2}} \frac{1}{\sqrt{2}} \sum_{\alpha=1}^8$$

$$\left\{ \left[\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \lambda^\alpha \right] \left[\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right] \left[\begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \lambda^\alpha \right] \left[\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \right] - \left[\begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \lambda^\alpha \right] \left[\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right] \left[\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \lambda^\alpha \right] \left[\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \right] \right.$$

$$\left. - \left[\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \lambda^\alpha \right] \left[\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \right] \left[\begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \lambda^\alpha \right] \left[\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right] - \left[\begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \lambda^\alpha \right] \left[\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \right] \left[\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \lambda^\alpha \right] \left[\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right] \right\}$$

$$f = \frac{1}{8} \sum_{\alpha=1}^8 \{ \lambda_{11}^\alpha \lambda_{22}^\alpha - \lambda_{21}^\alpha \lambda_{12}^\alpha - \lambda_{12}^\alpha \lambda_{21}^\alpha + \lambda_{22}^\alpha \lambda_{11}^\alpha \} = \frac{1}{4} \sum_{\alpha=1}^8 \{ \lambda_{11}^\alpha \lambda_{22}^\alpha - \lambda_{12}^\alpha \lambda_{21}^\alpha \} = \frac{1}{4} \{ \lambda_{11}^3 \lambda_{22}^3 + \lambda_{11}^8 \lambda_{22}^8 - \lambda_{12}^1 \lambda_{21}^1 - \lambda_{12}^2 \lambda_{21}^2 \} = -\frac{2}{3}$$

The Effective Potential

Matrix elements just calculated: Very similar to the corresponding tree-level amplitudes in QED

→ Expect similar, Coulomb-like, effective potential in the static limit

Constant depends on the color representation for the quark pair:

$$V_{q\bar{q}} = \begin{cases} -\frac{4}{3} \frac{\alpha_s}{r} & \text{singlet} \\ \frac{1}{6} \frac{\alpha_s}{r} & \text{octet} \end{cases}$$

$$V_{qq} = \begin{cases} -\frac{2}{3} \frac{\alpha_s}{r} & \text{triplet} \\ \frac{1}{3} \frac{\alpha_s}{r} & \text{sextet} \end{cases}$$

Attractive

Expect maximal attraction in singlets
Diquarks?

Baryons

Baryons could be in any one of the **1,8,10** representations:

Why only the singlet is observed?

A hint of an explanation:

$$3 \otimes 3 \otimes 3 = (3 \otimes 3) \otimes 3$$

$$3 \otimes 3 = 6 \oplus 3^* \rightarrow (3 \otimes 3) \otimes 3 = (6 \oplus 3^*) \otimes 3$$

$$6 \otimes 3 = 10 \oplus 8$$

$$3^* \otimes 3 = 1 \oplus 8$$

1: each qq pair is a triplet \rightarrow attractive

8: qq pair can be triplets, or sextet \rightarrow attractive + repulsive

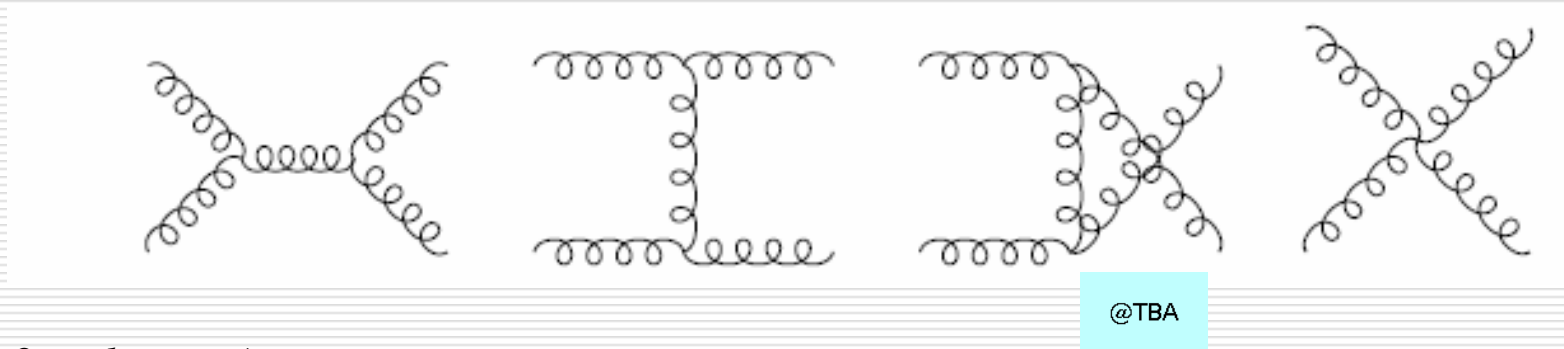
10: each qq pair is a sextet \rightarrow repulsive

So singlet is the state maximally attractive for 3 quarks

Does this explain the singlet-only mystery for bound states?

Another Color Interaction

Non Abelian vertices: Gluon-Gluon
scattering *at tree level*



3 – gluons : $A \propto g$

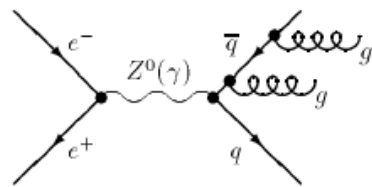
4 – gluons : $A \propto g^2$ Much harder to observe

Compare: In QED, photon-photon scattering amplitude occurs at order α^2 through the 1-loop diagram

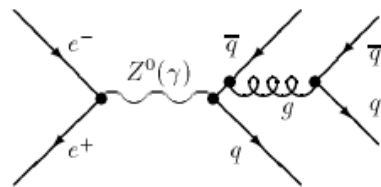
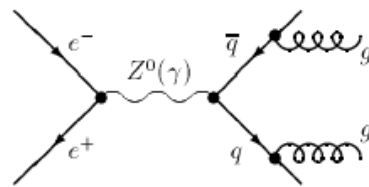
Color Interaction - VIII

Test for non-Abelian couplings at
LEP: 4 jets events

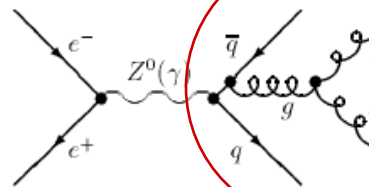
Special angular correlation from 3-
gluon vertex amplitude



(a)



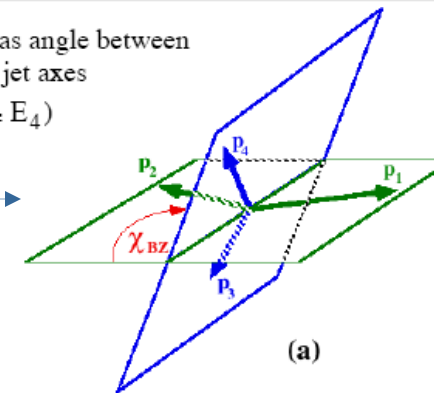
(b)



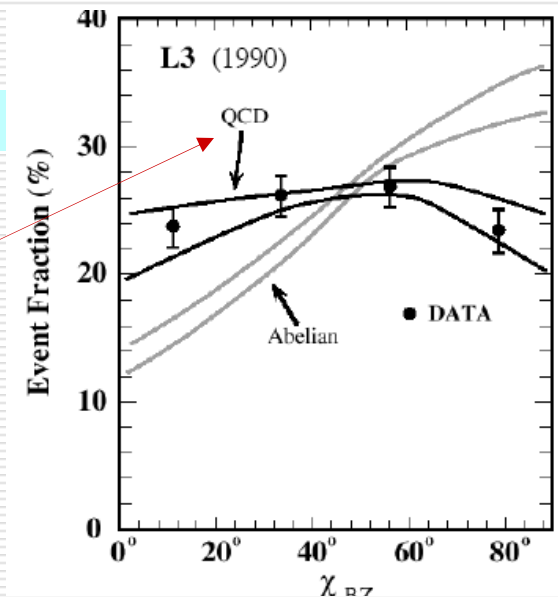
(c)

@TBA

Bengtson-Zerwas angle between
energy-ordered jet axes
($E_1 \approx E_2 \approx E_3 \approx E_4$)

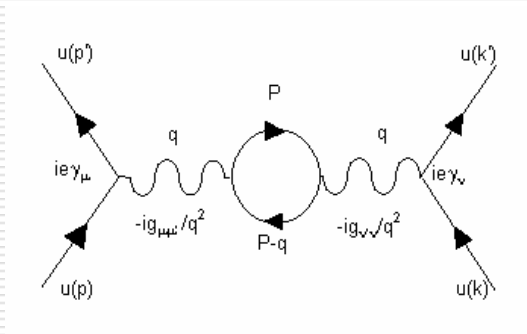


(a)



Running Coupling: QED - I

Consider the *one loop* modification to the photon propagator:



Includes a sum over P , the momentum circulating in the virtual loop. No obvious bounds on P .

$$M \propto [e\bar{u}(k')\gamma^\mu u(k)] \frac{g_{\mu\mu'}}{q^2} \frac{1}{(2\pi)^4} \int d^4P \frac{[e\bar{u}(P)\gamma^{\mu'} u(P-q)] [e\bar{u}(P-q)\gamma^{\nu'} u(P)]}{P^2 - m^2} \frac{g_{\nu\nu'}}{q^2} [e\bar{u}(p')\gamma^\nu u(p)]$$

Modified propagator:

$$\frac{g_{\mu\nu}}{q^2} \rightarrow \frac{g_{\mu\nu}}{q^2} (1 - I(q^2)), \quad I(q^2) = \frac{\alpha}{3\pi} \int_{m^2}^{\infty} \frac{dp^2}{p^2} - \frac{2\alpha}{\pi} \int_0^1 dx x(1-x) \ln \left[1 - \frac{q^2 x(1-x)}{m^2} \right]$$

Running Coupling: QED - II

Take the high q^2 approximation

$$-q^2 \gg m^2 \rightarrow \ln \left[1 - \frac{q^2 x(1-x)}{m^2} \right] \approx \ln \left[-\frac{q^2}{m^2} \right]$$

$$I(q^2) \approx \frac{\alpha}{3\pi} \int_{m^2}^{M^2} \frac{dp^2}{p^2} - \frac{2\alpha}{\pi} \int_0^1 dx x(1-x) \ln \left[\frac{-q^2}{m^2} \right]$$

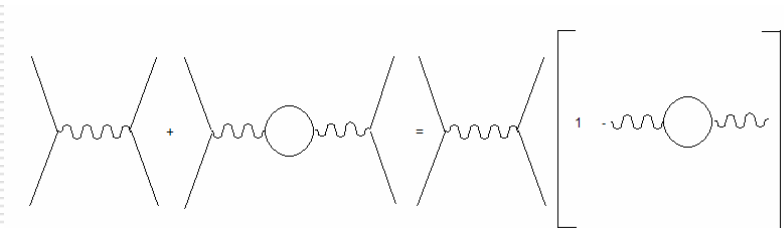
Provisional upper bound (cutoff) to make integral to converge

$$= \frac{\alpha}{3\pi} \ln \left(\frac{M^2}{m^2} \right) - \frac{2\alpha}{\pi} \frac{1}{6} \ln \left[\frac{-q^2}{m^2} \right]$$

$$= \frac{\alpha}{3\pi} \left[\ln \left(\frac{M^2}{m^2} \right) - \ln \left[\frac{-q^2}{m^2} \right] \right] = \frac{\alpha}{3\pi} \ln \left(\frac{M^2}{-q^2} \right)$$

$$M \propto \alpha \left[\bar{u}(k') \gamma^\mu u(k) \right] \frac{g_{\mu\nu}}{q^2} \left[1 - \frac{\alpha}{3\pi} \ln \left(\frac{M^2}{-q^2} \right) \right] \left[\bar{u}(p') \gamma^\nu u(p) \right]$$

Cartoon translation:



Running Coupling: *QED* - III

Extend to diagrams with 2,3,...,n,... loops: Add up all contributes

Experts say this is the only contribution to running α to the 'leading logs' approximation, which means neglecting the next levels of iteration

$$M \propto [\bar{u}(k')\gamma^\mu u(k)] \frac{g_{\mu\nu}}{q^2} \left[\frac{\alpha}{1 + \alpha/3\pi \ln(M^2/-q^2)} \right] [\bar{u}(p')\gamma^\nu u(p)]$$

Sum of a 'geometrical series'
Converging??

What is α ? Coupling 'constant' we would get should we turn off all loops

Call it α_0 = 'Bare' coupling constant, not physical: Loops cannot be turned off

Then obtain an effective coupling, not constant but *running*:

$$\alpha(q^2) = \frac{\alpha_0}{1 + \alpha_0/3\pi \ln(M^2/-q^2)} \quad \alpha \text{ is } q^2, \text{ or distance, dependent!}$$

Running Coupling: *QED* - IV

Running α is still cutoff dependent, which of course is uncomfortable
But: Not a real problem. Indeed:

$$Q^2 = -q^2 \rightarrow \alpha(Q^2) = \frac{\alpha_0}{1 + (\alpha_0/3\pi) \ln(M^2/Q^2)}$$

Usually choose $\mu^2=0$, i.e. take α at distance $\rightarrow \infty$
Quite natural in QED, but not compulsory

Take a particular energy scale: $Q^2 = \mu^2 \rightarrow \alpha(\mu^2) = \frac{\alpha_0}{1 + (\alpha_0/3\pi) \ln(\Lambda^2/\mu^2)}$

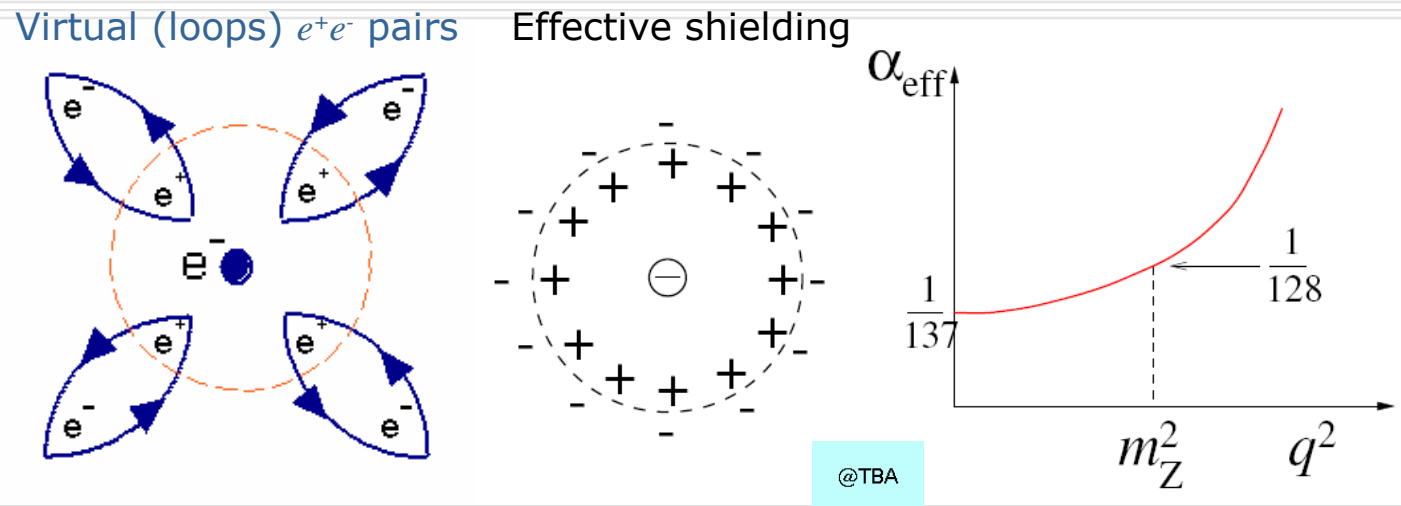
$$\ln\left(\frac{M^2}{Q^2}\right) = \ln\left(\frac{M^2}{Q^2} \frac{\mu^2}{\mu^2}\right) = \ln\left(\frac{M^2}{\mu^2}\right) + \ln\left(\frac{\mu^2}{Q^2}\right) \rightarrow \alpha(Q^2) = \frac{\alpha_0}{1 + (\alpha_0/3\pi) [\ln(M^2/\mu^2) + \ln(\mu^2/Q^2)]}$$

$$\rightarrow \frac{\alpha_0}{\alpha(\mu^2)} = 1 + (\alpha_0/3\pi) \ln(M^2/\mu^2)$$

$$\rightarrow \alpha(Q^2) = \frac{\alpha_0}{\alpha_0/\alpha(\mu^2) + (\alpha_0/3\pi) \ln(\mu^2/Q^2)} = \frac{\alpha(\mu^2)}{1 - [\alpha(\mu^2)/3\pi] \ln(Q^2/\mu^2)}$$

Very interesting result: Running α depends on q^2 , through its own *measured* value at any chosen energy scale μ^2 . Cutoff has disappeared.

Cartooning Deep Physics



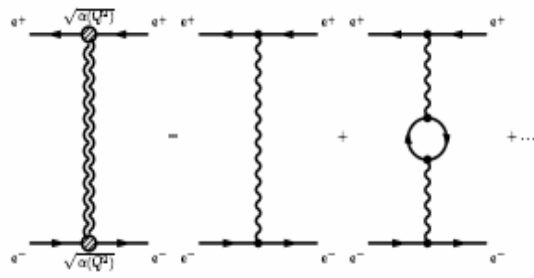
Picture the QED perturbative vacuum as a sort of (virtual) dielectric medium:
Virtual photons and e^+e^- pairs continuously created/annihilated

Bare charge is shielded at large distance by the virtual pairs coming from loops
The standard e charge is smaller than the bare charge

By probing the electron at smaller and smaller distance, one observe an *increasing* effective charge

Running α at LEP (and More)

Experimental method: Bhabha scattering



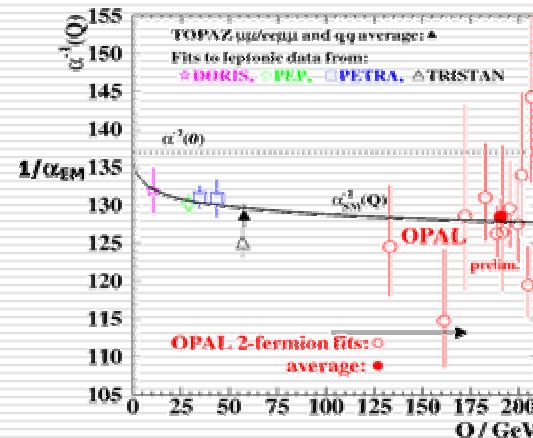
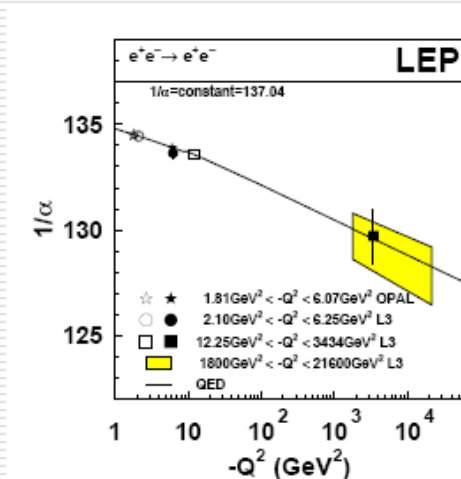
$$\frac{d\sigma}{dt} = \frac{d\sigma^0}{dt} \left(\frac{\alpha(t)}{\alpha_0} \right)^2 (1 + \varepsilon)(1 + \delta_\gamma) + \delta_Z,$$

δ_γ, δ_Z s-channel contributions (small)

ε radiative corrections (known)

Use accurate, differential cross-section measurement to unfold $\alpha(t)$

Total cross-section measurement would require a luminosity..

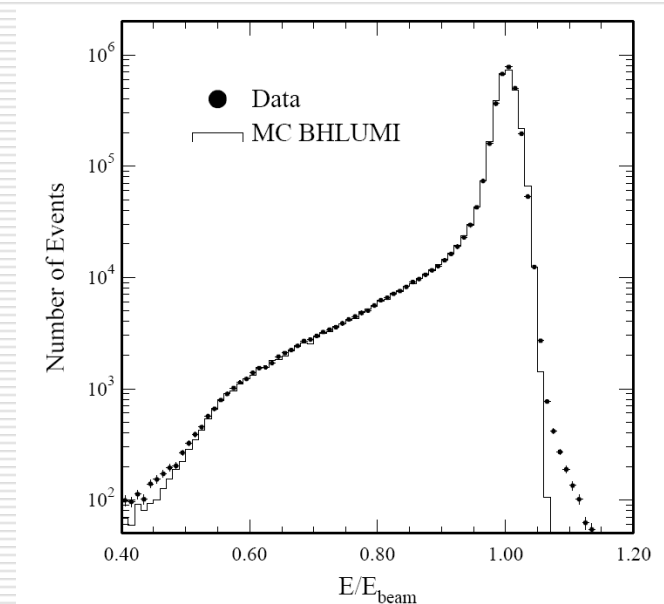
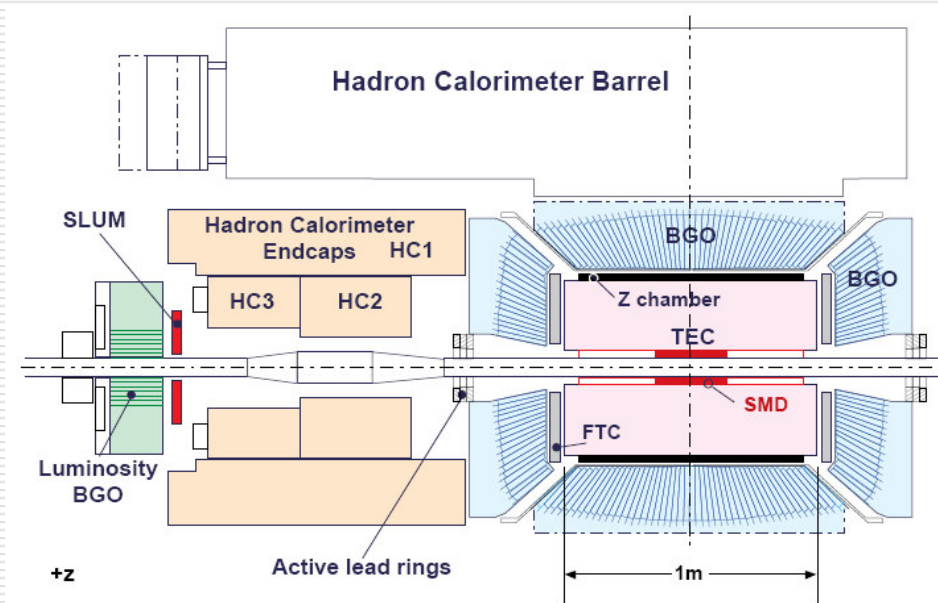


Luminosity Monitors

Just as an example, take L3 at LEP:
Relying on Bhabha scattering at small angle

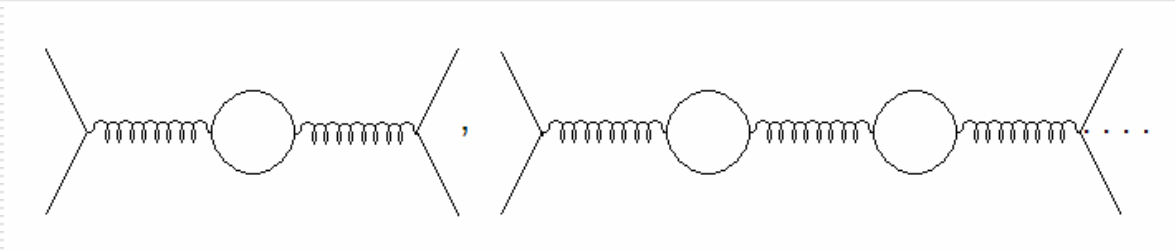
$$\sigma = \frac{16\pi\alpha^2}{s} \left(\frac{1}{\theta_{min}^2} - \frac{1}{\theta_{max}^2} \right)$$

Calorimeter (Energy) + Silicon Tracker (Angle + Acceptance)

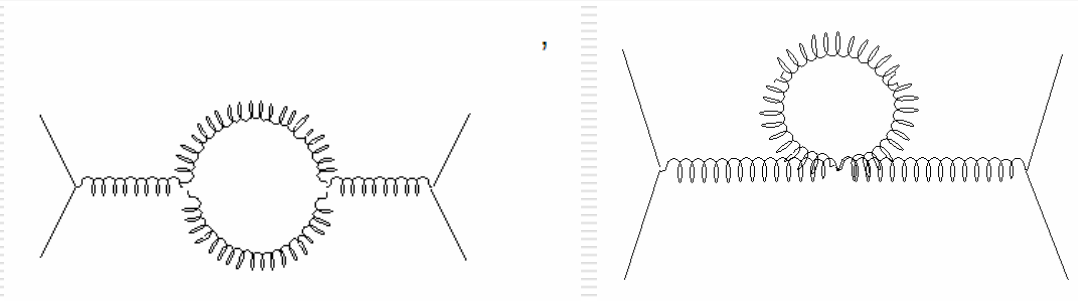


Running Coupling: QCD - I

Repeat all the steps: Loops etc



Except this time one has more loops: Gluons



Running Coupling: QCD - II

Turns out that gluon loops yield *anti*-shielding effect
With 8 gluons and 6 quark flavors, gluons win

$$\alpha_s(|q^2|) = \frac{\alpha_s(\mu^2)}{1 + \left(\alpha_s(\mu^2)/12\pi\right)(33 - 2n_{\text{flavor}})\ln(|q^2|/\mu^2)}$$

Running coupling *decreases* with increasing q^2 (or at smaller distance)
This is known as *asymptotic freedom*:

Large q^2 processes feature small coupling \rightarrow Perturbative!

Most important consequence:

The fundamental hypothesis behind the successful parton model is finally understood and justified

The Meaning of Λ

Rather than making reference to a specific value of α_s

$$\alpha_s(|q^2|) = \frac{\alpha_s(\mu^2)}{1 + \left(\alpha_s(\mu^2)/12\pi\right)(33 - 2n_{\text{flavor}})\ln(|q^2|/\mu^2)}$$

define a new constant

$$\ln \Lambda^2 = \ln \mu^2 - \frac{12\pi}{(33 - 2n_{\text{flavor}})\alpha_s(\mu^2)} \rightarrow \Lambda^2 = \mu^2 e^{-\frac{12\pi}{(33 - 2n_{\text{flavor}})\alpha_s(\mu^2)}}$$

$$\rightarrow \alpha_s(|q^2|) \simeq \frac{12\pi}{(33 - 2n_{\text{flavor}})\ln(|q^2|/\Lambda^2)} = \frac{12\pi}{21\ln(|q^2|/\Lambda^2)}, \quad |q^2| \gg \Lambda^2$$

Λ = Renormalization scale \rightarrow Fixes α_s at all q^2

$\Lambda \approx 200 \text{ MeV}$ yields the correct α_s at $\mu^2 = M_{Z^0}^2$

Funny behavior, known as 'Dimensional Transmutation':

From an adimensional constant to a dimensional one $\alpha_s \rightarrow \Lambda$

Confinement

$$\alpha_s(|q^2|) \simeq \frac{12\pi}{21 \ln(|q^2|/\Lambda^2)}, \quad |q^2| \gg \Lambda^2$$

When $|q^2| \sim \Lambda^2$, the previous expression does not apply

$\alpha_s(\Lambda^2)$ is large
Strong interaction is strong
Cannot rely on perturbative expansion

In a general sense, we expect Λ to mark the low energy range, corresponding to *soft* (low q^2) processes

Bound states: Non-perturbative, 'white', energy scale $\approx \Lambda$

Does $\alpha_s(\Lambda^2)$ correspond to the *color confinement* range?
Very likely. But remember:

It is not yet convincingly shown that QCD is a confining theory

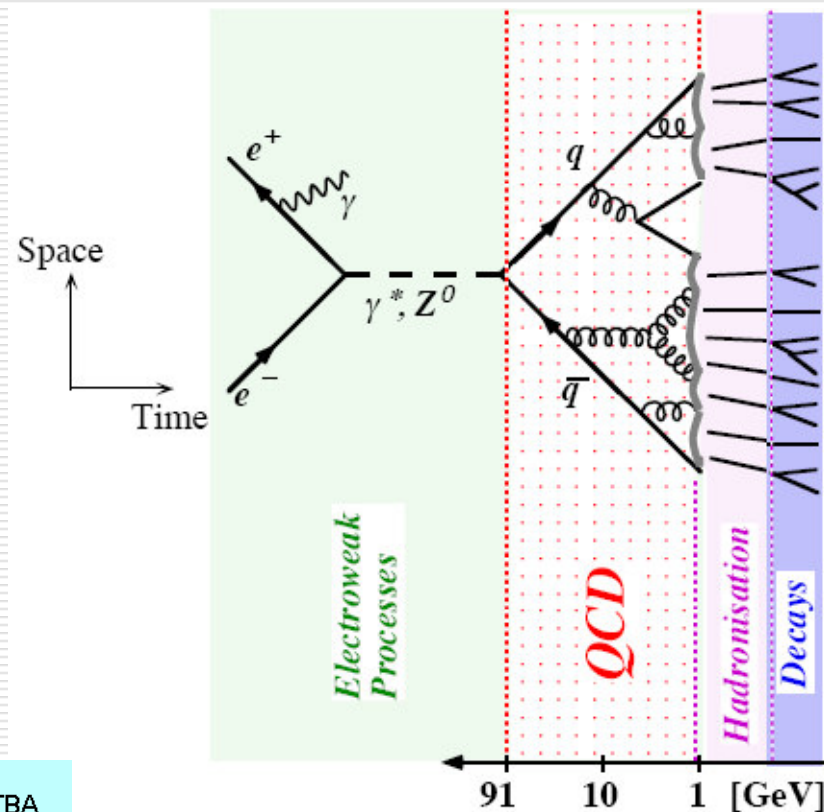
Jet Fragmentation

Complete jet evolution cannot be computed in a full QCD framework:

As shown in the picture, *increasing* time scales correspond to *decreasing* Q^2 scale, down to a region where perturbative expansion cannot be granted

Conversion of quarks and gluons into hadrons (*Fragmentation* or *Hadronization*) must be evaluated by ad-hoc prescriptions (models).

QCD-inspired, successful models often based on string-like behavior of pairs $q\bar{q}$



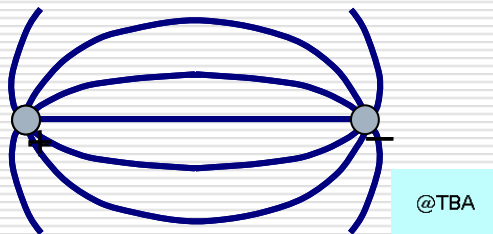
@TBA

Stringy QCD

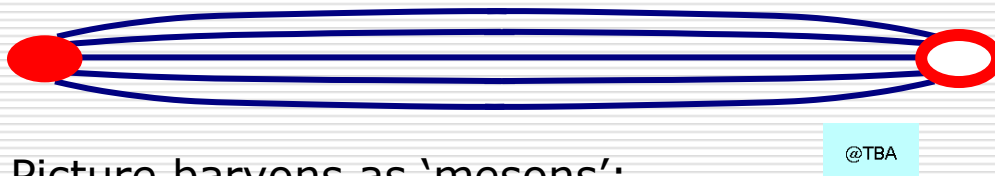
Typical model implemented in fragmentation Montecarlo programs

$q\bar{q}$ Interaction

QED-like at small distance



Gluon self-interaction yields *string* (flux tube) pattern at large distance



Picture baryons as 'mesons':

$$3 \otimes 3 = 3^* \oplus 6$$

$$qqq = \underbrace{qq}_{\sim \bar{q}} + q$$

PQCD: Jets in $e^+ e^-$ Collisions - I

2 jets

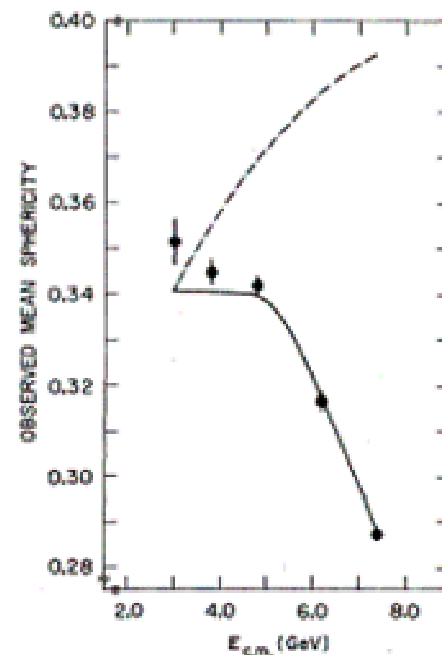
$$e^+ + e^- \rightarrow q + \bar{q} \rightarrow \text{hadrons}$$

$$\frac{d\sigma}{d\Omega} = \frac{3\alpha^2}{4s} (1 + \cos^2 \theta) \sum_{\text{flavor}} e_{\text{flavor}}^2$$

$$\rightarrow \sigma(s) = \frac{4\pi\alpha^2}{s} \sum_{\text{flavor}} e_{\text{flavor}}^2$$

Define sphericity of events:

$$S = \frac{3}{2} \frac{\sum_i p_{\perp i}^2}{\sum_i p_i^2} \rightarrow \begin{cases} 0 & \text{2-jets} \\ 1 & \text{spherical} \end{cases}$$

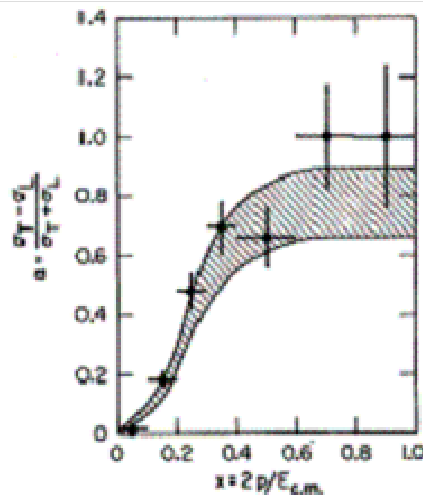


At high energy, events tend to be non-spherical

PQCD: Jets in $e^+ e^-$ Collisions - II

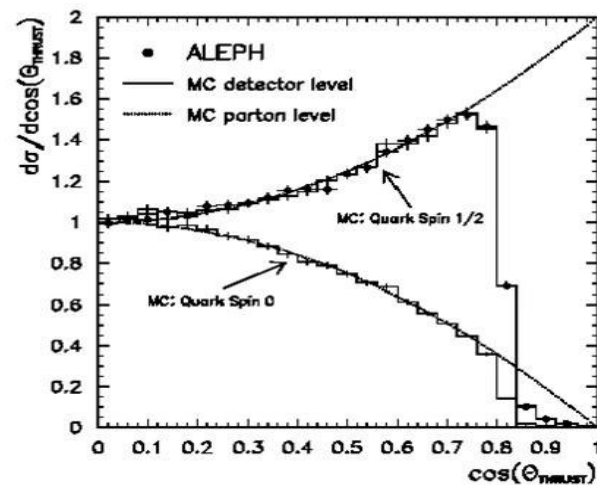
For 2 jets events

$$\left. \begin{aligned} \frac{d\sigma}{d\Omega} &\propto 1 + \cos^2 \theta \quad \text{quark spin} = 1/2 \\ \frac{d\sigma}{d\Omega} &\propto 1 - \cos^2 \theta \quad \text{quark spin} = 0 \end{aligned} \right\} \equiv 1 + \alpha \cos^2 \theta$$



Mark I (SPEAR)
 $E = \text{a few GeV}$

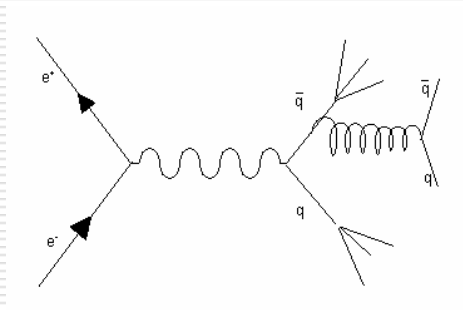
@TBA



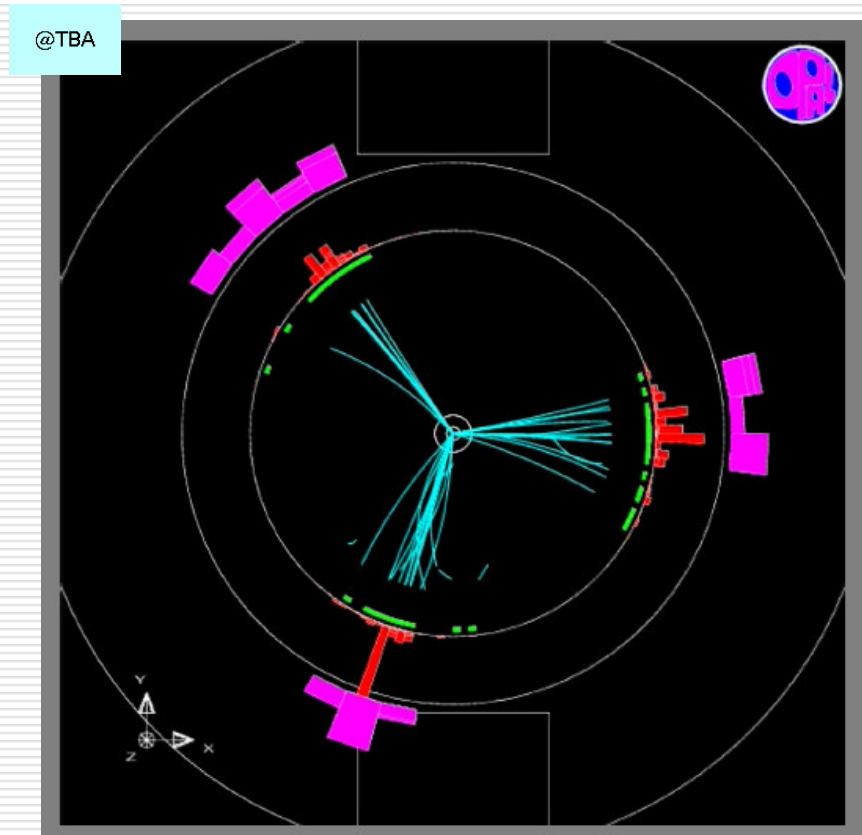
ALEPH (LEP)
 $E = 90 \text{ GeV}$

PQCD: Jets in $e^+ e^-$ Collisions - III

3 jets

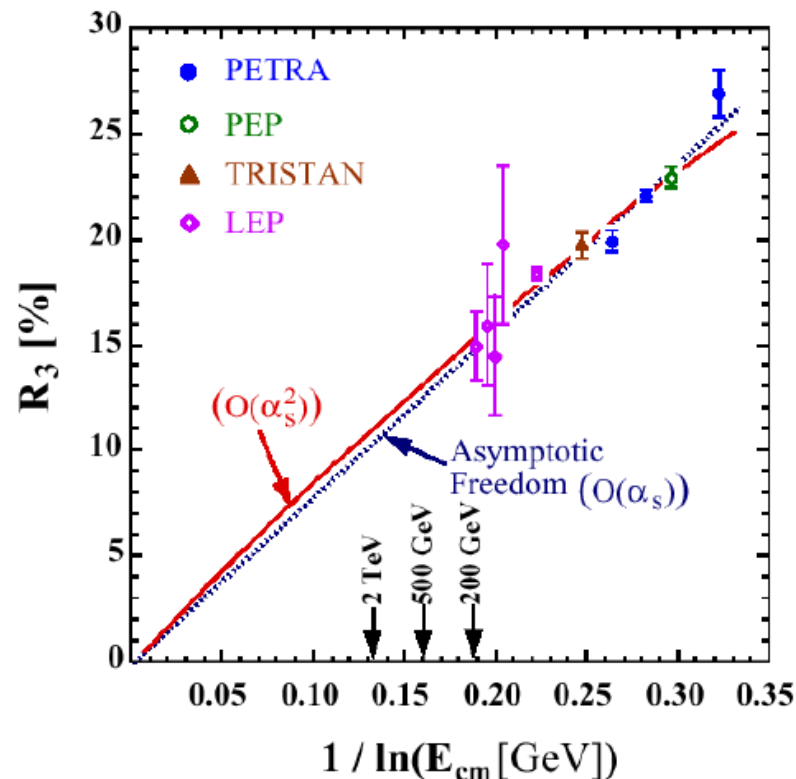


Left breathless by this exceptional 3-jet from OPAL? Relax, this is not exactly the rule...



PQCD: Jets in $e^+ e^-$ Collisions - IV

$$R_3 \equiv \frac{\sigma_{3\text{-jet}}}{\sigma_{\text{tot}}} \propto \alpha_s(E_{\text{cm}}) \propto \frac{1}{\ln E_{\text{cm}}}$$

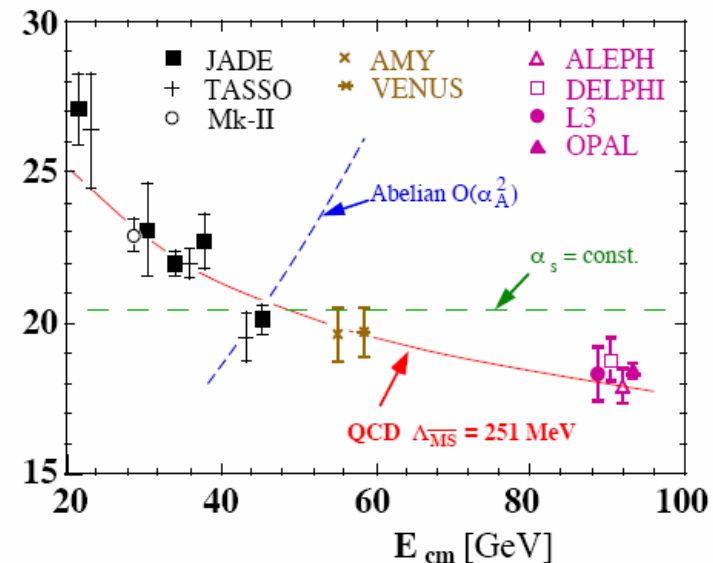


Get a measurement of α_s :

$$\alpha_s(35\text{GeV}) = 0.146 \pm 0.03$$

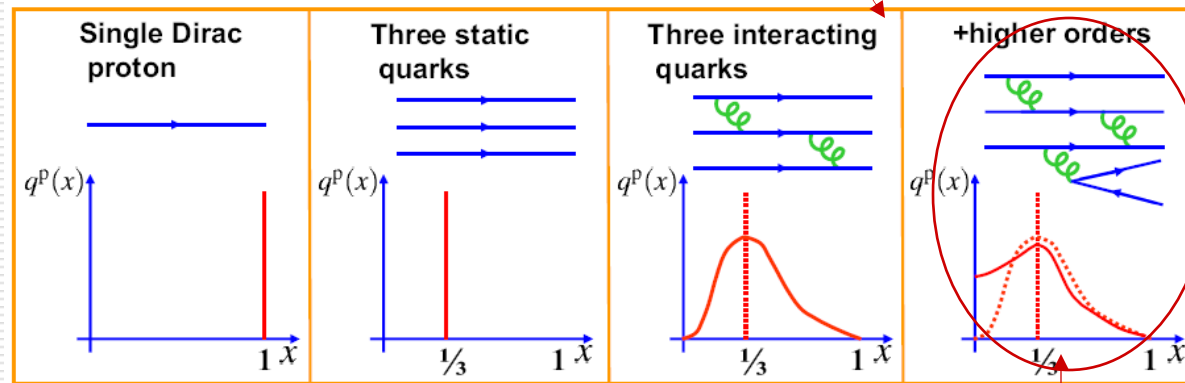
$$\alpha_s(M_{Z^0}) = 0.124 \pm 0.0043$$

$R_3(y_{\text{cut}} = 0.08)$ [%]

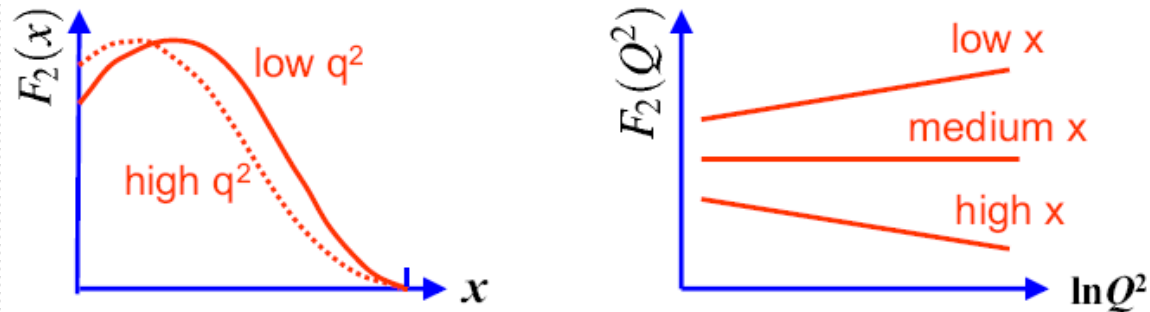


PQCD: DIS Scaling Violations - I

Our picture of structure functions



Observe small deviations from scaling: $F_2(x) \rightarrow F_2(x, Q^2) \rightarrow \text{QCD!}$

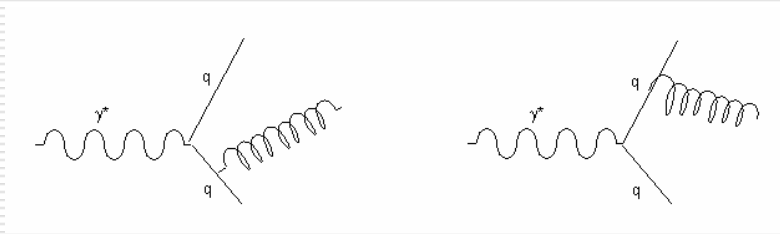


PQCD: DIS Scaling Violations - II

QCD on $F_2(x, Q^2)$:

x -dependence \rightarrow Not predicted

Q^2 -dependence \rightarrow Predicted !

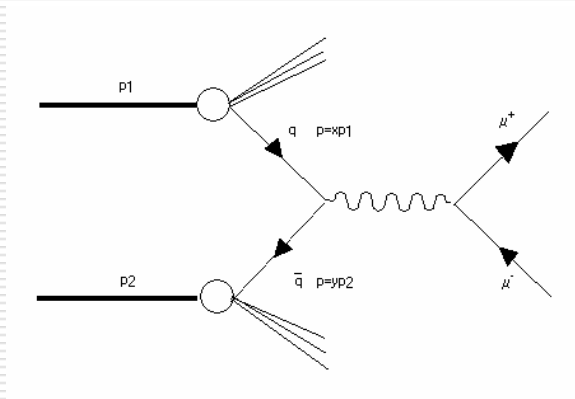


Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) equation:
Successful prediction of Q^2 evolution of structure function

$$F_2(x, Q^2) = \sum_q x e^2 \left[q(x) + \Delta q(x, Q^2) \right]$$
$$\Delta q(x, Q^2) = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dx'}{x} q(x') P_{qq}\left(\frac{x}{x'}\right) \ln\left(\frac{Q^2}{k^2}\right) + \dots$$

Deep waters...

PQCD: Drell-Yan - I



$$\frac{d\sigma(q\bar{q} \rightarrow l^+l^-)}{dq^2} = \frac{4\pi\alpha^2}{3q^2} e_q^2 \delta(q^2 - s_{q\bar{q}})$$

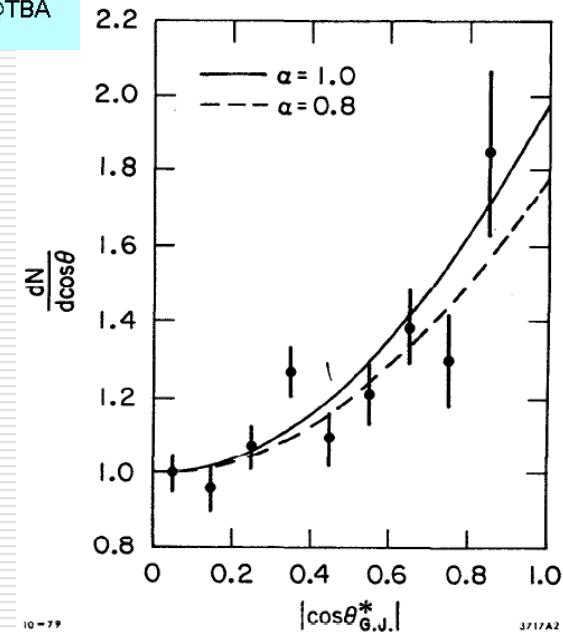
x_1, x_2 Bjorken x for q, \bar{q}

$$s_{q\bar{q}} = (p_q + p_{\bar{q}})^2 = (x_1 p_1 + x_2 p_2)^2 \simeq x_1 x_2 s$$

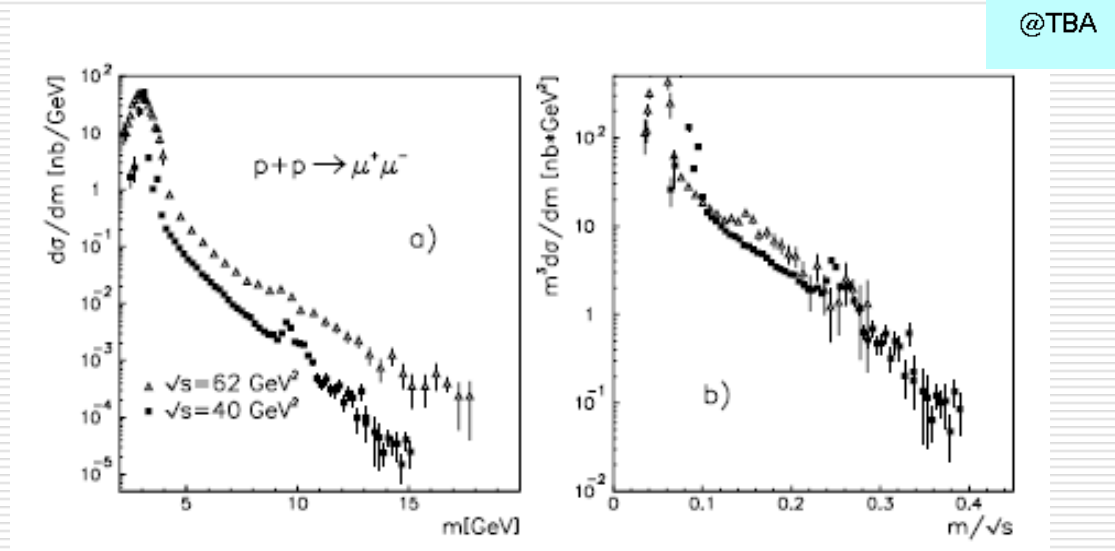
$$\sigma(q\bar{q} \rightarrow l^+l^-) = \frac{4\pi\alpha^2}{3q^2} e_q^2, \quad e_q = \text{quark charge in } e \text{ units} \quad \text{Expect } \propto 1 + \cos^2 \theta^* \text{ as usual}$$

Angular distribution
in the pair rest frame

@TBA

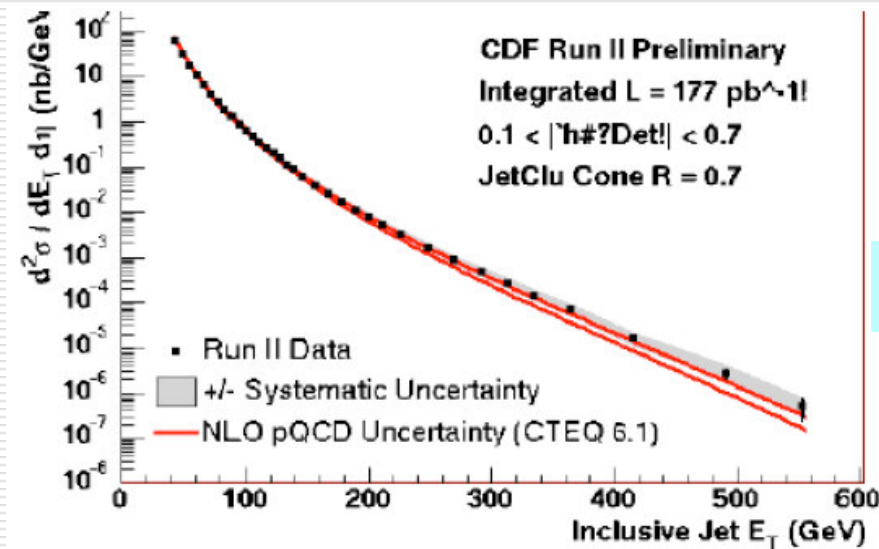


PQCD: Drell-Yan - II



Scaling of the pair mass distribution (= differential cross-section)

PQCD: Jets in Hadron Collisions



Cannot rely on triggering on a single, high p_{\perp} particle
Devise a calorimeter trigger based on *total transverse energy* observed

$$\sum p_{\perp}^{(i)} = \sum p_i \sin \theta_i \sim \sum E_T^{(i)}$$

PQCD: 2-Body Partonic Processes

Consider all the 2-body processes in QCD:

$$qq \rightarrow qq, q\bar{q} \rightarrow q\bar{q}$$

Quarks only

$$qg \rightarrow qg, \bar{q}g \rightarrow \bar{q}g, gg \rightarrow gg, q\bar{q} \rightarrow gg, gg \rightarrow q\bar{q}$$

Quarks and/or Gluons

All will yield 2 jets to first approximation

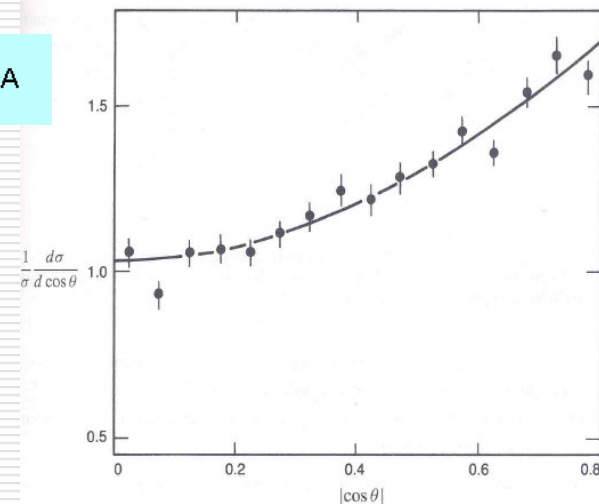
When quark only processes can be identified, expect:

$$\frac{d\sigma}{d(\cos\theta^*)} = \frac{\pi\alpha_s^2}{2s_{ij}} |M|^2$$

$$s_{ij} = (x_i p_i + x_j p_j)^2 \approx x_i x_j s$$

$$\rightarrow |M|^2 \propto 1 + \cos^2 \theta^* \quad \text{as usual}$$

@TBA



Valence and Sea

Take a Hydrogen atom:

= Chemistry!

Common wisdom: "A bound state of proton + electron"

But: Consider the effect of radiative corrections (e.g. loops)

Then we should be more precise:

$$\text{Hydrogen} = (\text{Proton} + \text{Electron})_{\text{Valence}} + (\text{Positrons} + \text{Electrons} + \text{Photons})_{\text{Sea}}$$

Can we say valence and sea particles are fundamentally different? Well,...

In a bound state, both are off mass shell

Both are active in yielding measurable effects (Coulomb levels vs. Lamb shift,..)

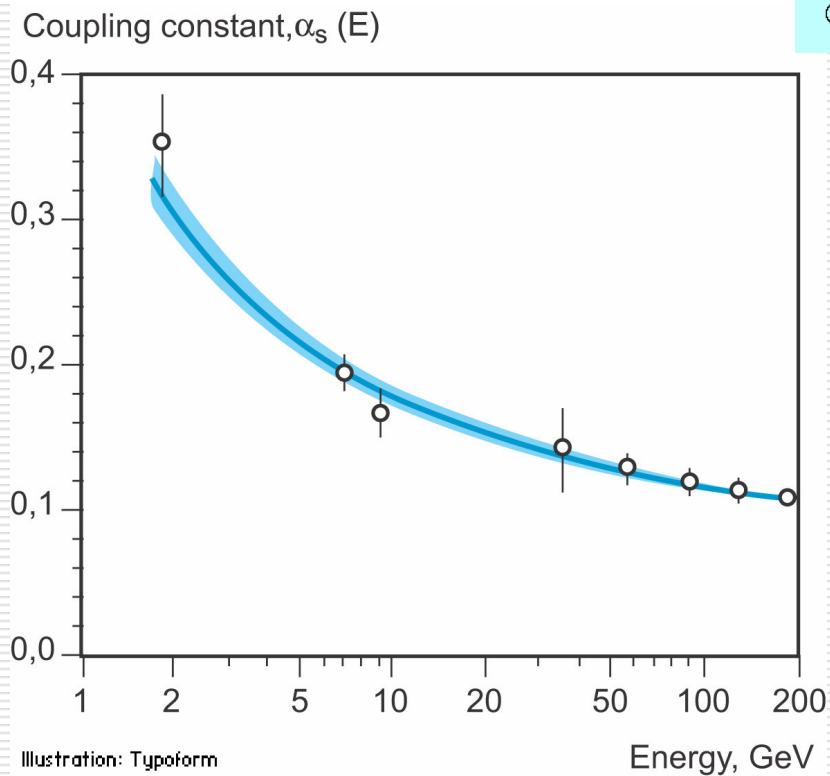
Sea particles yield small corrections to levels determined by valence $e+p$

Take a hadron:

$$\text{Hadron} = (\text{Quarks/Antiquarks})_{\text{Valence}} + (\text{Quarks/Antiquarks} + \text{Gluons})_{\text{Sea}}$$

Since $\alpha_s \gg \alpha$, sea effects are much larger in QCD

Running α_s



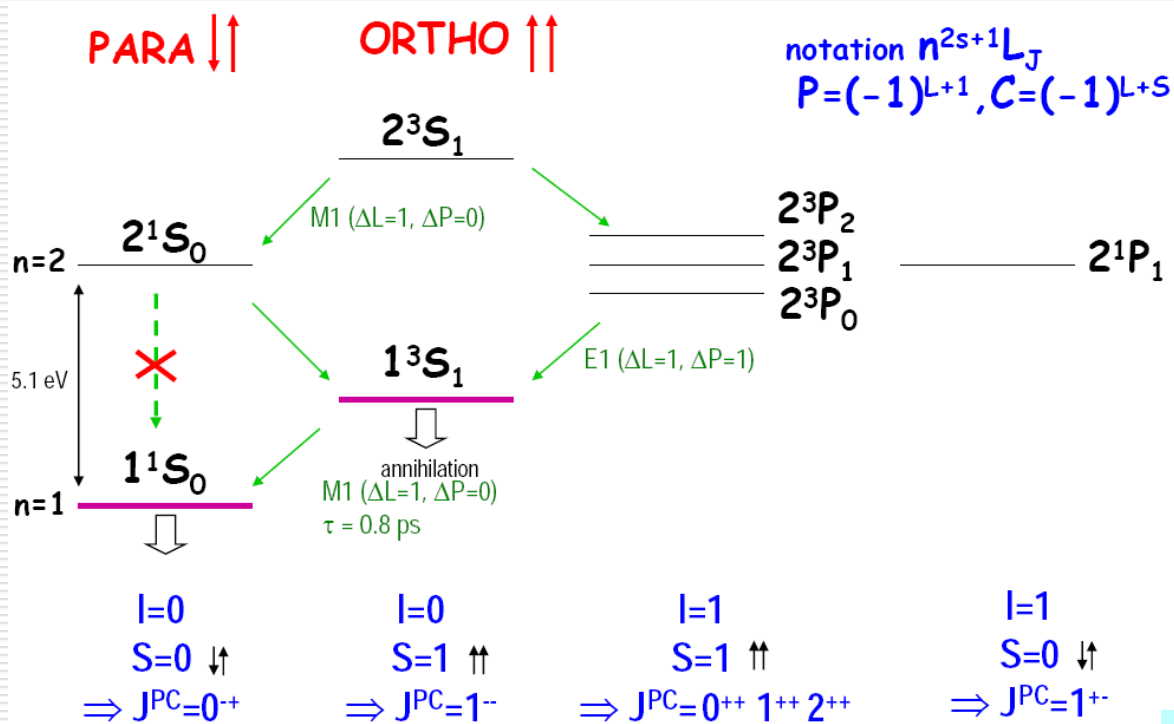
Sources:

DIS

Jets

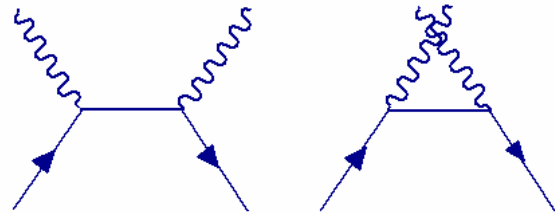
Quarkonium

Positronium



@TBA

$e^+e^- : 2 \text{ Photons Annihilation}$



Permutations of 2 photons
→ 2 diagrams altogether

$$T_{fi} = T_1 + T_2$$

$$T_1 = \frac{e^2}{(p_1 - p_3)^2 - m^2} \bar{v}(2) \not{\epsilon}_4 (\not{p}_1 - \not{p}_3 + m) \not{\epsilon}_3 u(1), \quad T_2 = \frac{e^2}{(p_1 - p_4)^2 - m^2} \bar{v}(2) \not{\epsilon}_3 (\not{p}_1 - \not{p}_4 + m) \not{\epsilon}_4 u(1)$$

$$p_1 = m(1, 0, 0, 0), p_2 = m(1, 0, 0, 0), p_3 = m(1, 0, 0, 1), p_4 = m(1, 0, 0, -1)$$

$$(p_1 - p_3)^2 - m^2 = (p_1 - p_4)^2 - m^2 = -2m^2 \rightarrow T = -4e^2 \quad \text{Averaged over initial, summed over final spin projections}$$

$$\frac{d\sigma}{d\Omega} = \frac{1}{64\pi s} \frac{|\mathbf{p}_f|}{|\mathbf{p}_i|} |T|^2$$

$$|\mathbf{p}_f| = m, \quad |\mathbf{p}_i| \simeq m\beta, \quad s = (2m)^2 = 4m^2$$

$$\frac{d\sigma}{d\Omega} = \frac{1}{64\pi 4m^2} \frac{m}{m\beta} 16\alpha^2 = \frac{\alpha^2}{16\pi m^2 \beta} \rightarrow \sigma = 4\pi \frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4m^2 \beta}$$

Positronium: 2 Photons Annihilation

Proceed as for Van-Royen - Weisskopf

$$A_{pos} = \sum_p \underbrace{\langle \gamma \gamma | T | p \rangle}_{A(\mathbf{p})} \underbrace{\langle p | \pi^0 \rangle}_{\psi(\mathbf{p})}$$

$A(\mathbf{p})$ plane wave amplitude, $\psi(\mathbf{p})$ momentum space wave function

$$\rightarrow A_{pos} = \int d^3\mathbf{p} A(\mathbf{p}) \psi(\mathbf{p}) = \frac{1}{(2\pi)^{3/2}} \int d^3\mathbf{p} A(\mathbf{p}) \int \psi(\mathbf{r}) e^{i\mathbf{p}\cdot\mathbf{r}} d^3\mathbf{r} = \frac{1}{(2\pi)^{3/2}} \int d^3\mathbf{r} \psi(\mathbf{r}) \int A(\mathbf{p}) e^{i\mathbf{p}\cdot\mathbf{r}} d^3\mathbf{p}$$

Take $A(\mathbf{p}) \approx A = \text{const}$

Can be shown to be true

$$\rightarrow A_{pos} \approx \frac{A}{(2\pi)^{3/2}} \int d^3\mathbf{r} \psi(\mathbf{r}) \underbrace{\int e^{i\mathbf{p}\cdot\mathbf{r}} d^3\mathbf{p}}_{(2\pi)^3 \delta^3(\mathbf{r})} = (2\pi)^{3/2} A \psi(0)$$

$$\rightarrow \Gamma_{pos} = |A_{pos}|^2 \approx (2\pi)^3 |A|^2 |\psi(0)|^2$$

$$\sigma = \frac{\alpha^2}{4m^2\beta} = |A|^2 \frac{(2\pi)^3}{\beta} \rightarrow |A|^2 = \frac{\alpha^2}{4m^2\beta} \frac{\beta}{(2\pi)^3} = \frac{\alpha^2}{(2\pi)^3 4m^2}$$

$$\rightarrow \Gamma_{pos} \approx (2\pi)^3 |A|^2 |\psi(0)|^2 = \frac{\alpha^2}{4m^2} |\psi(0)|^2$$

Since only singlet initial state contributes:

$$\Gamma_{pos} \rightarrow \frac{1}{4} \cdot \frac{\alpha^2}{4m^2} |\psi(0)|^2 = \frac{\alpha^2}{m^2} |\psi(0)|^2$$

$$\begin{aligned} U_c |2\gamma\rangle &= (-1)^2 |2\gamma\rangle \rightarrow \eta_c(2\gamma) = +1 \\ &\rightarrow (-1)^{L+S} = +1 \\ &\Rightarrow L=0 \rightarrow S=0 \\ &\text{S-wave: Singlet only} \end{aligned}$$

Positronium: 2 Photons Annihilation

Taking scaled Hydrogen wave function

$$\psi(r) = \frac{2}{(a_0)^{3/2}} e^{-\frac{2r}{a_0}}, a_0 = \frac{1}{\alpha m}, m \text{ reduced mass}$$

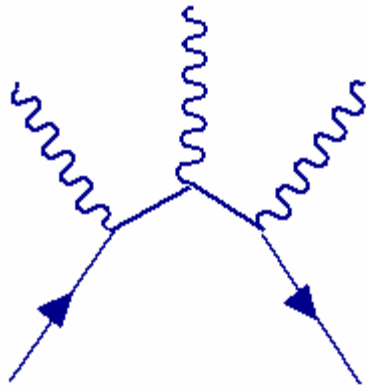
$$\text{Hyd: } m \simeq m_e \rightarrow a_0 \approx \frac{1}{\alpha m_e}$$

$$\text{Pos: } m = \frac{m_e}{2} \rightarrow a_0 = \frac{2}{\alpha m_e}$$

$$\rightarrow \psi_{pos}(0) = \frac{2}{(a_0)^{3/2}} = \frac{2(\alpha m_e)^{3/2}}{(2)^{3/2}} \rightarrow |\psi_{pos}(0)|^2 = \frac{4\alpha^3 m_e^3}{8} = \frac{\alpha^3 m_e^3}{2}$$

$$\rightarrow \Gamma_{pos} \approx (2\pi)^3 |A|^2 |\psi(0)|^2 = \frac{\alpha^2}{m_e^2} \frac{\alpha^3 m_e^3}{2} = \frac{\alpha^5 m_e}{2}$$

Positronium: 3 Photons Annihilation



Permutations of 3 photons
→ 6 diagrams altogether

$$U_c |3\gamma\rangle = (-1)^3 = -1 \rightarrow (-1)^{L+S} = -1 \rightarrow \begin{cases} L=0 \\ S=1 \end{cases} \text{ Triplet only}$$

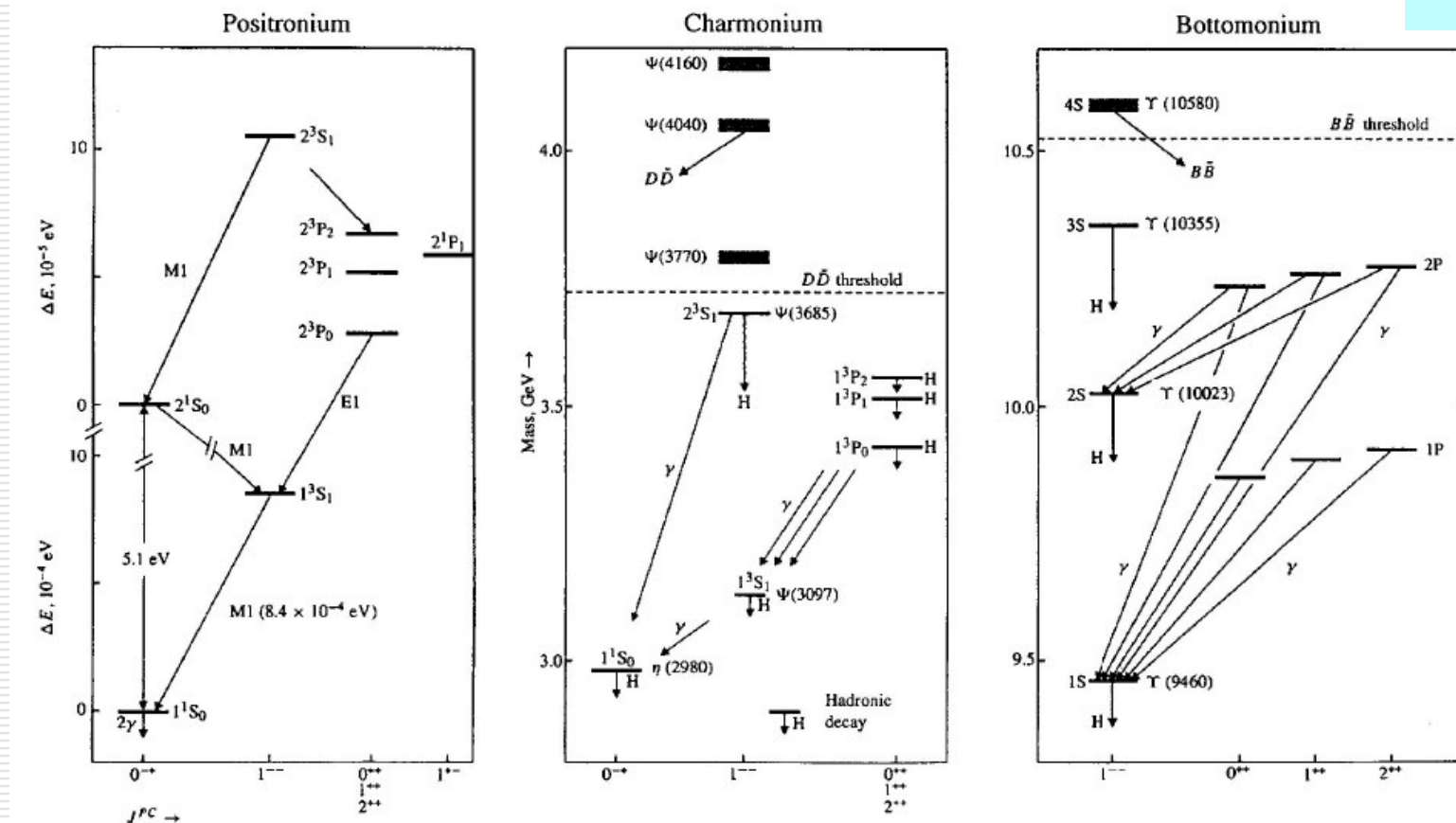
After *some* algebra...

$$\Gamma_{pos}^{3\gamma} = \frac{4}{9\pi} (\pi^2 - 9) \frac{\alpha^3}{m_e^2} |\psi(0)|^2 = \frac{2}{9\pi} (\pi^2 - 9) m_e \alpha^6$$

Quarkonium

Family portrait of *-onia*:

@TBA



1. *Journal of the American Medical Association*, 2000; 283: 2689-2696.



Quarkonium: Schrodinger Equation

Reminder: Bohr radius

$$R = \frac{n^2}{\alpha m} \rightarrow R_0 = \frac{1}{\alpha m}$$

Consequence of Coulomb potential, static limit of 1 photon diagram

If 1 gluon exchange approximation can be granted, expect for quarkonium

$$R_{q\bar{q}} \sim \frac{1}{\alpha_s m}$$

Observe: m large $\rightarrow R$ small $\rightarrow \alpha_s$ small QCD OK

Must keep in mind the $q\bar{q}$ potential is confining

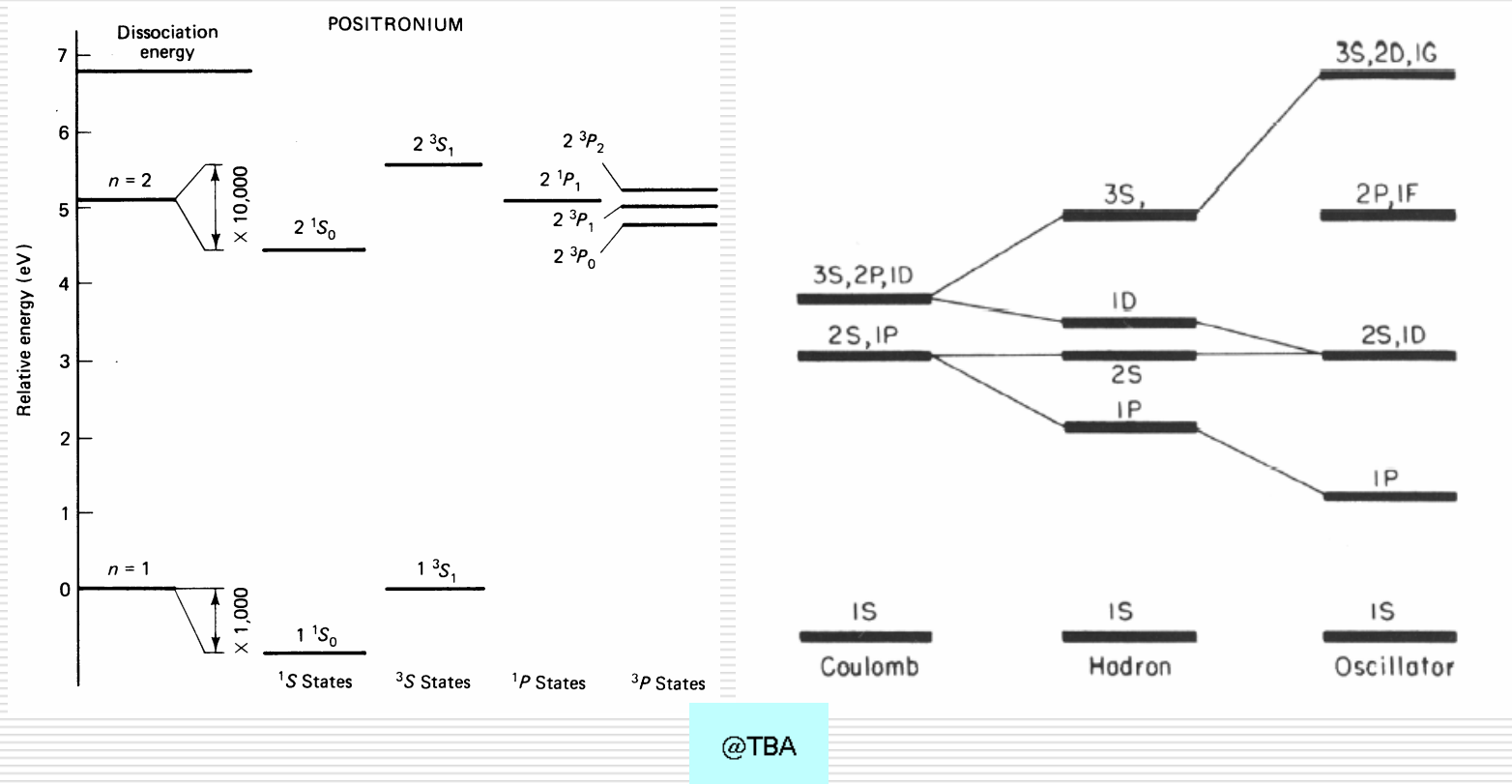
Add a phenomenological, confining term like this:

$$V(r) = -\frac{4}{3} \frac{\alpha_s}{r} + ar$$

Solve Schrodinger equation with these terms

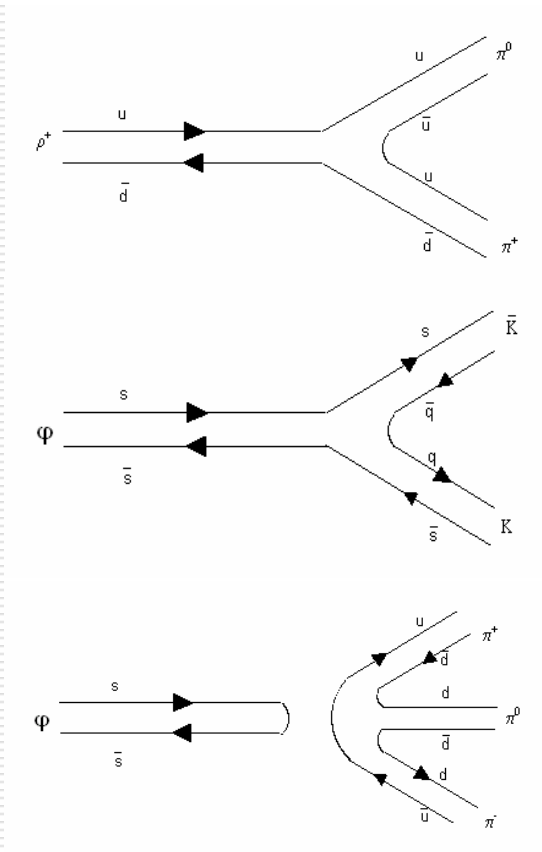
Add more terms to take into account relativistic & color-hyperfine effects

The $q\bar{q}$ Effective Potential: Levels



Quark Flow Diagrams: The OZI Rule

Okubo-Zweig-Iizuka Rule: *Disconnected diagrams are suppressed*

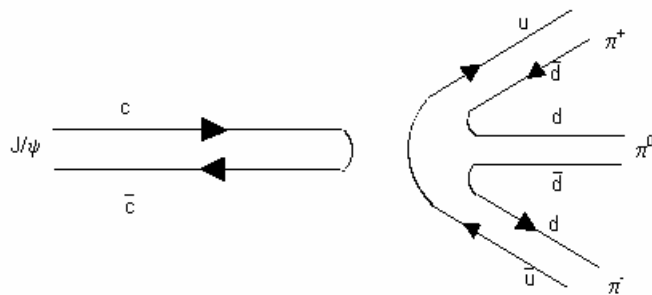
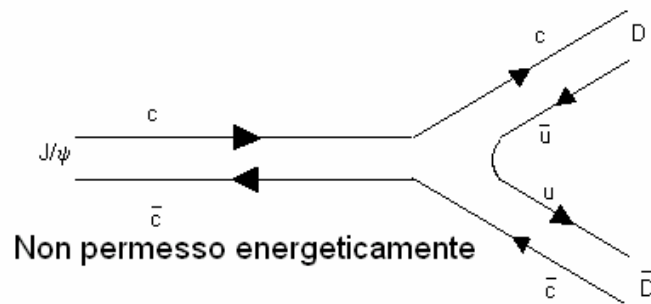


This diagram is connected

This diagram is connected: *BR 83 %*
(with smallish phase space)

This diagram is disconnected: *BR 15 %*
(with much larger phase space)

The OZI Rule and Charmonium



Compare mass and width

$$m_{J/\psi} = 3097 \text{ MeV}, \Gamma_{J/\psi} = 80 \text{ keV} \quad J^{PC} = 1^{--}$$

$$m_{\psi'} = 3686 \text{ MeV}, \Gamma_{\psi'} = 250 \text{ keV} \quad J^{PC} = 1^{--}$$

Explaining the small width:

$$m_{D^0} = 1865 \text{ MeV} \rightarrow 2 \times m_{D^0} = 3730 \text{ MeV} > m_{J/\psi}, m_{\psi'}$$

Therefore $J/\psi, \psi'$ decay to open charm is energetically forbidden

→ Decay diagrams are disconnected

→ OZI rule: Decay is suppressed

→ States are very narrow

The Origin of the OZI Rule

As a general rule

$\rightarrow A \propto \alpha_s^n$ n = number of gluons

Connected diagrams: Small number of soft gluons $\rightarrow A$ = large

Disconnected diagrams: Large number of hard gluons $\rightarrow A$ = small

Indeed:

- 1) Single gluon annihilation is forbidden for mesons by color conservation (meson = **1**, gluon = **8**)
- 2) Annihilation of massive quarks yields hard gluons $\rightarrow \alpha_s$ is small
- 3) Connected diagrams involve softer gluons $\rightarrow \alpha_s$ is large

Quarkonium: 2,3 Gluons

Consider quarkonium annihilation into gluons:

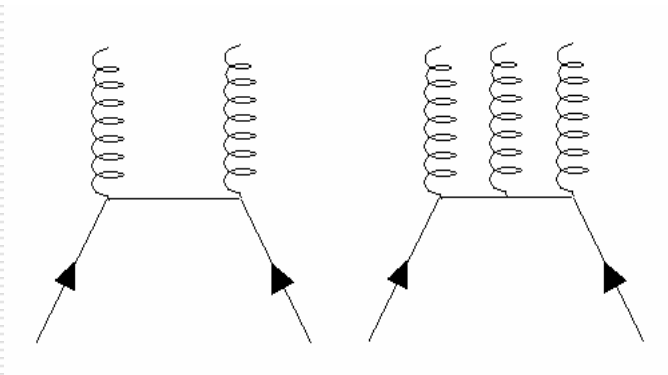
$q\bar{q} \rightarrow g$ Excluded: $(q\bar{q})_1 \not\rightarrow (1g)_8$

$q\bar{q} \rightarrow gg$ Allowed

$q\bar{q} \rightarrow ggg$ Allowed

Decompose the direct product of 2 octets:

$$8 \otimes 8 = 1 \oplus 8 \oplus 8 \oplus 10 \oplus 10^* \oplus 27$$



Charge Parity:

$$J^{PC} = 0^{-+} \rightarrow C = +1 \rightarrow 2g \text{ OK}$$

$$J^{PC} = 1^{--} \rightarrow C = -1 \rightarrow 3g \text{ OK}$$

Perturbative regime: $A(2g) > A(3g)$
 \rightarrow Pseudoscalars wider than vectors

Quarkonium Annihilations

By comparison with positronium:

$$(e^+e^-)_{\text{positronium}} \rightarrow \gamma\gamma$$

$$\Gamma[(e^+e^-) \rightarrow \gamma\gamma] = \frac{\alpha^2}{m^2} |\psi(0)|^2$$

$$(c\bar{c})_{\text{charmonium}} \rightarrow \gamma\gamma$$

$$\left\{ \begin{array}{l} e \rightarrow \frac{2}{3}e \rightarrow \alpha \rightarrow \frac{4}{9}\alpha \text{ Quark charge} \\ \times 9 \text{ Sum amplitude over colors} \end{array} \right.$$

$$\Gamma[(c\bar{c}) \rightarrow \gamma\gamma] = \frac{48\alpha^2}{27m_c^2} |\psi_{c\bar{c}}(0)|^2$$

$$(c\bar{c})_{\text{charmonium}} \rightarrow gg$$

$$\text{Color factor} = \frac{9}{8} \quad \text{From SU(3) algebra: } 2 \text{ } g \text{ in a color singlet state}$$

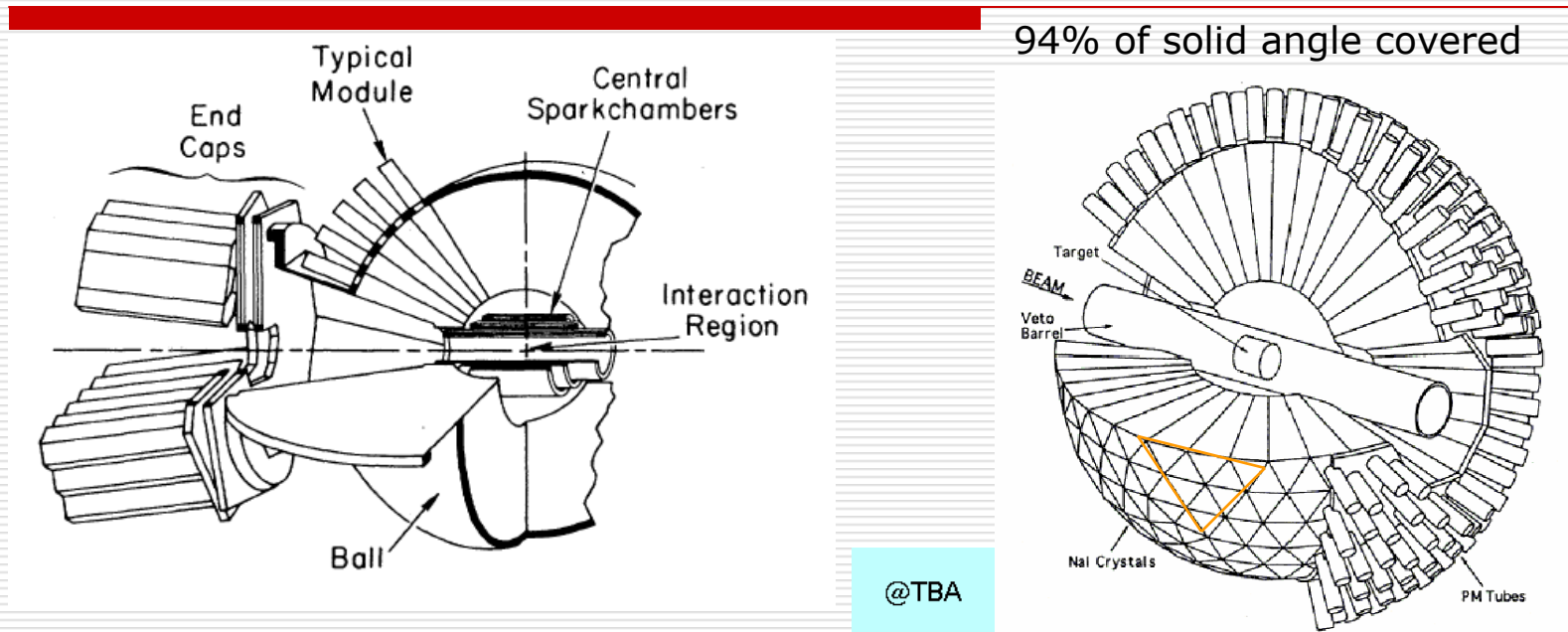
$$\Gamma[(c\bar{c}) \rightarrow gg] = \frac{2\alpha_s^2}{3m_c^2} |\psi_{c\bar{c}}(0)|^2$$

But remember:

Positronium rate was obtained by taking the low speed limit of scattering amplitude to 1-photon approx

Is it granted for $c\bar{c}$?

Crystal Ball - I



Sodium Iodide

$\text{NaI}(\text{Tl})$: Inorganic scintillating crystal; Tl is an activator

Merits:

Can grow large crystals

Lots of light

Crystal Ball - II

672 optically isolated NaI(Tl) crystals, 15.7 radiation lengths thick
Inner radius 25.3 cm; Outer radius 66.0 cm

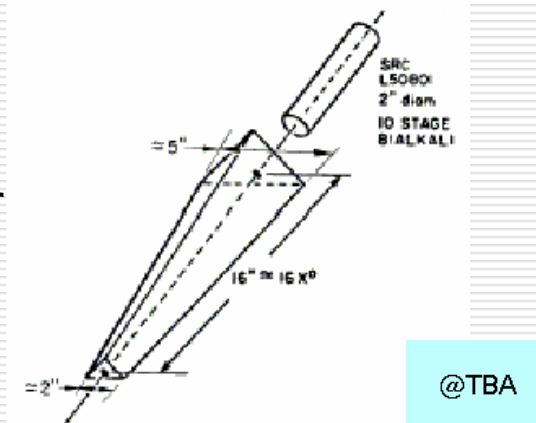
CB geometry: Based on icosahedron.

Each of the 20 triangular faces (major triangles) is divided into four minor triangles, each consisting of nine separated crystals.

Each crystal: Truncated triangular pyramid, 40.6 cm high, pointing towards the center of the Ball.

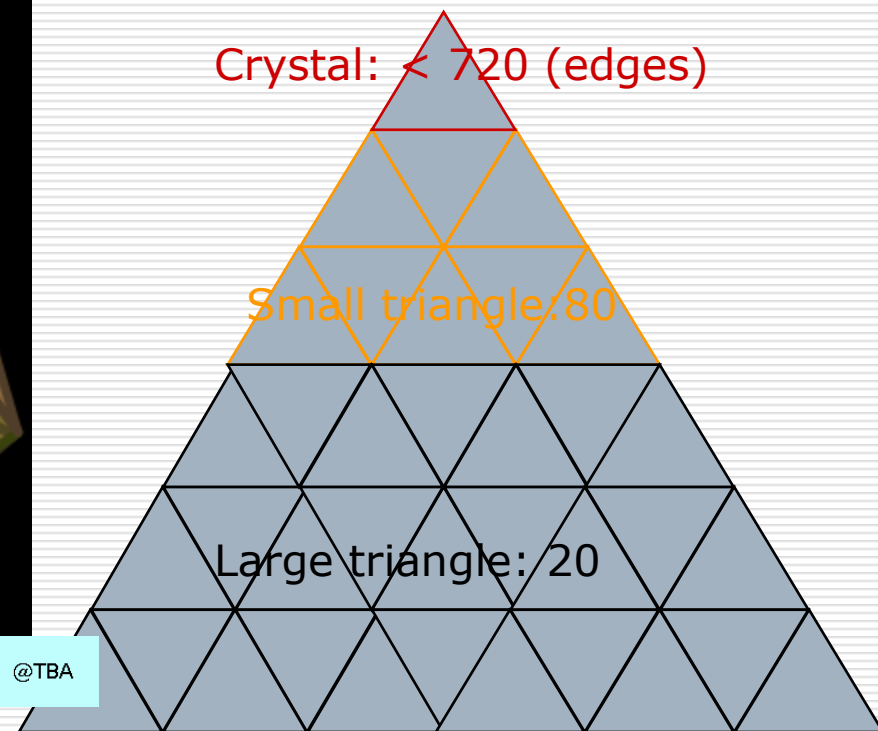
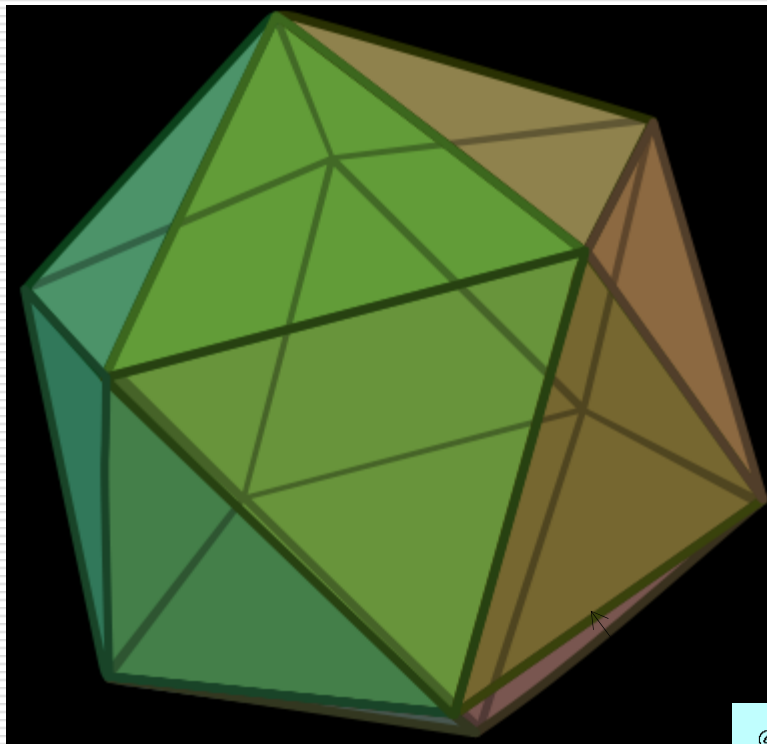
Side on the inner end: 5.1 cm ; Side on the outer end: 12.7 cm

Crystal & Photomultiplier



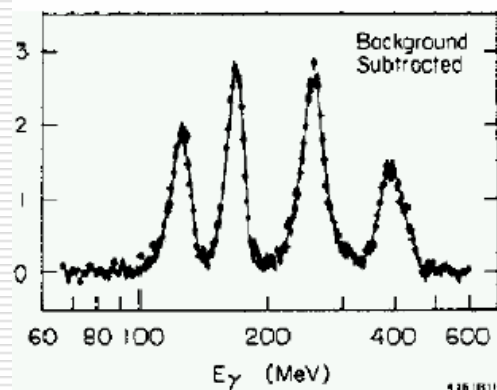
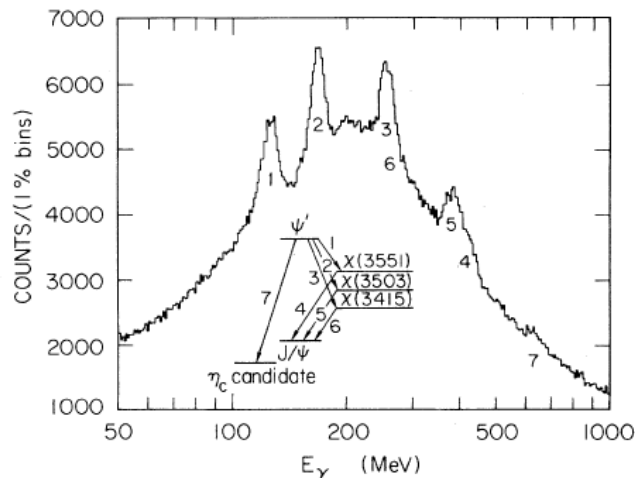
Crystal Ball - III

Icosahedron magic: Platonic solid, 20 equilateral triangle faces

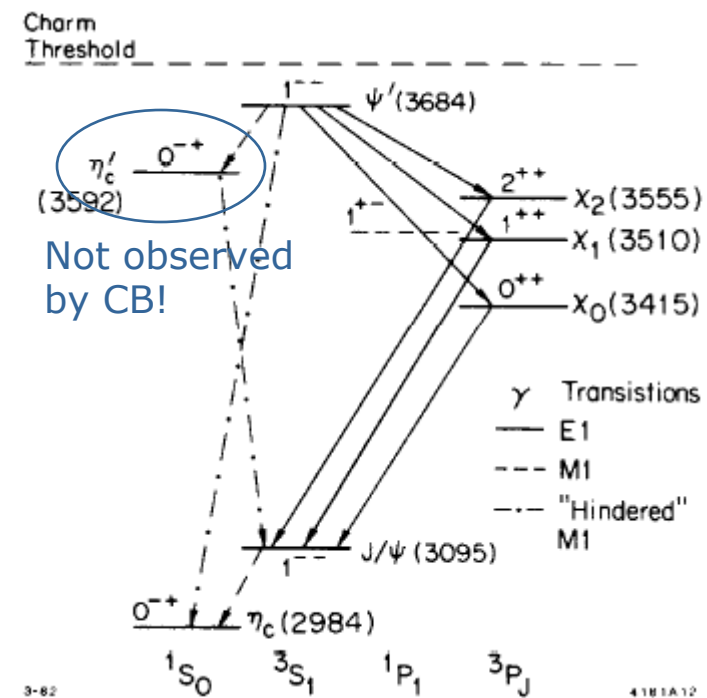


Crystal Ball - IV

Inclusive photon spectrum



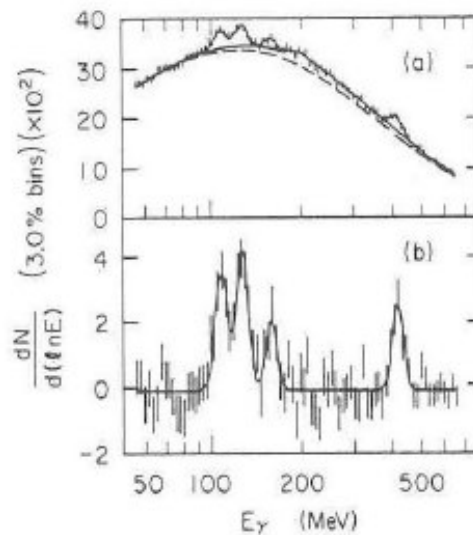
Most important results, among many:
Tune beam energy as to form $\psi'(3686)$
Observe decays into photon + X



@TBA

Crystal Ball - V

After moving the CB detector to DORIS (DESY, Hamburg): Bottomonium!
Observation of the P-wave triplets



@TBA

Figure 11.2: The photon spectrum from Υ' decays obtained by the Crystal Ball Collaboration at DORIS II. A triplet of lines corresponding to $\Upsilon' \rightarrow \gamma \chi_b(^3P_{2,1,0})$ is seen between 100 and 200 MeV. The decays $\chi_b \rightarrow \gamma \Upsilon$ produce the unresolved signal between 400 and 500 MeV [R. Nernst *et al.*, *Phys. Rev. Lett.* **54**, 2195 (1985)].

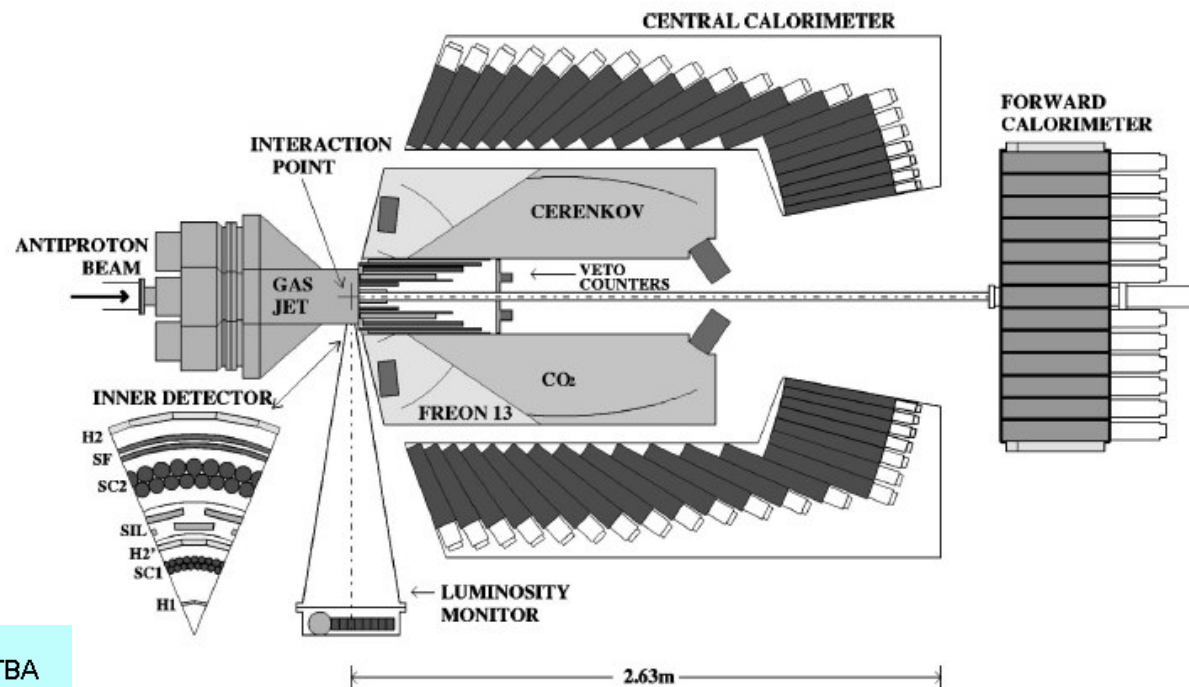
Another Side of Charmonium - I

$$p + \bar{p} \rightarrow \underbrace{c\bar{c}}_{\text{Charmonium}} \rightarrow \text{Electromagnetic decay}$$

Circulating \bar{p} Beam:
Excellent E resolution

Gas jet target:
Reduced E loss

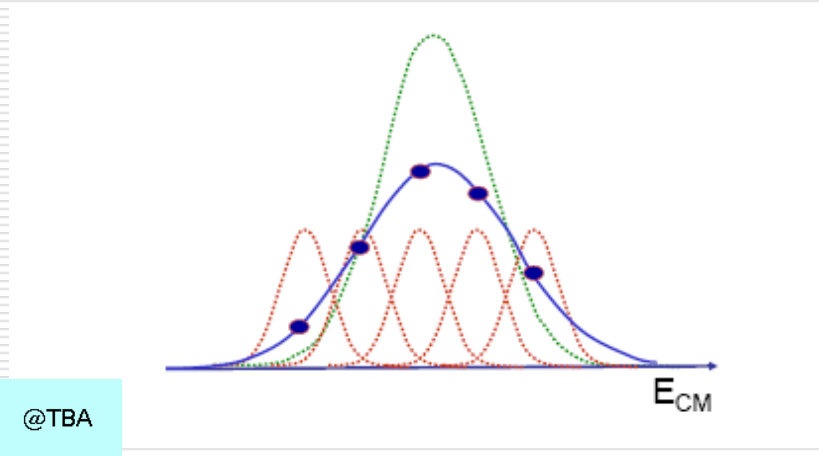
Non magnetic detector:
EM Calorimeter, Tracking,
Cerenkov



@TBA

Another Side of Charmonium - II

Concept of resonance scan: A fixed target, formation experiment
Move the beam energy in small steps across the energy range of a given resonant state
Measure the decay rate of the state at each step



Rate

Resonance profile

Typical width $\Gamma < 1 \text{ MeV}$ for $c\bar{c}$

Beam profile

Typical resolution $\sigma(E_{CM}) \sim 0.2 \text{ MeV}$

Get resonance mass, width, coupling by deconvolving the beam profile from the observed rate

Another Side of Charmonium - III

Electrons: *Cerenkov + Calorimeter + Tracking*
 → Very low background to $e^+ e^-$

$$\psi' \rightarrow J/\psi + X$$

$$\searrow e^+ e^-$$

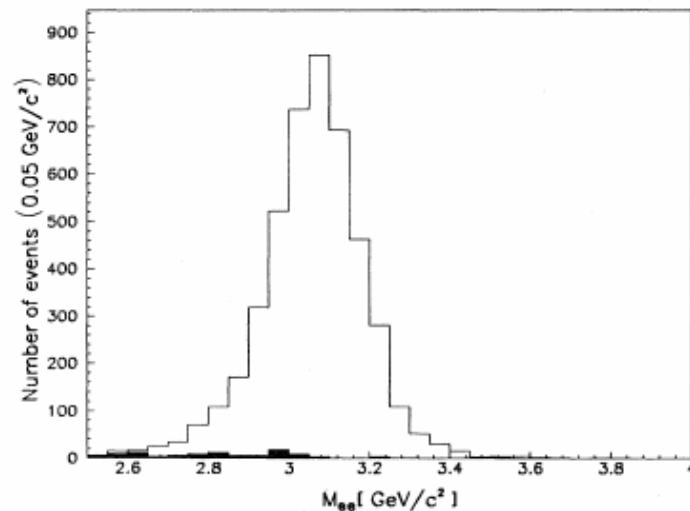


FIG. 5. Invariant mass distribution of electron pairs for the 1991 J/ψ scan (open area) and for the off-resonance background normalized to the same luminosity (shaded area). For this figure only the level of background has been multiplied by a factor of 10 to make it discernible.

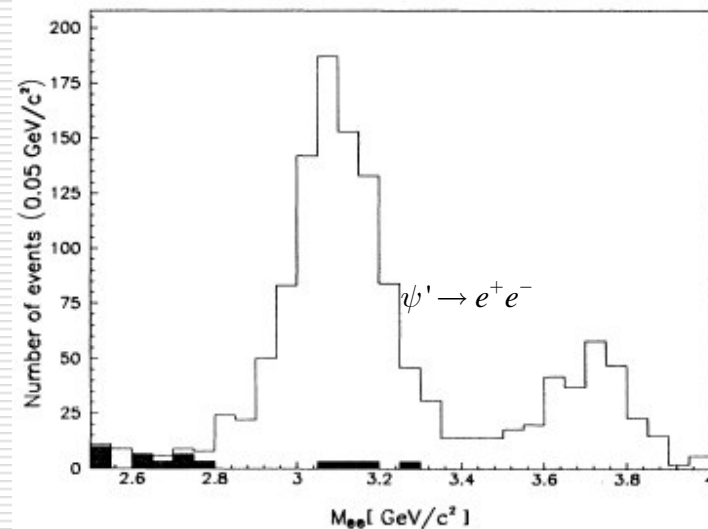


FIG. 6. Invariant mass distribution of electron pairs for the 1991 ψ' scan (open area) and for the off-resonance background normalized to the same luminosity (shaded area).

@TBA

$M_{e^+e^-}$ from scan across J/ψ

$M_{e^+e^-}$ from scan across ψ'

Another Side of Charmonium - IV

A few results..

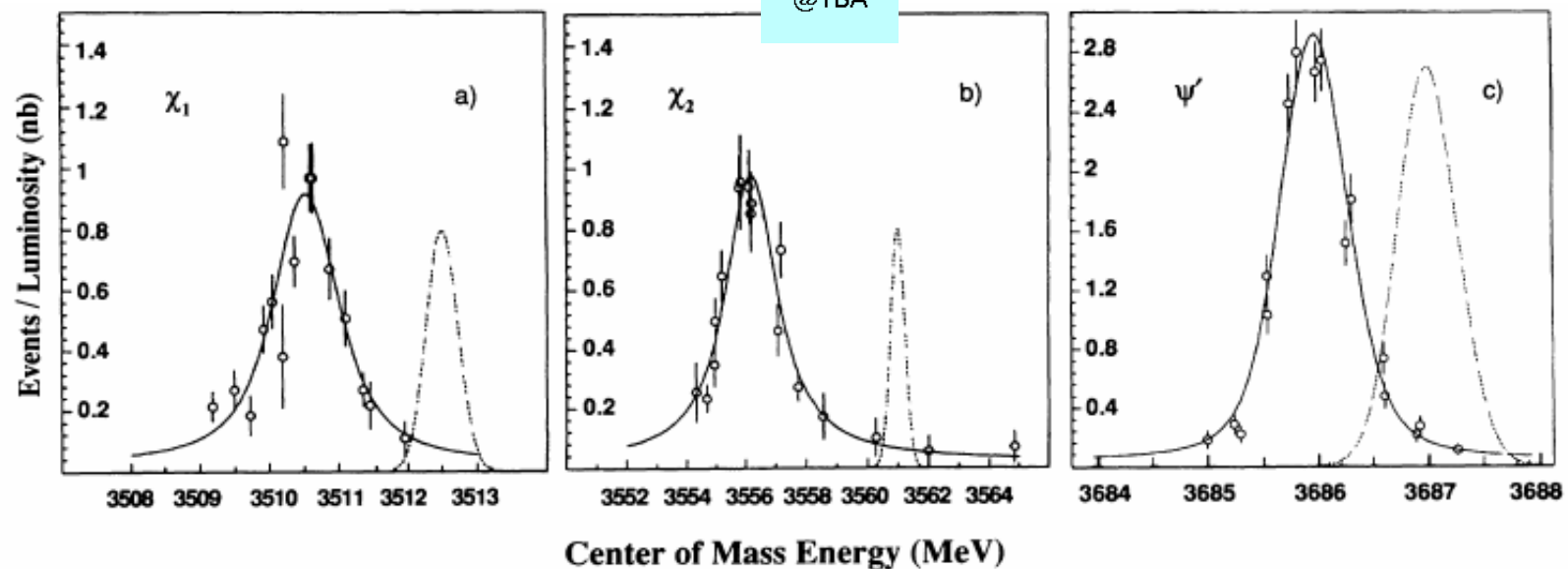


FIG. 3. Events per unit luminosity for the energy scan at (a) the χ_{c1} , (b) the χ_{c2} , and (c) the ψ' . The solid line represents the best fit with the data. The dashed line shows a typical resolution in the center-of-mass energy (arbitrary vertical units).

Quarkonia on PDG

Hidden Charm

$c\bar{c}$	
• $\eta_c(1S)$	$0^+(0^-+)$
• $J/\psi(1S)$	$0^-(1^--)$
• $\chi_{c0}(1P)$	$0^+(0^{++})$
• $\chi_{c1}(1P)$	$0^+(1^{++})$
• $h_c(1P)$	$?^2(?^{??})$
• $\chi_{c2}(1P)$	$0^+(2^{++})$
• $\eta_c(2S)$	$0^+(0^-+)$
• $\psi(2S)$	$0^-(1^--)$
• $\psi(3770)$	$0^-(1^--)$
• $X(3872)$	$0^2(?^2+)$
• $\chi_{c2}(2P)$	$0^+(2^{++})$
• $Y(3940)$	$?^2(?^{??})$
• $\psi(4040)$	$0^-(1^--)$
• $\psi(4160)$	$0^-(1^--)$
• $Y(4260)$	$?^2(1^--)$
• $\psi(4415)$	$0^-(1^--)$

Hidden Bottom

$b\bar{b}$	
$\eta_b(1S)$	$0^+(0^-+)$
• $\Upsilon(1S)$	$0^-(1^--)$
• $\chi_{b0}(1P)$	$0^+(0^{++})$
• $\chi_{b1}(1P)$	$0^+(1^{++})$
• $\chi_{b2}(1P)$	$0^+(2^{++})$
• $\Upsilon(2S)$	$0^-(1^--)$
• $\Upsilon(1D)$	$0^-(2^--)$
• $\chi_{b0}(2P)$	$0^+(0^{++})$
• $\chi_{b1}(2P)$	$0^+(1^{++})$
• $\chi_{b2}(2P)$	$0^+(2^{++})$
• $\Upsilon(3S)$	$0^-(1^--)$
• $\Upsilon(4S)$	$0^-(1^--)$
• $\Upsilon(10860)$	$0^-(1^--)$
• $\Upsilon(11020)$	$0^-(1^--)$

@TBA

Non perturbative QCD

Needed to deal with *bound states* and *soft interaction* regime

Very difficult problem

Different approaches available:

Lattice QCD

Chiral perturbation theory

NRQCD

Heavy quark effective theory

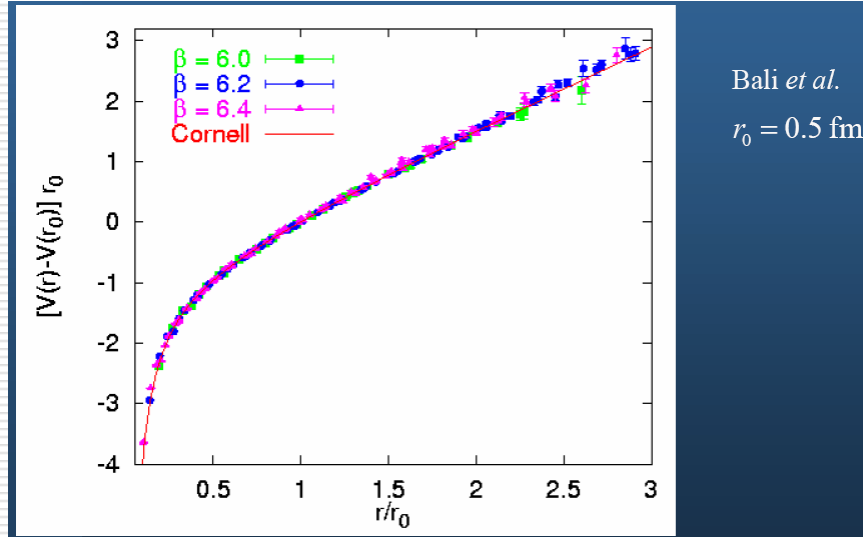
...

Deep waters, not even surfed in this course

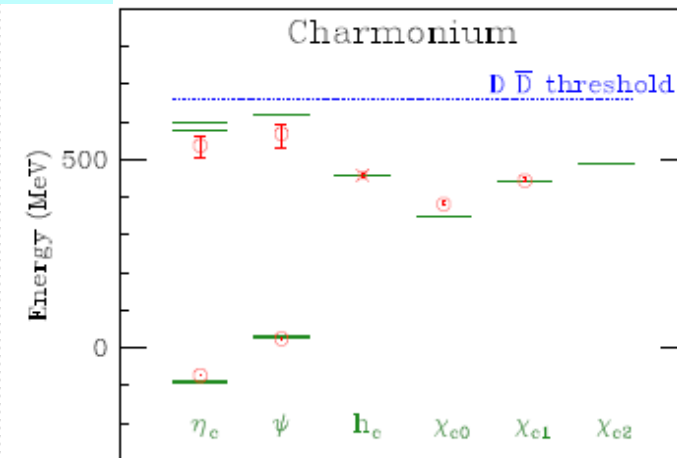
Lattice QCD

Perform QCD calculations over a discretized space-time (lattice)

$q\bar{q}$ potential from lattice



@TBA



$$V(r) = -\frac{4}{3} \frac{\alpha_s}{r} + ar \quad : \quad \text{Not a bad idea after all...}$$

Example:
Charmonium levels from lattice