

# Elementary Particles I

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## 5 – QCD

Color, Gauge Fields, Gluons, Asymptotic Freedom,  
Quarkonium

# Hadrons: Re-Examining the Evidence

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Experiments probing the EM structure, like DIS and similar:

Scaling of the structure functions

Evidence for point-like constituents, funny behavior:

*Like free particles when interacting with EM currents at high  $Q^2$*

*Never observed outside hadrons → Tightly bound?*

Experiments probing the strong interaction:

Large particle zoo

Evidence for highly symmetrical grouping and ordering

*Strong suggestion of a substructure: Quarks*

*Funny, ad-hoc rules driving the observed symmetry*

# Can We Believe in Constituents?

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Besides the general, puzzling behavior observed, finally identify a number of serious problems, cast into a few, quantitative issues:

*Baryons and the Pauli Principle*

*The R Ratio*

*The  $\pi^0$  Decay Rate*

*The  $\tau$  Lepton Branching Ratios*

From all these questions, and others, a common conclusion:

*Our picture of the quark model is not complete*

# The Pauli Principle

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Quark model:

Besides its many, remarkable successes, a central point is at issue:

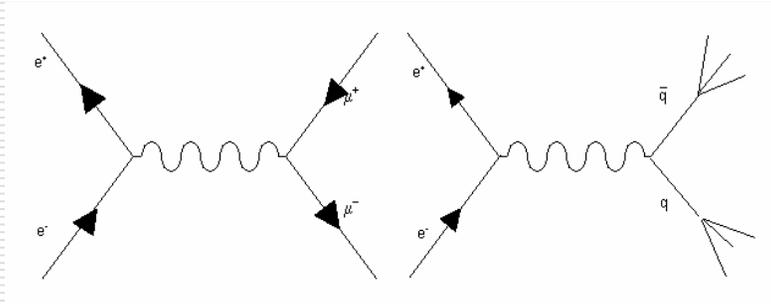
*The baryon wave function  
(space  $\times$  spin  $\times$  flavor)  
is symmetric*

Pauli Principle seems to be lost, which is very bad news:

The Spin-Statistics Theorem is a consequence of very general principles of relativistic QFT, not easily dismissed

# The $R$ Ratio - I

Assume the process  $e^+e^- \rightarrow \text{hadrons}$  to proceed at the lowest order through  $e^+e^- \rightarrow q\bar{q} \rightarrow \text{hadrons}$



As for DIS:  
Don't care about quark *hadronization*,  
assume the time scales for hard and soft  
sub-processes to be wildly different

$$\sigma(e^+e^- \rightarrow \mu^+\mu^-) = \frac{4\pi\alpha^2}{3s}$$

$$\sigma(e^+e^- \rightarrow q\bar{q}) = \frac{4\pi\alpha^2 Q_q^2}{3s}, \quad Q_q = \text{quark charge in } e \text{ units}$$

$$R(E_{CM}) = \frac{\sigma(e^+e^- \rightarrow \text{adroni})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = \frac{\sum_q \sigma(e^+e^- \rightarrow q\bar{q})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = \sum_q Q_q^2$$

$R$  counts the number of different quark species created at any given  $E_{CM}$

# The $R$ Ratio - II

Expect:

$$u, d \rightarrow R = \left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 = \frac{5}{9}$$

*Low energy*

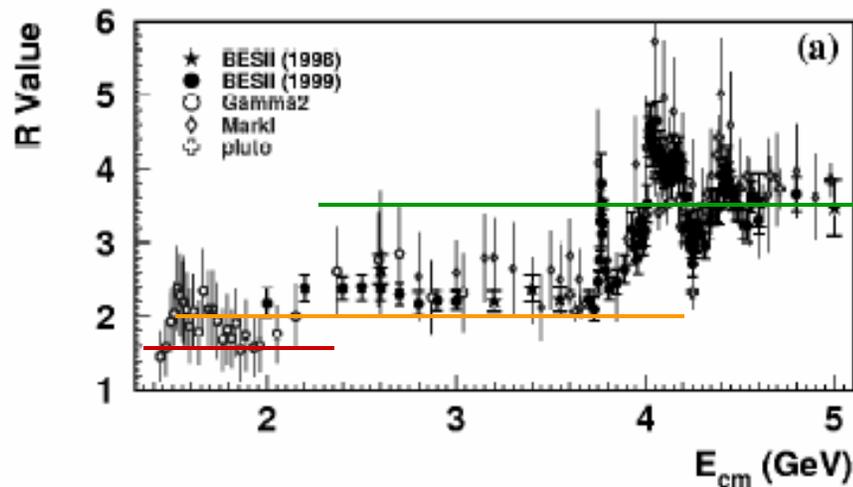
$$u, d, s \rightarrow R = \left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 = \frac{6}{9}$$

$E > 1-1.5 \text{ GeV}$

$$u, d, s, c \rightarrow R = \left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(\frac{2}{3}\right)^2 = \frac{10}{9}$$

$E > 3 \text{ GeV}$

@TBA



By taking 3 quark species of any flavor:

$$u, d \rightarrow R = \frac{15}{9}$$

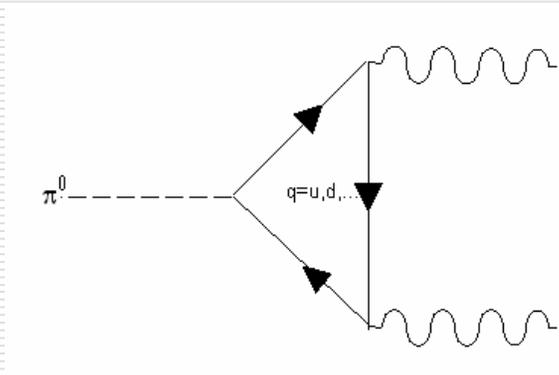
$$u, d, s \rightarrow R = \frac{18}{9}$$

$$u, d, s, c \rightarrow R = \frac{30}{9}$$

# The $\pi^0$ Decay Rate

Difficult subject: Strong interaction effects are *large*

Basic diagram



Originally calculated by taking  $p, \bar{p}$  in the triangle loop (Steinberger 1949)

$$J_{(A)}^\mu \approx e \sum_{i=u,d} \bar{\psi}_i \gamma^\mu \gamma^5 \tau_3^i \psi_i \quad \text{Axial loop current matching } \pi^0 \text{ - parity}$$

$$\sum_{i=u,d} \tau_3^i Q_i^2 = 1 \cdot \left(\frac{2}{3}\right)^2 - 1 \cdot \left(-\frac{1}{3}\right)^2 = \frac{4}{9} - \frac{1}{9} = \frac{1}{3}$$

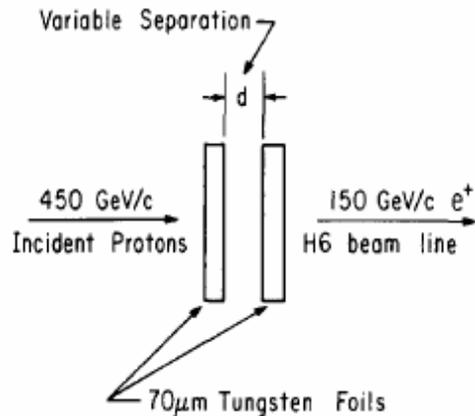
$$\Gamma_{quark}(\pi^0 \rightarrow \gamma\gamma) = \frac{\alpha^2}{64\pi^3} \frac{m_\pi^3}{f_\pi^2} \sum_i g_A^{(i)} e_i^2 = \frac{1}{9} \Gamma_{proton}(\pi^0 \rightarrow \gamma\gamma)$$

A whole *lot* of physics in this problem:

Simple guess on approximate symmetry of the initial state would lead to conclude that *the neutral pion is stable!*

Explanation of this paradox led to discovery of the first *anomaly* in QFT (Adler, Bell, Jackiw): Advanced topic, quite relevant to the Standard Model

# The $\pi^0$ Lifetime: Direct Method

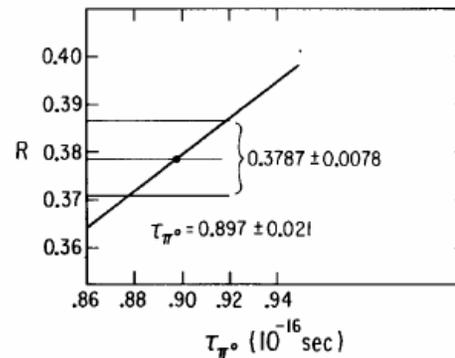
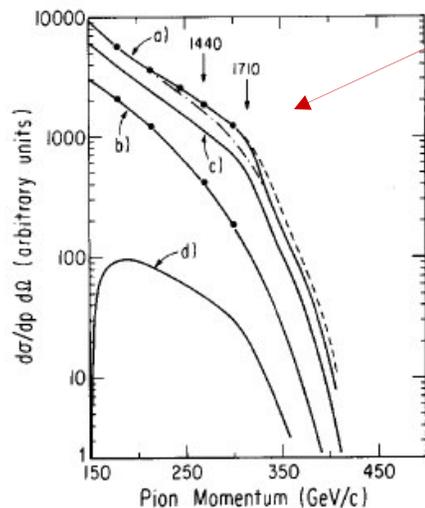


$\pi^0$  produced in a first thin foil, when not decayed do not contribute to  $e^+$  yield from  $\gamma$  conversion in a second thin foil

$$Y(d) = N \{ A + B [1 - \exp(-d/\lambda)] \}$$

$$\lambda = \beta \gamma c \tau \simeq \gamma c \tau \quad \text{Energy dependent}$$

Use known energy spectra for pions

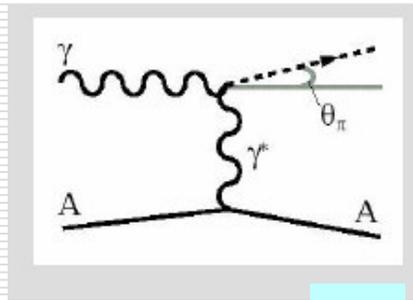


$$\tau = 0.897 \pm 0.021 \cdot 10^{-16} \text{ s}$$

$$\Gamma = 7.34 \pm 0.18 \pm 0.11 \text{ eV}$$

@TBA

# The $\pi^0$ Lifetime: Primakoff Effect



@TBA

Very simple idea:

*Get a high energy photon beam + high Z target*

*Pick-up a virtual photon from the nuclear Coulomb field*

*2-photon coupling will (sometimes) create a  $\pi^0$*

$$\frac{d\sigma_{\text{Prim}}}{d\Omega_{\text{LAB}}} \approx \Gamma_{\pi^0 \rightarrow \gamma\gamma} Z^2 \frac{8\alpha E^4}{m_{\pi^0}^2} \frac{|F(q^2)|^2}{q^4} \sin^2 \theta_{\pi^0}$$

$\Gamma = 1/\tau$  can be extracted by measuring the differential cross-section  
Nuclear form factor is required

Strongly forward peaked

Quickly increasing with energy

Strongly Z dependent: Coherence

# A Recent Experiment

## PrimEx at Jefferson Lab (Virginia)

**PrimEx Setup Hall B**

Labels in diagram: radiator, Photon Tagger, Superharp Exp. Target, Sweep Dipole, Pair Spectr., HYCAL with Veto Sc.

Parameters:

- $N_\gamma = 5\text{MHz}$   
 $0.85E_e < E_\gamma < 0.95E_e$
- $I = 100\text{nA}$   
 $E_e = 5.75\text{GeV}$

**Tagging Technique**

Diagram showing an electron ( $e$ ) interacting with a radiator to produce a photon ( $\gamma$ ) and a scattered electron ( $e'$ ). The photon is detected by a TAC (Tagging Acceptance Counter) with  $N_\gamma$  counts. The scattered electron is detected by a Tagger with  $N_e$  counts.

Equation:

$$N_\gamma^{\text{tag}} = N_e^{\text{tag}} \cdot \epsilon_{\text{tag}}$$

Definitions:

- $N_\gamma^{\text{tag}}$  : Number of Tagged Photon
- $N_e^{\text{tag}}$  : Number of Tagged Electron
- $\epsilon_{\text{tag}}$  : Tagging Efficiency

Additional equations:

- $N_\gamma = N_{e'} \cdot \epsilon_{\text{tag}}$
- $E_\gamma = E_e - E_{e'}$   
( $\Delta E_\gamma / E_\gamma \sim 10^{-3}$ )

Graph: Tagging Efficiency vs. Tagger T-counter Channel. The efficiency increases from 0.65 to 1.0 as the channel number increases from 0 to 40. The current is  $I = 0.07\text{nA}$ . The energy range is  $E_\gamma = 0.95E_e$  to  $0.55E_e$ .

@TBA

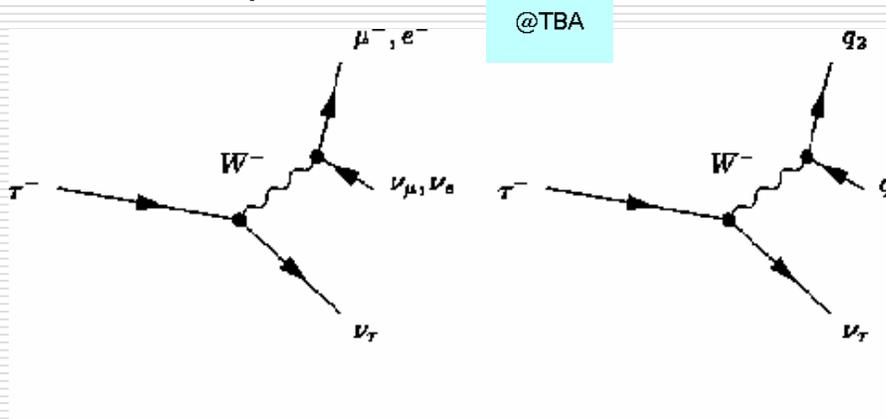
Results expected soon...

# The $\tau$ Lepton Decays

$\tau$ : Heavy brother of  $e$  and  $\mu$

$m_\tau = 1776$  MeV

Weak decays:



$q, \bar{q} : u, d, s$

$BR(e) \sim 18\%$

$BR(\mu) \sim 17\%$

$BR(q\bar{q}) \sim 65\%$

In the absence of color, weak interaction universality would lead to predict:

$BR(e) \sim BR(\mu) \sim BR(q\bar{q}) \sim 33\%$

With color:

$$\Gamma(q\bar{q}) \sim 3 \Gamma(l\bar{l}) \rightarrow BR(q\bar{q}) \sim \frac{3 \Gamma(l\bar{l})}{3 \Gamma(l\bar{l}) + 2 \Gamma(l\bar{l})} \sim 60\% \quad \text{OK}$$

# Color

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New hypothesis:

*There is a new degree of freedom for quarks  
Call it color*

Each quark can be found in one of 3 different states

Internal space (mathematically identical to flavor):

States = 3-component complex vectors

Base states:

$$R(ed) = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, G(reen) = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, B(lue) = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

Needless to say, nothing to do with our old, beloved color concept  
(nature, art, politics..):

Just a *name* for another, non-classical property of hadron constituents

# Benefits from the Color Hypothesis

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Provided one can build a color wave function for 3 fermions which is antisymmetric, the Fermi statistics problem is solved  
Total wave function of a baryon

$$\psi = \psi_{color} \underbrace{\psi_{orbital} \psi_{spin} \psi_{flavor}}_{\text{Symmetric}} \rightarrow \psi_{color} : \textit{Antisymmetric}$$

To account for 3 different color states, the  $R$  ratio must be multiplied by 3  $\rightarrow$  OK with experimental data

Just the same conclusion for hadronic  $\tau$  decays: Multiply rate by 3  
The correct  $\pi^0$  rate is obtained by inserting a factor 9

Observe:

When computing  $R$ ,  $\tau$  decay rates we add the *rates* for different colors  
 $\rightarrow$  Factor  $\times 3$

*We deal with quarks as with real particles: Ignore fragmentation*

When computing  $\pi^0$  decay rate, we add the *amplitudes*  
 $\rightarrow$  Factor  $\times 9$

*Quarks are virtual particles: Amplitudes interfere*

# Color as a Quantum Number

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Must be possible to build hadron states as color *singlets*  
Do not expect hadrons to fill larger irr.reps.: Would imply large degeneracies for hadron states, not observed  
In other words:

*Color is fine, but we do not observe any colored hadron*

How colored hadrons would show up? Just as an example:  
Should the nucleon fill the  $\mathbf{3}$  of  $SU(3)_c$  there would be 3 different species of protons and neutrons. Then each nucleon level in any nucleus could accommodate 3 particles instead of one: The nuclear level scheme would be far different from the observed one

Therefore we assume the color charge is *confined*: Never observed directly, as it is the electric charge

Why? For the moment, nobody really knows

# The Color Group: $SU(3)_C$

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Guess  $SU(3)$  as the color group

Take the two fundamental decompositions:

$$\mathbf{3} \otimes \mathbf{3} \otimes \mathbf{3} = \mathbf{1} \oplus \mathbf{8} \oplus \mathbf{8} \oplus \mathbf{10} \quad \text{Baryons}$$

$$\mathbf{3} \otimes \mathbf{3}^* = \mathbf{1} \oplus \mathbf{8} \quad \text{Mesons}$$

Both feature a singlet in the direct sum: OK

No singlets in  $\mathbf{3} \otimes \mathbf{3}$ : OK

Can't say the same for other groups...

Take  $SU(2)$  as an example:

Say the quarks live in the adjoint  $SU(2)$  representation,  $\mathbf{3}$

Then for  $qq$  :

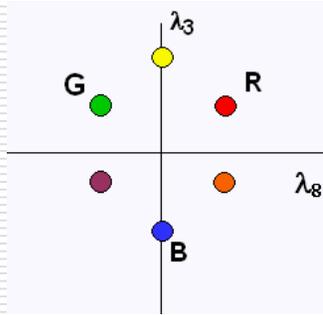
$$\mathbf{3} \otimes \mathbf{3} = \mathbf{1} \oplus \mathbf{3} \oplus \mathbf{5}$$

Observe: This is  $\mathbf{3}$  of  $SU(2)$ , which is quite different from  $\mathbf{3}$  of  $SU(3)$

Diquarks can be in color singlet

→Should find diquarks as commonly as baryons or mesons..

# The Color of Quarks



$$I_3^c = \frac{\lambda_3}{2}, \quad Y^c = \frac{\lambda_8}{\sqrt{3}}$$

	$I_3^c$	$Y^c$		$I_3^c$	$Y^c$
$R$	$+1/2$	$+1/3$	$\bar{R}$	$-1/2$	$-1/3$
$G$	$-1/2$	$+1/3$	$\bar{G}$	$+1/2$	$-1/3$
$B$	$0$	$-2/3$	$\bar{B}$	$0$	$+2/3$

$SU(3)_C$  is an *exact symmetry*:

$$m_u^{(R)} = m_u^{(G)} = m_u^{(B)}, \dots$$

*Beware:*  $SU(3)_C$  has nothing to do with  $SU(3)_F$ :  
 Quark quantum numbers are independent  
 from their color state  
 They are left unchanged by QCD transitions

# The Color of Hadrons

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According to our fundamental hypothesis:

$$\text{Mesons: } 3 \otimes 3^* = 1 \oplus 8$$

$$\rightarrow \psi_c = \frac{1}{\sqrt{3}} (R\bar{R} + G\bar{G} + B\bar{B})$$

Pick singlet

$$\text{Baryons: } 3 \otimes 3 \otimes 3 = 1 \oplus 8 \oplus 8 \oplus 10$$

$$\rightarrow \psi_c = \frac{1}{\sqrt{6}} (RGB - RBG + GBR - GRB + BRG - BGR)$$

Mesons: *No particular exchange symmetry (2 non identical particles)*

However, by properly extending the Pauli principle to include particle-antiparticle pairs, singlet can be shown to be antisymmetrical (or so they say...)

Baryons: *Fully antisymmetrical color wave function (3 identical particles)*

# Extending the Color Hypothesis: *QCD*

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Color: A new degree of freedom for quarks  
Compare to other quantum numbers:

Baryonic/Leptonic numbers, Flavor  
Conserved, *not originating interactions*

Electric charge  
Conserved, *origin of the electromagnetic field*

A deep question:

*What is the true origin of the electromagnetic interaction?*

We have used freely the interaction term  $j^\mu A_\mu$ , only based on the classical analogy: Is there a deeper origin for it?

# QED as a Gauge Theory - I

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Symmetry: Absolute phase not defined for a wave function.  
Expect invariance as per our old acquaintance, Noether's Theorem

$$L_0 = \bar{\psi}(x)(i\gamma^\mu \partial_\mu - m)\psi(x) \quad \text{Free Dirac Lagrangian}$$

Global gauge (=Phase) transformation:

Just meaning:

Take *all* particle states; Re-phase each state proportionally to its charge

$$G: \psi(x) \rightarrow \psi'(x) = U_\theta \psi(x) = e^{-iq\theta} \psi(x) \quad q\theta: \text{New phase} \propto \text{Charge}$$

$\rightarrow L_0$  invariant wrt  $G \rightarrow$  Charge conservation

Generalize to local phase transformation:

$$G_L: \psi(x) \rightarrow \psi'(x) = U_\theta \psi(x) = e^{-iq\theta(x)} \psi(x) \quad \text{Local gauge transformation}$$

$\rightarrow L_0$  not invariant wrt  $G_L$ : Derivative term troublesome

$\rightarrow$  Local gauge invariance cannot hold in a world of free particles  
Symmetry requires interaction

# QED as a Gauge Theory - II

New transformation rule:

$$\begin{cases} \psi(x) \rightarrow \psi'(x) = U_\theta \psi(x) = e^{-iq\theta(x)} \psi(x) & \text{As before} \\ A_\mu(x) \rightarrow A_\mu(x) + q \partial_\mu \theta(x) & \text{New character in the comedy} \end{cases}$$

Equivalent to re-define derivative for  $\psi$ :

$$\partial_\mu \rightarrow \partial_\mu + iqA_\mu \quad \text{Vector field} \quad \boxed{\text{Experts say this has a } \textit{deep} \text{ geometrical meaning...}}$$

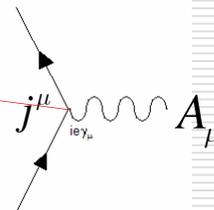
Add a new term to Lagrangian:

$$L_0 = \bar{\psi}(x)(i\gamma^\mu \partial_\mu - m)\psi(x) \rightarrow L_0 + L_i \quad \text{Sum is invariant}$$

$$L_i = -\underbrace{q\bar{\psi}(x)\gamma^\mu\psi(x)}_{j^\mu} A_\mu \quad \leftarrow \text{Interaction term}$$

...And another one:

$$-\frac{1}{4} F^{\mu\nu} F_{\mu\nu}, \quad F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu \quad \text{Field energy}$$



Reminder:

$F^{\mu\nu}$  is the EM field

$$F^{\mu\nu} = \begin{pmatrix} 0 & -E_x & -E_y & -E_z \\ E_x & 0 & -B_z & B_y \\ E_y & B_z & 0 & -B_x \\ E_z & -B_y & B_x & 0 \end{pmatrix}$$

Must be there because the field carries energy+momentum

# QED as a Gauge Theory - III

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(One) Reason to insist on local transformations:

*Global gauge changes would allow for non-local charge conservation:  
Then one would happily violate our beloved Principle of Relativity...*

Field must be massless to have  $L$  gauge invariant

Consider all the phase transformations as defined before:

$$\psi(x) \rightarrow \psi'(x) = U_\theta \psi(x) = e^{-iq\theta(x)} \psi(x)$$

The full set is a group:  $U(1)$  Unitary, 1-dimensional

$$e^{-iq\theta_1(x)} e^{-iq\theta_2(x)} \psi(x) = e^{-iq[\theta_1(x)+\theta_2(x)]} \in U(1)$$

1 parameter:  $\theta(x)$

Abelian:  $e^{-iq\theta_1(x)} e^{-iq\theta_2(x)} \psi(x) = e^{-iq\theta_2(x)} e^{-iq\theta_1(x)} \psi(x)$

$U(1)$  is the (Abelian) *gauge group* of QED  
Equivalent to  $SO(2)$ , group of 2D rotations

# QCD as a Gauge Theory - I

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Extend gauge transformations to a 3-component wave function:

$$\Psi \equiv \begin{pmatrix} \psi_R \\ \psi_G \\ \psi_B \end{pmatrix}$$

Global gauge transformation: Phase change for individual components  
→ Phase change will mix color components

$$G_L^C : \Psi(x) \rightarrow \Psi'(x) = \mathbf{U}_G \cdot \Psi(x) = e^{-ig\mathbf{M}} \cdot \Psi(x) \quad \mathbf{U}_G \text{ unitary} \rightarrow \mathbf{M} \text{ Hermitian}$$

$$e^{-ig\mathbf{M}} = \mathbf{1} - ig\mathbf{M} + \frac{(-ig\mathbf{M})^2}{2!} + \dots \quad \mathbf{M}: 3 \times 3 \text{ Hermitian matrix}$$

$g$ : Color charge

$\mathbf{M}$  acting on the 3 color components of the quark state

Since the color symmetry group is  $SU(3)_C$ :

$$\mathbf{M} = \sum_{i=1}^8 \lambda_i \theta_i \equiv \vec{\lambda} \cdot \vec{\theta}$$

$\vec{\lambda}$ : Vector of 8  $3 \times 3$  Gell-Mann matrices;  $\vec{\theta}$ : Vector of 8 parameters

# QCD as a Gauge Theory - II

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As for QED, extend to *local* gauge transformations

As before, in order to guarantee invariance of  $L$ :

→ Re-define derivative adding new vector fields:

$$\partial_\mu \rightarrow \partial_\mu \mathbf{1} + ig\mathbf{C}_\mu$$

$$\mathbf{C}_\mu : \begin{cases} \text{4-vector field} & \text{Lorentz structure} \\ \text{Matrix} \in SU(3)_c & \text{Color space} \end{cases}$$

We know how to express any Hermitian matrix  $\in SU(3)_c$ :

Use  $SU(3)_c$  generators → Gell-Mann matrices

$$\rightarrow \mathbf{C}_\mu = \frac{1}{2} \sum_{a=1}^8 G_\mu^a \lambda_a \equiv \vec{G}_\mu \cdot \vec{\lambda} \quad \text{8 fields required: Gluons}$$

So gluons are a bit like 8 different “photons”, exchanged between color charges

But: *They are non Abelian*

# QCD as a Gauge Theory - III

Local gauge transformation for  $SU(3)_c$ :

$$\begin{cases} \Psi(x) \rightarrow \Psi'(x) = U_\theta \Psi(x) = e^{-ig\vec{\lambda}\cdot\vec{\theta}(x)} \Psi(x) \\ G_\mu^a(x) \rightarrow G_\mu^{a'}(x) = G_\mu^a(x) + \partial_\mu \theta^a + g \sum_{b,c=1}^8 f^{abc} G_\mu^b(x) \theta^c(x) \quad a=1,\dots,8 \end{cases}$$

Very important:  
New term, coming from  $SU(3)$  being non Abelian

Reminder:

$$\left[ \frac{\lambda_a}{2}, \frac{\lambda_b}{2} \right] = if^{abc} \frac{\lambda_c}{2} \quad f^{abc}: SU(3) \text{ structure constants}$$

A set of *integer numbers*,  
kind of DNA of each group

$$L_0 = \bar{\Psi}(x)(i\gamma^\mu \partial_\mu - m)\Psi(x) \rightarrow L_0 + L_i$$

$$L_i = -g \underbrace{\left[ \bar{\Psi}(x) \gamma^\mu \left( \frac{\vec{\lambda}}{2} \right) \Psi(x) \right]}_{\vec{j}_\mu} \cdot \vec{G}_\mu \quad \text{Interaction term}$$

$$-\frac{1}{4} \vec{G}_{\mu\nu} \cdot \vec{G}^{\mu\nu} = -\frac{1}{4} \sum_{a=1}^8 G_{\mu\nu}^a \cdot G^{a\mu\nu} \quad \text{Field energy term}$$

# QCD as a Gauge Theory - IV

Take the expression of fields in terms of potentials:

$$G_{\mu\nu}^a = \partial_\mu G_\nu^a - \partial_\nu G_\mu^a - g \sum_{b,c=1}^8 f_{abc} G_\mu^b G_\nu^c$$

Very important: *Absent in QED* ( $f=0$ )  
 New term, coming from  $SU(3)$  being non Abelian

→  $G_{\mu\nu}^a G_{\mu\nu}^a$  contains terms with  $\underbrace{\partial_\mu G_\nu^a \cdot G_\mu^b G_\nu^c}_{3 \text{ gluons}}, \underbrace{G_\mu^b G_\nu^c \cdot G_\mu^b G_\nu^c}_{4 \text{ gluons}}$

These pieces of  $L$  correspond to 3 and 4 gluons vertexes

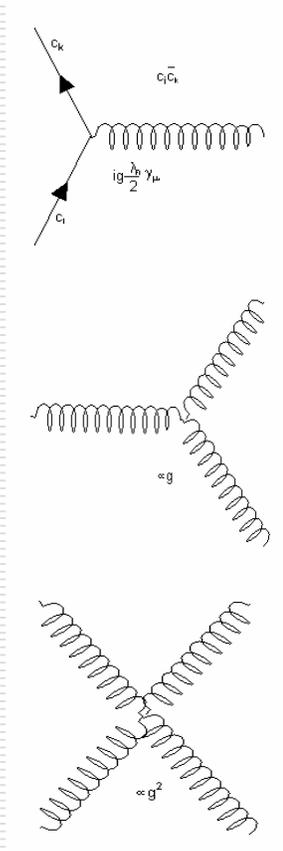
The form of QCD Lagrangian leads to predict the existence of a new kind of gluon-gluon color interaction

Since color interaction is tied to color charge, we are saying *the gluons carry their own color charge*

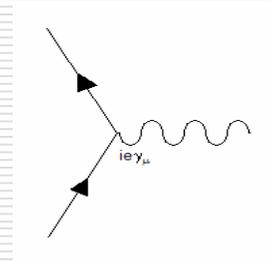
Sounds unfamiliar? Well, that's all after playing with a non-Abelian gauge group. Unlike the electric charge, color charge can manifest itself in more than one way. Indeed, gluons carry a type of color charge different from quarks/antiquarks:  
*Color + Anticolor*

# QCD as a Gauge Theory - V

## QCD Vertexes



$ig \frac{\lambda_a}{2} \gamma_\mu$  Similar to QED:



$\propto g$  (Lorentz structure not shown)

$\propto g^2$  (Lorentz structure not shown)

# The Color of Gluons

Compare to mesons in  $SU(3)_F$ : *Flavor + Antiflavor*  
 But: *Gluons are not bound states of Color+Anticolor!*  
 Still, they share the same math:  
 Gluons live in the adjoint (**8**) irr.rep. of  $SU(3)_C$

A very natural question: Gluons couple to  $q\bar{q}$   
 Since one can decompose the total  $q\bar{q}$  color state as:

$$\mathbf{3} \otimes \mathbf{3}^* = \mathbf{1} \oplus \mathbf{8}$$

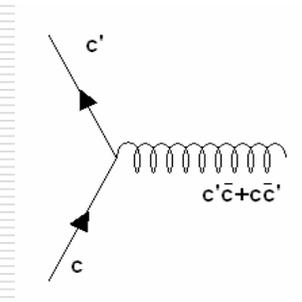
Then: Where is the singlet gluon?

Does not exist: There are only 8 gluons, not 9

$$|1\rangle = \frac{1}{\sqrt{2}}(r\bar{b} + b\bar{r}), |2\rangle = -\frac{i}{\sqrt{2}}(r\bar{b} - b\bar{r}), |3\rangle = \frac{1}{\sqrt{2}}(r\bar{r} - b\bar{b})$$

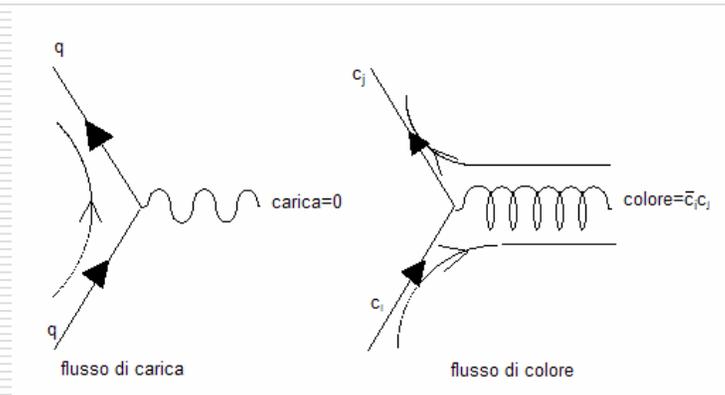
$$|4\rangle = \frac{1}{\sqrt{2}}(r\bar{g} + g\bar{r}), |5\rangle = -\frac{i}{\sqrt{2}}(r\bar{g} - g\bar{r}), |6\rangle = \frac{1}{\sqrt{2}}(b\bar{g} + g\bar{b})$$

$$|7\rangle = -\frac{i}{\sqrt{2}}(b\bar{g} - g\bar{b}), |8\rangle = \frac{1}{\sqrt{6}}(r\bar{r} + b\bar{b} - 2g\bar{g})$$



# Color vs. Charge Flow

Compare the different situations:



QED

Photon is neutral  
Neither sourcing,  
nor sinking charge

QCD

Gluon is colored  
Sourcing color,  
sinking anti-color

Should the singlet gluon actually exist, it would behave more or less like a "photon":  
*Would be 'white' (= Singlet)*  
*Would couple to color charges in the same way as photon couples to electric charges*  
*Would give rise to a sort of "QED-like" color interaction, not observed*

# Comparing QED and QCD

---

Comparison of coupling constants:

$\alpha$  vs.  $\alpha_s$  Adimensional constants (*Interaction strength*)

Can define elementary charge in terms of  $\alpha, \alpha_s$

Measure particle charge by its ratio to elementary charge: *Number*

What are the allowed values for these numbers?

QED: Gauge group is *Abelian*

Electric charge can be *any* number: No reason for charge quantization

Photon charge is strictly 0

QCD: Gauge group is *non Abelian*

Color charge value is *fixed* for every representation

Quarks:  $\mathbf{3}, \mathbf{3}^*$   $\rightarrow Q = 4/3$

Gluons:  $\mathbf{8}$   $\rightarrow Q = 8$

Similar to  $I(I+1)$  for any isospin ( $SU(2)$ ) multiplet

# The Color Factor

---

Consider the interaction between 2 charges:

*QED*

For fixed  $|q|$ , the 'charge factor' can be defined as:

$$f_{12} = \frac{q_1 q_2}{|q|^2} = \begin{cases} +1 & q_1 q_2 > 0 \\ -1 & q_1 q_2 < 0 \end{cases}$$

Very simple for an Abelian interaction

*QCD*

The 'color factor' depends on the irr.reps of the initial and final states  
Since total color is conserved in all processes, expect a color factor:

*Representation dependent*  
*Identical for any transition in a given representation*

Less simple in this non-Abelian interaction

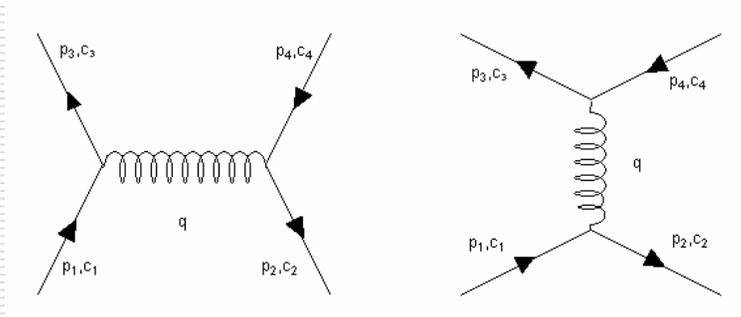
# Color Interaction - I

$$q\bar{q} \rightarrow q\bar{q}$$

$$\mathbf{3} \otimes \mathbf{3}^* = \mathbf{1} \oplus \mathbf{8}$$

$$\text{Total color conservation: } \begin{cases} \mathbf{1} \rightarrow \mathbf{1} \\ \mathbf{8} \rightarrow \mathbf{8} \end{cases}$$

Observe:  
Similar to conservation of total I-spin



$$T_{fi} = i \sum_{\alpha, \beta=1}^8 \underbrace{[\bar{u}(3)c_3^\dagger] \left[ -i \frac{g_s}{2} \lambda^\alpha \gamma^\mu \right] [u(1)c_1]}_{\text{color current}} \underbrace{\left[ -i \frac{g_{\mu\nu} \delta^{\alpha\beta}}{q^2} \right]}_{\text{propagator}} \underbrace{[\bar{v}(2)c_2^\dagger] \left[ -i \frac{g_s}{2} \lambda^\beta \gamma^\nu \right] [v(4)c_4]}_{\text{color current}}$$

Sum is over all 8 color matrices

$c_i$  are the color states of initial, final  $q\bar{q}$

$$T_{fi} = \frac{-g_s^2}{q^2} [\bar{u}(3) \gamma^\mu u(1)] [\bar{v}(2) \gamma_\mu v(4)] \underbrace{\frac{1}{4} \sum_{\alpha} [c_3^\dagger \lambda^\alpha c_1] [c_2^\dagger \lambda^\alpha c_4]}_{\text{color factor}}$$

# Color Interaction - II

---

## Octet

$r\bar{b}$

Just as an example: Result is the same for all octet states

$$\left. \begin{array}{l} c_1 = c_3 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \\ c_2 = c_4 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \end{array} \right\} \rightarrow f = \frac{1}{4} \sum_{\alpha=1}^8 (1 \ 0 \ 0) \lambda^\alpha \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} (0 \ 1 \ 0) \lambda^\alpha \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$
$$\rightarrow f = \frac{1}{4} \sum_{\alpha=1}^8 \lambda_{11}^\alpha \lambda_{22}^\alpha = \frac{1}{4} (\lambda_{11}^3 \lambda_{22}^3 + \lambda_{11}^8 \lambda_{22}^8) = -\frac{1}{6}$$

# Color Interaction - III

---

## Singlet

$$\frac{1}{\sqrt{3}}(r\bar{r} + b\bar{b} + g\bar{g}) \quad \text{Only this state in the singlet}$$

But: *Any* component can go into *any other*..

$$f_i = \frac{1}{4} \frac{1}{\sqrt{3}} \frac{1}{\sqrt{3}} \sum_{j=1}^3 \sum_{\alpha=1}^8 [c_i^\dagger \lambda^\alpha c_j] [c_j^\dagger \lambda^\alpha c_i], \quad i=1,2,3$$

$$f = \sum_{i=1}^3 f_i = \frac{1}{4} \frac{1}{\sqrt{3}} \frac{1}{\sqrt{3}} \sum_{\alpha=1}^8 \sum_{i,j=1}^3 \lambda_{ij}^\alpha \lambda_{ji}^\alpha = \frac{1}{12} \sum_{\alpha=1}^8 \text{Tr}(\lambda^\alpha \lambda^\alpha)$$

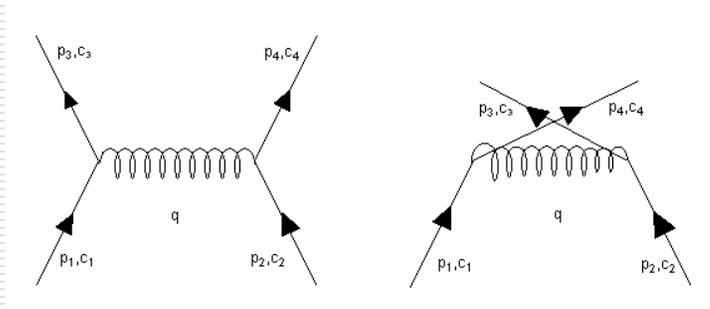
$$\text{Tr}(\lambda^\alpha \lambda^\beta) = 2\delta^{\alpha\beta} \rightarrow \sum_{\alpha=1}^8 \text{Tr}(\lambda^\alpha \lambda^\alpha) = 16$$

$$\rightarrow f = \frac{4}{3}$$

# Color Interaction - IV

$qq$

$$\mathbf{3} \otimes \mathbf{3} = \mathbf{3}^* \oplus \mathbf{6}$$



$$T_{fi} = \frac{-g_s^2}{q^2} [\bar{u}(3) \gamma^\mu u(1)] [\bar{u}(4) \gamma_\mu u(2)] \underbrace{\frac{1}{4} \sum_{\alpha=1}^8 [c_3^\dagger \lambda^\alpha c_1] [c_4^\dagger \lambda^\alpha c_2]}_{\text{color factor}}$$

$$f_{qq} = \frac{1}{4} \sum_{\alpha=1}^8 (c_3^\dagger \lambda^\alpha c_1) (c_4^\dagger \lambda^\alpha c_2)$$

Color states of the triplet and sextet:

$$\mathbf{3}^*: \quad \frac{1}{\sqrt{2}}(rb - br), \frac{1}{\sqrt{2}}(bg - gb), \frac{1}{\sqrt{2}}(gr - rg) \quad \text{Antisymmetric}$$

$$\mathbf{6}: \quad rr, bb, gg, \frac{1}{\sqrt{2}}(rb + br), \frac{1}{\sqrt{2}}(bg + gb), \frac{1}{\sqrt{2}}(gr + rg) \quad \text{Symmetric}$$

# Color Interaction - V

---

## Sextet

$rr$

Just as an example: Result is the same for all sextet states

$$c_1 = c_2 = c_3 = c_4 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

$$f = \frac{1}{4} \sum_{\alpha=1}^8 \left[ (1 \ 0 \ 0) \lambda^\alpha \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right] \left[ (1 \ 0 \ 0) \lambda^\alpha \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right] = \frac{1}{4} \sum_{\alpha=1}^8 (\lambda_{11}^\alpha \lambda_{11}^\alpha)$$
$$= \frac{1}{4} (\lambda_{11}^3 \lambda_{11}^3 + \lambda_{11}^8 \lambda_{11}^8) = \frac{1}{3}$$

# Color Interaction - VI

## Triplet

$$\frac{1}{\sqrt{2}}(rb - br)$$

Just as an example as before

$$f = \frac{1}{4} \frac{1}{\sqrt{2}} \frac{1}{\sqrt{2}} \sum_{\alpha=1}^8$$

$$\left\{ \left[ \begin{array}{c} (1 \ 0 \ 0) \lambda^\alpha \\ 0 \\ 0 \end{array} \right] \left[ \begin{array}{c} (0 \ 1 \ 0) \lambda^\alpha \\ 1 \\ 0 \end{array} \right] - \left[ \begin{array}{c} (0 \ 1 \ 0) \lambda^\alpha \\ 0 \\ 0 \end{array} \right] \left[ \begin{array}{c} (1 \ 0 \ 0) \lambda^\alpha \\ 1 \\ 0 \end{array} \right] \right. \\ \left. - \left[ \begin{array}{c} (1 \ 0 \ 0) \lambda^\alpha \\ 0 \\ 0 \end{array} \right] \left[ \begin{array}{c} (0 \ 1 \ 0) \lambda^\alpha \\ 0 \\ 0 \end{array} \right] - \left[ \begin{array}{c} (0 \ 1 \ 0) \lambda^\alpha \\ 1 \\ 0 \end{array} \right] \left[ \begin{array}{c} (1 \ 0 \ 0) \lambda^\alpha \\ 0 \\ 0 \end{array} \right] \right\}$$

$$f = \frac{1}{8} \sum_{\alpha=1}^8 \{ \lambda_{11}^\alpha \lambda_{22}^\alpha - \lambda_{21}^\alpha \lambda_{12}^\alpha - \lambda_{12}^\alpha \lambda_{21}^\alpha + \lambda_{22}^\alpha \lambda_{11}^\alpha \} = \frac{1}{4} \sum_{\alpha=1}^8 \{ \lambda_{11}^\alpha \lambda_{22}^\alpha - \lambda_{12}^\alpha \lambda_{21}^\alpha \} = \frac{1}{4} \{ \lambda_{11}^3 \lambda_{22}^3 + \lambda_{11}^8 \lambda_{22}^8 - \lambda_{12}^1 \lambda_{21}^1 - \lambda_{12}^2 \lambda_{21}^2 \} = -\frac{2}{3}$$

# The Effective Potential

Matrix elements just calculated: Very similar to the corresponding tree-level amplitudes in QED

→ Expect similar, Coulomb-like, effective potential in the static limit

Constant depends on the color representation for the quark pair:

$$\begin{array}{l}
 V_{q\bar{q}} = \begin{cases} -\frac{4}{3} \frac{\alpha_s}{r} & \text{singlet} \\ \frac{1}{6} \frac{\alpha_s}{r} & \text{octet} \end{cases} \\
 V_{qq} = \begin{cases} -\frac{2}{3} \frac{\alpha_s}{r} & \text{triplet} \\ \frac{1}{3} \frac{\alpha_s}{r} & \text{sextet} \end{cases}
 \end{array}$$

Attractive  
 Expect maximal attraction in singlets  
 Diquarks?

# Baryons

---

Baryons could be in any one of the **1,8,10** representations:

Why only the singlet is observed?

A hint of an explanation:

$$3 \otimes 3 \otimes 3 = (3 \otimes 3) \otimes 3$$

$$3 \otimes 3 = 6 \oplus 3^* \rightarrow (3 \otimes 3) \otimes 3 = (6 \oplus 3^*) \otimes 3$$

$$6 \otimes 3 = 10 \oplus 8$$

$$3^* \otimes 3 = 1 \oplus 8$$

**1:** each  $qq$  pair is a triplet  $\rightarrow$  attractive

**8:**  $qq$  pair can be triplets, or sextet  $\rightarrow$  attractive + repulsive

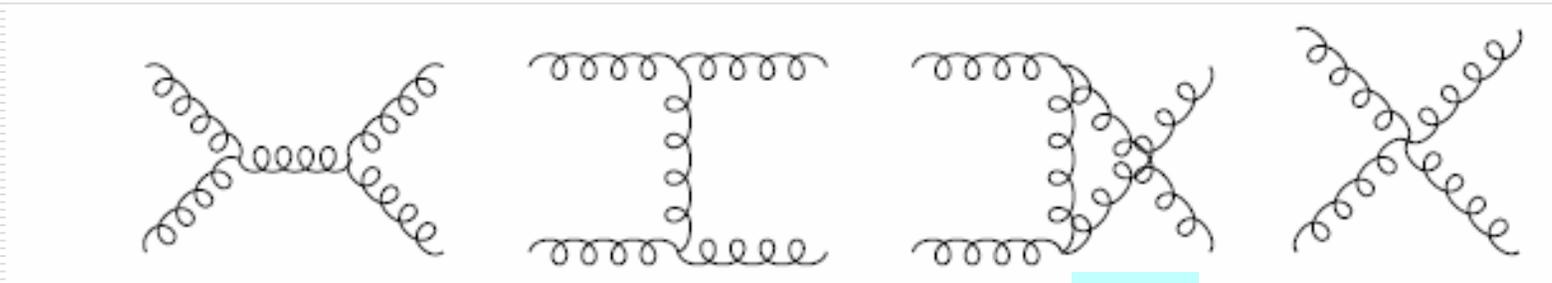
**10:** each  $qq$  pair is a sextet  $\rightarrow$  repulsive

So singlet is the state maximally attractive for 3 quarks

Does this explain the singlet-only mystery for bound states?

# Another Color Interaction

Non Abelian vertices: Gluon-Gluon scattering *at tree level*



3 – gluons :  $A \propto g$

4 – gluons :  $A \propto g^2$  Much harder to observe

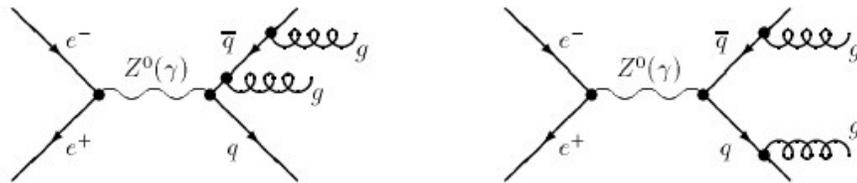
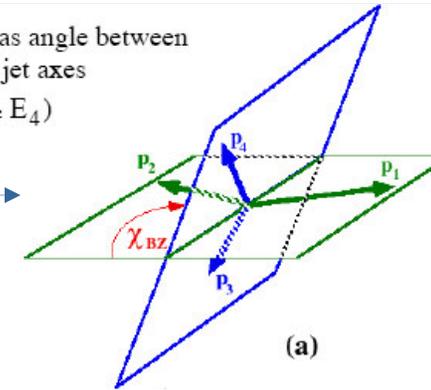
Compare: In QED, photon-photon scattering amplitude occurs at order  $\alpha^2$  through the 1-loop diagram

# Color Interaction - VIII

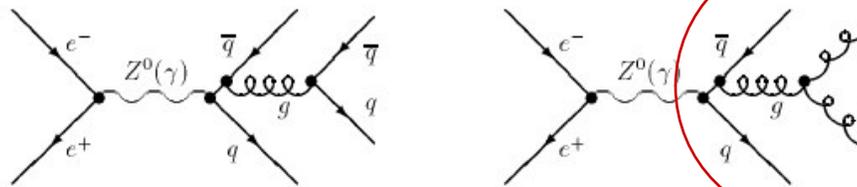
Test for non-Abelian couplings at LEP: 4 jets events

Special angular correlation from 3-gluon vertex amplitude

Bengtson-Zerwas angle between energy-ordered jet axes  
 $(E_1 \geq E_2 \geq E_3 \geq E_4)$



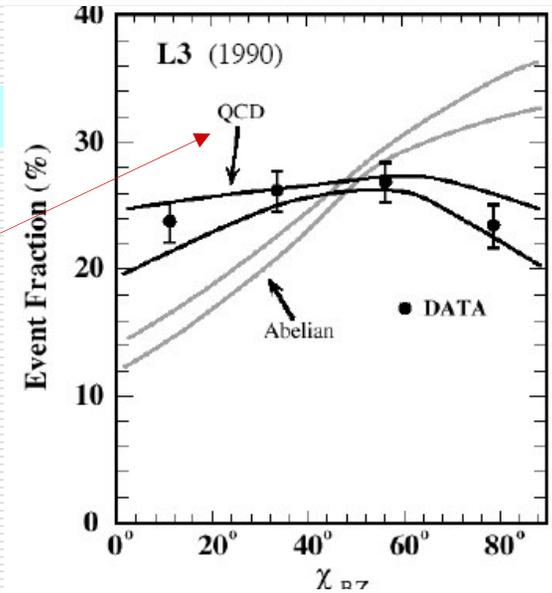
(a)



(b)

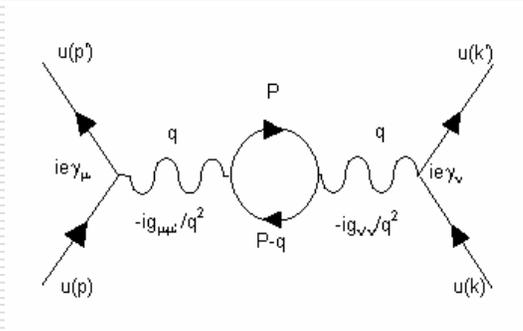
(c)

@TBA



# Running Coupling: QED - I

Consider the *one loop* modification to the photon propagator:



Includes a sum over  $P$ , the momentum circulating in the virtual loop. No obvious bounds on  $P$ .

$$M \propto [e\bar{u}(k')\gamma^\mu u(k)] \frac{g_{\mu\mu'}}{q^2} \frac{1}{(2\pi)^4} \int d^4P \frac{[e\bar{u}(P)\gamma^{\mu'} u(P-q)] [e\bar{u}(P-q)\gamma^{\nu'} u(P)]}{P^2 - m^2} \frac{g_{\nu\nu'}}{q^2} [e\bar{u}(p')\gamma^{\nu'} u(p)]$$

Modified propagator:

$$\frac{g_{\mu\nu}}{q^2} \rightarrow \frac{g_{\mu\nu}}{q^2} (1 - I(q^2)), \quad I(q^2) = \frac{\alpha}{3\pi} \int_0^1 \frac{dp^2}{p^2} - \frac{2\alpha}{\pi} \int_0^1 dx x(1-x) \ln \left[ 1 - \frac{q^2 x(1-x)}{m^2} \right]$$

# Running Coupling: QED - II

Take the high  $q^2$  approximation

$$-q^2 \gg m^2 \rightarrow \ln \left[ 1 - \frac{q^2 x(1-x)}{m^2} \right] \approx \ln \left[ -\frac{q^2}{m^2} \right]$$

$$I(q^2) \approx \frac{\alpha}{3\pi} \int_{m^2}^{M^2} \frac{dp^2}{p^2} - \frac{2\alpha}{\pi} \int_0^1 dx x(1-x) \ln \left[ \frac{-q^2}{m^2} \right]$$

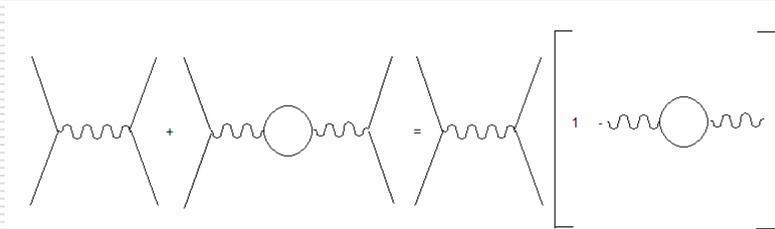
Provisional upper bound (cutoff) to make integral to converge

$$= \frac{\alpha}{3\pi} \ln \left( \frac{M^2}{m^2} \right) - \frac{2\alpha}{\pi} \frac{1}{6} \ln \left[ \frac{-q^2}{m^2} \right]$$

$$= \frac{\alpha}{3\pi} \left[ \ln \left( \frac{M^2}{m^2} \right) - \ln \left[ \frac{-q^2}{m^2} \right] \right] = \frac{\alpha}{3\pi} \ln \left( \frac{M^2}{-q^2} \right)$$

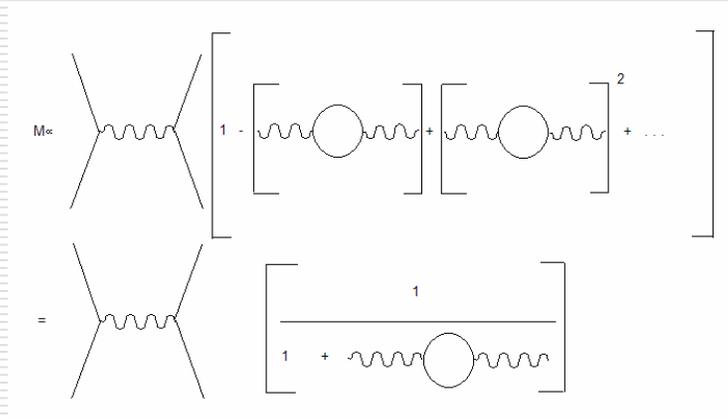
$$M \propto \alpha \left[ \bar{u}(k') \gamma^\mu u(k) \right] \frac{g_{\mu\nu}}{q^2} \left[ 1 - \frac{\alpha}{3\pi} \ln \left( \frac{M^2}{-q^2} \right) \right] \left[ \bar{u}(p') \gamma^\nu u(p) \right]$$

Cartoon translation:



# Running Coupling: QED - III

Extend to diagrams with 2,3,...,n,... loops: Add up all contributes



Experts say this is the only contribution to running  $\alpha$  to the 'leading logs' approximation, which means neglecting the next levels of iteration

$$M \propto [\bar{u}(k')\gamma^\mu u(k)] \frac{g_{\mu\nu}}{q^2} \left[ \frac{\alpha}{1 + \alpha/3\pi \ln(M^2/-q^2)} \right] [\bar{u}(p')\gamma^\nu u(p)]$$

Sum of a 'geometrical series'  
Converging??

What is  $\alpha$ ? Coupling 'constant' we would get should we turn off all loops

Call it  $\alpha_0$  = 'Bare' coupling constant, not physical: Loops cannot be turned off

Then obtain an effective coupling, not constant but *running*:

$$\alpha(q^2) = \frac{\alpha_0}{1 + \alpha_0/3\pi \ln(M^2/-q^2)} \quad \alpha \text{ is } q^2, \text{ or distance, dependent!}$$

# Running Coupling: QED - IV

Running  $\alpha$  is still cutoff dependent, which of course is uncomfortable  
 But: Not a real problem. Indeed:

$$Q^2 = -q^2 \rightarrow \alpha(Q^2) = \frac{\alpha_0}{1 + (\alpha_0/3\pi)\ln(M^2/Q^2)}$$

Usually choose  $\mu^2=0$ , i.e. take  $\alpha$  at distance  $\rightarrow\infty$   
 Quite natural in QED, but not compulsory

Take a particular energy scale:  $Q^2 = \mu^2 \rightarrow \alpha(\mu^2) = \frac{\alpha_0}{1 + (\alpha_0/3\pi)\ln(M^2/\mu^2)}$

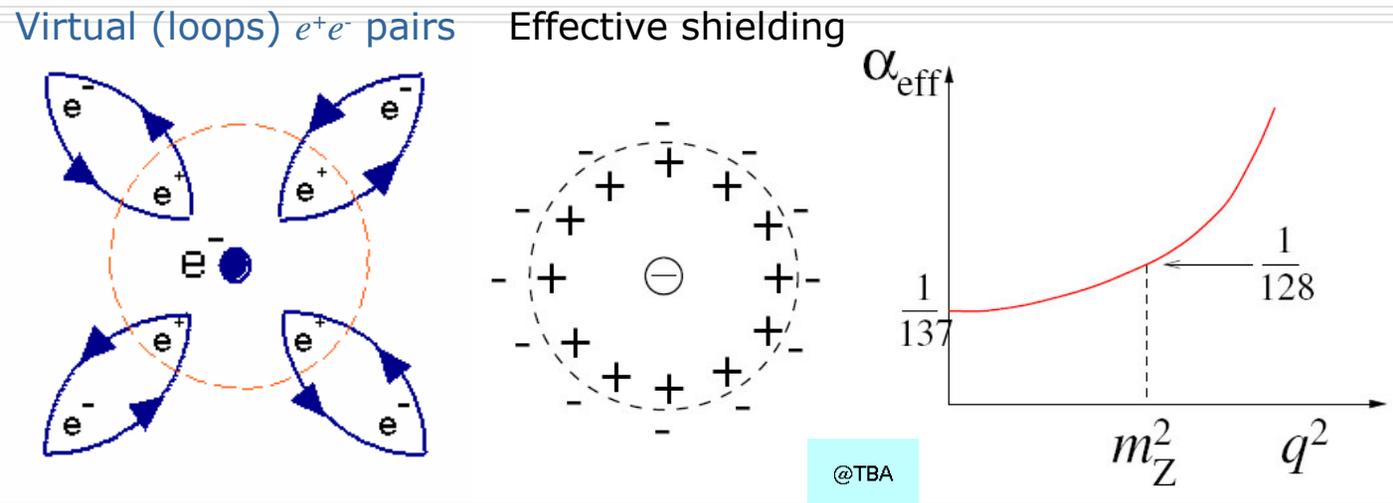
$$\ln\left(\frac{M^2}{Q^2}\right) = \ln\left(\frac{M^2}{Q^2} \frac{\mu^2}{\mu^2}\right) = \ln\left(\frac{M^2}{\mu^2}\right) + \ln\left(\frac{\mu^2}{Q^2}\right) \rightarrow \alpha(Q^2) = \frac{\alpha_0}{1 + (\alpha_0/3\pi)[\ln(M^2/\mu^2) + \ln(\mu^2/Q^2)]}$$

$$\rightarrow \frac{\alpha_0}{\alpha(\mu^2)} = 1 + (\alpha_0/3\pi)\ln(M^2/\mu^2)$$

$$\rightarrow \alpha(Q^2) = \frac{\alpha_0}{\alpha_0/\alpha(\mu^2) + (\alpha_0/3\pi)\ln(\mu^2/Q^2)} = \frac{\alpha(\mu^2)}{1 - [\alpha(\mu^2)/3\pi]\ln(Q^2/\mu^2)}$$

**Very interesting result:** Running  $\alpha$  depends on  $q^2$ , through its own *measured* value at any chosen energy scale  $\mu^2$ . Cutoff has disappeared.

# Cartooning Deep Physics



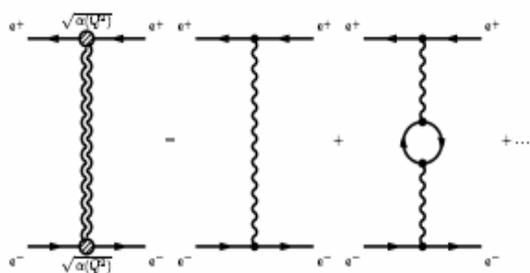
Picture the QED perturbative vacuum as a sort of (virtual) dielectric medium:  
Virtual photons and  $e^+e^-$  pairs continuously created/annihilated

Bare charge is shielded at large distance by the virtual pairs coming from loops  
The standard  $e$  charge is smaller than the bare charge

By probing the electron at smaller and smaller distance, one observe an *increasing* effective charge

# Running $\alpha$ at LEP (and More)

Experimental method: Bhabha scattering



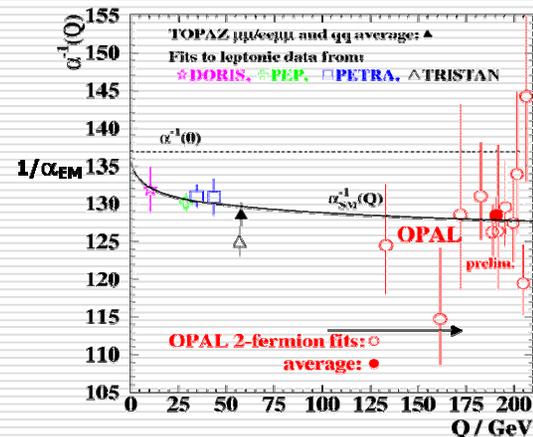
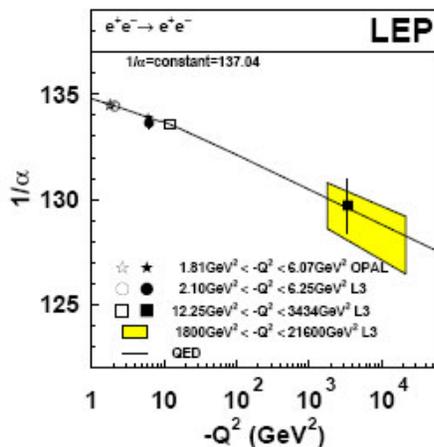
$$\frac{d\sigma}{dt} = \frac{d\sigma^0}{dt} \left( \frac{\alpha(t)}{\alpha_0} \right)^2 (1 + \varepsilon)(1 + \delta_\gamma) + \delta_Z,$$

$\delta_\gamma, \delta_Z$   $s$ -channel contributions (small)

$\varepsilon$  radiative corrections (known)

Use accurate, differential cross-section measurement to unfold  $\alpha(t)$

Total cross-section measurement would require a luminosity..

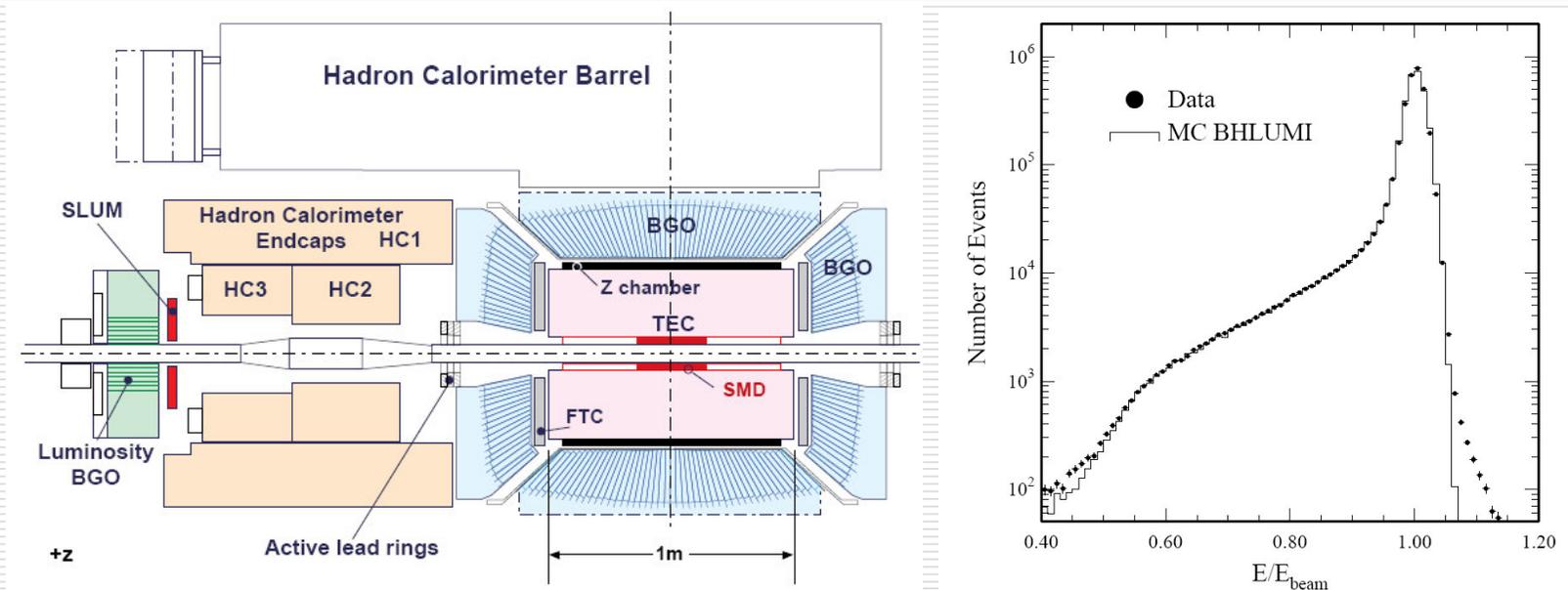


# Luminosity Monitors

Just as an example, take L3 at LEP:  
Relying on Bhabha scattering at small angle

$$\sigma = \frac{16\pi\alpha^2}{s} \left( \frac{1}{\theta_{min}^2} - \frac{1}{\theta_{max}^2} \right)$$

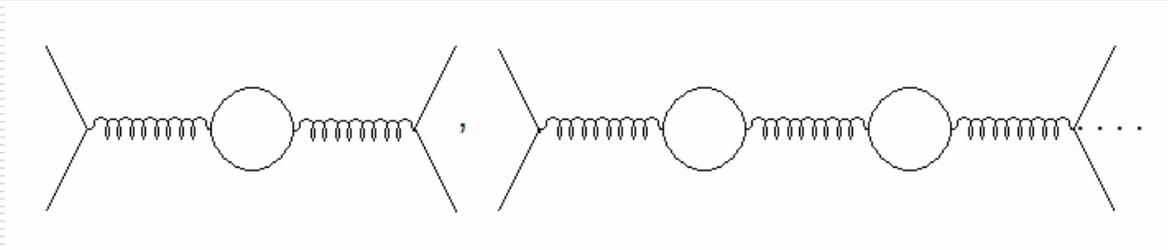
Calorimeter (Energy) + Silicon Tracker (Angle + Acceptance)



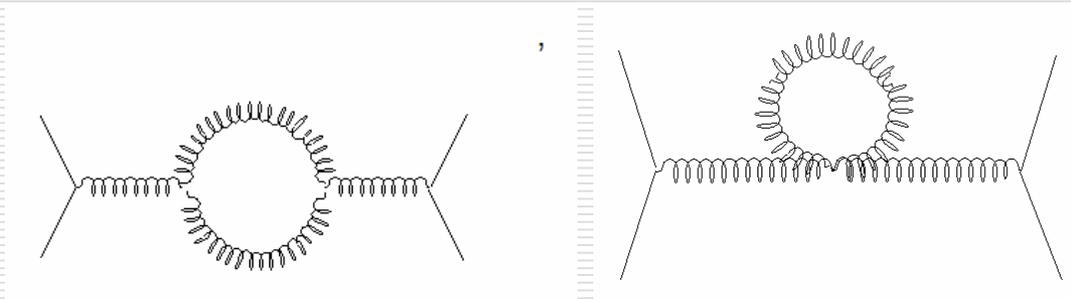
# Running Coupling: $QCD$ - I

---

Repeat all the steps: Loops etc



Except this time one has more loops: Gluons



# Running Coupling: QCD - II

---

Turns out that gluon loops yield *anti*-shielding effect  
With 8 gluons and 6 quark flavors, gluons win

$$\alpha_s(|q^2|) = \frac{\alpha_s(\mu^2)}{1 + (\alpha_s(\mu^2)/12\pi)(33 - 2n_{\text{flavor}}) \ln(|q^2|/\mu^2)}$$

Running coupling *decreases* with increasing  $q^2$  (or at smaller distance)  
This is known as *asymptotic freedom*:

*Large  $q^2$  processes feature small coupling → Perturbative!*

Most important consequence:

*The fundamental hypothesis behind the successful parton model is finally understood and justified*

# The Meaning of $\Lambda$

---

Rather than making reference to a specific value of  $\alpha_s$

$$\alpha_s(|q^2|) = \frac{\alpha_s(\mu^2)}{1 + (\alpha_s(\mu^2)/12\pi)(33 - 2n_{\text{flavor}})\ln(|q^2|/\mu^2)}$$

define a new constant

$$\ln \Lambda^2 = \ln \mu^2 - \frac{12\pi}{(33 - 2n_{\text{flavor}})\alpha_s(\mu^2)} \rightarrow \Lambda^2 = \mu^2 e^{-\frac{12\pi}{(33 - 2n_{\text{flavor}})\alpha_s(\mu^2)}}$$
$$\rightarrow \alpha_s(|q^2|) \simeq \frac{12\pi}{(33 - 2n_{\text{flavor}})\ln(|q^2|/\Lambda^2)} = \frac{12\pi}{21\ln(|q^2|/\Lambda^2)}, \quad |q^2| \gg \Lambda^2$$

$\Lambda$  = Renormalization scale  $\rightarrow$  Fixes  $\alpha_s$  at all  $q^2$

$\Lambda \approx 200 \text{ MeV}$  yields the correct  $\alpha_s$  at  $\mu^2 = M_{Z^0}^2$

Funny behavior, known as 'Dimensional Transmutation':

From an adimensional constant to a dimensional one  $\alpha_s \rightarrow \Lambda$

# Confinement

---

$$\alpha_s(|q^2|) \simeq \frac{12\pi}{21 \ln(|q^2|/\Lambda^2)}, \quad |q^2| \gg \Lambda^2$$

When  $|q^2| \sim \Lambda^2$ , the previous expression does not apply

$\alpha_s(\Lambda^2)$  is large  
Strong interaction is strong  
Cannot rely on perturbative expansion

In a general sense, we expect  $\Lambda$  to mark the low energy range, corresponding to *soft* (low  $q^2$ ) processes

Bound states: Non-perturbative, 'white', energy scale  $\approx \Lambda$

Does  $\alpha_s(\Lambda^2)$  correspond to the *color confinement* range?  
Very likely. But remember:

*It is not yet convincingly shown that QCD is a confining theory*

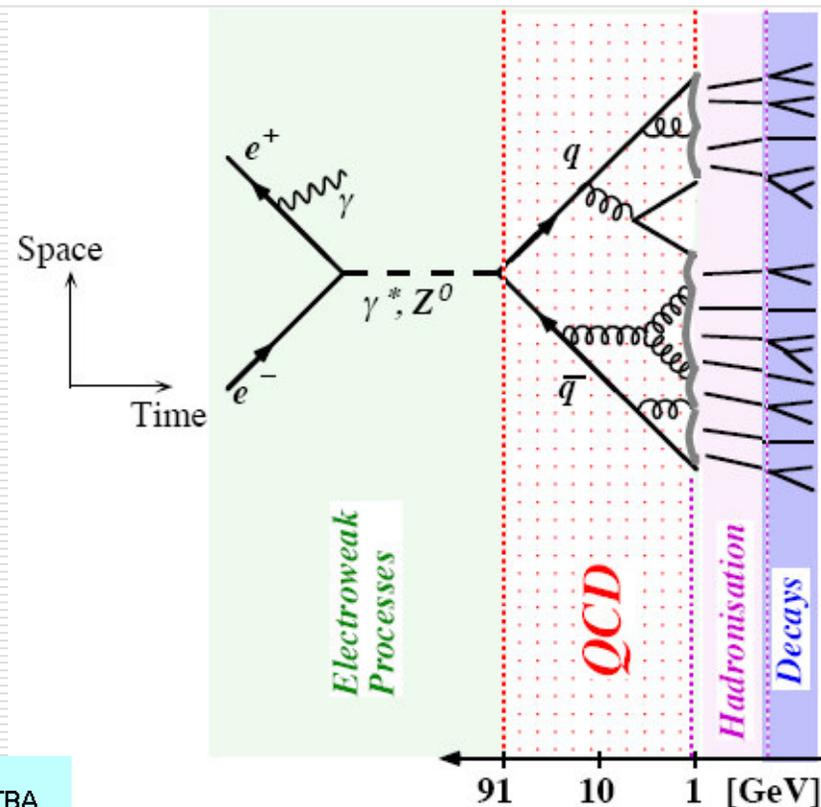
# Jet Fragmentation

Complete jet evolution cannot be computed in a full QCD framework:

As shown in the picture, *increasing* time scales correspond to *decreasing*  $Q^2$  scale, down to a region where perturbative expansion cannot be granted

Conversion of quarks and gluons into hadrons (*Fragmentation* or *Hadronization*) must be evaluated by ad-hoc prescriptions (models).

QCD-inspired, successful models often based on string-like behavior of pairs  $q\bar{q}$

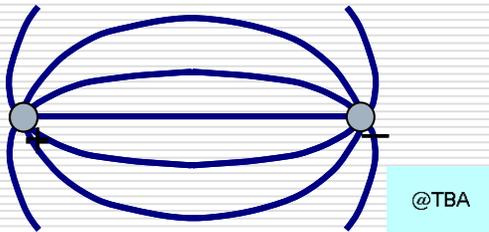


# Stringy QCD

Typical model implemented in fragmentation Montecarlo programs

$q\bar{q}$  Interaction

QED-like at small distance



Gluon self-interaction yields *string* (flux tube) pattern at large distance



Picture baryons as 'mesons':

$$\mathbf{3} \otimes \mathbf{3} = \mathbf{3}^* \oplus \mathbf{6}$$

$$qqq = \underbrace{qq}_{\sim \bar{q}} + q$$

# PQCD: Jets in $e^+ e^-$ Collisions - I

2 jets

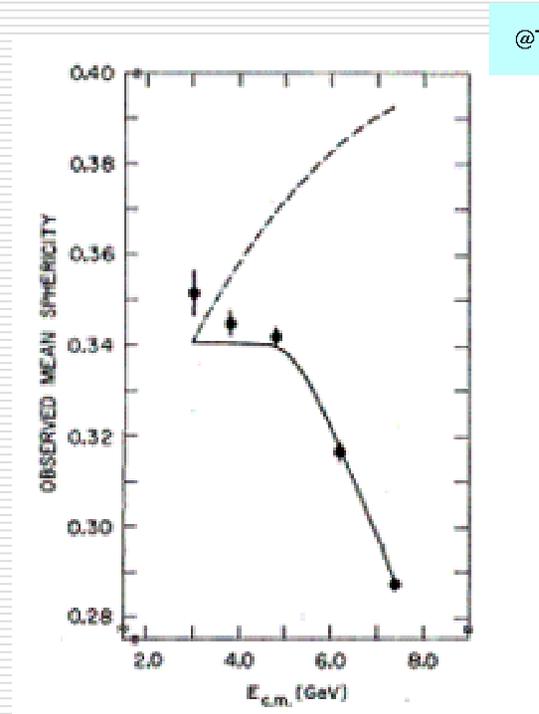
$$e^+ + e^- \rightarrow q + \bar{q} \rightarrow \text{hadrons}$$

$$\frac{d\sigma}{d\Omega} = \frac{3\alpha^2}{4s} (1 + \cos^2 \theta) \sum_{\text{flavor}} e_{\text{flavor}}^2$$

$$\rightarrow \sigma(s) = \frac{4\pi\alpha^2}{s} \sum_{\text{flavor}} e_{\text{flavor}}^2$$

Define sphericity of events:

$$S = \frac{3}{2} \frac{\sum_i p_{\perp i}^2}{\sum_i p_i^2} \rightarrow \begin{cases} 0 & \text{2-jets} \\ 1 & \text{spherical} \end{cases}$$

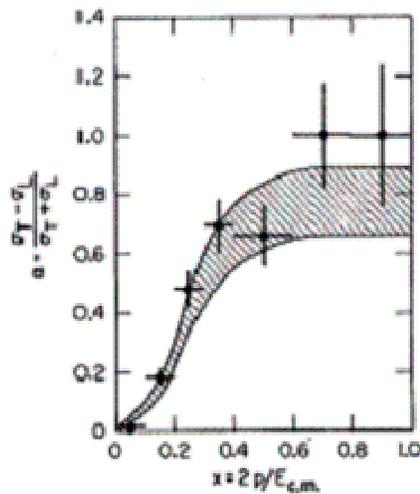


*At high energy, events tend to be non-spherical*

# PQCD: Jets in $e^+ e^-$ Collisions - II

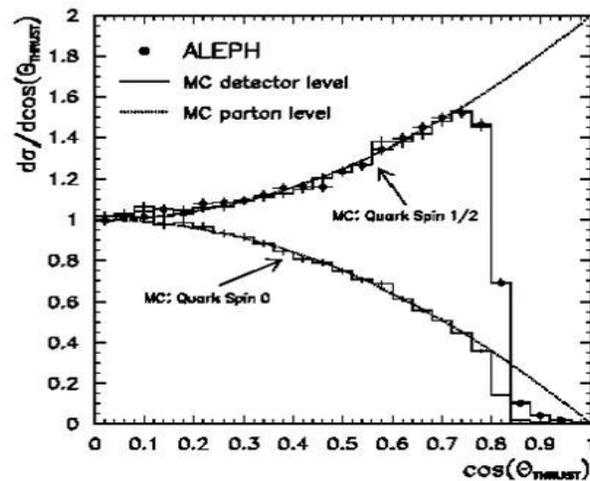
For 2 jets events

$$\left. \begin{aligned} \frac{d\sigma}{d\Omega} &\propto 1 + \cos^2 \theta \quad \text{quark spin} = 1/2 \\ \frac{d\sigma}{d\Omega} &\propto 1 - \cos^2 \theta \quad \text{quark spin} = 0 \end{aligned} \right\} \equiv 1 + \alpha \cos^2 \theta$$



Mark I (SPEAR)  
 $E = \text{a few GeV}$

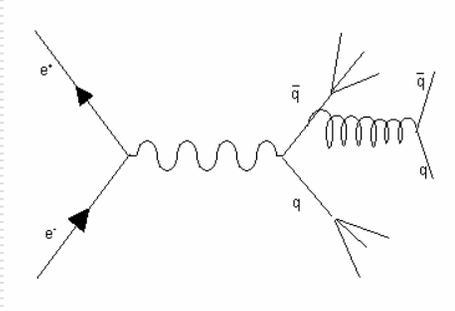
@TBA



ALEPH (LEP)  
 $E = 90 \text{ GeV}$

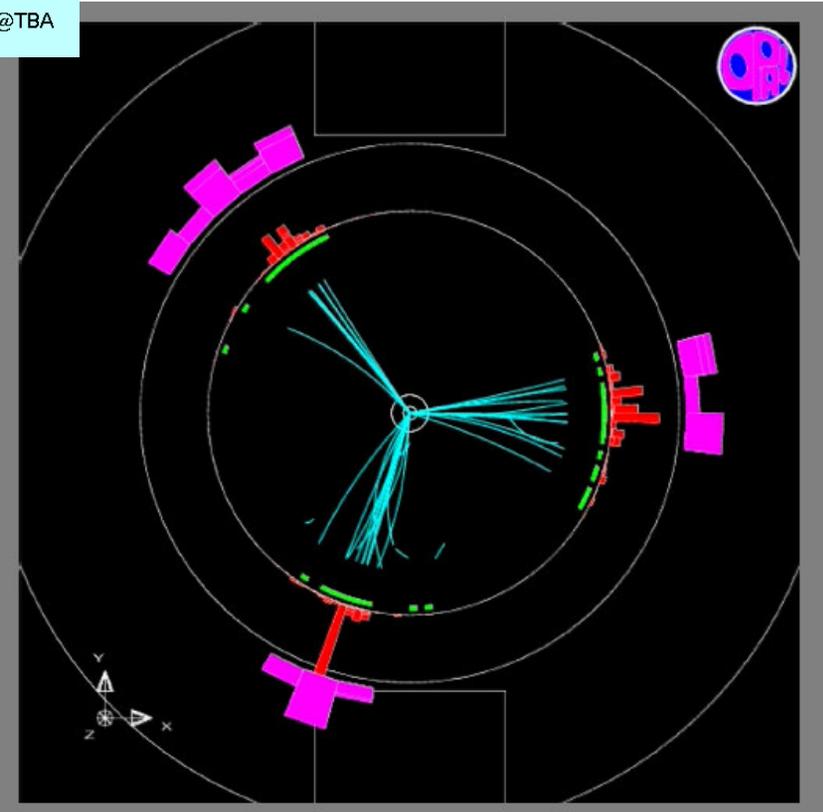
# PQCD: Jets in $e^+ e^-$ Collisions - III

## 3 jets



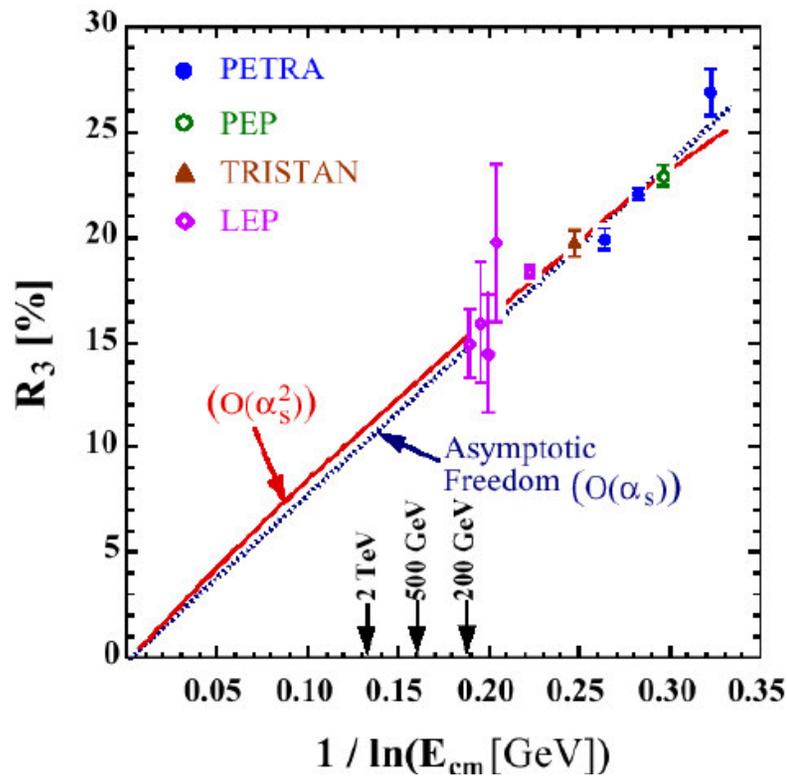
Left breathless by this exceptional 3-jet from OPAL? Relax, this is not exactly the rule...

@TBA



# PQCD: Jets in $e^+ e^-$ Collisions - IV

$$R_3 \equiv \frac{\sigma_{3\text{-jet}}}{\sigma_{\text{tot}}} \propto \alpha_s(E_{\text{cm}}) \propto \frac{1}{\ln E_{\text{cm}}}$$

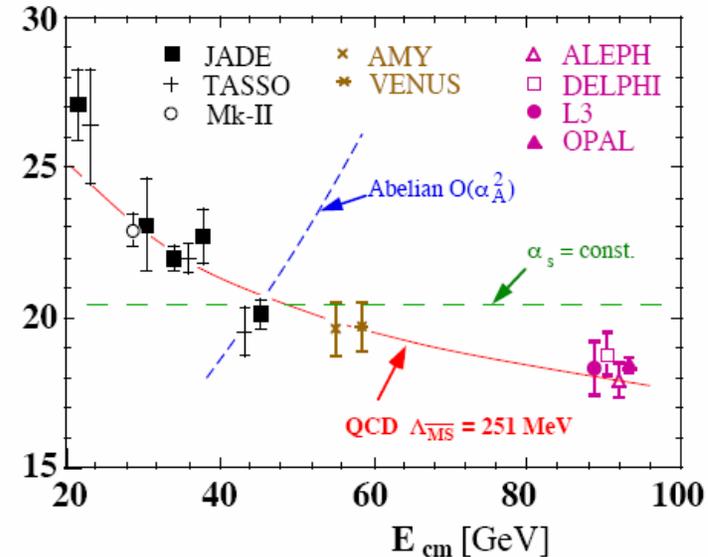


Get a measurement of  $\alpha_s$ :

$$\alpha_s(35\text{GeV}) = 0.146 \pm 0.03$$

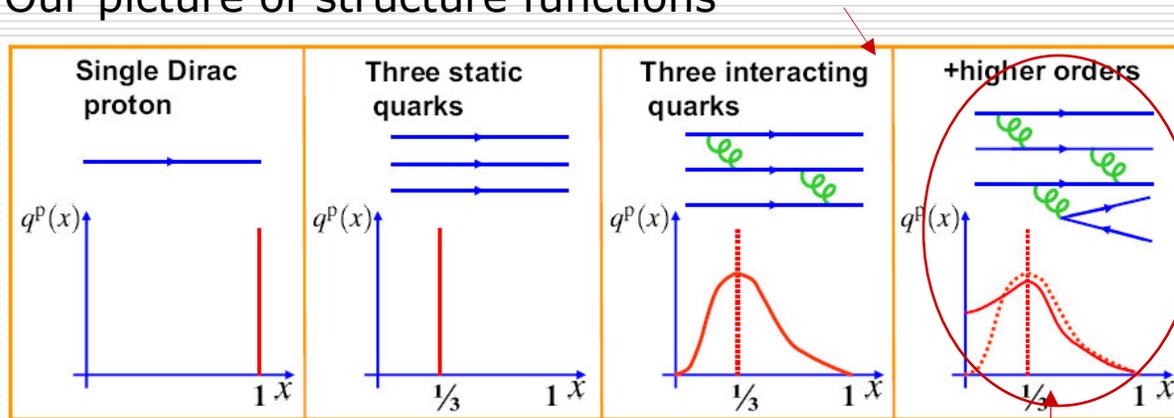
$$\alpha_s(M_{Z^0}) = 0.124 \pm 0.0043$$

$R_3(y_{\text{cut}} = 0.08)$  [%]

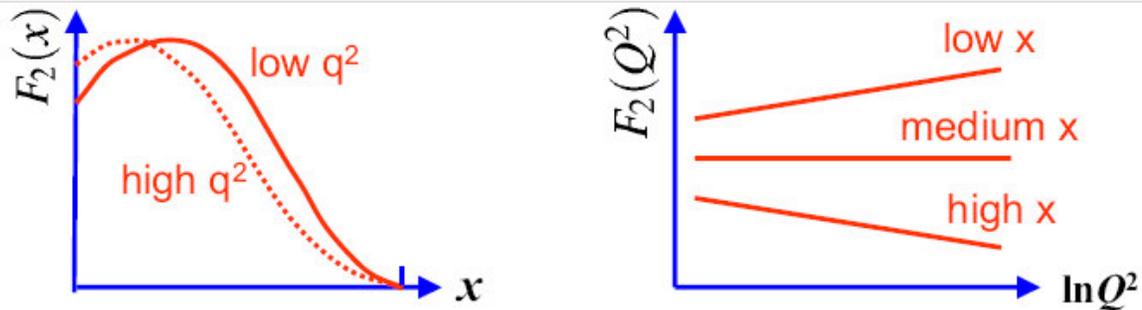


# PQCD: DIS Scaling Violations - I

Our picture of structure functions



Observe small deviations from scaling:  $F_2(x) \rightarrow F_2(x, Q^2) \rightarrow$  QCD!



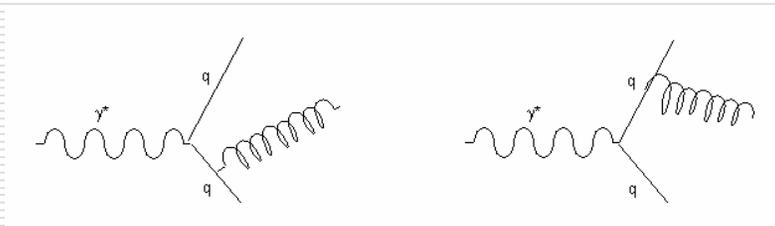
# PQCD: DIS Scaling Violations - II

---

*QCD* on  $F_2(x, Q^2)$ :

$x$ -dependence  $\rightarrow$  Not predicted

$Q^2$ -dependence  $\rightarrow$  Predicted !



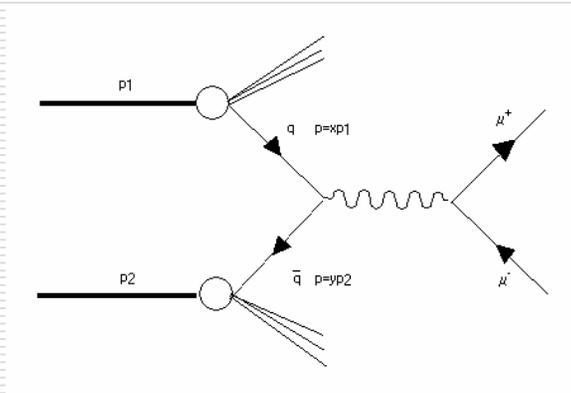
Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) equation:  
Successful prediction of  $Q^2$  evolution of structure function

$$F_2(x, Q^2) = \sum_q x e^2 \left[ q(x) + \Delta q(x, Q^2) \right]$$

$$\Delta q(x, Q^2) = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dx'}{x} q(x') P_{qq} \left( \frac{x}{x'} \right) \ln \left( \frac{Q^2}{k^2} \right) + \dots$$

Deep waters...

# PQCD: Drell-Yan - I



$$\frac{d\sigma(q\bar{q} \rightarrow l^+l^-)}{dq^2} = \frac{4\pi\alpha^2}{3q^2} e_q^2 \delta(q^2 - s_{q\bar{q}})$$

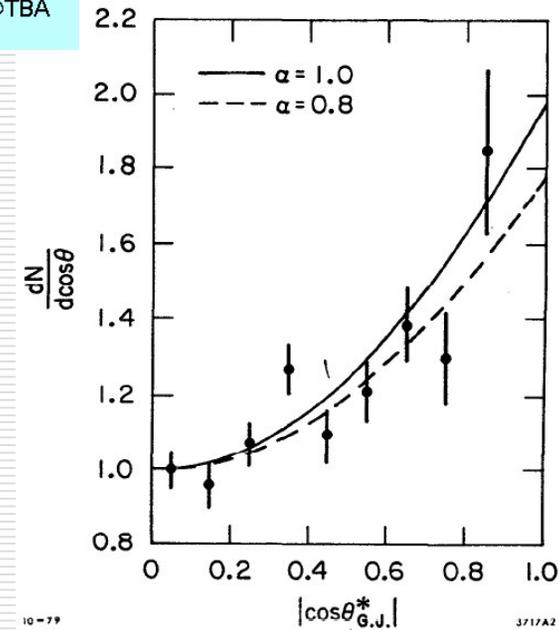
$x_1, x_2$  Bjorken  $x$  for  $q, \bar{q}$

$$s_{q\bar{q}} = (p_q + p_{\bar{q}})^2 = (x_1 p_1 + x_2 p_2)^2 \simeq x_1 x_2 s$$

$$\sigma(q\bar{q} \rightarrow l^+l^-) = \frac{4\pi\alpha^2}{3q^2} e_q^2, \quad e_q = \text{quark charge in } e \text{ units} \quad \text{Expect } \propto 1 + \cos^2 \theta^* \text{ as usual}$$

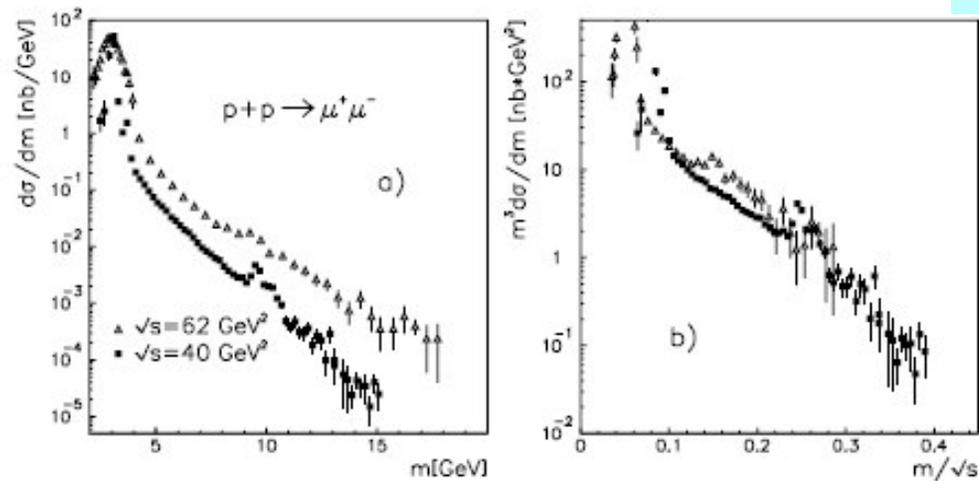
Angular distribution  
in the pair rest frame

@TBA



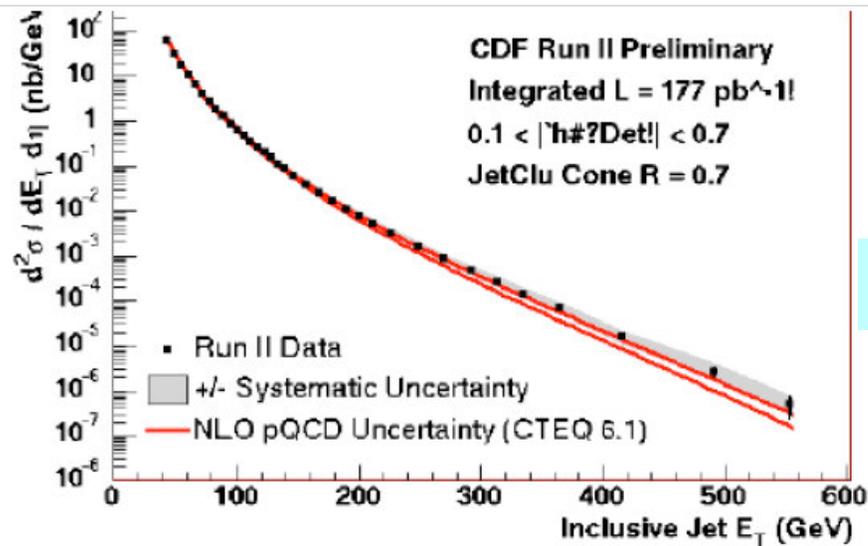
# PQCD: Drell-Yan - II

@TBA



Scaling of the pair mass distribution (= differential cross-section)

# PQCD: Jets in Hadron Collisions



@TBA

Cannot rely on triggering on a single, high  $p_{\perp}$  particle  
 Devise a calorimeter trigger based on *total transverse energy* observed

$$\sum p_{\perp}^{(i)} = \sum p_i \sin \theta_i \sim \sum E_T^{(i)}$$

# PQCD: 2-Body Partonic Processes

Consider all the 2-body processes in QCD:

$$qq \rightarrow qq, q\bar{q} \rightarrow q\bar{q}$$

Quarks only

$$qg \rightarrow qg, \bar{q}g \rightarrow \bar{q}g, gg \rightarrow gg, q\bar{q} \rightarrow gg, gg \rightarrow q\bar{q}$$

Quarks and/or Gluons

All will yield 2 jets to first approximation

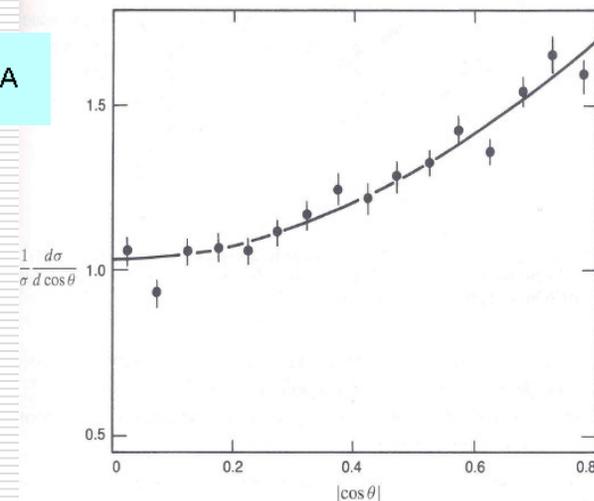
When quark only processes can be identified, expect:

$$\frac{d\sigma}{d(\cos\theta^*)} = \frac{\pi\alpha_s^2}{2s_{ij}} |M|^2$$

$$s_{ij} = (x_i p_i + x_j p_j)^2 \approx x_i x_j s$$

$$\rightarrow |M|^2 \propto 1 + \cos^2 \theta^* \quad \text{as usual}$$

@TBA



# Valence and Sea

---

Take a Hydrogen atom:

= Chemistry!

Common wisdom: "A bound state of proton + electron"

But: Consider the effect of radiative corrections (e.g. loops)

Then we should be more precise:

Hydrogen = (Proton+Electron)<sub>Valence</sub> + (Positrons+Electrons+Photons)<sub>Sea</sub>

Can we say valence and sea particles are fundamentally different? Well,...

*In a bound state, both are off mass shell*

*Both are active in yielding measurable effects (Coulomb levels vs. Lamb shift,..)*

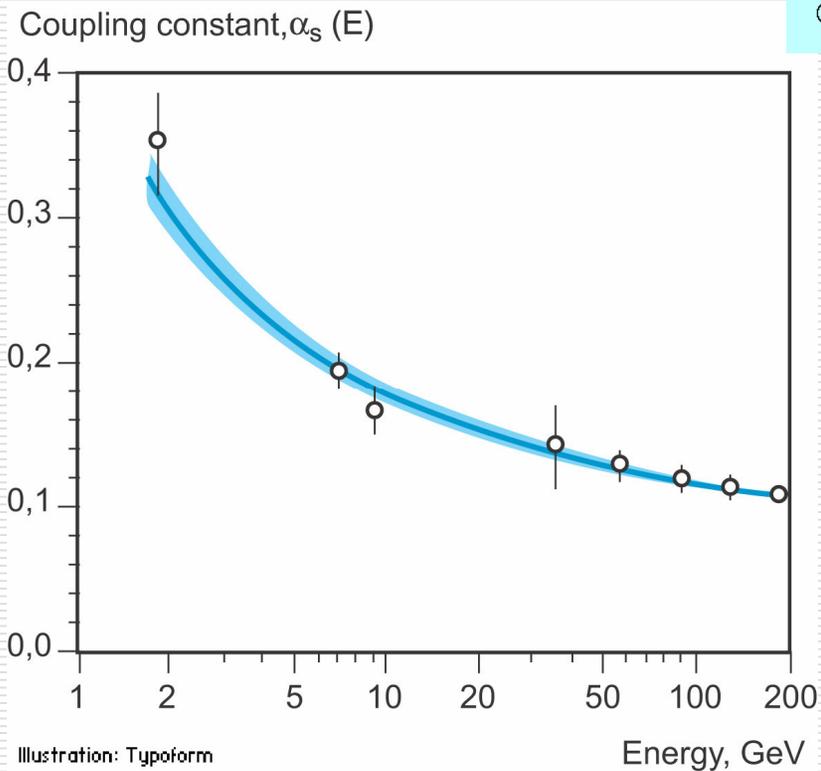
*Sea particles yield small corrections to levels determined by valence  $e+p$*

Take a hadron:

Hadron = (Quarks/Antiquarks)<sub>Valence</sub> + (Quarks/Antiquarks+Gluons)<sub>Sea</sub>

Since  $\alpha_s \gg \alpha$ , sea effects are much larger in QCD

# Running $\alpha_s$



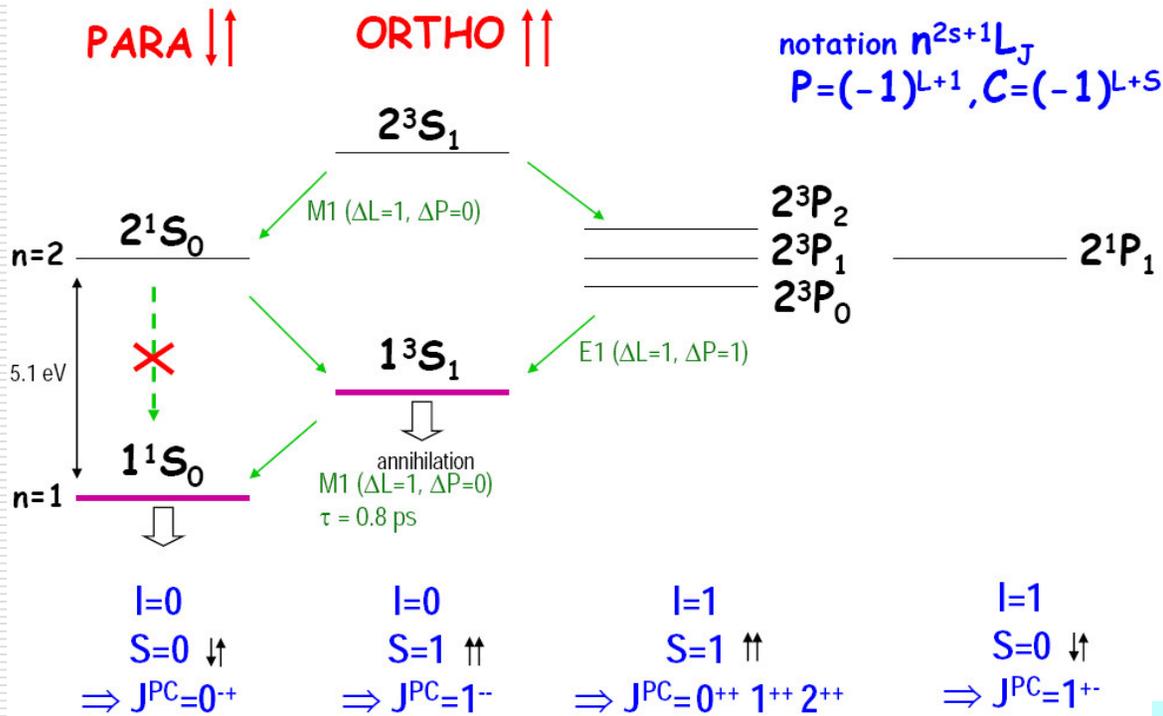
Sources:

*DIS*

*Jets*

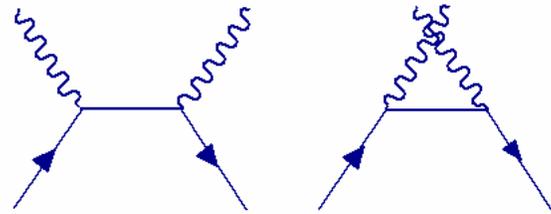
*Quarkonium*

# Positronium



@TBA

# $e^+ - e^-$ : 2 Photons Annihilation



Permutations of 2 photons  
→ 2 diagrams altogether

$$T_{fi} = T_1 + T_2$$

$$T_1 = \frac{e^2}{(p_1 - p_3)^2 - m^2} \bar{v}(2) \not{\epsilon}_4 (\not{p}_1 - \not{p}_3 + m) \not{\epsilon}_3 u(1), \quad T_2 = \frac{e^2}{(p_1 - p_4)^2 - m^2} \bar{v}(2) \not{\epsilon}_3 (\not{p}_1 - \not{p}_4 + m) \not{\epsilon}_4 u(1)$$

$$p_1 = m(1, 0, 0, 0), p_2 = m(1, 0, 0, 0), p_3 = m(1, 0, 0, 1), p_4 = m(1, 0, 0, -1)$$

$$(p_1 - p_3)^2 - m^2 = (p_1 - p_4)^2 - m^2 = -2m^2 \rightarrow T = -4e^2 \quad \text{Averaged over initial, summed over final spin projections}$$

$$\frac{d\sigma}{d\Omega} = \frac{1}{64\pi s} \frac{|\mathbf{p}_f|}{|\mathbf{p}_i|} |T|^2$$

$$|\mathbf{p}_f| = m, \quad |\mathbf{p}_i| \simeq m\beta, \quad s = (2m)^2 = 4m^2$$

$$\frac{d\sigma}{d\Omega} = \frac{1}{64\pi 4m^2} \frac{m}{m\beta} 16\alpha^2 = \frac{\alpha^2}{16\pi m^2 \beta} \rightarrow \sigma = 4\pi \frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4m^2 \beta}$$

# Positronium: 2 Photons Annihilation

Proceed as for Van-Royen - Weisskopf

$$A_{pos} = \sum_p \underbrace{\langle \gamma\gamma | T | p \rangle}_{A(\mathbf{p})} \underbrace{\langle p | \pi^0 \rangle}_{\psi(\mathbf{p})}$$

$A(\mathbf{p})$  plane wave amplitude,  $\psi(\mathbf{p})$  momentum space wave function

$$\rightarrow A_{pos} = \int d^3\mathbf{p} A(\mathbf{p}) \psi(\mathbf{p}) = \frac{1}{(2\pi)^{3/2}} \int d^3\mathbf{p} A(\mathbf{p}) \int \psi(\mathbf{r}) e^{i\mathbf{p}\cdot\mathbf{r}} d^3\mathbf{r} = \frac{1}{(2\pi)^{3/2}} \int d^3\mathbf{r} \psi(\mathbf{r}) \int A(\mathbf{p}) e^{i\mathbf{p}\cdot\mathbf{r}} d^3\mathbf{p}$$

Take  $A(\mathbf{p}) \approx A = const$

Can be shown to be true

$$\rightarrow A_{pos} \approx \frac{A}{(2\pi)^{3/2}} \int d^3\mathbf{r} \psi(\mathbf{r}) \underbrace{\int e^{i\mathbf{p}\cdot\mathbf{r}} d^3\mathbf{p}}_{(2\pi)^3 \delta^3(\mathbf{r})} = (2\pi)^{3/2} A \psi(0)$$

$$\rightarrow \Gamma_{pos} = |A_{pos}|^2 \approx (2\pi)^3 |A|^2 |\psi(0)|^2$$

$$\sigma = \frac{\alpha^2}{4m^2\beta} = |A|^2 \frac{(2\pi)^3}{\beta} \rightarrow |A|^2 = \frac{\alpha^2}{4m^2\beta} \frac{\beta}{(2\pi)^3} = \frac{\alpha^2}{(2\pi)^3 4m^2}$$

$$\rightarrow \Gamma_{pos} \approx (2\pi)^3 |A|^2 |\psi(0)|^2 = \frac{\alpha^2}{4m^2} |\psi(0)|^2$$

Since only singlet initial state contributes:

$$\Gamma_{pos} \rightarrow \frac{1}{4} \cdot \frac{\alpha^2}{4m^2} |\psi(0)|^2 = \frac{\alpha^2}{m^2} |\psi(0)|^2$$

$$\begin{aligned} U_c |2\gamma\rangle &= (-1)^2 |2\gamma\rangle \rightarrow \eta_c(2\gamma) = +1 \\ \rightarrow (-1)^{L+S} &= +1 \\ \Rightarrow L=0 &\rightarrow S=0 \\ \text{S-wave: Singlet only} \end{aligned}$$

# Positronium: 2 Photons Annihilation

---

Taking scaled Hydrogen wave function

$$\psi(r) = \frac{2}{(a_0)^{3/2}} e^{-\frac{2r}{a_0}}, a_0 = \frac{1}{\alpha m}, m \text{ reduced mass}$$

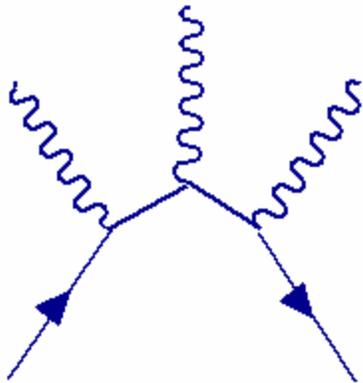
$$\text{Hyd: } m \simeq m_e \rightarrow a_0 \approx \frac{1}{\alpha m_e}$$

$$\text{Pos: } m = \frac{m_e}{2} \rightarrow a_0 = \frac{2}{\alpha m_e}$$

$$\rightarrow \psi_{pos}(0) = \frac{2}{(a_0)^{3/2}} = \frac{2(\alpha m_e)^{3/2}}{(2)^{3/2}} \rightarrow |\psi_{pos}(0)|^2 = \frac{4\alpha^3 m_e^3}{8} = \frac{\alpha^3 m_e^3}{2}$$

$$\rightarrow \Gamma_{pos} \approx (2\pi)^3 |A|^2 |\psi(0)|^2 = \frac{\alpha^2}{m_e^2} \frac{\alpha^3 m_e^3}{2} = \frac{\alpha^5 m_e}{2}$$

# Positronium: 3 Photons Annihilation



Permutations of 3 photons  
→ 6 diagrams altogether

$$U_c |3\gamma\rangle = (-1)^3 = -1 \rightarrow (-1)^{L+S} = -1 \rightarrow \begin{cases} L=0 \\ S=1 \end{cases} \text{ Triplet only}$$

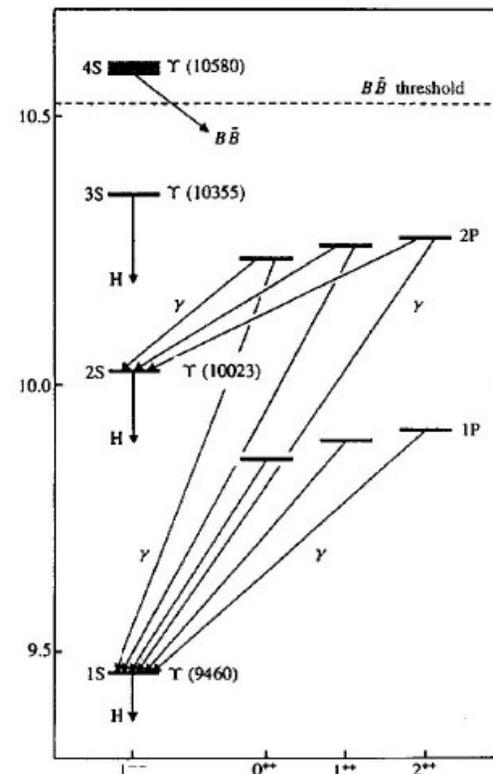
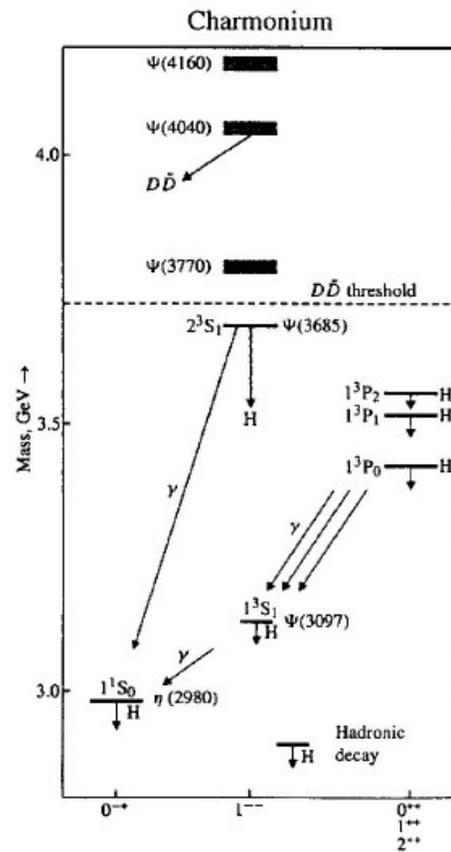
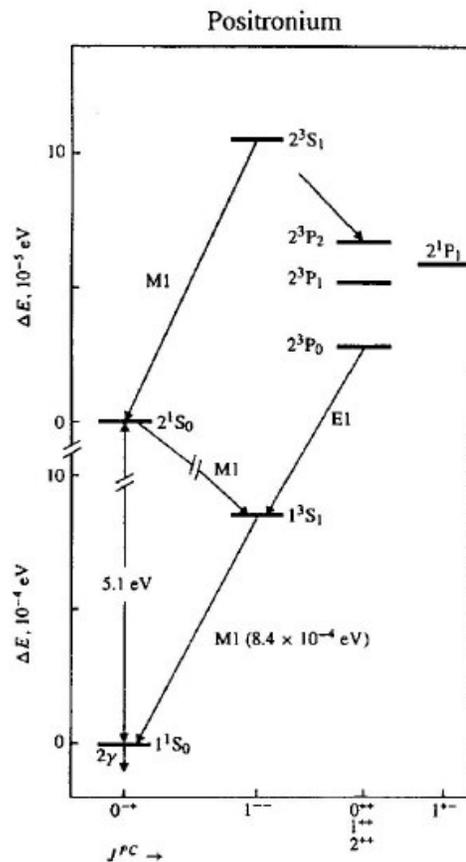
After *some* algebra...

$$\Gamma_{pos}^{3\gamma} = \frac{4}{9\pi} (\pi^2 - 9) \frac{\alpha^3}{m_e^2} |\psi(0)|^2 = \frac{2}{9\pi} (\pi^2 - 9) m_e \alpha^6$$

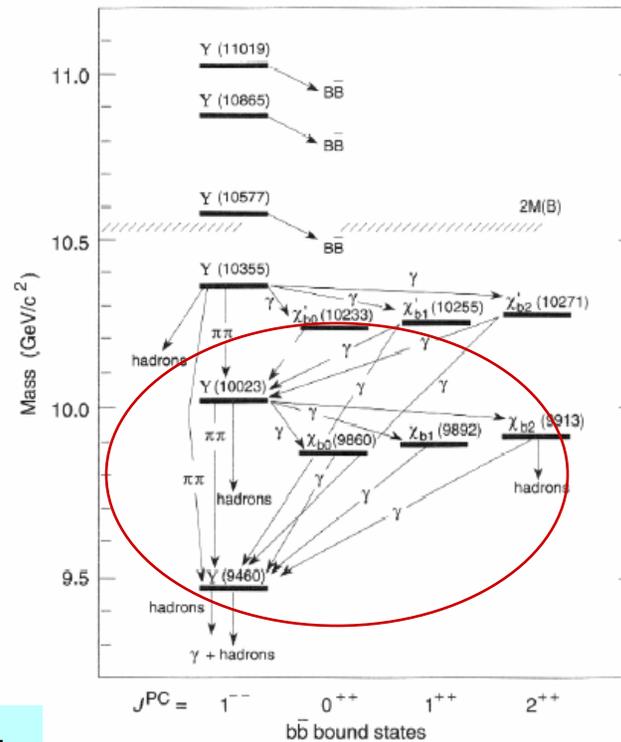
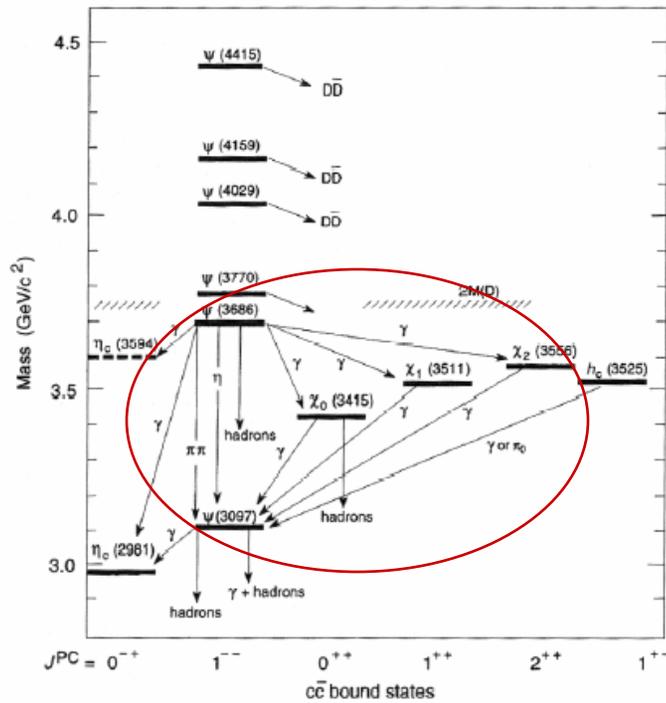
# Quarkonium

Family portrait of *-onia*:

@TBA



# Real Life Quarkonia



@TBA

Striking similarity, same energy scale *above ground state*

# Quarkonium: Schrodinger Equation

---

Reminder: Bohr radius

$$R = \frac{n^2}{\alpha m} \rightarrow R_0 = \frac{1}{\alpha m}$$

Consequence of Coulomb potential, static limit of 1 photon diagram

If 1 gluon exchange approximation can be granted, expect for quarkonium

$$R_{q\bar{q}} \sim \frac{1}{\alpha_s m}$$

Observe:  $m$  large  $\rightarrow R$  small  $\rightarrow \alpha_s$  small QCD OK

Must keep in mind the  $q\bar{q}$  potential is confining

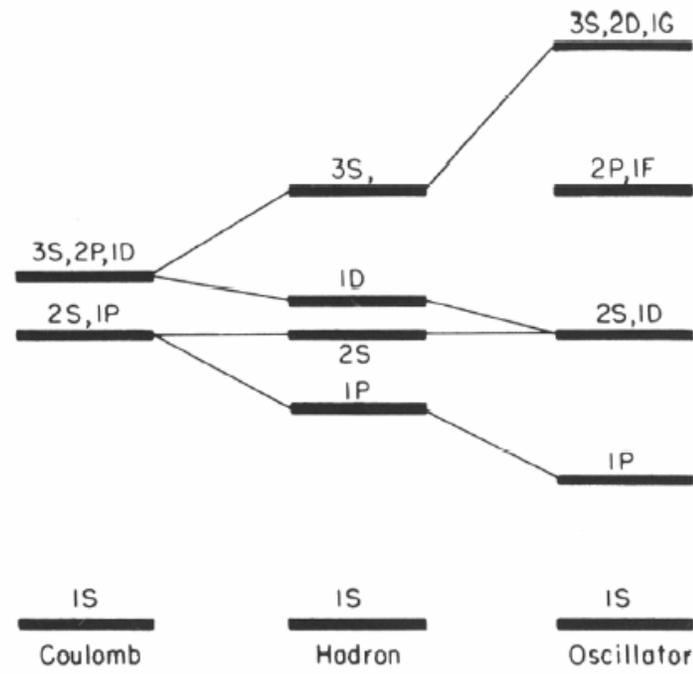
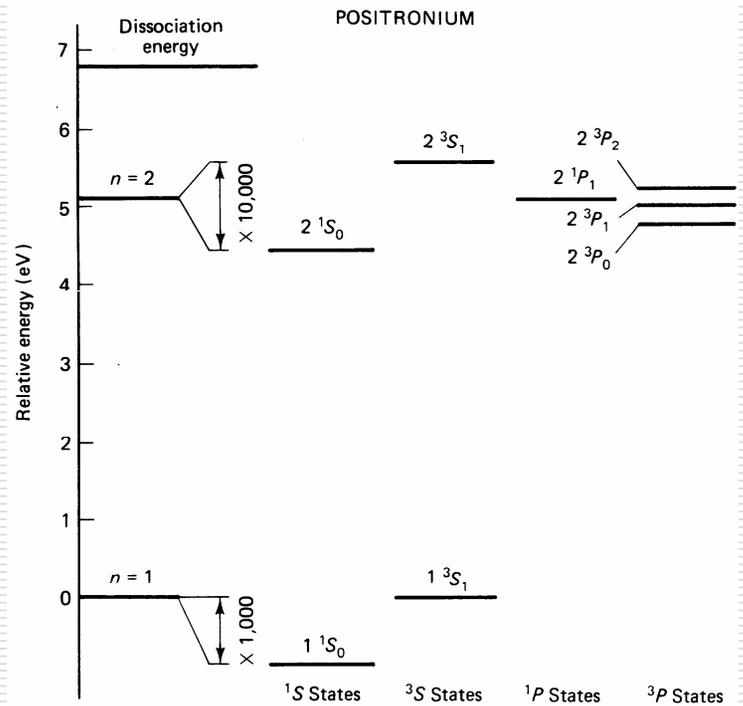
Add a phenomenological, confining term like this:

$$V(r) = -\frac{4}{3} \frac{\alpha_s}{r} + ar$$

Solve Schrodinger equation with these terms

Add more terms to take into account relativistic & color-hyperfine effects

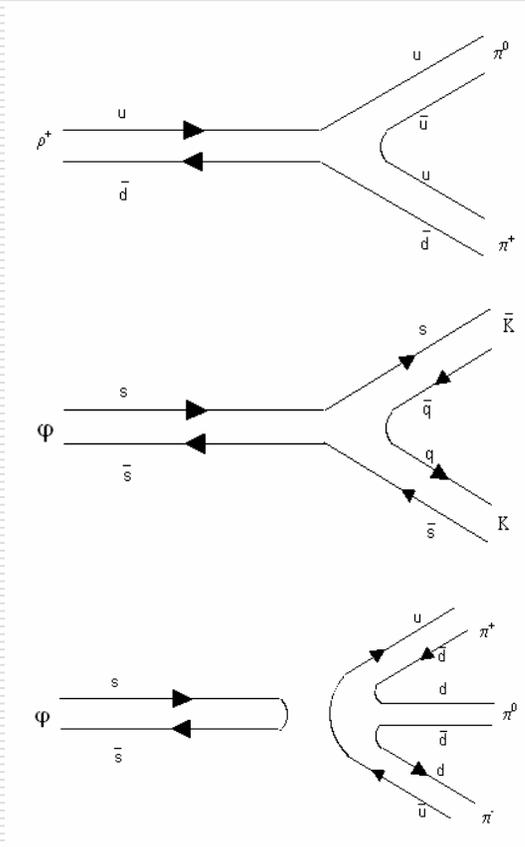
# The $q\bar{q}$ Effective Potential: Levels



@TBA

# Quark Flow Diagrams: The OZI Rule

Okubo-Zweig-Iizuka Rule: *Disconnected diagrams are suppressed*

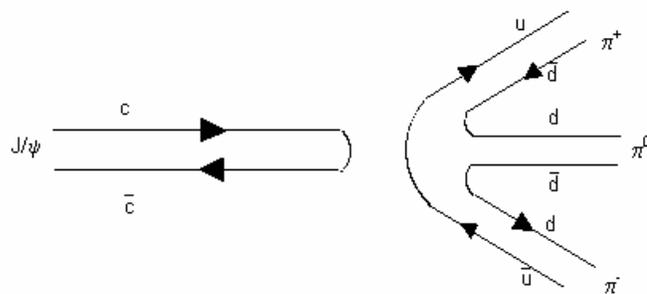
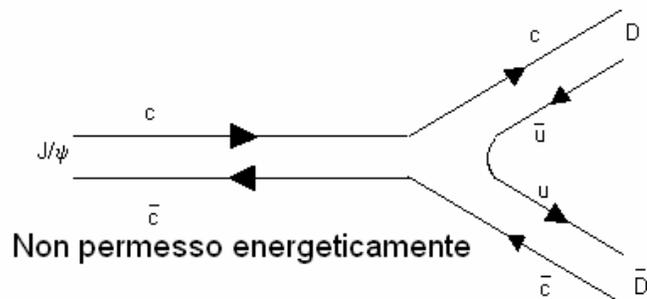


This diagram is connected

This diagram is connected: *BR 83 %*  
(with smallish phase space)

This diagram is disconnected: *BR 15 %*  
(with much larger phase space)

# The OZI Rule and Charmonium



Compare mass and width

$$m_{J/\psi} = 3097 \text{ MeV}, \Gamma_{J/\psi} = 80 \text{ keV} \quad J^{PC} = 1^{-}$$

$$m_{\psi'} = 3686 \text{ MeV}, \Gamma_{\psi'} = 250 \text{ keV} \quad J^{PC} = 1^{-}$$

Explaining the small width:

$$m_{D^0} = 1865 \text{ MeV} \rightarrow 2 \times m_{D^0} = 3730 \text{ MeV} > m_{J/\psi}, m_{\psi'}$$

Therefore  $J/\psi, \psi'$  decay to open charm is energetically forbidden

→ Decay diagrams are disconnected

→ OZI rule: Decay is suppressed

→ *States are very narrow*

# The Origin of the OZI Rule

---

As a general rule

$\rightarrow A \propto \alpha_s^n$   $n = \text{number of gluons}$

*Connected diagrams: Small number of soft gluons  $\rightarrow A = \text{large}$*

*Disconnected diagrams: Large number of hard gluons  $\rightarrow A = \text{small}$*

Indeed:

- 1) Single gluon annihilation is forbidden for mesons by color conservation (meson = **1**, gluon = **8**)
- 2) Annihilation of massive quarks yields hard gluons  $\rightarrow \alpha_s$  is small
- 3) Connected diagrams involve softer gluons  $\rightarrow \alpha_s$  is large

# Quarkonium: 2,3 Gluons

Consider quarkonium annihilation into gluons:

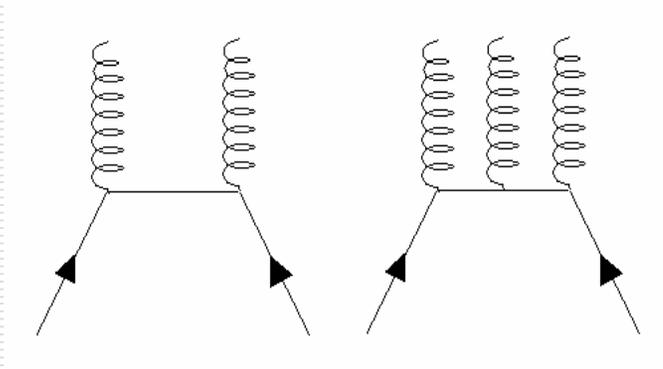
$q\bar{q} \rightarrow g$  Excluded:  $(q\bar{q})_1 \not\rightarrow (1g)_8$

$q\bar{q} \rightarrow gg$  Allowed

$q\bar{q} \rightarrow ggg$  Allowed

Decompose the direct product of 2 octets:

$$8 \otimes 8 = 1 \oplus 8 \oplus 8 \oplus 10 \oplus 10^* \oplus 27$$



Charge Parity:

$$J^{PC} = 0^{-+} \rightarrow C = +1 \rightarrow 2g \text{ OK}$$

$$J^{PC} = 1^{--} \rightarrow C = -1 \rightarrow 3g \text{ OK}$$

Perturbative regime:  $A(2g) > A(3g)$   
 → Pseudoscalars wider than vectors

# Quarkonium Annihilations

By comparison with positronium:

$$(e^+e^-)_{\text{positronium}} \rightarrow \gamma\gamma$$

$$\Gamma[(e^+e^-) \rightarrow \gamma\gamma] = \frac{\alpha^2}{m^2} |\psi(0)|^2$$

$$(c\bar{c})_{\text{charmonium}} \rightarrow \gamma\gamma$$

$$\left\{ \begin{array}{l} e \rightarrow \frac{2}{3}e \rightarrow \alpha \rightarrow \frac{4}{9}\alpha \text{ Quark charge} \\ \times 9 \text{ Sum amplitude over colors} \end{array} \right.$$

$$\Gamma[(c\bar{c}) \rightarrow \gamma\gamma] = \frac{48\alpha^2}{27m_c^2} |\psi_{c\bar{c}}(0)|^2$$

$$(c\bar{c})_{\text{charmonium}} \rightarrow gg$$

Color factor =  $\frac{9}{8}$  From SU(3) algebra: 2  $g$  in a color singlet state

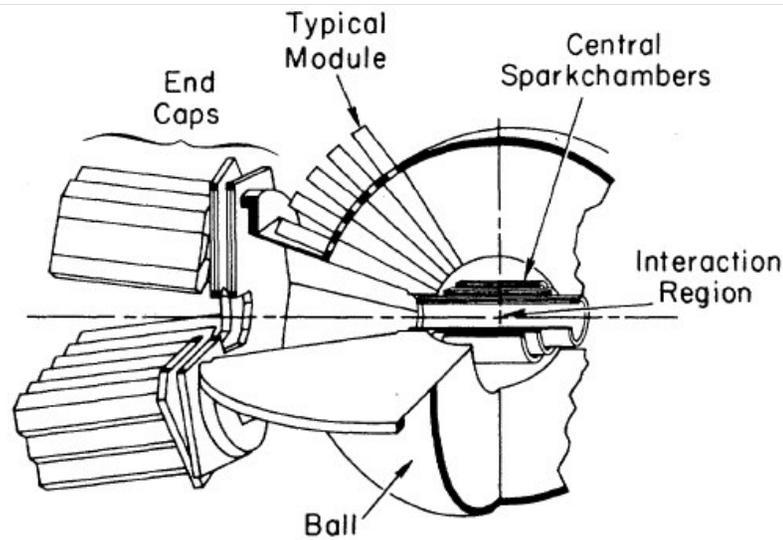
$$\Gamma[(c\bar{c}) \rightarrow gg] = \frac{2\alpha_s^2}{3m_c^2} |\psi_{c\bar{c}}(0)|^2$$

But remember:

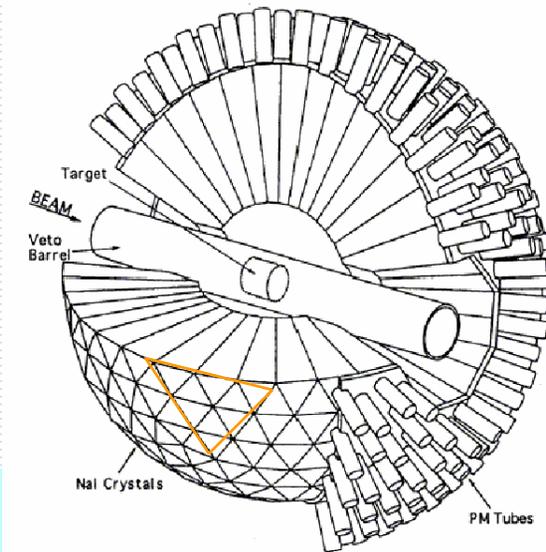
Positronium rate was obtained by taking the low speed limit of scattering amplitude to 1-photon approx

Is it granted for  $c\bar{c}$  ?

# Crystal Ball - I



94% of solid angle covered



@TBA

## Sodium Iodide

$NaI(Tl)$ : Inorganic scintillating crystal;  $Tl$  is an activator

### Merits:

Can grow large crystals

Lots of light

# Crystal Ball - II

672 optically isolated NaI(Tl) crystals, 15.7 radiation lengths thick  
Inner radius 25.3 cm; Outer radius 66.0 cm

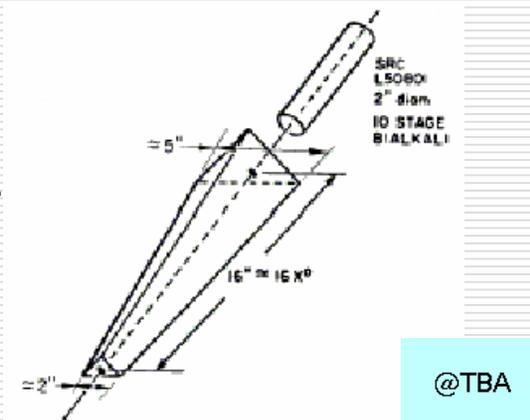
CB geometry: Based on icosahedron.

Each of the 20 triangular faces (major triangles) is divided into four minor triangles, each consisting of nine separated crystals.

Each crystal: Truncated triangular pyramid, 40.6 cm high, pointing towards the center of the Ball.

Side on the inner end: 5.1 cm ; Side on the outer end: 12.7 cm

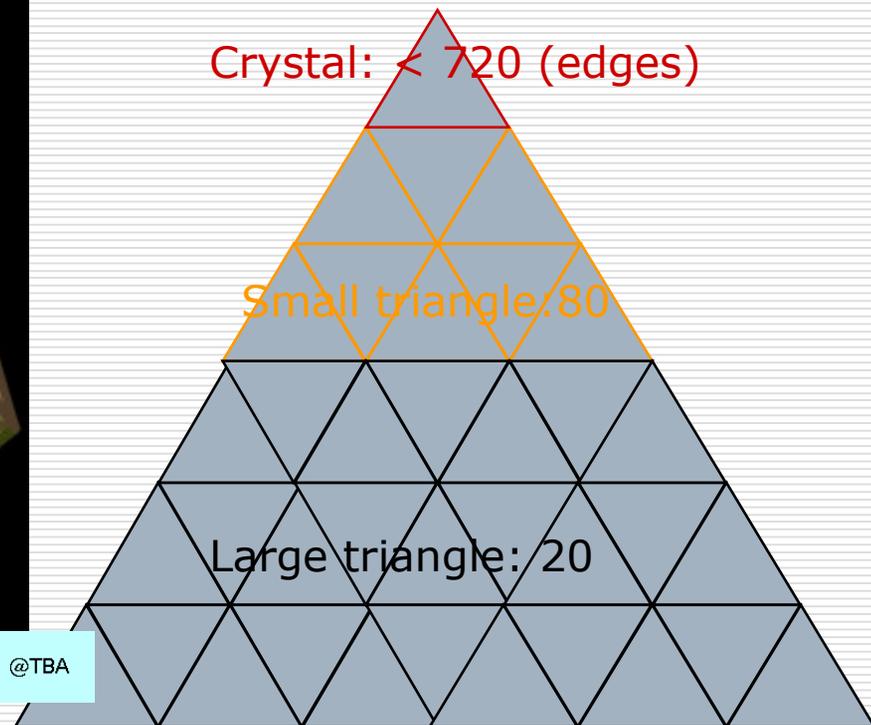
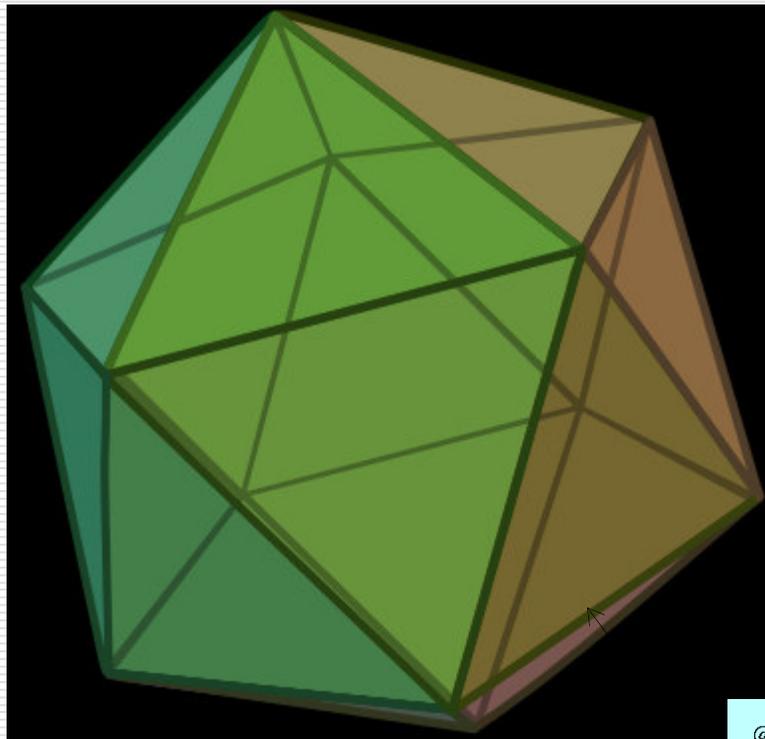
Crystal & Photomultiplier



# Crystal Ball - III

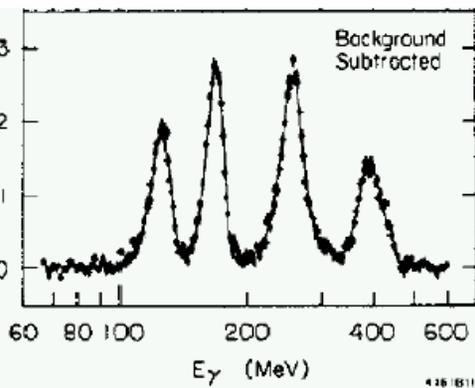
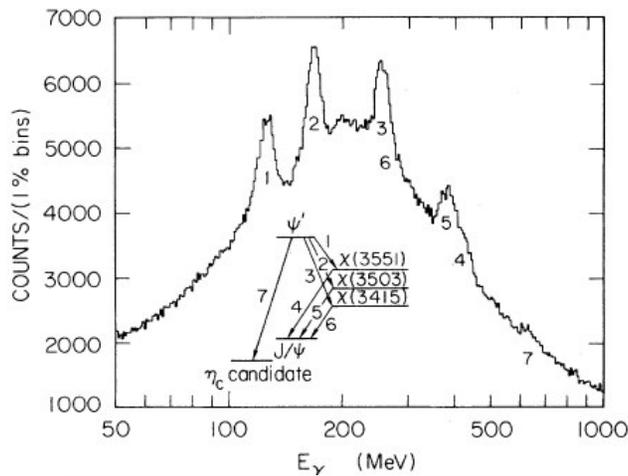
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Icosahedron magic: Platonic solid, 20 equilateral triangle faces



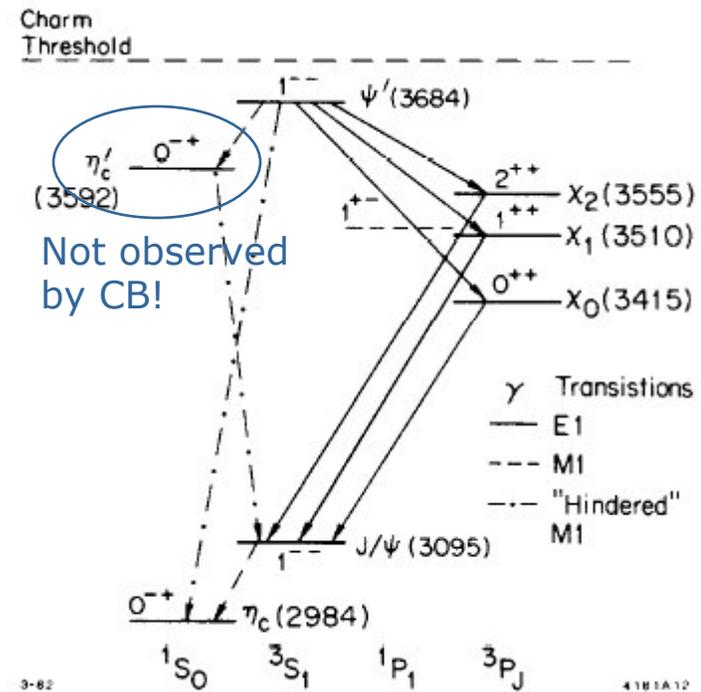
# Crystal Ball - IV

Inclusive photon spectrum



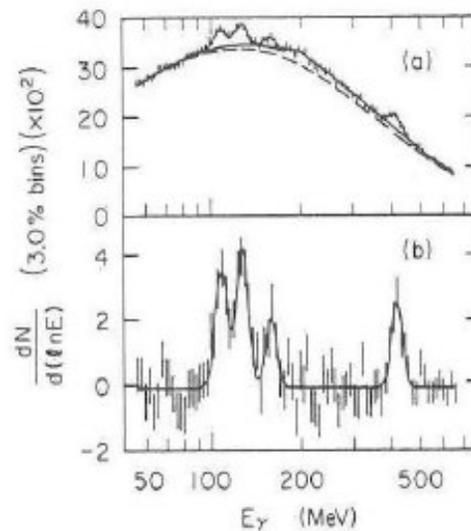
@TBA

Most important results, among many:  
*Tune beam energy as to form  $\psi'(3686)$*   
*Observe decays into photon + X*



# Crystal Ball - V

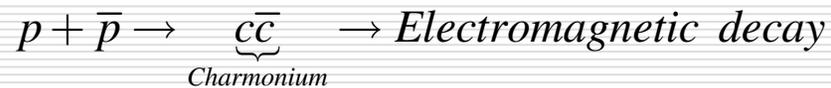
After moving the CB detector to DORIS (DESY, Hamburg): Bottomonium!  
Observation of the P-wave triplets



@TBA

Figure 11.2: The photon spectrum from  $\Upsilon'$  decays obtained by the Crystal Ball Collaboration at DORIS II. A triplet of lines corresponding to  $\Upsilon' \rightarrow \gamma\chi_b(^3P_{2,1,0})$  is seen between 100 and 200 MeV. The decays  $\chi_b \rightarrow \gamma\Upsilon$  produce the unresolved signal between 400 and 500 MeV [R. Nernst et al., *Phys. Rev. Lett.* 54, 2195 (1985)].

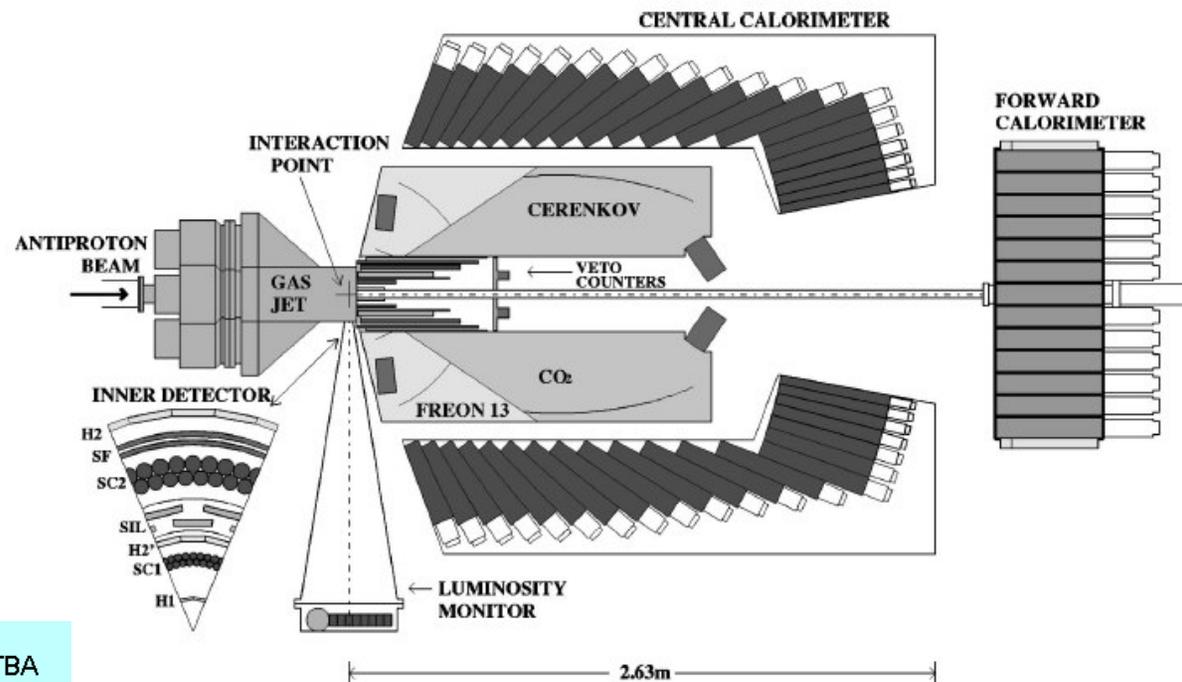
# Another Side of Charmonium - I



Circulating  $\bar{p}$  Beam:  
Excellent  $E$  resolution

Gas jet target:  
Reduced  $E$  loss

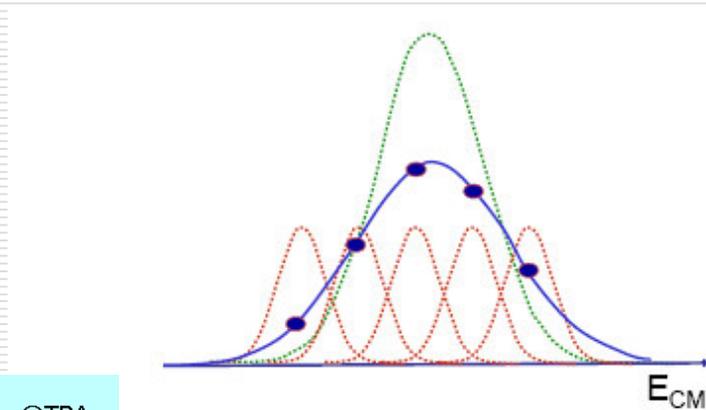
Non magnetic detector:  
EM Calorimeter, Tracking,  
Cerenkov



@TBA

# Another Side of Charmonium - II

Concept of resonance scan: A fixed target, formation experiment  
*Move the beam energy in small steps across the energy range of a given resonant state*  
*Measure the decay rate of the state at each step*



Rate

Resonance profile  
Typical width  $\Gamma < 1 \text{ MeV}$  for  $c\bar{c}$

Beam profile  
Typical resolution  $\sigma(E_{CM}) \sim 0.2 \text{ MeV}$

*Get resonance mass, width, coupling by deconvolving the beam profile from the observed rate*

# Another Side of Charmonium - III

Electrons: *Cerenkov + Calorimeter + Tracking*  
 → Very low background to  $e^+ e^-$

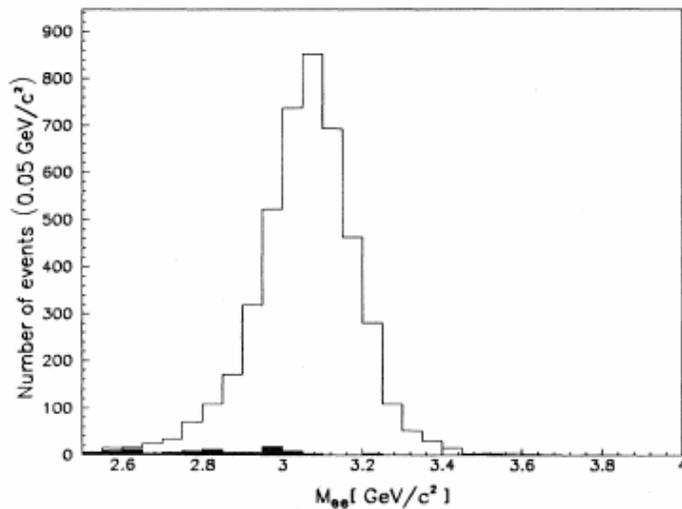
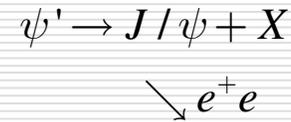


FIG. 5. Invariant mass distribution of electron pairs for the 1991  $J/\psi$  scan (open area) and for the off-resonance background normalized to the same luminosity (shaded area). For this figure only the level of background has been multiplied by a factor of 10 to make it discernible.

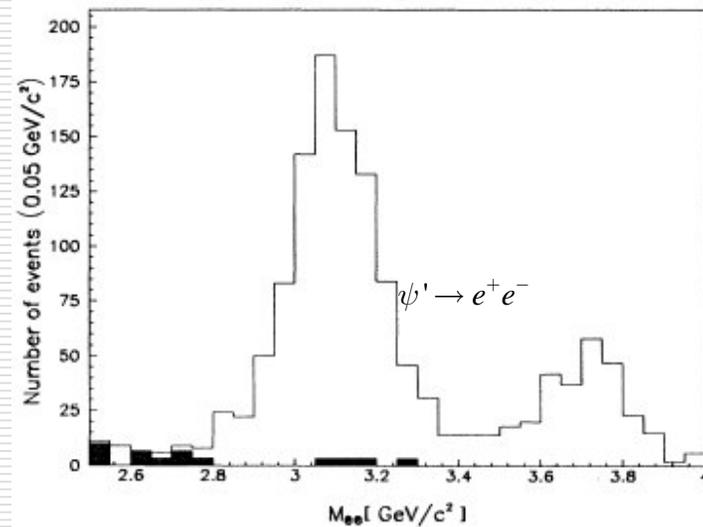


FIG. 6. Invariant mass distribution of electron pairs for the 1991  $\psi'$  scan (open area) and for the off-resonance background normalized to the same luminosity (shaded area).

@TBA

$M_{e^+e^-}$  from scan across  $J/\psi$

$M_{e^+e^-}$  from scan across  $\psi'$

# Another Side of Charmonium - IV

A few results..

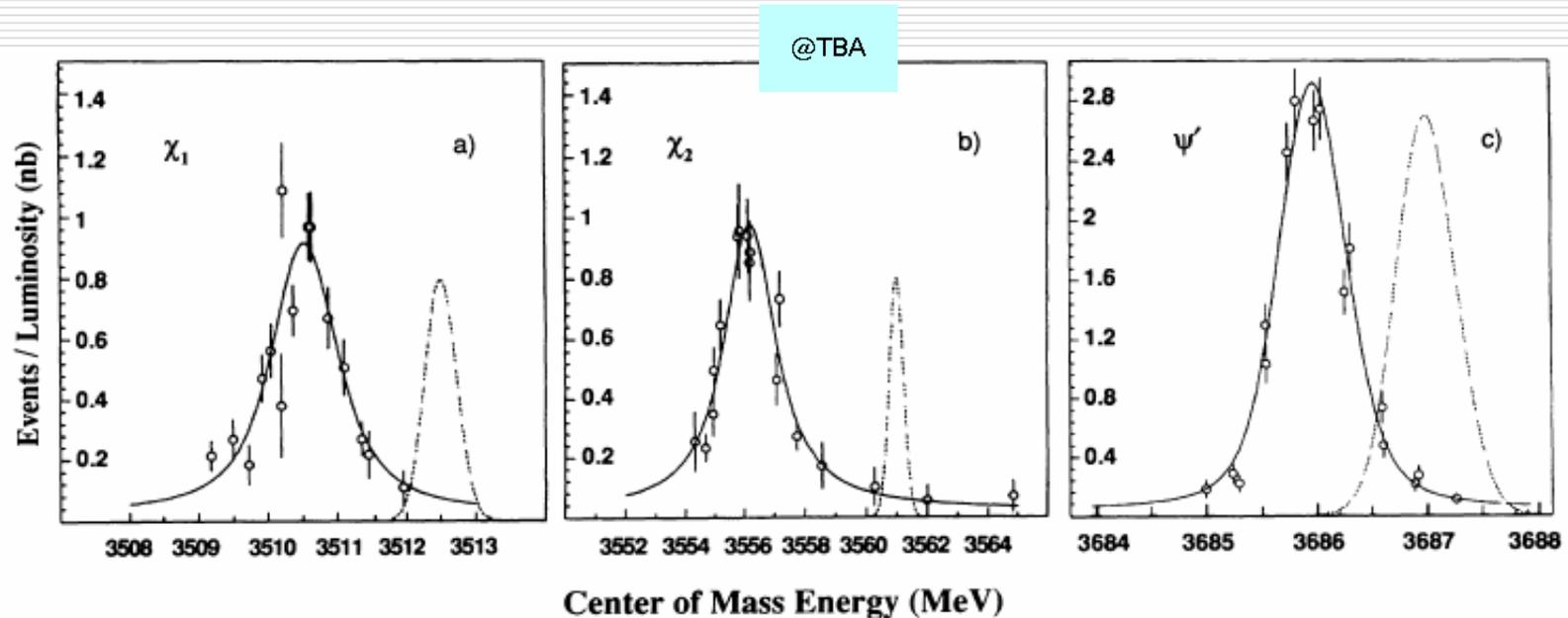


FIG. 3. Events per unit luminosity for the energy scan at (a) the  $\chi_{c1}$ , (b) the  $\chi_{c2}$ , and (c) the  $\psi'$ . The solid line represents the best fit with the data. The dashed line shows a typical resolution in the center-of-mass energy (arbitrary vertical units).

# Quarkonia on PDG

## Hidden Charm

$c\bar{c}$	
• $\eta_c(1S)$	$0^+(0^-+)$
• $J/\psi(1S)$	$0^-(1^- -)$
• $\chi_{c0}(1P)$	$0^+(0^++)$
• $\chi_{c1}(1P)$	$0^+(1^++)$
• $h_c(1P)$	$?^2(?^{??})$
• $\chi_{c2}(1P)$	$0^+(2^++)$
• $\eta_c(2S)$	$0^+(0^-+)$
• $\psi(2S)$	$0^-(1^- -)$
• $\psi(3770)$	$0^-(1^- -)$
• $X(3872)$	$0^2(?^2+)$
• $\chi_{c2}(2P)$	$0^+(2^++)$
• $Y(3940)$	$?^2(?^{??})$
• $\psi(4040)$	$0^-(1^- -)$
• $\psi(4160)$	$0^-(1^- -)$
• $Y(4260)$	$?^2(1^- -)$
• $\psi(4415)$	$0^-(1^- -)$

## Hidden Bottom

$b\bar{b}$	
$\eta_b(1S)$	$0^+(0^-+)$
• $\Upsilon(1S)$	$0^-(1^- -)$
• $\chi_{b0}(1P)$	$0^+(0^++)$
• $\chi_{b1}(1P)$	$0^+(1^++)$
• $\chi_{b2}(1P)$	$0^+(2^++)$
• $\Upsilon(2S)$	$0^-(1^- -)$
• $\Upsilon(1D)$	$0^-(2^- -)$
• $\chi_{b0}(2P)$	$0^+(0^++)$
• $\chi_{b1}(2P)$	$0^+(1^++)$
• $\chi_{b2}(2P)$	$0^+(2^++)$
• $\Upsilon(3S)$	$0^-(1^- -)$
• $\Upsilon(4S)$	$0^-(1^- -)$
• $\Upsilon(10860)$	$0^-(1^- -)$
• $\Upsilon(11020)$	$0^-(1^- -)$

@TBA

# Non perturbative QCD

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Needed to deal with *bound states* and *soft interaction* regime

Very difficult problem

Different approaches available:

*Lattice QCD*

*Chiral perturbation theory*

*NRQCD*

*Heavy quark effective theory*

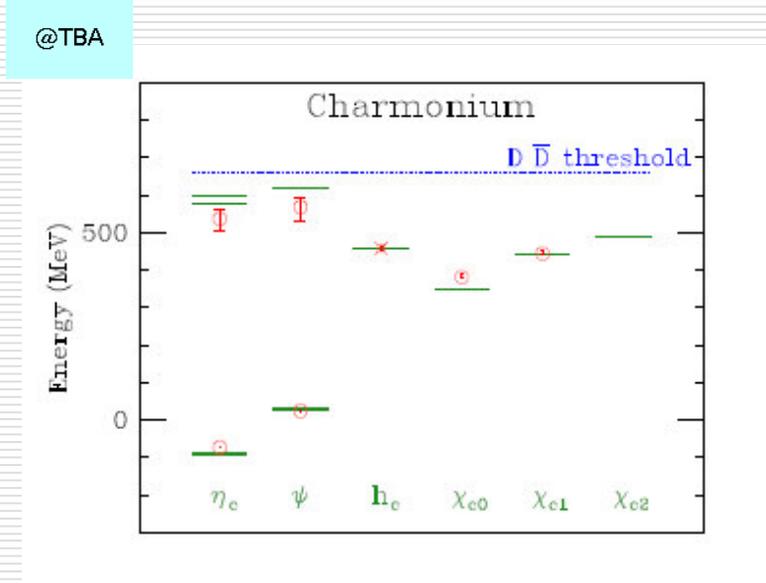
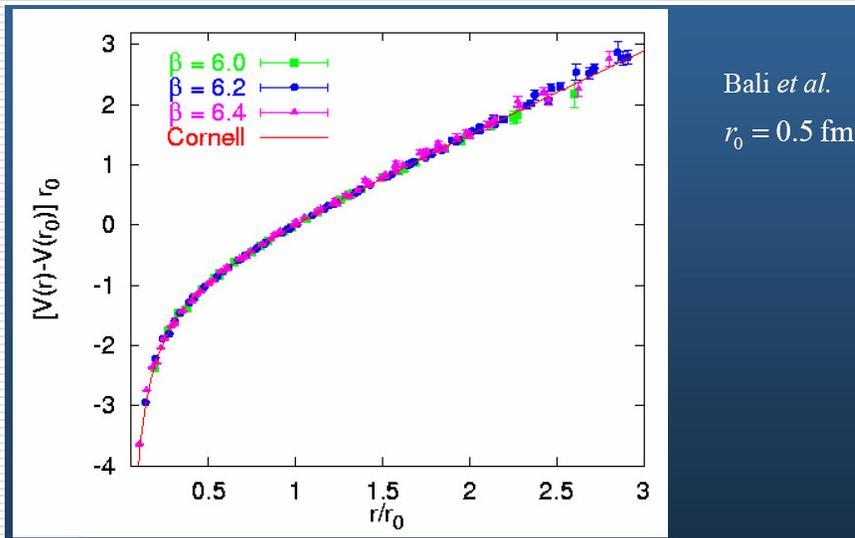
...

Deep waters, not even surfed in this course

# Lattice QCD

Perform QCD calculations over a discretized space-time (lattice)

$q\bar{q}$  potential from lattice



$$V(r) = -\frac{4}{3} \frac{\alpha_s}{r} + ar \quad : \quad \text{Not a bad idea after all...}$$

Example:  
 Charmonium levels from lattice