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# Elementary Particles II

## 3 – Flavor Physics and CP Violation

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Quark Mixing – CKM –  $K^0$  Strangeness oscillations – CP violation  
Extension to Bottom and Charm – FCNC and Physics Beyond the  
Standard Model

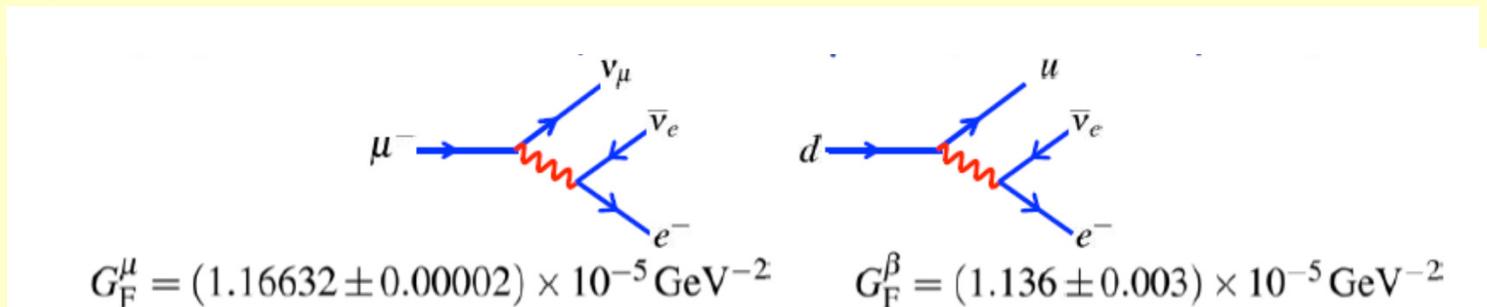
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# Quark Mixing - I

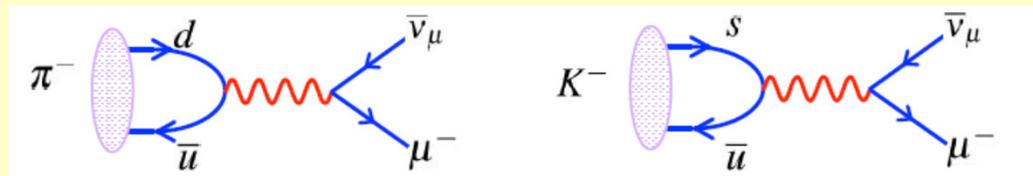
Reminder:

Fermi constant from  $\mu$  decay  $\simeq$  Fermi constant from  $\beta$  decay

Tiny difference:



Kaon decay suppressed by a factor  $\sim 20$  as compared to  $\pi$  decay



# Quark Mixing - II

Cabibbo explanation:

Weak eigenstates

Strong (mass) eigenstates

$$\begin{pmatrix} d' \\ s' \end{pmatrix} = \begin{pmatrix} \cos \theta_c & \sin \theta_c \\ -\sin \theta_c & \cos \theta_c \end{pmatrix} \begin{pmatrix} d \\ s \end{pmatrix}$$

Weak charged currents: Linear combinations of different flavors

$$\theta_c \approx 13.1^\circ$$

Unique value for Cabibbo angle explaining many strange particle decays

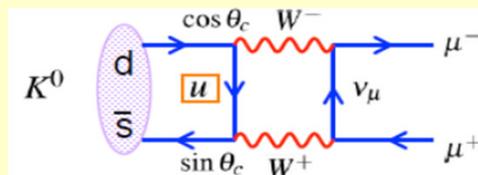
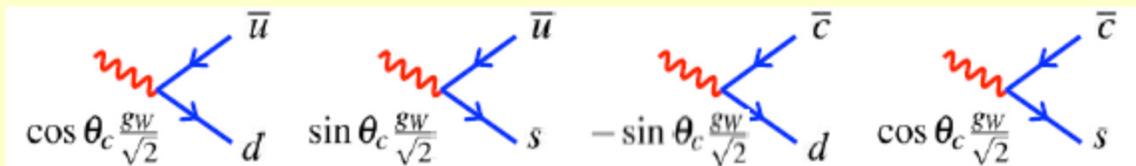
Strong support for universality of weak interaction

# Quark Mixing - III

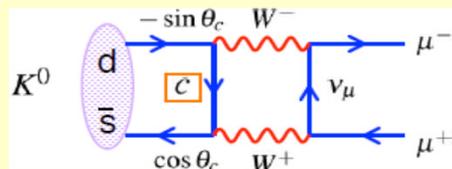
Another mystery

$$BR(K^0 \rightarrow \mu^+ \mu^-) \sim 10^{-8} BR(K^+ \rightarrow \mu^+ \nu_\mu)$$

GIM explanation:



$$M_1 \propto g_W^4 \cos \theta_c \sin \theta_c$$



$$M_2 \propto -g_W^4 \cos \theta_c \sin \theta_c$$

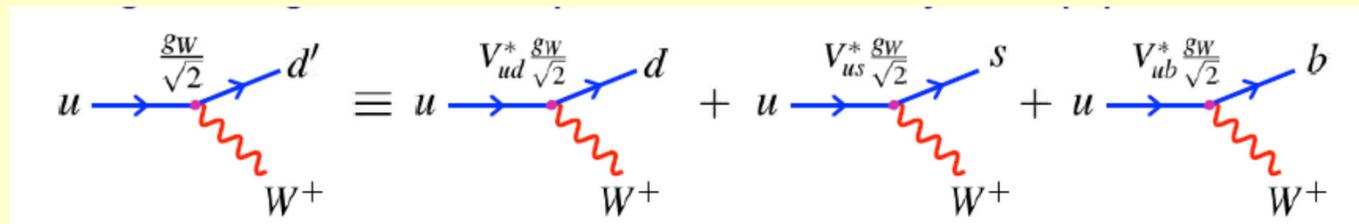
Tiny BR left due to  $m_c \neq m_u$  in the virtual quark propagator

# Quark Mixing - IV

Extend mixing to 3 families:

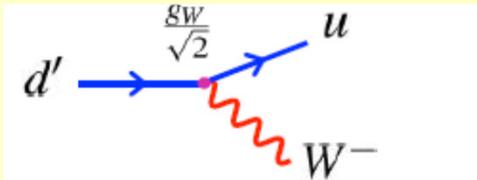
$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = V_{(d)}^\dagger \begin{pmatrix} d \\ s \\ b \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}, \quad \begin{pmatrix} u' \\ c' \\ t' \end{pmatrix} = V_{(u)}^\dagger \begin{pmatrix} u \\ c \\ t \end{pmatrix}$$

→ Conventionally: Mixing of  $d$ -like quarks only



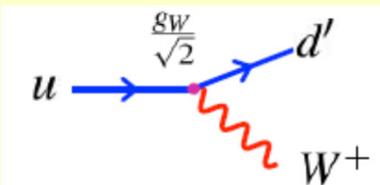
# Quark Mixing - V

Encode mixing CKM matrix element into charged current



$$j_{d'u} = \bar{u} \left[ -i \frac{g_W}{\sqrt{2}} \gamma^\mu \frac{1}{2} (1 - \gamma^5) \right] d'$$

$$j_{du} = \bar{u} \left[ -i \frac{g_W}{\sqrt{2}} \gamma^\mu \frac{1}{2} (1 - \gamma^5) \right] V_{ud} d$$



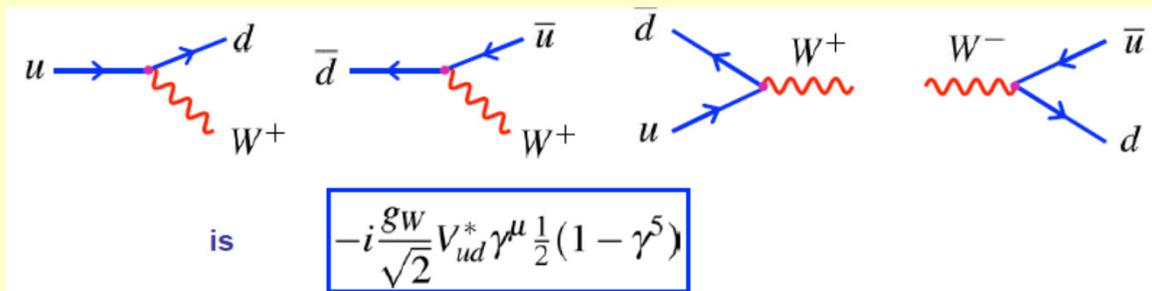
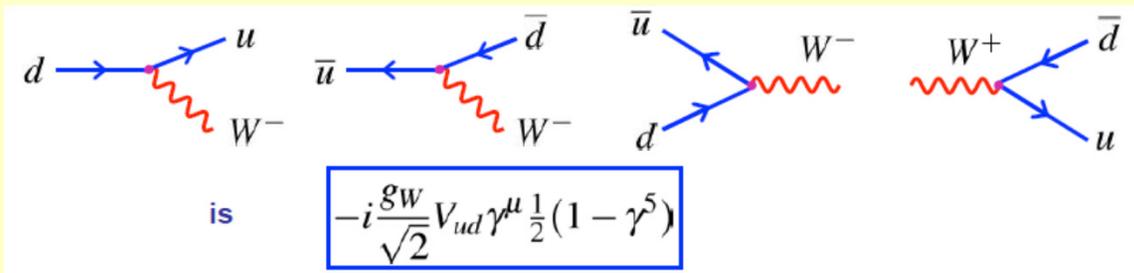
$$j_{ud'} = \bar{d}' \left[ -i \frac{g_W}{\sqrt{2}} \gamma^\mu \frac{1}{2} (1 - \gamma^5) \right] u$$

$$\bar{d}' = d'^{\dagger} \gamma^0 \rightarrow (V_{ud} d)^{\dagger} \gamma^0 = V_{ud}^* d^{\dagger} \gamma^0 = V_{ud}^* \bar{d}$$

$$j_{ud} = \bar{d} V_{ud}^* \left[ -i \frac{g_W}{\sqrt{2}} \gamma^\mu \frac{1}{2} (1 - \gamma^5) \right] u$$

# Quark Mixing - VI

Charged current :  $qq, \bar{q}\bar{q}, q\bar{q}$



# Quark Mixing - VII

CKM elements involving  $t$  quark poorly known

$$\begin{pmatrix} |V_{ud}| & |V_{us}| & |V_{ub}| \\ |V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}| & |V_{ts}| & |V_{tb}| \end{pmatrix} \approx \begin{pmatrix} 0.974 & 0.226 & 0.004 \\ 0.23 & 0.96 & 0.04 \\ ? & ? & ? \end{pmatrix}$$

Fill missing elements by unitarity:  $|V_{ub}|^2 + |V_{cb}|^2 + |V_{tb}|^2 = 1$  etc.

$$\begin{pmatrix} 0.97427 \pm 0.00015 & 0.22534 \pm 0.00065 & 0.00351^{+0.00015}_{-0.00014} \\ 0.22520 \pm 0.00065 & 0.97344 \pm 0.00016 & 0.0412^{+0.0011}_{-0.0005} \\ 0.00867^{+0.00029}_{-0.00031} & 0.0404^{+0.0011}_{-0.0005} & 0.999146^{+0.000021}_{-0.000046} \end{pmatrix}$$

# CKM - I

Generic mixing matrix:

Mixing weak eigenstates into mass eigenstates (or the opposite)

$3 \times 3$  Unitary matrix:

9 complex parameters  $\rightarrow$  18 real parameters

$$9 \text{ unitarity conditions: } \left. \begin{array}{l} UU^\dagger = 1 \\ (U^\dagger)_{ij} = U_{ji}^* \end{array} \right\} \rightarrow \sum_{j=1}^3 a_{ij} a_{jk}^* = \delta_{ik}, \quad i, k = 1, \dots, 3$$

$\rightarrow 18 - 9 = 9$  free, real parameters

# CKM - II

Mixing matrix definition for 'up'- and 'down'-like quarks:

$$\begin{pmatrix} u \\ c \\ t \end{pmatrix} = V_{(u)} \begin{pmatrix} u_1' \\ u_2' \\ u_3' \end{pmatrix}; \quad \begin{pmatrix} d \\ s \\ b \end{pmatrix} = V_{(d)} \begin{pmatrix} d_1' \\ d_2' \\ d_3' \end{pmatrix}$$

$$V_{CKM} = V_{(u)} V_{(d)}^\dagger \equiv V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

Re-define (arbitrary) phases of quark mass eigenstates:

$$\begin{pmatrix} u \\ c \\ t \end{pmatrix} \rightarrow \begin{pmatrix} e^{i\varphi_u} & 0 & 0 \\ 0 & e^{i\varphi_c} & 0 \\ 0 & 0 & e^{i\varphi_t} \end{pmatrix} \begin{pmatrix} u \\ c \\ t \end{pmatrix}, \quad \begin{pmatrix} d \\ s \\ b \end{pmatrix} \rightarrow \begin{pmatrix} e^{i\varphi_d} & 0 & 0 \\ 0 & e^{i\varphi_s} & 0 \\ 0 & 0 & e^{i\varphi_b} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

# CKM - III

Translate into redefinition of weak eigenstates:

$$\begin{pmatrix} u' \\ c' \\ t' \end{pmatrix} \rightarrow V_u^\dagger \begin{pmatrix} e^{i\phi_u} & 0 & 0 \\ 0 & e^{i\phi_c} & 0 \\ 0 & 0 & e^{i\phi_t} \end{pmatrix} \begin{pmatrix} u \\ c \\ t \end{pmatrix}, \quad \begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} \rightarrow V_d^\dagger \begin{pmatrix} e^{i\phi_d} & 0 & 0 \\ 0 & e^{i\phi_s} & 0 \\ 0 & 0 & e^{i\phi_b} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

Redefinition of weak eigenstates equivalent to *CKM* redefinition:

$$V_{CKM} \rightarrow \begin{pmatrix} e^{-i\varphi_u} & 0 & 0 \\ 0 & e^{-i\varphi_c} & 0 \\ 0 & 0 & e^{-i\varphi_t} \end{pmatrix} V_{CKM} \begin{pmatrix} e^{i\varphi_d} & 0 & 0 \\ 0 & e^{i\varphi_s} & 0 \\ 0 & 0 & e^{i\varphi_b} \end{pmatrix} = \begin{pmatrix} V_{ud} e^{i(\varphi_d - \varphi_u)} & V_{us} e^{i(\varphi_s - \varphi_u)} & V_{ub} e^{i(\varphi_b - \varphi_u)} \\ V_{cd} e^{i(\varphi_d - \varphi_c)} & V_{cs} e^{i(\varphi_s - \varphi_c)} & V_{cb} e^{i(\varphi_b - \varphi_c)} \\ V_{td} e^{i(\varphi_d - \varphi_t)} & V_{ts} e^{i(\varphi_s - \varphi_t)} & V_{tb} e^{i(\varphi_b - \varphi_t)} \end{pmatrix}$$

# CKM - IV

Factorize one (any) phase:

$$\rightarrow V_{CKM} = e^{-i\varphi_u} \begin{pmatrix} V_{ud} e^{i\varphi_d} & V_{us} e^{i\varphi_s} & V_{ub} e^{i\varphi_b} \\ V_{cd} e^{i(\varphi_u + \varphi_d - \varphi_c)} & V_{cs} e^{i(\varphi_u + \varphi_s - \varphi_c)} & V_{cb} e^{i(\varphi_u + \varphi_b - \varphi_c)} \\ V_{td} e^{i(\varphi_u + \varphi_d - \varphi_t)} & V_{ts} e^{i(\varphi_u + \varphi_s - \varphi_t)} & V_{tb} e^{i(\varphi_u + \varphi_b - \varphi_t)} \end{pmatrix}$$

Global field phase not relevant: Can't be used to fix one free  $V$  parameter

→ 5 free relative phases → Set to 0

→  $9 - 5 = 4$  real parameters

Encode as:

3 'rotation angles' (← Euler angles)

[In order to understand this:

Suppose the matrix is real → Any  $3 \times 3$  real, unitary matrix = Orthogonal

Any  $3 \times 3$  orthogonal matrix = 3D Rotation]

1 complex (irreducible) phase factor

# CKM - V

→ Generally *CKM* matrix *must* be complex, 3x3 real would require just 3 parameters

Standard form :

Parameters:

$\theta_{12}, \theta_{13}, \theta_{23}$  Rotation angles

$\delta$  Irreducible phase

$$s_{ij} = \sin \theta_{ij}$$

$$c_{ij} = \cos \theta_{ij}$$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}e^{+i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{+i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{+i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{+i\delta} & c_{13}c_{23} \end{pmatrix}$$

Experiment:

$$\sin \theta_{13} \ll \sin \theta_{23} \ll \sin \theta_{12} \ll 1$$

# CKM - VI

Wolfenstein parametrization of  $V_{CKM}$ :

Based on experimental evidence of some hierarchy among angles

Define:

$$\lambda = \sin \theta_{12}$$

$$A\lambda^2 = \sin \theta_{23}$$

$$A\lambda^3(\rho - i\eta) = \sin \theta_{13}e^{-i\delta}$$

Then:

$$\cos \theta_{12} = \sqrt{1 - \lambda^2} \simeq 1 - \frac{\lambda^2}{2} - \frac{\lambda^4}{8}$$

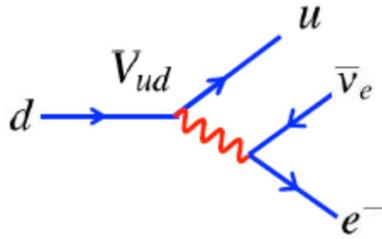
$$\rightarrow V_{CKM} \simeq \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} \quad \begin{aligned} \lambda &= 0.2259 \pm 0.0021 \approx \sin \theta_c \\ A &= 0.82 \pm 0.02 \\ \eta &\neq 0 \rightarrow \mathcal{CP} \end{aligned}$$

# Filling CKM - I

$|V_{ud}|$

from nuclear beta decay

$\begin{pmatrix} \times & \dots \\ \dots \\ \dots \end{pmatrix}$



Super-allowed  $0^+ \rightarrow 0^+$  beta decays are relatively free from theoretical uncertainties

$$\Gamma \propto |V_{ud}|^2$$

$$|V_{ud}| = 0.97377 \pm 0.00027$$

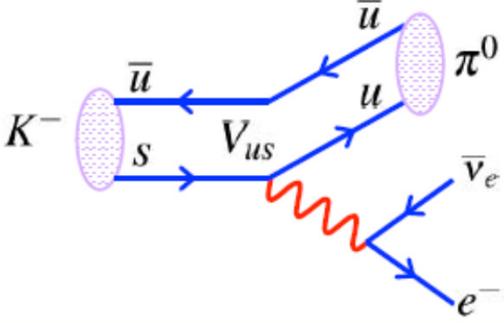
$$(\approx \cos \theta_c)$$

# Filling CKM - II

$|V_{us}|$

from semi-leptonic kaon decays

$\begin{pmatrix} \cdot & \times & \cdot \\ \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{pmatrix}$



$\Gamma \propto |V_{us}|^2$

$|V_{us}| = 0.2257 \pm 0.0021$

$(\approx \sin \theta_c)$

# Filling CKM - III

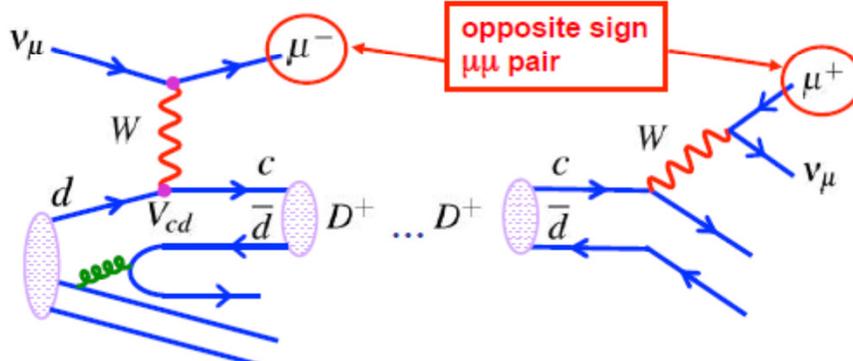
$|V_{cd}|$

from neutrino scattering

$$\nu_\mu + N \rightarrow \mu^+ \mu^- X$$

$$\begin{pmatrix} \cdot & \cdot & \cdot \\ \times & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{pmatrix}$$

Look for opposite charge di-muon events in  $\nu_\mu$  scattering from production and decay of a  $D^+(c\bar{d})$  meson



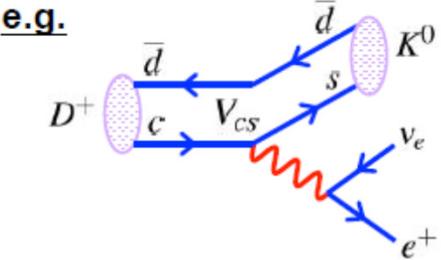
$$\text{Rate} \propto |V_{cd}|^2 \text{Br}(D^+ \rightarrow X \mu^+ \nu_\mu)$$

Measured in various collider experiments

$$\Rightarrow |V_{cd}| = 0.230 \pm 0.011$$

# Filling CKM - IV

**$|V_{cs}|$**  from semi-leptonic charmed meson decays

e.g. 

$\Gamma \propto |V_{cs}|^2$

• Precision limited by theoretical uncertainties

**$|V_{cs}| = 0.957 \pm 0.017 \pm 0.093$**

experimental error      theory uncertainty

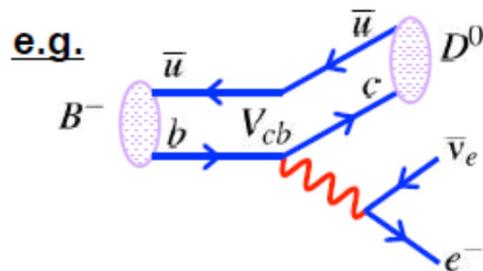
$K^0 e^+ \nu_e (8.83 \pm 0.22) \%$

# Filling CKM - V

$|V_{cb}|$

from semi-leptonic B hadron decays

$\begin{pmatrix} \dots \\ \dots \times \\ \dots \end{pmatrix}$



$$\Gamma \propto |V_{cb}|^2$$

$$|V_{cb}| = 0.0416 \pm 0.0006$$

$$\overline{D}^0 \ell^+ \nu_\ell \quad (2.23 \pm 0.11) \%$$

$$L = 10^{33} \text{ cm}^{-2} \text{ s}^{-1} \rightarrow L_{\text{int}} \sim 10^{38} \text{ cm}^{-2} \text{ d}^{-1}$$

$$\sigma_{B\overline{B}} \sim 1 \text{ nb} = 10^{-33} \text{ cm}^2 \rightarrow R \sim 10^5 B\overline{B} \text{ d}^{-1}$$

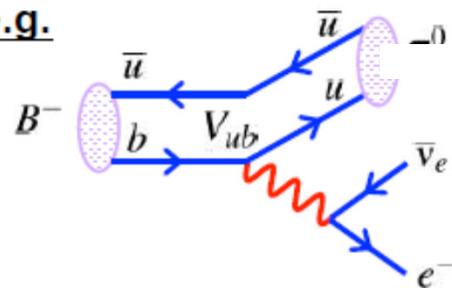
$$\rightarrow R_{\text{dec}} \sim 10^3 D^0 e^- \overline{\nu}_e \text{ d}^{-1} \rightarrow \frac{\sqrt{\sigma_{\text{stat}}}}{|V_{cb}|} \sim \frac{3\%}{\sqrt{T(\text{days})}}$$

# Filling CKM - VI

$$|V_{ub}|$$

from semi-leptonic B hadron decays

e.g.



$$\Gamma \propto |V_{ub}|^2$$

$$|V_{ub}| = 0.0043 \pm 0.0003$$

$$\begin{pmatrix} \dots \times \\ \dots \\ \dots \end{pmatrix}$$

$$\pi^0 \ell^+ \nu_e$$

$$(7.7 \pm 1.2) \times 10^{-5}$$

$$L = 10^{33} \text{ cm}^{-2} \text{ s}^{-1} \rightarrow L_{\text{int}} \sim 10^{38} \text{ cm}^{-2} \text{ d}^{-1}$$

$$\sigma_{B\bar{B}} \sim 1 \text{ nb} = 10^{-33} \text{ cm}^2 \rightarrow R \sim 10^5 B\bar{B} \text{ d}^{-1}$$

$$\rightarrow R_{\text{dec}} \sim 10 \pi^0 e^- \bar{\nu}_e \text{ d}^{-1} \rightarrow \frac{\sigma_{\text{stat}}}{|V_{ub}|} \sim \frac{30\%}{\sqrt{T(\text{days})}}$$

# CKM Triangles - I

$V_{CKM}$  unitary: 9 unitarity conditions

Take 6 'off-diagonal' conditions:

$$\begin{aligned} (1) \quad & V_{ud}V_{us}^* + V_{cd}V_{cs}^* + V_{td}V_{ts}^* = 0; & (2) \quad & V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0; \\ (3) \quad & V_{us}V_{ub}^* + V_{cs}V_{cb}^* + V_{ts}V_{tb}^* = 0; & (4) \quad & V_{ud}^*V_{cd} + V_{us}^*V_{cs} + V_{ub}^*V_{cb} = 0; \\ (5) \quad & V_{ud}^*V_{td} + V_{us}^*V_{ts} + V_{ub}^*V_{tb} = 0; & (6) \quad & V_{cd}^*V_{td} + V_{cs}^*V_{ts} + V_{cb}^*V_{tb} = 0; \end{aligned}$$

Each condition:

Sum of 3 complex numbers = 0

Complex number  $\hat{=}$  Vector in the complex plane

→ Each condition  $\sim$  3 numbers should add to a closed triangle

# CKM Triangles - II

Sides & Angles from experiment

Area: Same for all 6

$$A_{\text{triangle}} = \frac{1}{2} J_{CP} = \frac{1}{2} \text{Im}(V_{ij} V_{kl} V_{il}^* V_{kj}^*); \quad i \neq k, j \neq l;$$

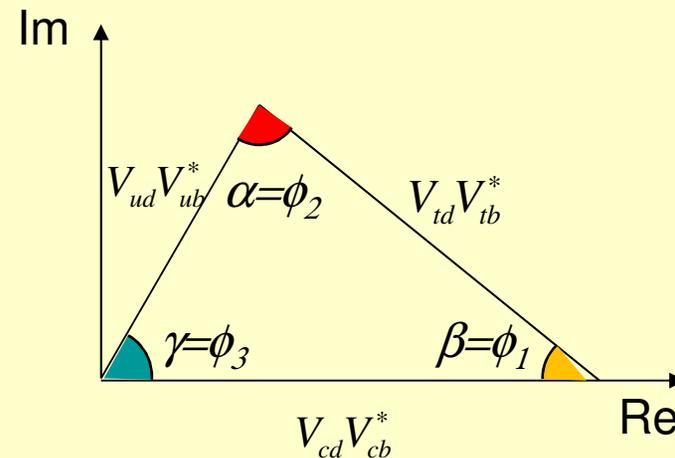
$$J_{CP} = s_{12} s_{13} s_{23} c_{12} c_{13}^2 c_{23} s_{\delta_{13}} \approx A^2 \lambda^6 \eta$$

→  $J_{CP}$  = Nice measure of  $\mathcal{CP}$

Example: Most common unitary triangle

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

$$A\lambda^3 \left(1 - \frac{\lambda^2}{2}\right) (\rho + i\eta) - A\lambda^3 \left[1 + A^2 \lambda^4 (\rho + i\eta)\right] + A\lambda^3 \left[1 - (\rho + i\eta) \left(1 - \frac{\lambda^2}{2}\right)\right] = 0$$



# CKM Triangles - III

$$\begin{cases} V_{ud}V_{ub}^* = A\lambda^3 \left(1 - \frac{\lambda^2}{2}\right) (\rho + i\eta) \\ V_{cd}V_{cb}^* = -A\lambda^3 \\ V_{td}V_{tb}^* = A\lambda^3 [1 - (\rho + i\eta)] \end{cases}$$

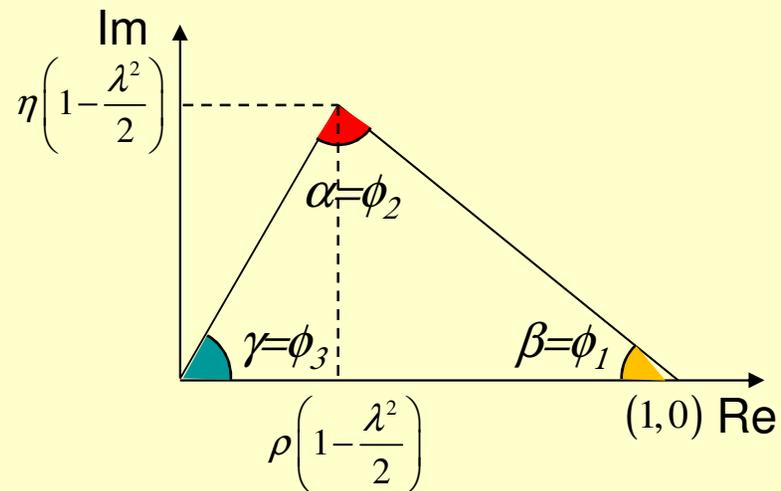
Normalize to  $V_{cd}V_{cb}^* = -A\lambda^3 \equiv 1$ ;

Ignore overall - signs

$$V_{ud}V_{ub}^* = \left(1 - \frac{\lambda^2}{2}\right) (\rho + i\eta) \approx \rho + i\eta$$

$$V_{cd}V_{cb}^* = 1$$

$$V_{td}V_{tb}^* \approx 1 - (\rho + i\eta)$$



# CKM Triangles - IV

Recent fit from CKMFitter group:

Observable	Central $\pm 1 \sigma$	$\pm 2 \sigma$	$\pm 3 \sigma$
A	0.812 [+0.015 -0.022]	0.812 [+0.025 -0.031]	0.812 [+0.035 -0.039]
$\lambda$	0.22543 [+0.00059 -0.00095]	0.2254 [+0.0010 -0.0019]	0.2254 [+0.0013 -0.0027]
$\rho$ bar	0.145 [+0.027 -0.027]	0.145 [+0.046 -0.040]	0.145 [+0.057 -0.050]
$\eta$ bar	0.343 [+0.015 -0.015]	0.343 [+0.030 -0.026]	0.343 [+0.044 -0.035]
J [ $10^{-5}$ ]	2.96 [+0.18 -0.14]	2.96 [+0.32 -0.19]	2.96 [+0.46 -0.23]
$\alpha$ [deg]	91.1 [+4.3 -4.3]	91.1 [+7.1 -6.2]	91.1 [+8.8 -7.8]
$\alpha$ [deg] (meas. not in the fit)	95.9 [+2.2 -5.6]	95.9 [+3.6 -10.9]	95.9 [+5.0 -12.8]
$\alpha$ [deg] (dir. meas.)	88.7 [+4.6 -4.2]	88.7 [+9.4 -8.5]	89 [+21 -13]
$\beta$ [deg]	21.85 [+0.80 -0.77]	21.9 [+1.6 -1.3]	21.9 [+2.5 -1.8]
$\beta$ [deg] (meas. not in the fit)	27.5 [+1.2 -1.4]	27.5 [+1.9 -3.9]	27.5 [+2.6 -6.8]
$\beta$ [deg] (dir. meas.)	21.38 [+0.79 -0.77]	21.4 [+1.6 -1.5]	21.4 [+2.4 -2.3]
$\gamma$ [deg]	67.1 [+4.3 -4.3]	67.1 [+6.1 -7.0]	67.1 [+7.6 -8.5]
$\gamma$ [deg] (meas. not in the fit)	67.2 [+4.4 -4.6]	67.2 [+6.1 -7.2]	67.2 [+7.6 -8.7]
$\gamma$ [deg] (dir. meas.)	66 [+12 -12]	66 [+23 -22]	66 [+36 -30]

# $K$ Oscillations - I

$$|K^0\rangle = |d\bar{s}\rangle \quad S = +1$$

$$|\bar{K}^0\rangle = |\bar{d}s\rangle \quad S = -1$$

$$P|K^0\rangle = -|K^0\rangle \quad \text{Pseudoscalar}$$

$$P|\bar{K}^0\rangle = -|\bar{K}^0\rangle \quad \text{Pseudoscalar}$$

$$C|K^0\rangle = |\bar{K}^0\rangle \quad \text{Not a C eigenstate}$$

$$C|\bar{K}^0\rangle = |K^0\rangle \quad \text{Not a C eigenstate}$$

→ Make  $C$  eigenstates:

$$|K_1^0\rangle = \frac{1}{\sqrt{2}}(|K^0\rangle - |\bar{K}^0\rangle) \rightarrow C|K_1^0\rangle = C\left[\frac{1}{\sqrt{2}}(|K^0\rangle - |\bar{K}^0\rangle)\right] = \frac{1}{\sqrt{2}}(|\bar{K}^0\rangle - |K^0\rangle) = -|K_1^0\rangle$$

$$|K_2^0\rangle = \frac{1}{\sqrt{2}}(|K^0\rangle + |\bar{K}^0\rangle) \rightarrow C|K_2^0\rangle = |K_2^0\rangle$$

# K Oscillations - II

Kaons : Just weak decays (← Lightest strange hadron)

$C, P$  not conserved by weak processes

$CP$  almost conserved by weak processes → Take them as good for the moment

→ Focus on  $CP$  as a symmetry for weak processes

$CP$  eigenstates:

$$CP|K_1^0\rangle = CP\left[\frac{1}{\sqrt{2}}(|K^0\rangle - |\bar{K}^0\rangle)\right] = C\left[\frac{1}{\sqrt{2}}(-|K^0\rangle + |\bar{K}^0\rangle)\right] = +|K_1^0\rangle \quad CP = +1$$

$$CP|K_2^0\rangle = -|K_2^0\rangle \quad CP = -1$$

Observe :  $K_1^0, K_2^0$   $CP$  eigenstates, like photon,  $\pi^0$

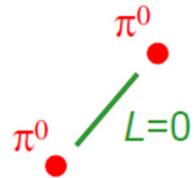
→ Different particles

# K Oscillations - III

$K^0$ : Many different decay modes, including weak decays into pions

Consider first decays into 2  $\pi$ 's:

$$K^0 \rightarrow \pi^0 \pi^0$$



$$J^P: 0^- \rightarrow 0^- + 0^-$$

Ang. mom. conservation

$$\Rightarrow L = 0$$

$$\Rightarrow P(\pi^0 \pi^0) = -1 \cdot -1 \cdot (-1)^L = (-1)^L = +1$$

$$\pi^0 \text{ is eigenstate of } C: \hat{C}|\pi^0\rangle = +|\pi^0\rangle$$

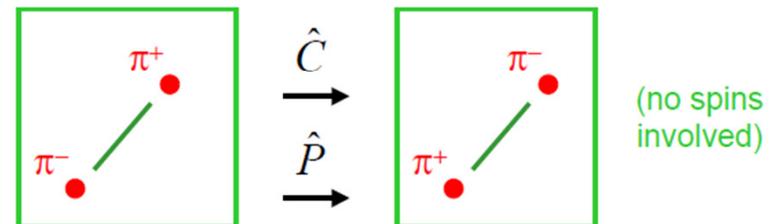
$$\Rightarrow C(\pi^0 \pi^0) = +1 \cdot +1 = +1$$

$$K^0 \rightarrow \pi^+ \pi^-$$

Still have  $L = 0$

$$\Rightarrow P(\pi^+ \pi^-) = -1 \cdot -1 \cdot (-1)^L = (-1)^L = +1$$

C and P operations have identical effect:



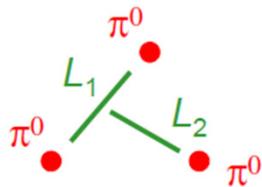
$$\Rightarrow C(\pi^+ \pi^-) = P(\pi^+ \pi^-) = (-1)^L = +1$$

$$\Rightarrow \text{CP} = +1 \text{ for both } \pi^+ \pi^- \text{ and } \pi^0 \pi^0$$

# K Oscillations - IV

Consider then decays into 3  $\pi$ 's:

$$K^0 \rightarrow \pi^0 \pi^0 \pi^0$$



$$J^P: 0^- \rightarrow 0^- + 0^- + 0^-$$

Ang. mom. conservation

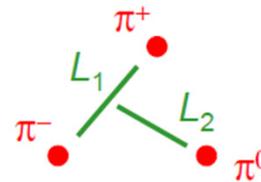
$$\Rightarrow L_1 \oplus L_2 = 0$$

$$\Rightarrow L_1 = L_2$$

$$\Rightarrow P(\pi^0 \pi^0 \pi^0) = -1 \cdot -1 \cdot -1 \cdot (-1)^{L_1} \cdot (-1)^{L_2} = -1$$

$$C(\pi^0 \pi^0 \pi^0) = +1 \cdot +1 \cdot +1 = +1$$

$$K^0 \rightarrow \pi^+ \pi^- \pi^0$$



As above:  $L_1 = L_2$

$$\Rightarrow P(\pi^+ \pi^- \pi^0) = -1 \cdot -1 \cdot -1 \cdot (-1)^{L_1} \cdot (-1)^{L_2} = -1$$

$$C(\pi^+ \pi^- \pi^0) = +1 \cdot C(\pi^+ \pi^-) = +1 \cdot (-1)^{L_1} = +1$$

Experimentally:  $L_1 = 0$  from study  
of angular distributions of  $\pi^+$ ,  $\pi^-$



$$\Rightarrow \text{CP} = -1 \quad \text{for both } \pi^+ \pi^- \pi^0 \text{ and } \pi^0 \pi^0 \pi^0$$

# $K$ Oscillations - V

If  $CP$  is conserved in weak processes:

$$\left. \begin{array}{l} K_1^0 \rightarrow \pi\pi \\ K_2^0 \rightarrow \pi\pi\pi \end{array} \right\} \textit{Exclusively}$$

Summary so far about neutral  $K$  states:

Production (by strong interaction):  $|K^0\rangle, |\bar{K}^0\rangle$

Decay (by weak interaction):  $|K_1^0\rangle, |K_2^0\rangle$

$$m_{|K^0\rangle} = m_{|\bar{K}^0\rangle} \approx m_{|K_1^0\rangle} \approx m_{|K_2^0\rangle} \approx 498 \text{ MeV}$$

Expect, and find:

$$K_1^0 \rightarrow \pi\pi \quad \text{Fast: Larger phase space etc} \quad \rightarrow \tau_1 = 0.9 \cdot 10^{-10} \text{ s} \quad \text{'K short'}$$

$$K_2^0 \rightarrow \pi\pi\pi \quad \text{Slow: Smaller phase space etc} \quad \rightarrow \tau_2 = 0.5 \cdot 10^{-7} \text{ s} \quad \text{'K long'}$$

# K Oscillations - VI

Provisionally identify:

$$K_S \equiv K_1^0 (\rightarrow \pi\pi) \quad \tau_S = 0.9 \cdot 10^{-10} \text{ s} \quad \text{'K short'} \quad CP = +1$$

$$K_L \equiv K_2^0 (\rightarrow \pi\pi\pi) \quad \tau_L = 0.5 \cdot 10^{-7} \text{ s} \quad \text{'K long'} \quad CP = -1$$

Therefore:

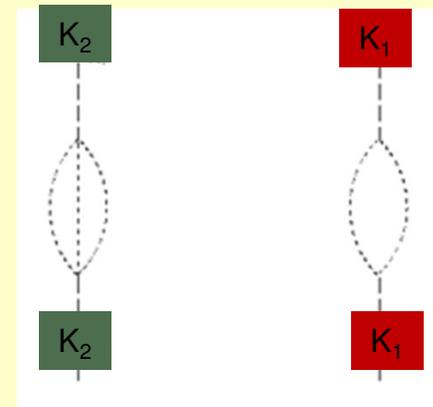
$$K_S \equiv K_1^0, K_L \equiv K_2^0 : \begin{array}{l} \text{Different } CP \\ \text{Different lifetime} \end{array}$$

Also: Different mass!

Old fashioned (but simple) argument:

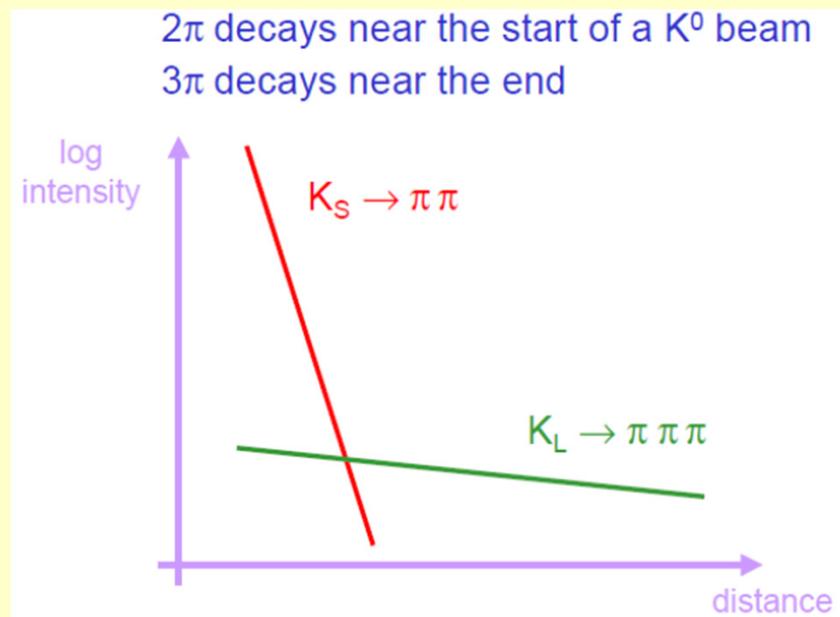
Different virtual weak couplings,  $2\pi$  vs  $3\pi$

→ Different corrections to the mass



# $K$ Oscillations - VII

Taking a neutral  $K$  beam produced by strong interaction, expect qualitatively



# K Oscillations - VII

Production: Strong interaction  $\rightarrow$  Strangeness conserved

Neglect weak interaction in production process

Strongly produced neutral  $K$  either  $K^0$  or  $\bar{K}^0$

$\rightarrow$  Either  $K^0$  or  $\bar{K}^0$  as *initial condition* for the  $K$  wave function

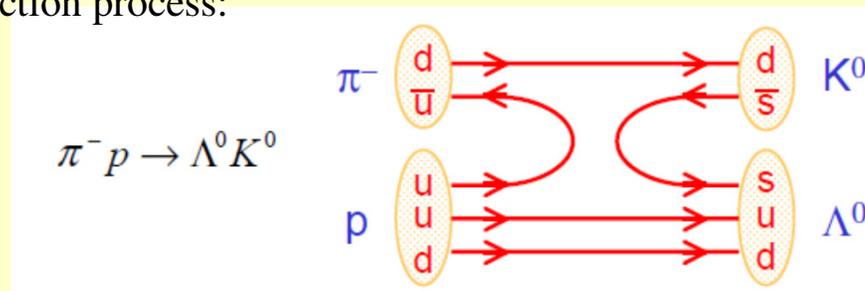
Time evolution : Weak interaction  $\rightarrow$  Strangeness *not* conserved

Neglect strong interaction in time evolution

Neither  $P, C$  conserved by weak interaction;  $CP$  (*provisionally*) conserved

$\rightarrow$  Propagate  $CP$  eigenstates  $K_S, K_L$

Take a definite production process:



# K Oscillations - VIII

$$\psi(t=0): |K^0\rangle = \frac{1}{\sqrt{2}} (|K_L^0\rangle + |K_S^0\rangle)$$

$$\begin{cases} |K_L^0(t)\rangle = |K_L^0\rangle e^{-i(m_L - i\frac{\Gamma_L}{2})t}, & \Gamma_L = \frac{1}{\tau_L} \\ |K_S^0(t)\rangle = |K_S^0\rangle e^{-i(m_S - i\frac{\Gamma_S}{2})t}, & \Gamma_S = \frac{1}{\tau_S} \end{cases}$$

$$\rightarrow \psi(t) = \frac{1}{\sqrt{2}} \left( |K_L^0\rangle e^{-i(m_L - i\frac{\Gamma_L}{2})t} + |K_S^0\rangle e^{-i(m_S - i\frac{\Gamma_S}{2})t} \right)$$

$$\rightarrow \psi(t) = \frac{1}{\sqrt{2}} \left( \left[ \frac{1}{\sqrt{2}} (|K^0\rangle + |\bar{K}^0\rangle) \right] e^{-i(m_L - i\frac{\Gamma_L}{2})t} + \frac{1}{\sqrt{2}} (|K^0\rangle - |\bar{K}^0\rangle) \frac{1}{\sqrt{2}} e^{-i(m_S - i\frac{\Gamma_S}{2})t} \right)$$

$$\rightarrow \psi(t) = \frac{1}{2} \left( |K^0\rangle \left[ e^{-i(m_L - i\frac{\Gamma_L}{2})t} + e^{-i(m_S - i\frac{\Gamma_S}{2})t} \right] + |\bar{K}^0\rangle \left[ e^{-i(m_L - i\frac{\Gamma_L}{2})t} - e^{-i(m_S - i\frac{\Gamma_S}{2})t} \right] \right)$$

# K Oscillations - IX

Time evolution of strangeness content of the beam:

$$\Delta m = m_L - m_S$$

$$\rightarrow \begin{cases} I(K^0) = \frac{1}{4} \left| e^{-i\left(m_L - i\frac{\Gamma_L}{2}\right)t} + e^{-i\left(m_S - i\frac{\Gamma_S}{2}\right)t} \right|^2 = \frac{1}{4} \left[ e^{-\Gamma_L t} + e^{-\Gamma_S t} + 2e^{-\frac{(\Gamma_L + \Gamma_S)t}{2}} \cos \Delta m t \right] \\ I(\bar{K}^0) = \frac{1}{4} \left| e^{-i\left(m_L - i\frac{\Gamma_L}{2}\right)t} - e^{-i\left(m_S - i\frac{\Gamma_S}{2}\right)t} \right|^2 = \frac{1}{4} \left[ e^{-\Gamma_L t} + e^{-\Gamma_S t} - 2e^{-\frac{(\Gamma_L + \Gamma_S)t}{2}} \cos \Delta m t \right] \end{cases}$$

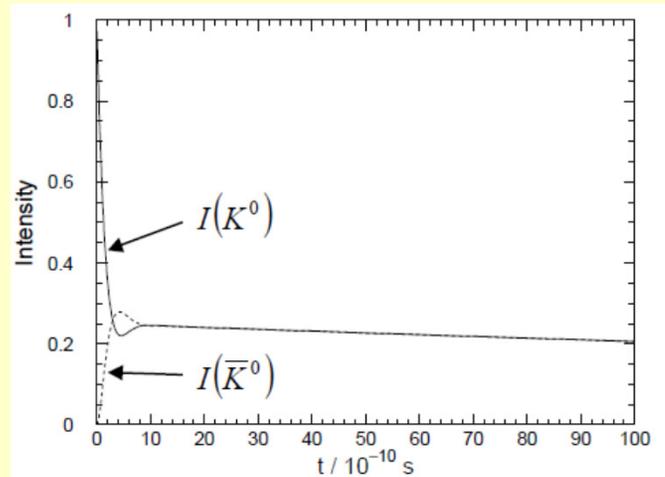
→ Strangeness oscillations

Detected in many ways, for example by semileptonic modes:

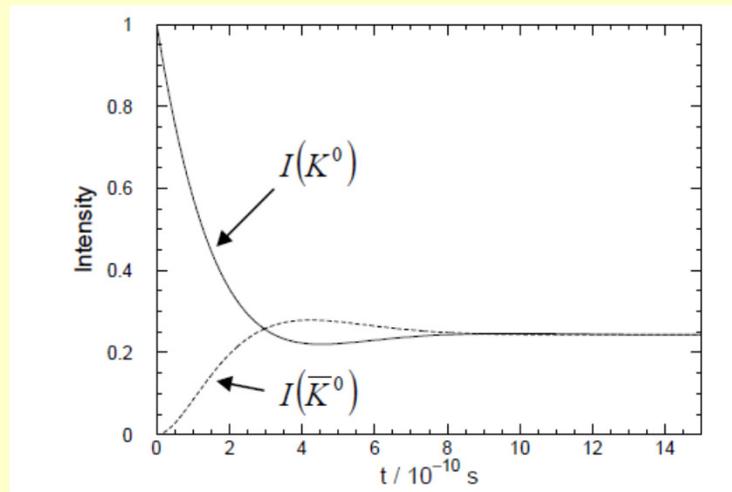
$$\begin{aligned} K^0 &\rightarrow \pi^- e^+ \nu_e \\ \bar{K}^0 &\rightarrow \pi^+ e^- \bar{\nu}_e \end{aligned}$$

# $K$ Oscillations - IX

Interference!

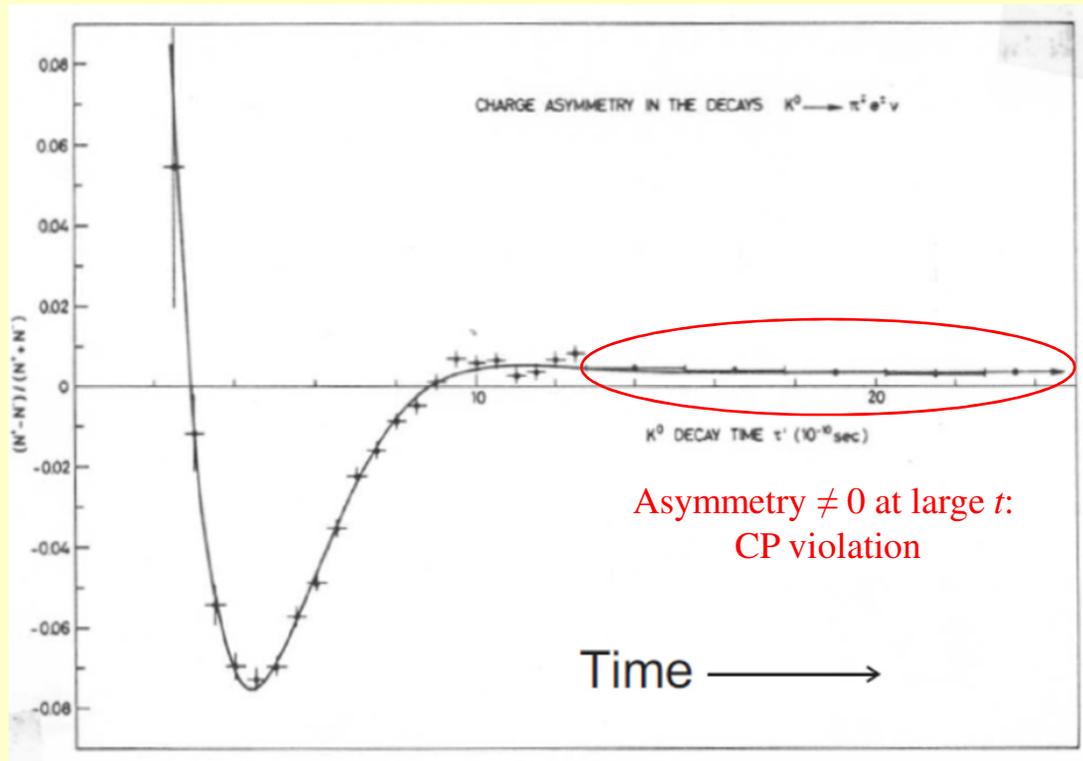


Expanded timescale:



# K Oscillations - X

...And it's true!



# K Oscillations - XI

$$R_+ \equiv \Gamma(K_{t=0}^0 \rightarrow \pi^- e^+ \nu_e)$$

$$R_- \equiv \Gamma(K_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)$$

$$\bar{R}_- \equiv \Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)$$

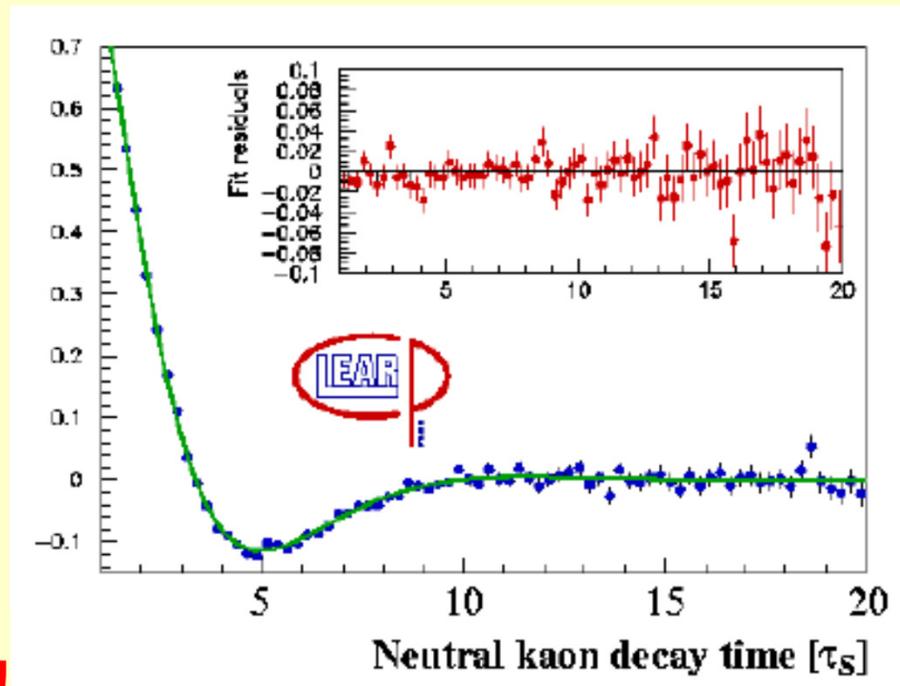
$$\bar{R}_+ \equiv \Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^- e^+ \nu_e)$$

$$A_{\Delta m} = \frac{(R_+ + \bar{R}_-) - (R_- + \bar{R}_+)}{(R_+ + \bar{R}_-) + (R_- + \bar{R}_+)}$$

$$A_{\Delta m} = \frac{2e^{-(\Gamma_S + \Gamma_L)t/2} \cos \Delta m t}{e^{-\Gamma_S t} + e^{-\Gamma_L t}}$$

$$\Delta m = 3.485 \times 10^{-15} \text{ GeV}$$

!!!



# K Oscillations - XII

Observe:

$$T(K^0 \leftrightarrow \bar{K}^0) = \frac{2\pi}{\Delta m}$$

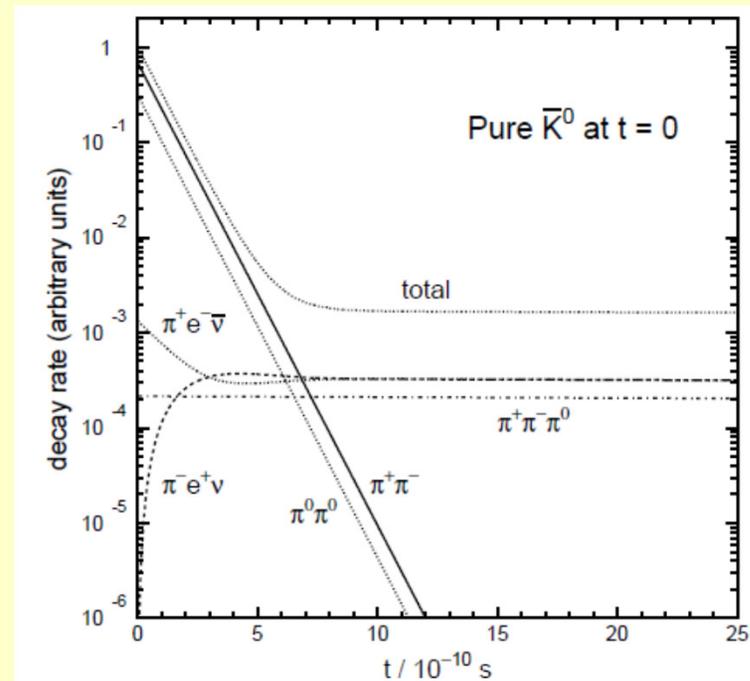
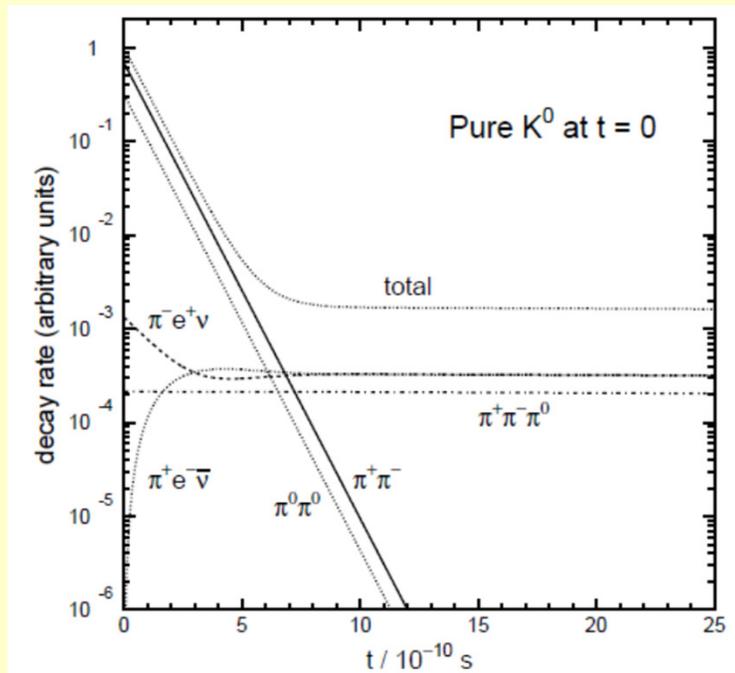
$$\rightarrow T(K^0 \leftrightarrow \bar{K}^0) = \frac{2\pi}{3.49 \cdot 10^{-12} \text{ MeV}} = \frac{2\pi}{3.49 \cdot 10^{-12} \text{ MeV}} \underbrace{6.58 \cdot 10^{-22} \text{ MeVs}}_{\hbar} \simeq 1.18 \text{ ns}$$

$$\rightarrow \frac{T}{\tau_S} \approx 13.3$$

→ Just a fraction of a single oscillation within a  $K_S$  lifetime

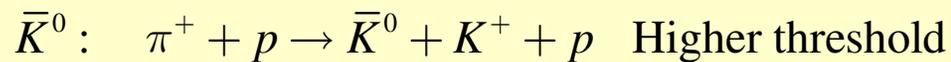
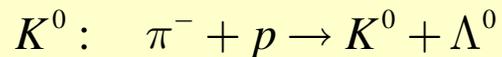
# K Oscillations - XIII

Summary of decay rates ( CP conserved)



# $K_S$ Regeneration - I

Production reactions (e.g. at low energy):



Take first reaction  $\rightarrow$  Initially pure  $K^0$  beam

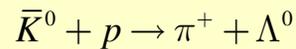
After several  $\tau_s$ :  $K_S$  component off  $\rightarrow$  Pure  $K_L$  beam

Introduce some material in the beam path: Funny effect!

# $K_S$ Regeneration - II

Total cross section different for  $K^0, \bar{K}^0$  :

Indeed, e.g.



is strictly forbidden for  $K^0$

$$\rightarrow \sigma_{K^0} \neq \sigma_{\bar{K}^0}$$

Remembering the "OpticalTheorem":

$$\sigma_{tot} = \frac{4\pi}{k} \text{Im} f(0), \quad f(0) \text{ forward scattering amplitude}$$

$$\rightarrow f_{K^0}(0) \neq f_{\bar{K}^0}(0)$$

Take forward scattering (= *propagation*) of our pure  $K_L$  beam:

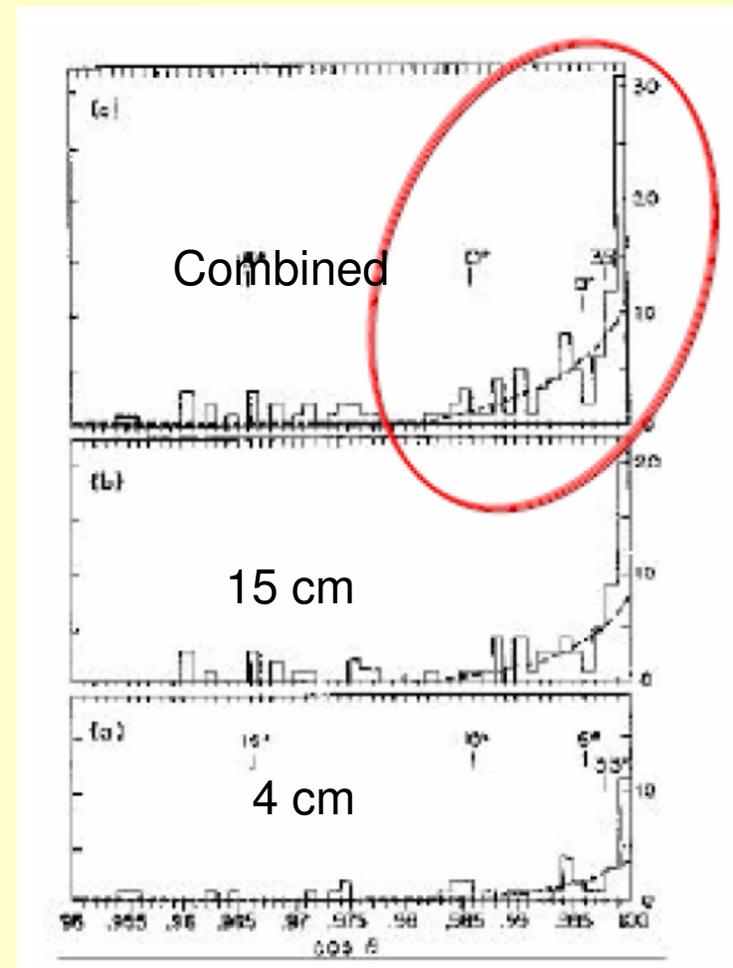
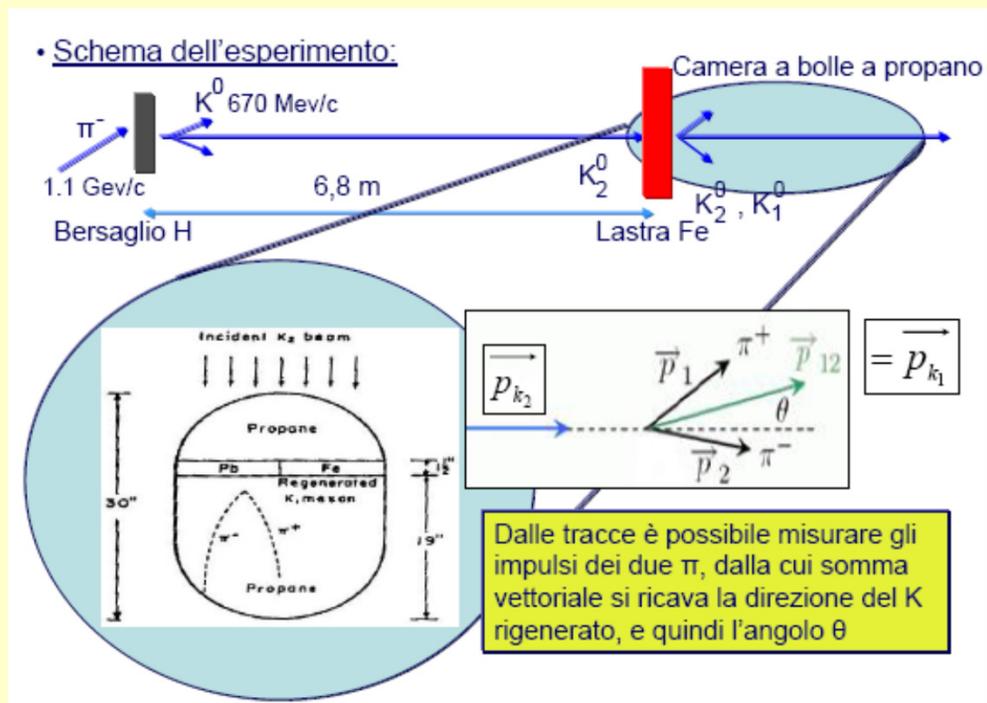
$$|K_L\rangle = \frac{1}{\sqrt{2}}(|K^0\rangle + |\bar{K}^0\rangle) \rightarrow \frac{1}{\sqrt{2}}(A(f_{K^0})|K^0\rangle + B(f_{\bar{K}^0})|\bar{K}^0\rangle) \neq |K_L\rangle$$

$$|K_L\rangle \rightarrow a|K_L\rangle + b|K_S\rangle, \quad |a|^2 + |b|^2 = 1$$

$\rightarrow$  A  $|K_S\rangle$  component has been regenerated by the material!

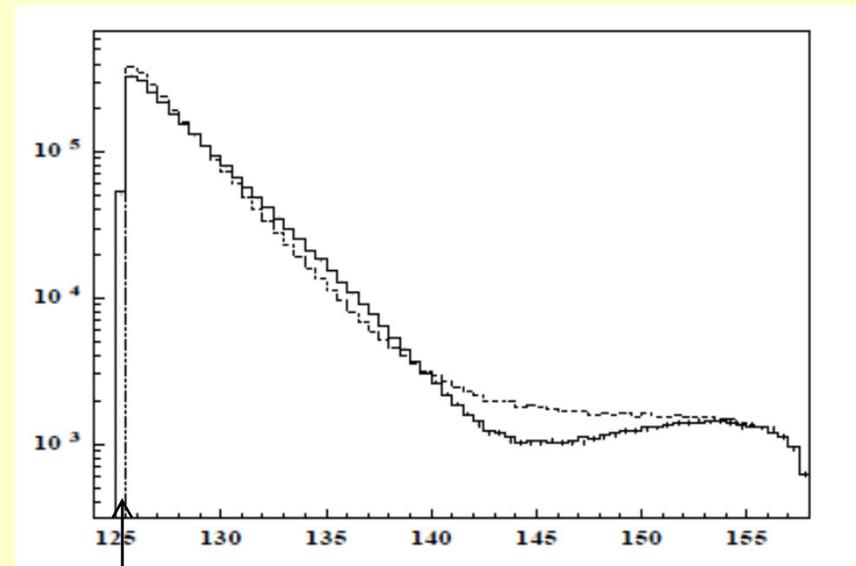
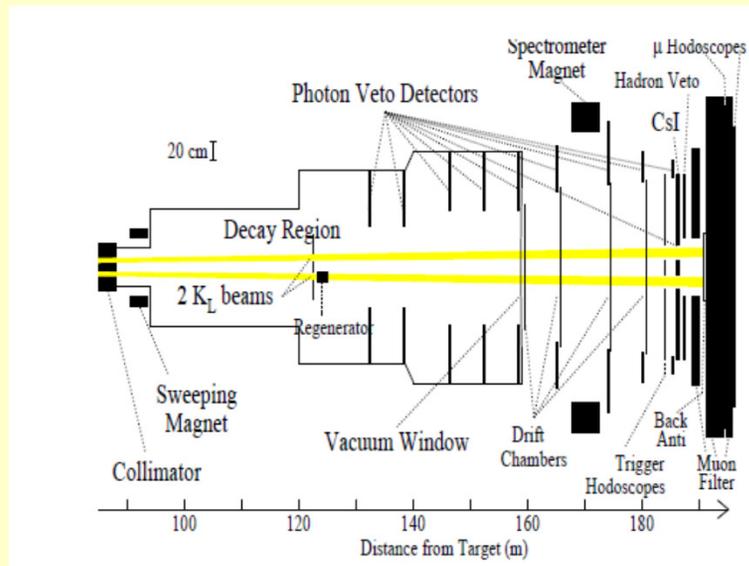
# $K_S$ Regeneration - III

Piccioni et al. – Berkeley  $\approx$  1956



# $K_S$ Regeneration - IV

KTEV - Fermilab  $\approx$  2000



Regenerator

Strong  $K_S$  regeneration signalled by  $2\pi$  decays with  $\tau_s$  lifetime

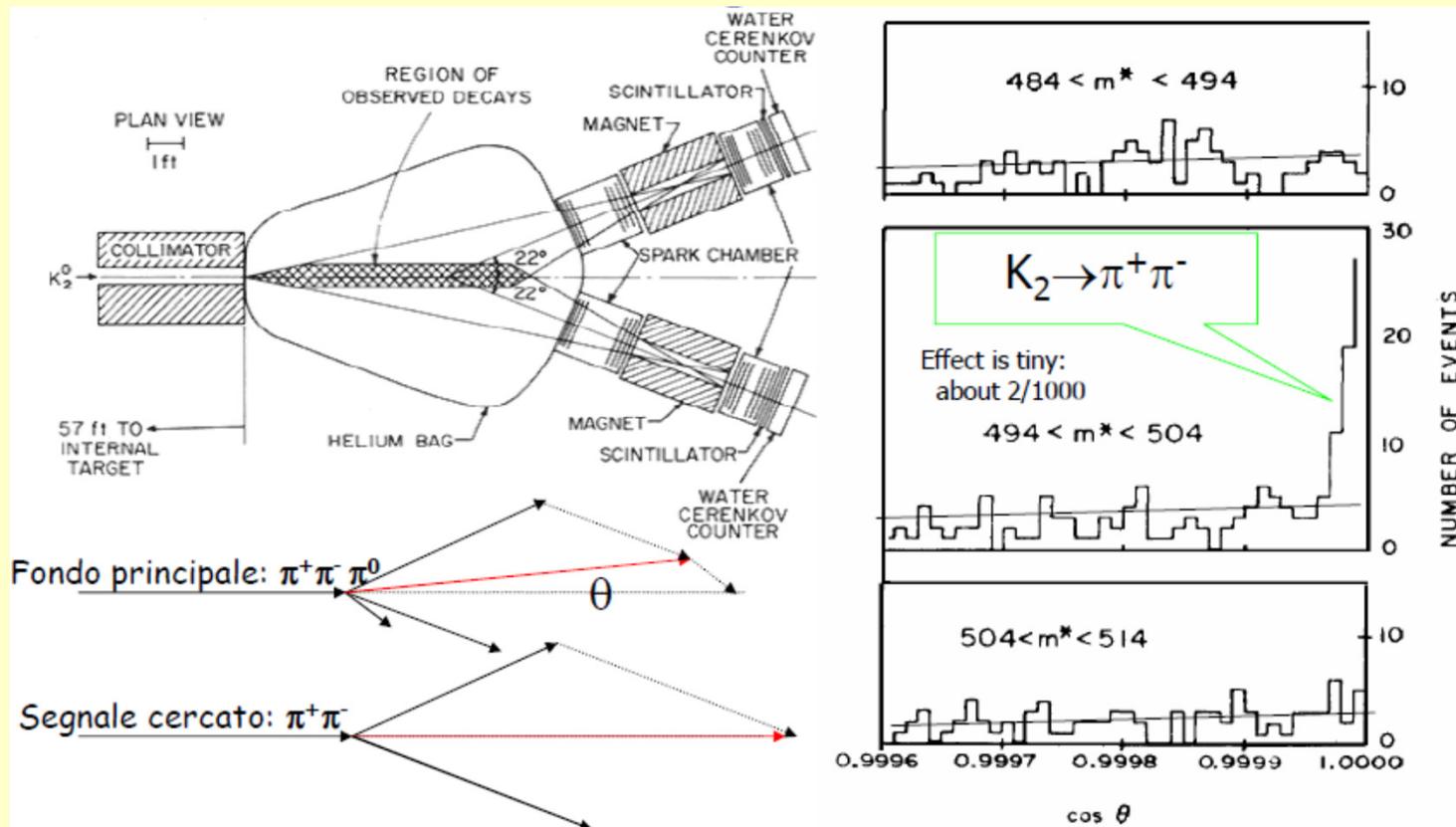
[Large interference observed in  $2\pi$  rate:

Regenerated  $K_S$  component interfering with  $CP$  violating,  $2\pi$  decay of  $K_L$  beam]

# CP Violation - I

$K_2 \rightarrow \pi^+\pi^-$  decay observed in 1964;  $K_2 \rightarrow \pi^0\pi^0$  decay also observed

Small  $BR \sim 10^{-3}$



# CP Violation - II

Two possible explanations:

1)  $K_L, K_S$  not  $CP$  eigenstates - Decay  $CP$  conserving

$$\rightarrow |K_L^0\rangle = \frac{1}{\sqrt{1+|\varepsilon|^2}} (|K_2^0\rangle + \varepsilon |K_1^0\rangle), |K_S^0\rangle = \frac{1}{\sqrt{1+|\varepsilon|^2}} (|K_1^0\rangle - \varepsilon |K_2^0\rangle)$$

$K_L^0 \rightarrow \pi\pi$  accounted for by

$$|K_L^0\rangle = \frac{1}{\sqrt{1+|\varepsilon|^2}} (|K_2^0\rangle + \varepsilon |K_1^0\rangle)$$

*Mixing  $\mathcal{CP}$* : Measured by small parameter  $\varepsilon$

$$\begin{array}{cc} \downarrow & \downarrow \\ \pi\pi\pi & \pi\pi \end{array}$$

2) Decay  $CP$  violating -  $K_L, K_S$   $CP$  eigenstates

$$|K_L^0\rangle = |K_2^0\rangle$$

*Direct  $\mathcal{CP}$* : Measured by very small parameter  $\varepsilon'$

# $CP$ Violation - III

Define:

$$|\eta_{+-}| \equiv \frac{\Gamma(K_L^0 \rightarrow \pi^+ \pi^-)}{\Gamma(K_S^0 \rightarrow \pi^+ \pi^-)} = (2.276 \pm 0.017) \times 10^{-3}$$

$$|\eta_{00}| \equiv \frac{\Gamma(K_L^0 \rightarrow \pi^0 \pi^0)}{\Gamma(K_S^0 \rightarrow \pi^0 \pi^0)} = (2.262 \pm 0.017) \times 10^{-3}$$

Expect:

$$\text{Case 1) } \rightarrow \eta_{+-} = \eta_{00}$$

$$\text{Case 2) } \rightarrow \eta_{+-} \neq \eta_{00}$$

Generally:

$$\eta_{+-} = \varepsilon + \varepsilon'$$

$$\eta_{00} = \varepsilon - 2\varepsilon'$$

$\rightarrow \varepsilon' \ll \varepsilon$ , must be very small

# CP Violation - IV

Focus on mixing  $\mathcal{CP}$ , ignore direct  $\mathcal{CP}$  for the moment

$$\varepsilon = |\varepsilon| e^{i\varphi}$$

For a neutral beam initially pure  $K^0 / \bar{K}^0$  :

Measure  $\pi\pi$  decay rate as a function of distance

$$I(K^0; t) = \frac{N}{2} (1 - 2\text{Re}(\varepsilon)) \left[ e^{-\Gamma_S t} + |\varepsilon|^2 e^{-\Gamma_L t} + 2|\varepsilon| e^{-\frac{\Gamma_L + \Gamma_S}{2} t} \cos(\Delta m t - \varphi) \right]$$

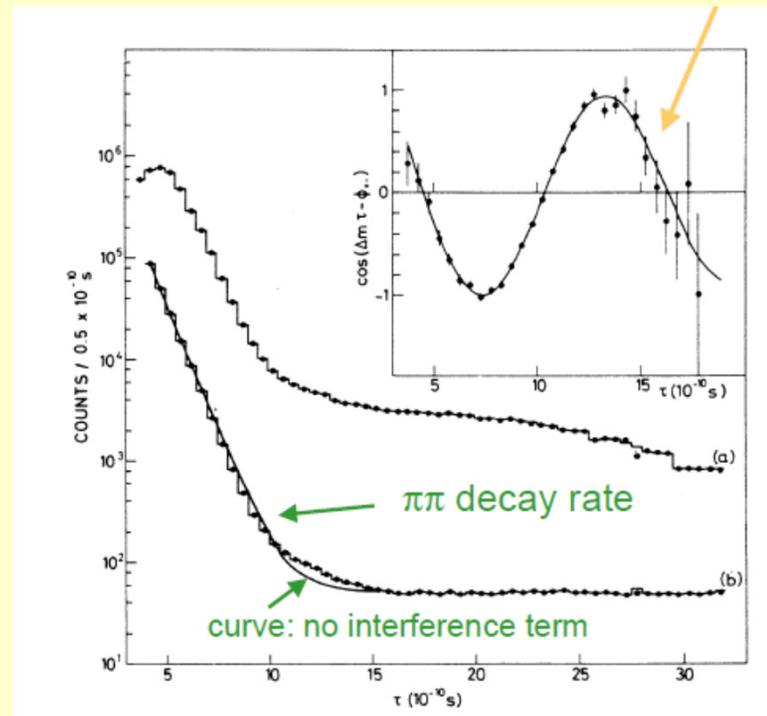
$$I(\bar{K}^0; t) = \frac{N}{2} (1 + 2\text{Re}(\varepsilon)) \left[ e^{-\Gamma_S t} + |\varepsilon|^2 e^{-\Gamma_L t} - 2|\varepsilon| e^{-\frac{\Gamma_L + \Gamma_S}{2} t} \cos(\Delta m t - \varphi) \right]$$

→ Get  $|\varepsilon|, \varphi, \Delta m$

$$|\varepsilon| = (2.285 \pm 0.019) \times 10^{-3}$$

$$\phi = (43.5 \pm 0.6)^\circ$$

# CP Violation - V



Similar to regeneration data, but : No regenerator!

Interference between  $K_L$  and  $K_S$  in  $2\pi$  decay

→  $K_L$  and  $K_S$  states not orthogonal: Both have a  $K_1$  component

# CP Violation - VI

Neutral beam at large distance from production target: Pure  $K_L$

$$|K_L^0\rangle = \frac{1}{\sqrt{1+|\varepsilon|^2}} (|K_2^0\rangle + \varepsilon |K_1^0\rangle)$$

$$\rightarrow |K_L^0\rangle = \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} [(1+\varepsilon)|K^0\rangle + (1-\varepsilon)|\bar{K}^0\rangle]$$

Take semileptonic decays, e.g.  $K_{e3}$ :

$$K^0 \rightarrow \pi^- e^+ \nu_e$$

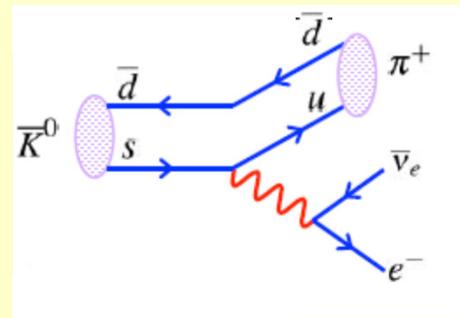
$$\bar{K}^0 \rightarrow \pi^+ e^- \bar{\nu}_e$$

Observe:

$$CP|K^0\rangle = |\bar{K}^0\rangle$$

$$CP|\pi^- e^+ \nu_e\rangle = |\pi^+ e^- \bar{\nu}_e\rangle$$

→ No CP eigenstates



# CP Violation - VII

$$\Gamma(K_L \rightarrow \pi^+ e^- \bar{\nu}_e) \propto |\langle \bar{K}^0 | K_L \rangle|^2 \propto |1 - \epsilon|^2 \approx 1 - 2\Re\{\epsilon\}$$

$$\Gamma(K_L \rightarrow \pi^- e^+ \nu_e) \propto |\langle K^0 | K_L \rangle|^2 \propto |1 + \epsilon|^2 \approx 1 + 2\Re\{\epsilon\}$$

$$|1 \pm \epsilon|^2 = (1 \pm \epsilon)(1 \pm \epsilon^*) \approx 1 \pm 2\Re(\epsilon) .$$

$$\delta \approx \frac{(1 + 2\Re(\epsilon)) - (1 - 2\Re(\epsilon))}{(1 + 2\Re(\epsilon)) + (1 - 2\Re(\epsilon))}$$

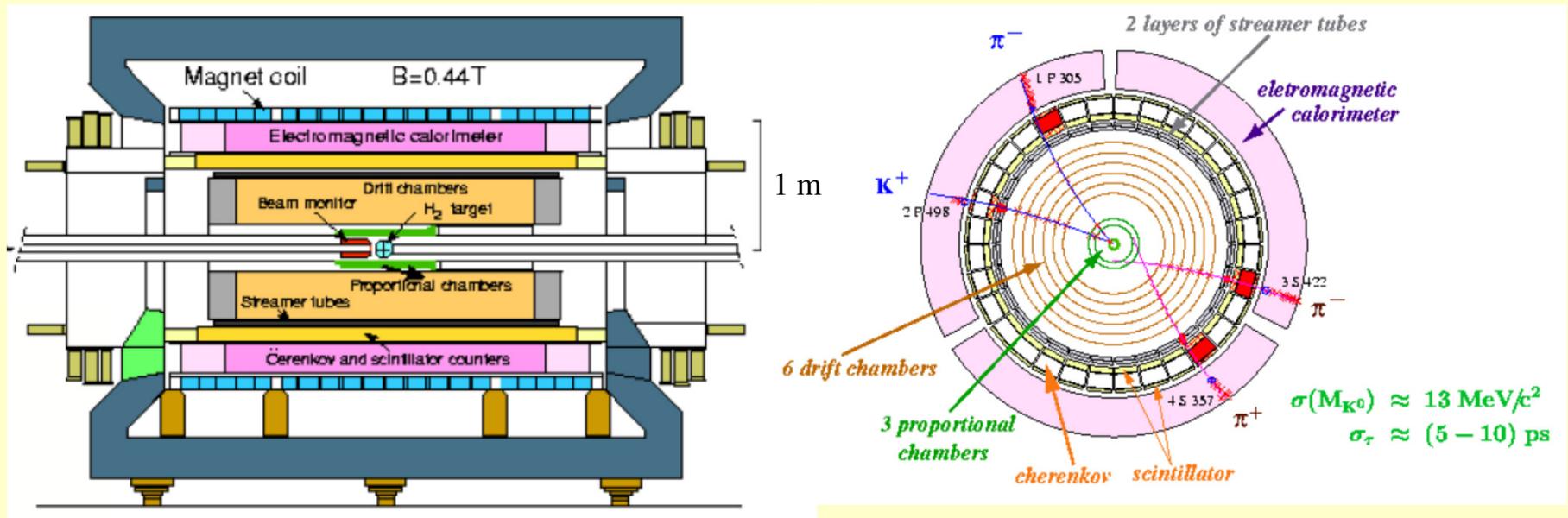
$$\boxed{\delta \approx 2\Re(\epsilon) = 2|\epsilon| \cos \phi}$$

$$\delta \equiv \frac{\Gamma(K_L \rightarrow \pi^- e^+ \nu_e) - \Gamma(K_L \rightarrow \pi^+ e^- \bar{\nu}_e)}{\Gamma(K_L \rightarrow \pi^- e^+ \nu_e) + \Gamma(K_L \rightarrow \pi^+ e^- \bar{\nu}_e)} = (0.327 \pm 0.012) \%$$

Good agreement between prediction and measurement of  $\delta$

# CP Violation - VIII

CPLEAR – CERN '90s

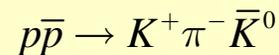
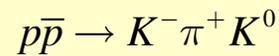


# CP Violation - IX

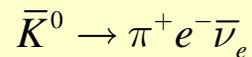
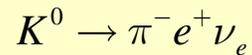
Experiment CPLEAR

(CERN  $\bar{p}$  Low Energy Accumulator Ring - LEAR)  $\rightarrow \sim 1995$

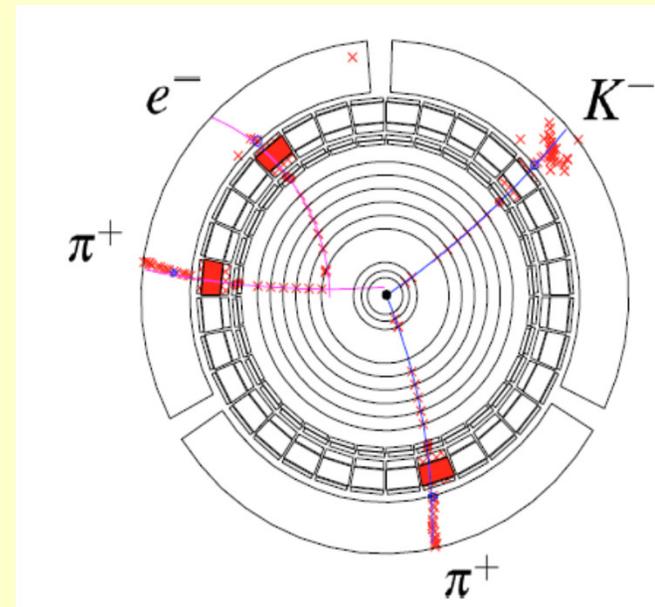
Use reactions:



and semileptonic decays



Strangeness of *produced*  $K$  state: Tagged *unambiguously*  
                  *decaying*



# CP Violation - X

Strangeness oscillations in presence of  $\mathcal{CP}$ :

$$\Gamma(K_{t=0}^0 \rightarrow K^0) \propto \frac{1}{4} \left[ e^{-\Gamma_S t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_S + \Gamma_L}{2} t} \cos \Delta m t \right], \quad \Gamma(K_{t=0}^0 \rightarrow \bar{K}^0) \propto \frac{1}{4} (1 - 4 \operatorname{Re} \varepsilon) \left[ e^{-\Gamma_S t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_S + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$\Gamma(\bar{K}_{t=0}^0 \rightarrow \bar{K}^0) \propto \frac{1}{4} \left[ e^{-\Gamma_S t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_S + \Gamma_L}{2} t} \cos \Delta m t \right], \quad \Gamma(\bar{K}_{t=0}^0 \rightarrow K^0) \propto \frac{1}{4} (1 + 4 \operatorname{Re} \varepsilon) \left[ e^{-\Gamma_S t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_S + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$\rightarrow R_+ = \Gamma(K_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) = N \frac{1}{4} \left[ e^{-\Gamma_S t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_S + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$R_- = \Gamma(K_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e) = N (1 - 4 \operatorname{Re} \varepsilon) \frac{1}{4} \left[ e^{-\Gamma_S t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_S + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$\bar{R}_+ = \Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) = N (1 + 4 \operatorname{Re} \varepsilon) \frac{1}{4} \left[ e^{-\Gamma_S t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_S + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$\bar{R}_- = \Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e) = N \frac{1}{4} \left[ e^{-\Gamma_S t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_S + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$A_{\Delta m} = \frac{(R_+ + \bar{R}_-) - (R_- + \bar{R}_+)}{(R_+ + \bar{R}_-) + (R_- + \bar{R}_+)} \rightarrow A_{\Delta m}(\mathcal{CP}) = A_{\Delta m}(CP)$$

Astonishing T-violation:

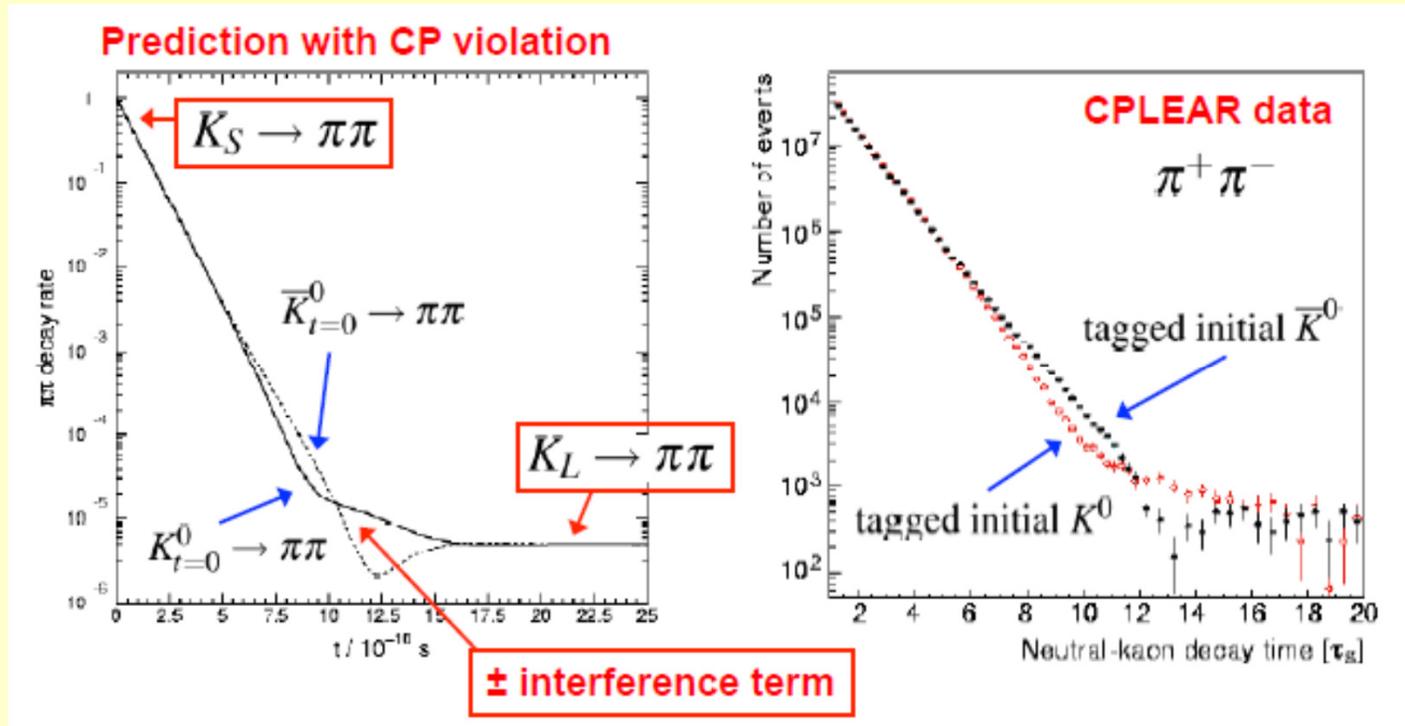
$$\Gamma(K_{t=0}^0 \rightarrow \bar{K}^0) \neq \Gamma(\bar{K}_{t=0}^0 \rightarrow K^0)$$

# CP Violation - XI

CPLEAR on  $2\pi$  decays

Expected decay rates  
for  $K^0$ ,  $\bar{K}^0$  initial state

Observed decay rates  
for  $K^0$ ,  $\bar{K}^0$  initial state



# CP Violation - XII

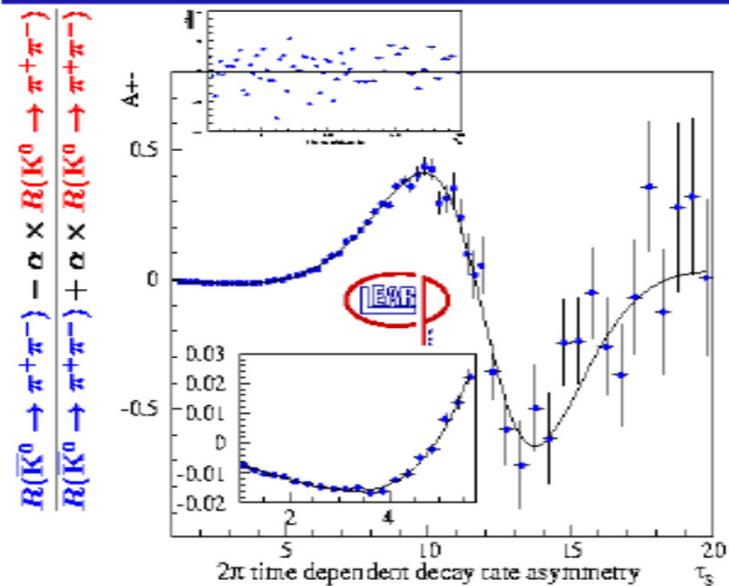
Time dependent asymmetry:

$$A(\pi\pi) = \frac{\Gamma(\bar{K}^0) - \Gamma(K^0)}{\Gamma(\bar{K}^0) + \Gamma(K^0)}$$

$$\rightarrow A(\pi\pi) \approx 2\text{Re}(\varepsilon) - \frac{2|\varepsilon| e^{\frac{(\Gamma_S - \Gamma_L)t}{2}}}{1 + |\varepsilon|^2 e^{\frac{(\Gamma_S - \Gamma_L)t}{2}}} \cos(\Delta mt - \phi)$$

$$\rightarrow \begin{cases} |\varepsilon| = (2.264 \pm 0.035) 10^{-3} \\ \varphi = (43.19 \pm 0.073)^\circ \\ \Delta m = (3.4852 \pm 0.013) 10^{-15} \text{ GeV} \end{cases}$$

Time dependent decay rate asymmetry



# *CP* Violation - XIII

*CP* violation in  $3\pi$  decays

Expect, by swapping  $K_S \leftrightarrow K_L$  :

$$I(K^0; t) = \frac{N'}{2} (1 - 2\text{Re}(\varepsilon)) \left[ e^{-\Gamma_L t} + |\varepsilon|^2 e^{-\Gamma_S t} + 2|\varepsilon| e^{-\frac{\Gamma_L + \Gamma_S}{2} t} \cos(\Delta m t - \varphi) \right]$$

Very different experimental conditions as compared to  $2\pi$  :

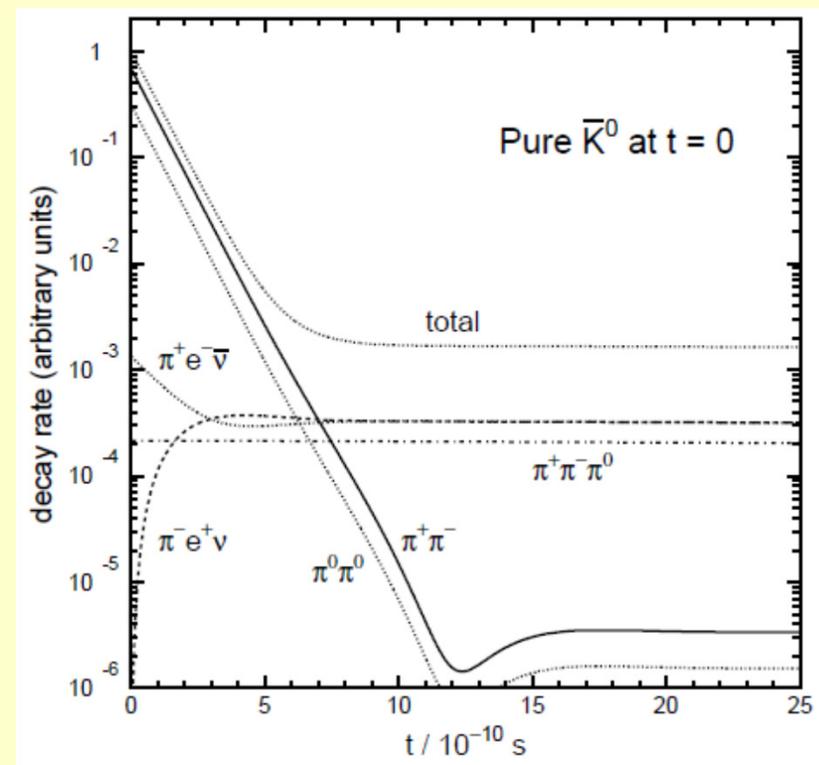
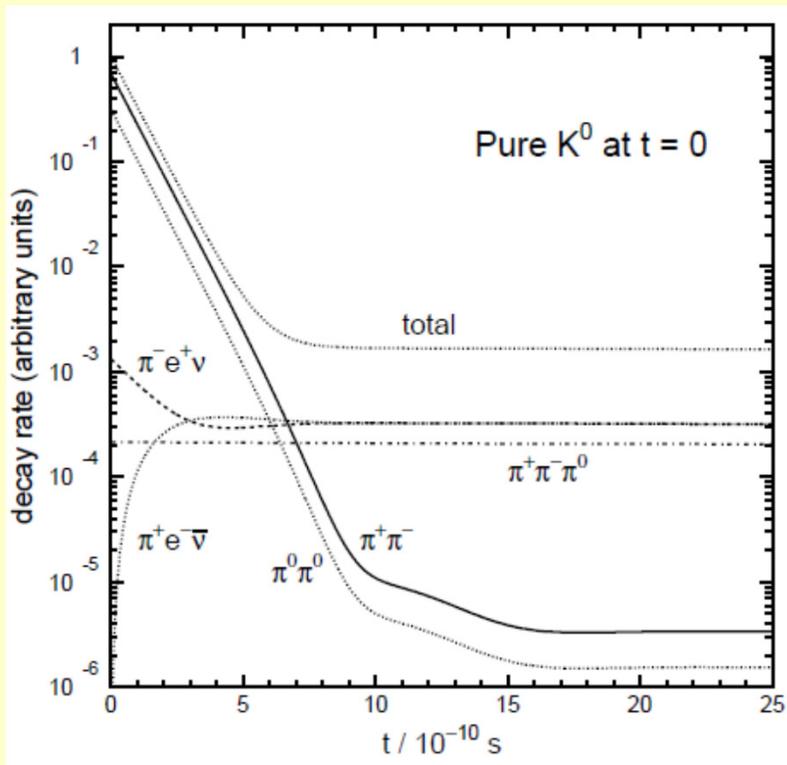
Lots of *CP* conserving  $3\pi$  decays from  $K_L$  component of the beam

(Compare: No *CP* conserving  $2\pi$  from  $K_S$  component, which just dies out at large distance)

→ Measurement difficult, large errors

# CP Violation - XIV

Summary of decay rates ( CP violated)



# T, CPT Tests - I

From previous conclusions on *CP* violation:

$$\Gamma(K_{t=0}^0 \rightarrow \bar{K}^0) \neq \Gamma(\bar{K}_{t=0}^0 \rightarrow K^0)$$

Also *time reversal* violation:

Amplitude of direct process  $\neq$  Amplitude of reverse process

To be expected if *CPT* is a good symmetry

$$A_T \equiv \frac{\Gamma(\bar{K}^0 \rightarrow K^0) - \Gamma(K^0 \rightarrow \bar{K}^0)}{\Gamma(\bar{K}^0 \rightarrow K^0) + \Gamma(K^0 \rightarrow \bar{K}^0)}$$

Taking semileptonic decays as a benchmark:

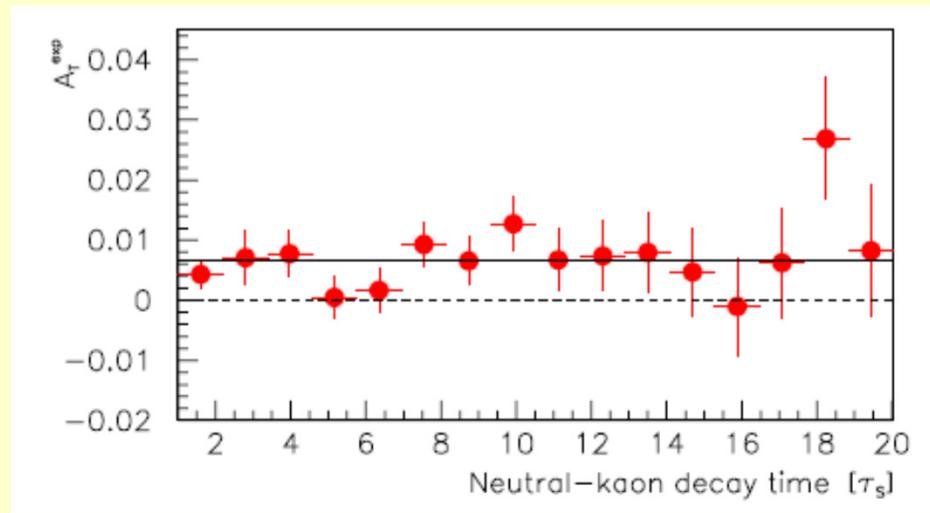
$$A_T = \frac{\Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) - \Gamma(K_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)}{\Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) + \Gamma(K_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)}$$

# $T, CPT$ Tests - II

$A_T \approx$  Time independent constant  $\approx 4 \operatorname{Re}(\varepsilon) = 4|\varepsilon| \cos \phi$

→ Expect

$A_T \approx 6.6 \cdot 10^{-3}$



$$A_T = (6.2 \pm 1.7) \times 10^{-3},$$

# *T, CPT* Tests - III

Semileptonic decays also used to test *CPT*

Simple test:

$$\Gamma(K^0 \rightarrow K^0) = \Gamma(\bar{K}^0 \rightarrow \bar{K}^0)$$

Define *CPT* asymmetry :

$$A_{CPT} = \frac{\Gamma(K^0 \rightarrow K^0) - \Gamma(\bar{K}^0 \rightarrow \bar{K}^0)}{\Gamma(K^0 \rightarrow K^0) + \Gamma(\bar{K}^0 \rightarrow \bar{K}^0)}$$

Measure by:

$$A_{CPT} = \frac{\Gamma(K_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) - \Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)}{\Gamma(K_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) + \Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)}$$

# $T, CPT$ Tests - IV

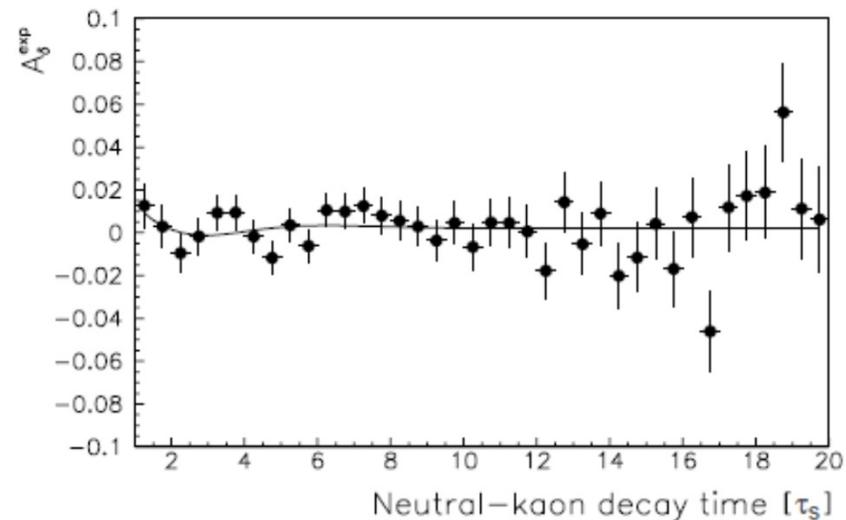
Since:

$$\Gamma(K_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) = N \frac{1}{4} \left[ e^{-\Gamma_S t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_S + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$\Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e) = N \frac{1}{4} \left[ e^{-\Gamma_S t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_S + \Gamma_L}{2} t} \cos \Delta m t \right]$$

→ Expect:

$A_{CPT} = 0$ ,  $t$  independent



# Direct $CP$ Violation - I

Another side of  $\mathcal{CP}$ :  $K^0$  decays  $CP$  violating

→ Direct  $\mathcal{CP}$

Amplitude ratios :

$$\eta_{+-} = \frac{\langle \pi^+ \pi^- | T | K_L^0 \rangle}{\langle \pi^+ \pi^- | T | K_S^0 \rangle} = |\eta_{+-}| e^{i\phi_{+-}}, \quad \eta_{00} = \frac{\langle \pi^0 \pi^0 | T | K_L^0 \rangle}{\langle \pi^0 \pi^0 | T | K_S^0 \rangle} = |\eta_{00}| e^{i\phi_{00}}$$

In order to relate  $\eta, \phi$  parameters to  $\varepsilon, \varepsilon'$

a) Decompose  $2\pi$  states into isospin eigenstates:

$$\begin{cases} \langle \pi^+ \pi^- | = \frac{1}{\sqrt{3}} \langle I=2 | + \sqrt{\frac{2}{3}} \langle I=0 | \\ \langle \pi^0 \pi^0 | = \sqrt{\frac{2}{3}} \langle I=2 | - \frac{1}{\sqrt{3}} \langle I=0 | \end{cases} \quad I=1 \text{ absent due to Bose statistics of } \pi\text{'s in a } S\text{-wave}$$

# Direct $CP$ Violation - II

Full  $2\pi$  states include proper phase factors  
originating from  $S$ -wave  $\pi\pi$  scattering

$$\langle \pi^+ \pi^- | = \frac{1}{\sqrt{3}} \langle 2 | e^{i\delta_2} + \sqrt{\frac{2}{3}} \langle 0 | e^{i\delta_0}$$

$$\langle \pi^0 \pi^0 | = \sqrt{\frac{2}{3}} \langle 2 | e^{i\delta_2} - \frac{1}{\sqrt{3}} \langle 0 | e^{i\delta_0}$$

Define decay amplitudes into isospin states:

$$A_0 = \langle 0 | H_w | K^0 \rangle$$

$$A_2 = \langle 2 | H_w | K^0 \rangle$$

# Direct $CP$ Violation - III

$$CP|\pi\pi\rangle = +1 \rightarrow CPT|0\rangle = \langle 0|, CPT|2\rangle = \langle 2|$$

$$CP|K^0\rangle = -|\bar{K}^0\rangle \rightarrow CPT|K^0\rangle = -\langle \bar{K}^0|$$

$$[H_w, CPT] = 0$$

$$\rightarrow \begin{cases} \langle 0|H_w|\bar{K}^0\rangle \xrightarrow{CPT} -\langle K^0|H_w|0\rangle = -A_0^* \\ \langle 2|H_w|\bar{K}^0\rangle \xrightarrow{CPT} -\langle K^0|H_w|2\rangle = -A_2^* \end{cases}$$

$$|K_L^0\rangle = \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} \left[ (1+\varepsilon)|K^0\rangle + (1-\varepsilon)|\bar{K}^0\rangle \right]$$

$$|K_S^0\rangle = \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} \left[ (1+\varepsilon)|K^0\rangle - (1-\varepsilon)|\bar{K}^0\rangle \right]$$

# Direct $CP$ Violation - IV

Transition matrix elements:

$$\langle \pi^+ \pi^- | H | K_L^0 \rangle = \frac{2}{\sqrt{3}} \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} \varepsilon \left[ \text{Re } A_2 e^{i\delta_2} + \sqrt{2} A_0 e^{i\delta_0} \right] + \text{Im } A_2 e^{i\delta_2}$$

$$\langle \pi^+ \pi^- | H | K_S^0 \rangle = \frac{2}{\sqrt{3}} \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} \left[ \text{Re } A_2 e^{i\delta_2} + \sqrt{2} A_0 e^{i\delta_0} + \varepsilon \text{Im } A_2 e^{i\delta_2} \right]$$

$$\langle \pi^0 \pi^0 | H | K_L^0 \rangle = \frac{2}{\sqrt{3}} \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} \varepsilon \left[ \sqrt{2} \text{Re } A_2 e^{i\delta_2} - A_0 e^{i\delta_0} \right] + \sqrt{2} \text{Im } A_2 e^{i\delta_2}$$

$$\langle \pi^0 \pi^0 | H | K_S^0 \rangle = \frac{2}{\sqrt{3}} \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} \left[ \sqrt{2} \text{Re } A_2 e^{i\delta_2} - A_0 e^{i\delta_0} + \varepsilon \sqrt{2} \text{Im } A_2 e^{i\delta_2} \right]$$

After some complex algebra:

$$\eta_{+-} = \frac{\langle \pi^+ \pi^- | T | K_L^0 \rangle}{\langle \pi^+ \pi^- | T | K_S^0 \rangle} \simeq \varepsilon + \underbrace{\frac{1}{\sqrt{2}} \frac{\text{Im } A_2}{A_0} e^{i(\delta_2 - \delta_0)}}_{\varepsilon'} = \varepsilon + \varepsilon'$$

$$\eta_{00} = \frac{\langle \pi^0 \pi^0 | T | K_L^0 \rangle}{\langle \pi^0 \pi^0 | T | K_S^0 \rangle} \simeq \varepsilon - \underbrace{\sqrt{2} \frac{\text{Im } A_2}{A_0} e^{i(\delta_2 - \delta_0)}}_{\varepsilon'} = \varepsilon - 2\varepsilon'$$

# Direct $CP$ Violation - V

Double ratio magic:

$$\eta_{+-} = \frac{\langle \pi^+ \pi^- | T | K_L^0 \rangle}{\langle \pi^+ \pi^- | T | K_S^0 \rangle} \simeq \varepsilon + \varepsilon'$$

$$\eta_{00} = \frac{\langle \pi^0 \pi^0 | T | K_L^0 \rangle}{\langle \pi^0 \pi^0 | T | K_S^0 \rangle} \simeq \varepsilon - 2\varepsilon'$$

$$\rightarrow \left| \frac{\eta_{00}}{\eta_{+-}} \right|^2 \simeq \frac{|\varepsilon - 2\varepsilon'|^2}{|\varepsilon + \varepsilon'|^2} = \frac{(\varepsilon - 2\varepsilon')(\varepsilon - 2\varepsilon')^*}{(\varepsilon + \varepsilon')(\varepsilon + \varepsilon')^*} \simeq \frac{|\varepsilon|^2 - 4\text{Re}(\varepsilon'\varepsilon)}{|\varepsilon|^2 + 2\text{Re}(\varepsilon'\varepsilon)}$$

$$\rightarrow \left| \frac{\eta_{00}}{\eta_{+-}} \right|^2 \approx \frac{1 - 4 \frac{\text{Re}(\varepsilon'\varepsilon)}{|\varepsilon|^2}}{1 + 2 \frac{\text{Re}(\varepsilon'\varepsilon)}{|\varepsilon|^2}} \approx \left[ 1 - 2 \frac{\text{Re}(\varepsilon'\varepsilon)}{|\varepsilon|^2} \right] \left[ 1 - 4 \frac{\text{Re}(\varepsilon'\varepsilon)}{|\varepsilon|^2} \right] \approx 1 - 6 \frac{\text{Re}(\varepsilon'\varepsilon)}{|\varepsilon|^2}$$

$$\rightarrow \left| \frac{\eta_{00}}{\eta_{+-}} \right|^2 = \frac{\frac{N_L^{00}}{N_S^{00}}}{\frac{N_L^{+-}}{N_S^{+-}}} \approx 1 - 6 \text{Re} \frac{(\varepsilon')}{|\varepsilon|}$$

# Direct $CP$ Violation - VI

Actually a very important question:

Does weak interaction violate  $CP$ ?

$\varepsilon' \neq 0$     yes

$\varepsilon' = 0$     don't know

'80s:

$$\operatorname{Re}\left(\frac{\varepsilon'}{\varepsilon}\right) = (23.0 \pm 6.5) \times 10^{-4} (NA31) \quad >3\sigma$$

$$\operatorname{Re}\left(\frac{\varepsilon'}{\varepsilon}\right) = (7.4 \pm 5.9) \times 10^{-4} (E731) \quad \sim 1.5\sigma$$

'90s:

$$\operatorname{Re}\left(\frac{\varepsilon'}{\varepsilon}\right) = (15.3 \pm 2.6) \times 10^{-4} (NA48) \quad \sim 6\sigma$$

$$\operatorname{Re}\left(\frac{\varepsilon'}{\varepsilon}\right) = (20.7 \pm 2.8) \times 10^{-4} (KTEV) \quad >7\sigma$$

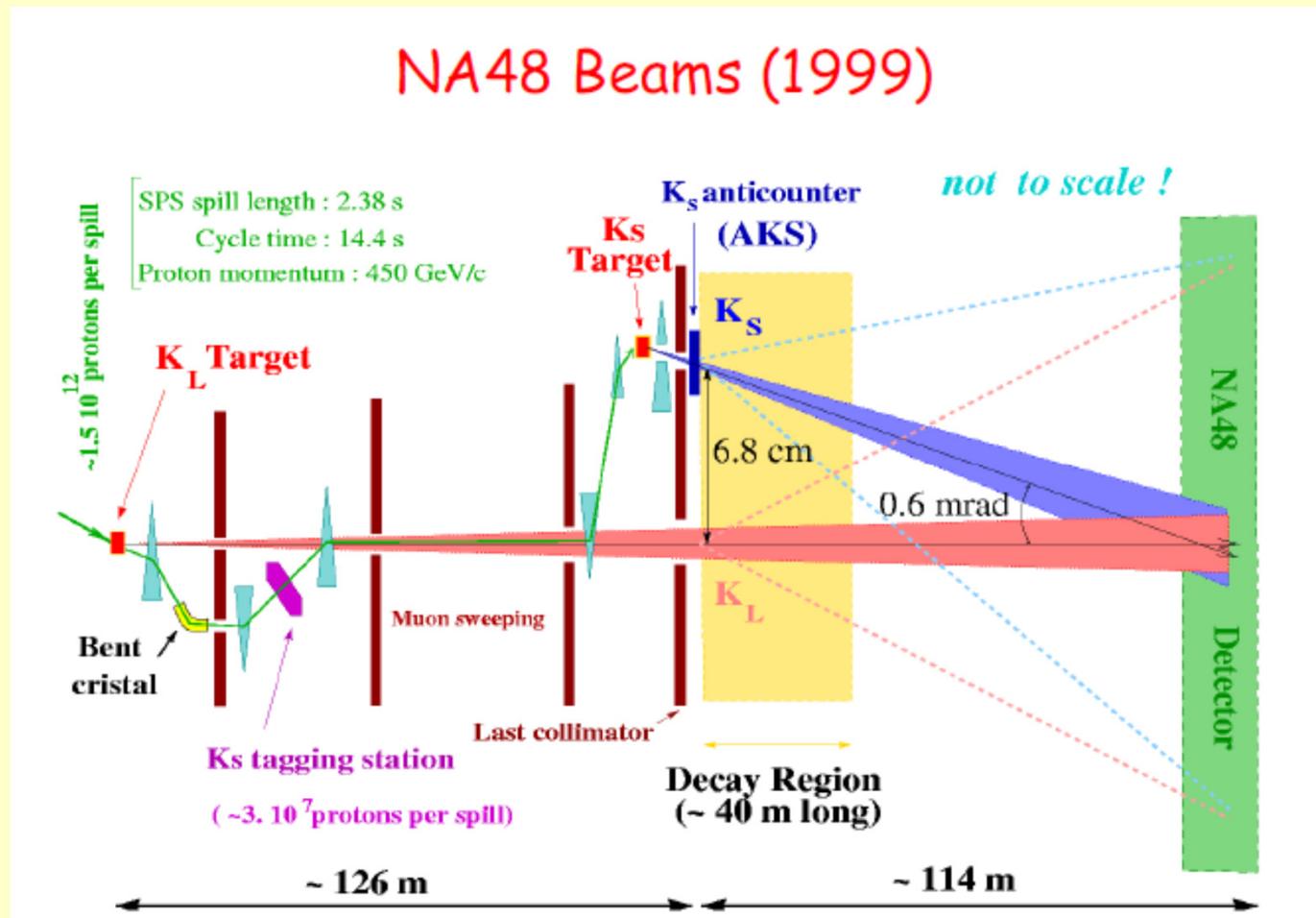
Mostly systematics

# Direct $CP$ Violation - VII

## NA48 technique

- Employ two almost collinear neutral beams
- Collect the four decay modes simultaneously, in the same detector and from the same decay region
- Keep the acceptance correction small by weighting the  $K_L$  events according to the ratio of  $K_S/K_L$  decay intensities as a function of proper time
- Distinguish  $K_S$  and  $K_L$  events by tagging the protons upstream of the  $K_S$  target
- Use precise and stable liquid krypton (LKr) calorimetry to control the relative momentum scale

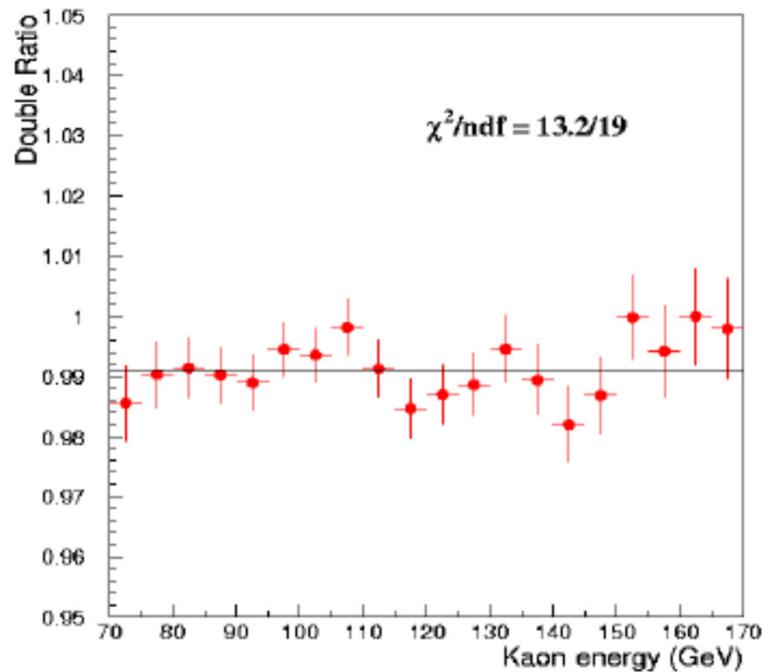
# Direct $CP$ Violation - VIII



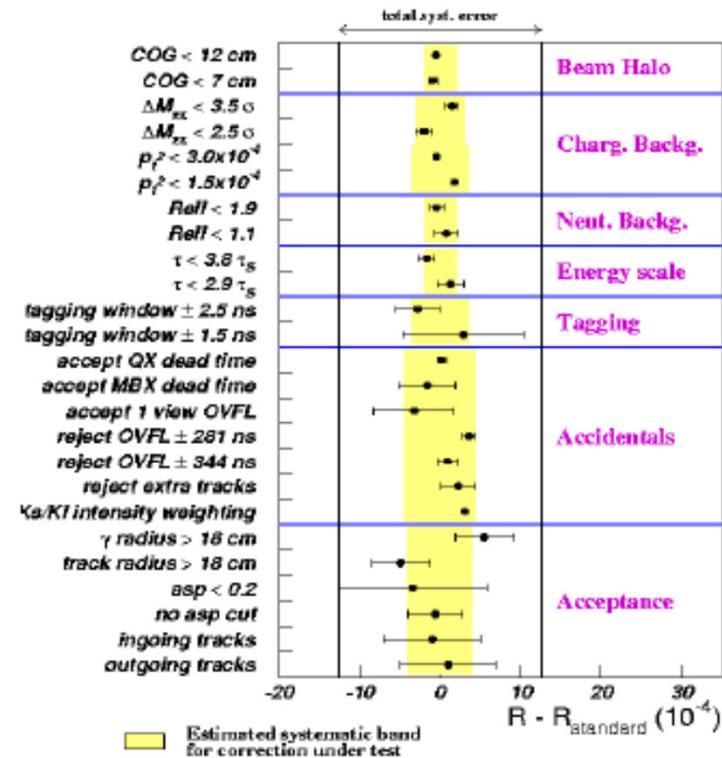


# Direct $CP$ Violation - X

## Systematics Checks and Result



R stability against cut variations

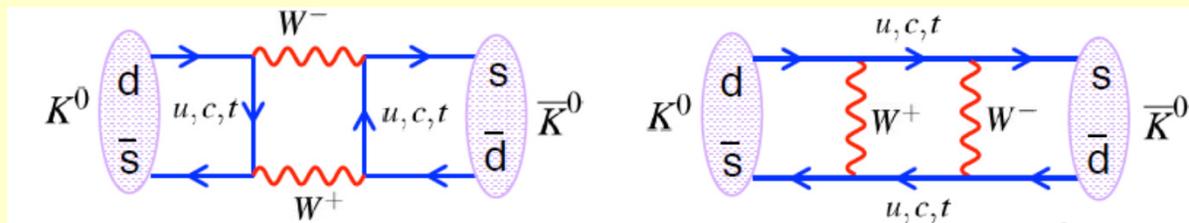


# CP Violation and SM - I

So far: Phenomenological description → ~ Just symmetries

Now: Try to connect to SM

Mixing : *Box* diagrams



Mass difference between mass eigenstates:

$$\Delta m_K \simeq \frac{G_F^2}{3\pi^2} f_K^2 m_K \left| V_{qd} V_{qs}^* V_{q'd} V_{q's}^* \right| m_q m_{q'}$$

# $CP$ Violation and SM - II

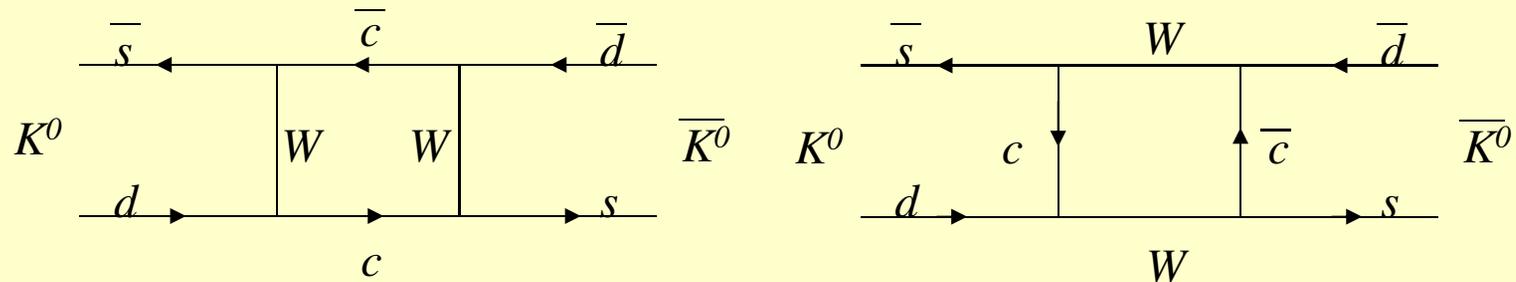
Go to  $CKM$ , find:

$$\begin{array}{llll} u,u & \sin^2\theta_c \cos^2\theta_c m_u^2 & \sim 0.048m_u^2 & \sim .005 \\ u,c & \sin^2\theta_c \cos^2\theta_c m_u m_c & \sim 0.048m_u m_c & \sim .022 \\ u,t & |V_{td}| |V_{ts}| \sin\theta_c \cos\theta_c m_u m_t & \sim 0.220 \cdot 4 \cdot 10^{-5} m_u m_t & \sim .0005 \\ c,c & \sin^2\theta_c \cos^2\theta_c m_c^2 & \sim 0.048m_c^2 & \sim .095 \\ c,t & |V_{td}| |V_{ts}| \sin\theta_c \cos\theta_c m_c m_t & \sim 0.220 \cdot 4 \cdot 10^{-5} m_c m_t & \sim .0021 \\ t,t & |V_{td}|^2 |V_{ts}|^2 m_t^2 & \sim 1.6 \cdot 10^{-10} m_t^2 & \sim 0 \end{array}$$

→ Diagrams with  $c$  quark dominant

# CP Violation and SM - IV

Just for the fun: Oversimplify, take only charm contribution



$$A_{box} \propto (V_{cs} V_{cd}^*)^2 m_c^2 \approx (\lambda^2 + i2A^2 \lambda^6 \eta) m_c^2$$

$$|\varepsilon| \approx \frac{\text{Im}(A_{box})}{\text{Re}(A_{box})} \approx \frac{2A^2 \lambda^6 \eta}{\lambda^2} = 2A^2 \lambda^4 \eta$$

$$|\varepsilon| \sim 2 \cdot 0.81 \cdot 0.0025 \cdot 0.343 \sim 1.410^{-3}$$

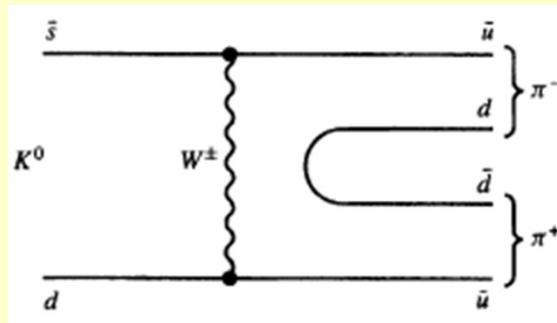
Not that bad...

# CP Violation and SM - V

Fun again:  $2\pi$  decays

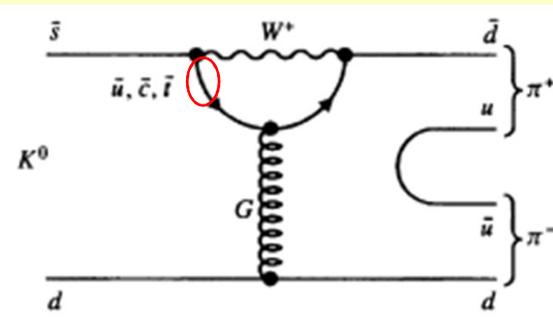
Must take into account two diagrams:

‘Tree’



$$\propto |V_{us}V_{ud}|^2 \approx \lambda^2$$

‘Penguin’



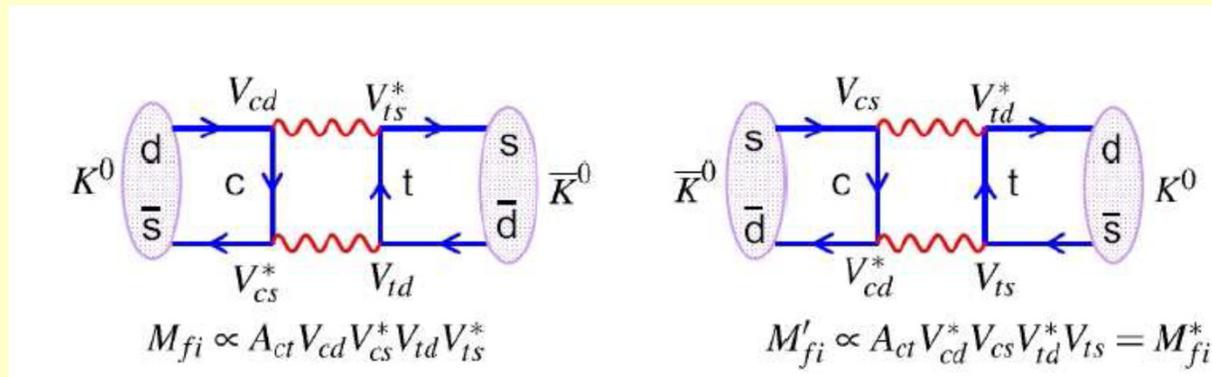
Top dominating:

$$\propto \text{Im}(V_{ts}V_{td}) \approx A^2 \lambda^5 \eta$$

$\varepsilon' \propto$  Interference between the two above

# CP Violation and SM - VI

Reconsidering box diagrams:



$$\Gamma(K_{t=0}^0 \rightarrow \bar{K}^0) - \Gamma(\bar{K}_{t=0}^0 \rightarrow K^0) \propto M_{fi} - M_{fi}^* = 2\text{Im}(M_{fi})$$

$$A_T \equiv \frac{\Gamma(\bar{K}^0 \rightarrow K^0) - \Gamma(K^0 \rightarrow \bar{K}^0)}{\Gamma(\bar{K}^0 \rightarrow K^0) + \Gamma(K^0 \rightarrow \bar{K}^0)}$$

Remembering:

$$A_T \approx 4\text{Re}(\varepsilon)$$

$$\rightarrow 4\text{Re}(\varepsilon) \propto 2\text{Im} M_{fi}$$

# Two State System - I

Electron in a magnetic field  $\mathbf{B}$  along  $\hat{z}$ :

$$H = -\boldsymbol{\mu} \cdot \mathbf{B} = \frac{1}{2} a \boldsymbol{\sigma} \cdot \mathbf{B} \quad \mathbf{B} = B \hat{k}, \quad \boldsymbol{\sigma} = (\sigma_x, \sigma_y, \sigma_z): \text{ Pauli spin matrices}$$

$$\rightarrow H = \frac{1}{2} a \sigma_z B = \begin{pmatrix} \frac{1}{2} a B & 0 \\ 0 & -\frac{1}{2} a B \end{pmatrix} = \begin{pmatrix} +E & 0 \\ 0 & -E \end{pmatrix}$$

2 state system: Choose as base states

$$|+\rangle \equiv \begin{pmatrix} 1 \\ 0 \end{pmatrix}, |-\rangle \equiv \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad \text{Eigenstates of } \sigma_z \rightarrow \text{Generic state: } |\psi\rangle = \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} \rightarrow \sigma_z |\psi\rangle = \begin{pmatrix} \psi_+ \\ -\psi_- \end{pmatrix}$$

Schrodinger equation:

$$i\hbar \frac{\partial |\psi\rangle}{\partial t} = H |\psi\rangle \rightarrow |\psi(t)\rangle = e^{-i\frac{Ht}{\hbar}} |\psi\rangle = e^{-i\frac{aB}{2\hbar} t \sigma_z} |\psi\rangle \rightarrow i\hbar \frac{\partial}{\partial t} \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \frac{1}{2} a B \sigma_z \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \frac{1}{2} a B \begin{pmatrix} \psi_+ \\ -\psi_- \end{pmatrix}$$

$$\rightarrow \begin{cases} \psi_+(t) = A_+ e^{-i\frac{aB}{\hbar} t} \\ \psi_-(t) = A_- e^{+i\frac{aB}{\hbar} t} \end{cases}, \quad |A_+|^2 + |A_-|^2 = 1 \rightarrow \begin{cases} |+, t\rangle = \begin{pmatrix} \psi_+(t) \\ 0 \end{pmatrix} \\ |-, t\rangle = \begin{pmatrix} 0 \\ \psi_-(t) \end{pmatrix} \end{cases} \quad \text{Stationary states}$$

# Two State System - II

Introduce another  $\mathbf{B}$  component along  $x$ :

$$\mathbf{B} = B\hat{\mathbf{k}} + B'\hat{\mathbf{i}}$$

$$H = -\boldsymbol{\mu} \cdot \mathbf{B} = \frac{1}{2} a \boldsymbol{\sigma} \cdot \mathbf{B} = \frac{1}{2} a (\sigma_z B + \sigma_x B')$$

$$\rightarrow H = \begin{pmatrix} \frac{1}{2} a B & 0 \\ 0 & -\frac{1}{2} a B \end{pmatrix} + \begin{pmatrix} 0 & \frac{1}{2} a B' \\ \frac{1}{2} a B' & 0 \end{pmatrix} = \begin{pmatrix} +E & E' \\ E' & -E \end{pmatrix}$$

$$\rightarrow i\hbar \frac{\partial}{\partial t} \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \frac{1}{2} a (B\sigma_z + B'\sigma_x) \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \frac{1}{2} a \left[ B \begin{pmatrix} \psi_+ \\ -\psi_- \end{pmatrix} + B' \begin{pmatrix} \psi_- \\ \psi_+ \end{pmatrix} \right]$$

$$\rightarrow \begin{cases} i\hbar \frac{\partial \psi_+}{\partial t} = \frac{1}{2} a B \psi_+ + B' \psi_- \\ i\hbar \frac{\partial \psi_-}{\partial t} = -\frac{1}{2} a B \psi_- + B' \psi_+ \end{cases} \rightarrow \text{Coupled equations}$$

$$\rightarrow \begin{cases} |+, t\rangle \\ |-, t\rangle \end{cases} \text{ Non-stationary states}$$

# Mixing and Oscillations - I

Use symbol  $B$  everywhere for  $B^0$ , but:

Most of the formalism suitable for  $K^0, D^0, B_s^0$

Neutral meson time evolution: Two-state system

$$|B^0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, |\bar{B}^0\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$i \frac{\partial}{\partial t} \psi = H \psi \quad \text{Schrodinger equation}$$

$$\psi(t) = a(t) |B^0\rangle + b(t) |\bar{B}^0\rangle \equiv \begin{pmatrix} a(t) \\ b(t) \end{pmatrix} \quad \text{Two-component state vector}$$

Just free evolution for both components, no decay:

$$H = \underbrace{\begin{pmatrix} M & 0 \\ 0 & M \end{pmatrix}}_{\text{hermitian}} \quad \text{Effective Hamiltonian}$$

Free evolution for both components, with decay:

$$H = \underbrace{\begin{pmatrix} M & 0 \\ 0 & M \end{pmatrix}}_{\text{hermitian}} - \frac{i}{2} \underbrace{\begin{pmatrix} \Gamma & 0 \\ 0 & \Gamma \end{pmatrix}}_{\text{hermitian}}$$

# Mixing and Oscillations - II

Observe:

$$H^\dagger = \begin{pmatrix} M & 0 \\ 0 & M \end{pmatrix}^\dagger + \frac{i}{2} \begin{pmatrix} \Gamma & 0 \\ 0 & \Gamma \end{pmatrix}^\dagger = \begin{pmatrix} M & 0 \\ 0 & M \end{pmatrix} + \frac{i}{2} \begin{pmatrix} \Gamma & 0 \\ 0 & \Gamma \end{pmatrix} \neq H$$

→  $H$  non-Hermitian →  $e^{-iHt}$  non-unitary → State norm not conserved: Decreasing ↔  $\Gamma > 0$

$$H = \underbrace{\begin{pmatrix} M & A \\ B & M \end{pmatrix}}_{\text{hermitian}} - \frac{i}{2} \underbrace{\begin{pmatrix} \Gamma & C \\ D & \Gamma \end{pmatrix}}_{\text{hermitian}} \quad \text{Include mixing}$$

$$\begin{pmatrix} M & A \\ B & M \end{pmatrix}^\dagger = \begin{pmatrix} M & A \\ B & M \end{pmatrix} \rightarrow \begin{pmatrix} M & B^* \\ A^* & M \end{pmatrix} = \begin{pmatrix} M & A \\ B & M \end{pmatrix} \rightarrow A^* = B, \text{ same for } \Gamma$$

$$\rightarrow H = \underbrace{\begin{pmatrix} M & M_{12} \\ M_{12}^* & M \end{pmatrix}}_{\text{hermitian}} - \frac{i}{2} \underbrace{\begin{pmatrix} \Gamma & \Gamma_{12} \\ \Gamma_{12}^* & \Gamma \end{pmatrix}}_{\text{hermitian}}$$

$$\rightarrow i \frac{\partial}{\partial t} \psi(t) = \begin{pmatrix} M - \frac{i}{2} \Gamma & M_{12} - \frac{i}{2} \Gamma_{12} \\ M_{12}^* - \frac{i}{2} \Gamma_{12}^* & M - \frac{i}{2} \Gamma \end{pmatrix} \psi(t)$$

# Mixing and Oscillations - III

Eigenvalues:

$$\begin{vmatrix} M - \frac{i}{2}\Gamma - \lambda & M_{12} - \frac{i}{2}\Gamma_{12} \\ M_{12}^* - \frac{i}{2}\Gamma_{12}^* & M - \frac{i}{2}\Gamma - \lambda \end{vmatrix} = 0$$

$$\text{Define } F = \sqrt{\left(M_{12} - \frac{i}{2}\Gamma_{12}\right)\left(M_{12}^* - \frac{i}{2}\Gamma_{12}^*\right)}$$

$$\rightarrow \begin{cases} m_1 + i\frac{\Gamma_1}{2} = M - \text{Re}(F) - i\frac{\Gamma}{2} - \text{Im}(F) \\ m_2 + i\frac{\Gamma_2}{2} = M + \text{Re}(F) - i\frac{\Gamma}{2} + \text{Im}(F) \end{cases}$$

$$\rightarrow \begin{cases} \Delta m = 2\text{Re}(F) = 2\text{Re}\sqrt{\left(M_{12} - \frac{i}{2}\Gamma_{12}\right)\left(M_{12}^* - \frac{i}{2}\Gamma_{12}^*\right)} \\ \Delta\Gamma = 4\text{Im}(F) = 4\text{Im}\sqrt{\left(M_{12} - \frac{i}{2}\Gamma_{12}\right)\left(M_{12}^* - \frac{i}{2}\Gamma_{12}^*\right)} \end{cases}$$

# Mixing and Oscillations - IV

Eigenvectors:

$$\begin{pmatrix} M - \frac{i}{2}\Gamma & M_{12} - \frac{i}{2}\Gamma_{12} \\ M_{12}^* - \frac{i}{2}\Gamma_{12}^* & M - \frac{i}{2}\Gamma \end{pmatrix} \begin{pmatrix} p \\ q \end{pmatrix} = \lambda_{\pm} \begin{pmatrix} p \\ q \end{pmatrix}$$

$$\frac{q}{p} = \sqrt{\frac{M_{12}^* - \frac{i}{2}\Gamma_{12}^*}{M_{12} - \frac{i}{2}\Gamma_{12}}}$$

$$\rightarrow \begin{cases} |B_H\rangle = p|B^0\rangle + q|\overline{B^0}\rangle \\ |B_L\rangle = p|B^0\rangle - q|\overline{B^0}\rangle \end{cases}$$

$$\rightarrow \begin{cases} |B^0\rangle = \frac{1}{2p}(|B_H\rangle + |B_L\rangle) \\ |\overline{B^0}\rangle = \frac{1}{2q}(|B_H\rangle - |B_L\rangle) \end{cases}$$

# Mixing and Oscillations - V

Time evolution of mass eigenstates:

Define

$$\omega_+ = m_H - \frac{1}{2}\Gamma_H, \omega_- = m_L - \frac{1}{2}\Gamma_L$$

$$\begin{aligned} \rightarrow |B_H(t)\rangle &= e^{-i\omega_+ t} |B_H(0)\rangle \\ \rightarrow |B_L(t)\rangle &= e^{-i\omega_- t} |B_L(0)\rangle \end{aligned}$$

→ Straightforward free propagation & decay

# Mixing and Oscillations - VI

Time evolution of flavor eigenstates: Flavor oscillations

Define

$$g_{\pm}(t) = \frac{e^{-i\omega_+ t} \pm e^{-i\omega_- t}}{2}$$

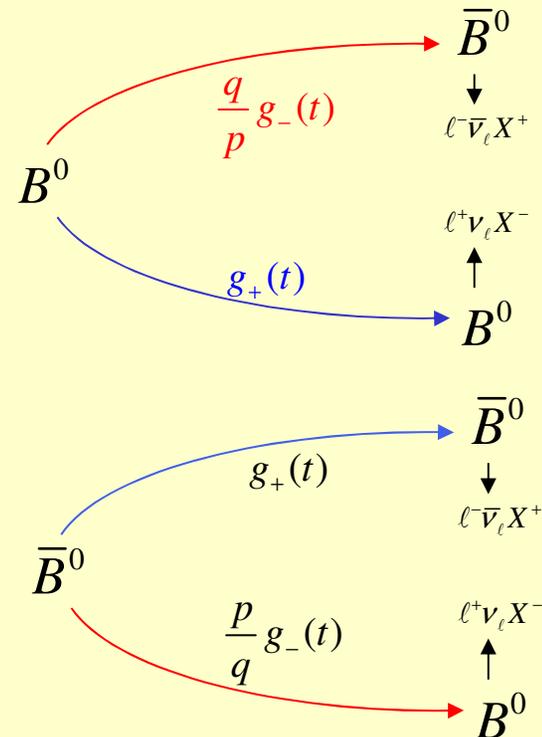
$$\rightarrow \begin{cases} |B^0(t)\rangle = g_+(t)|B^0\rangle + \frac{q}{p}g_-(t)|\bar{B}^0\rangle \\ |\bar{B}^0(t)\rangle = g_+(t)|\bar{B}^0\rangle + \frac{p}{q}g_-(t)|B^0\rangle \end{cases}$$

For  $B$ :

$$\Delta\Gamma \sim 0, \left| \frac{q}{p} \right| \sim 1$$

$$\rightarrow \begin{cases} g_+(t) = e^{-imt} e^{-\Gamma t/2} \cos \frac{\Delta mt}{2} \\ g_-(t) = e^{-imt} e^{-\Gamma t/2} \sin \frac{\Delta mt}{2} \end{cases}$$

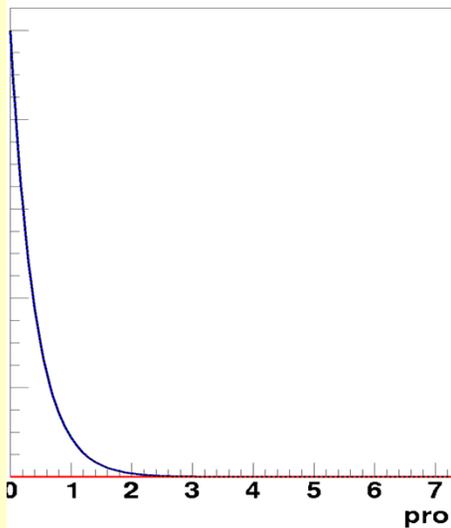
Observe by:



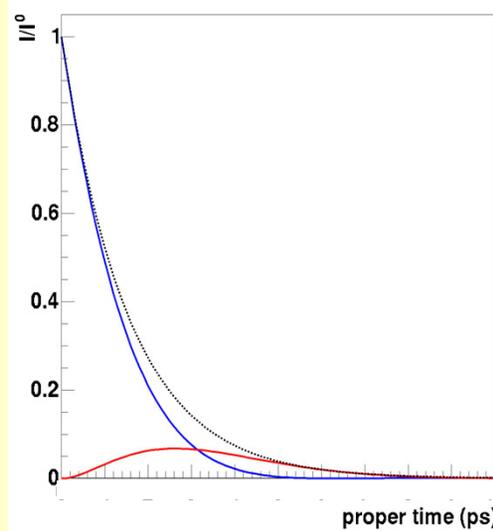
# Mixing and Oscillations - VII

Compare different ratios

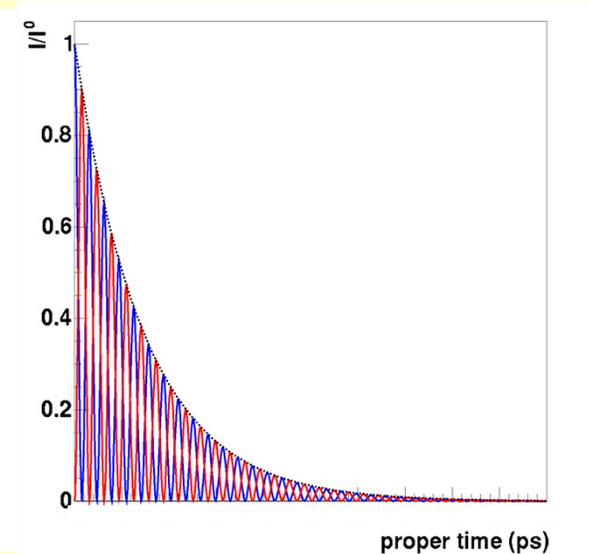
$$x = \frac{\Delta m}{\Gamma}$$



$$x \equiv \frac{\Delta m}{\Gamma} = 0$$



$$x \equiv \frac{\Delta m}{\Gamma} \approx 1$$



$$x \equiv \frac{\Delta m}{\Gamma} \gg 1$$

# Mixing and Oscillations - VIII

Neutral, flavored mesons: Lightest states

$$K^0 : d\bar{s}$$

$$\bar{K}^0 : \bar{d}s$$

$$D^0 : c\bar{u}$$

$$\bar{D}^0 : \bar{c}u$$

$$B^0 : \bar{b}d$$

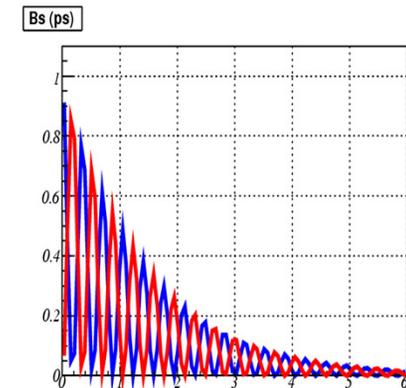
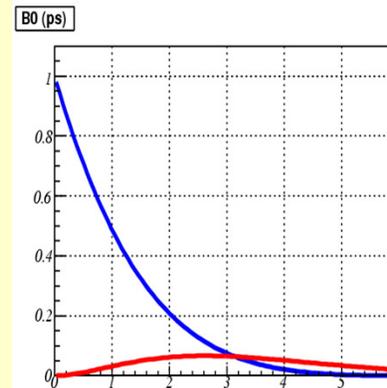
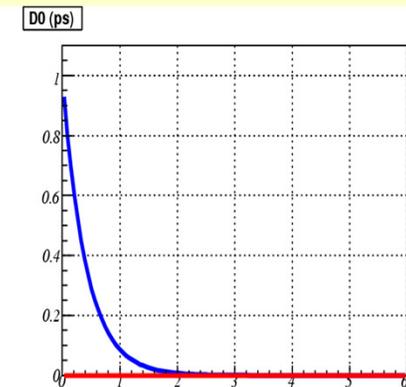
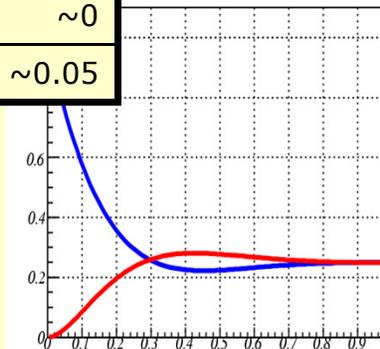
$$\bar{B}^0 : b\bar{d}$$

$$B_s^0 : \bar{b}s$$

$$\bar{B}_s^0 : b\bar{s}$$

# Mixing and Oscillations - IX

	$\langle \tau \rangle$	$\Delta m$	$x = \Delta m / \Gamma$	$y = \Delta \Gamma / 2\Gamma$
$K^0$	$2.6 \cdot 10^{-8} \text{ s}$	$5.29 \text{ ns}^{-1}$	$\Delta m / \Gamma_s = 0.49$	$\sim 1$
$D^0$	$0.41 \cdot 10^{-12} \text{ s}$	$0.001 \text{ fs}^{-1}$	$\sim 0$	$0.01$
$B^0$	$1.53 \cdot 10^{-12} \text{ s}$	$0.507 \text{ ps}^{-1}$	$0.78$	$\sim 0$
$B_s^0$	$1.47 \cdot 10^{-12} \text{ s}$	$17.8 \text{ ps}^{-1}$	$12.1$	$\sim 0.05$



# B vs K - I

Rationale:

$\mathcal{CP}$  observed in neutral kaon decays

Ascribed to mixing, decay, or both

Accounted for by a *single* complex phase in *CKM*

→ Expect  $\mathcal{CP}$  to occur in other neutral, flavored meson decays

→ Heavy quarks involved

→ *B* mesons best bet

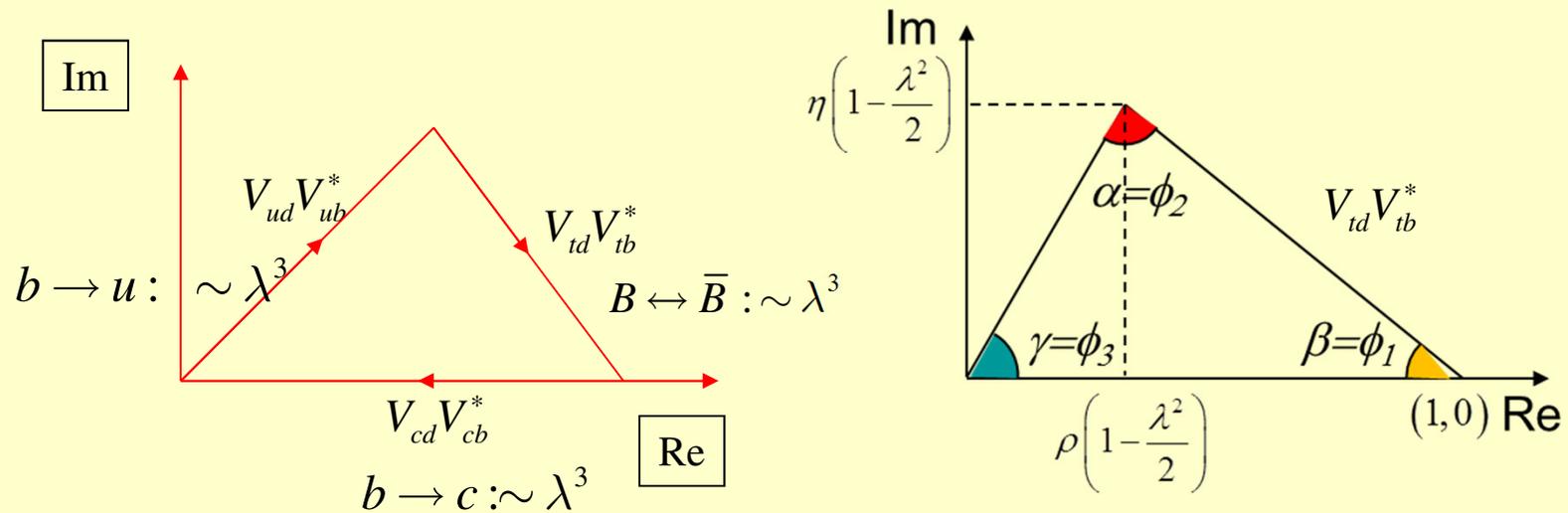
Looking again at unitarity triangles:

$$\begin{array}{ll} (1) & V_{ud}V_{us}^* + V_{cd}V_{cs}^* + V_{td}V_{ts}^* = 0; \\ (2) & V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0; \\ (3) & V_{us}V_{ub}^* + V_{cs}V_{cb}^* + V_{ts}V_{tb}^* = 0; \\ (4) & V_{ud}^*V_{cd} + V_{us}^*V_{cs} + V_{ub}^*V_{cb} = 0; \\ (5) & V_{ud}^*V_{td} + V_{us}^*V_{ts} + V_{ub}^*V_{tb} = 0; \\ (6) & V_{cd}^*V_{td} + V_{cs}^*V_{ts} + V_{cb}^*V_{tb} = 0 \end{array}$$

Not all equally useful: *Shape, Easy to measure*

# B vs K - II

$$(2) \quad V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0;$$



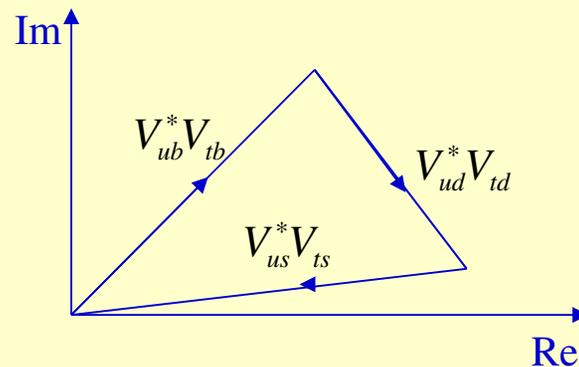
The unitarity triangle: Somewhat 'equilateral'  $\rightarrow$  Large angles

# $B$ vs $K$ - III

$$V_{ud}^* V_{td} + V_{us}^* V_{ts} + V_{ub}^* V_{tb} = \delta_{tu} = 0 \quad \hat{=} \quad tu \text{ triangle}$$

Another  $\approx$  equilateral one

Each side  $\propto \lambda^3$



# B vs K - IV

Two 'squashed' triangles...

2 sides  $\propto \lambda^2$

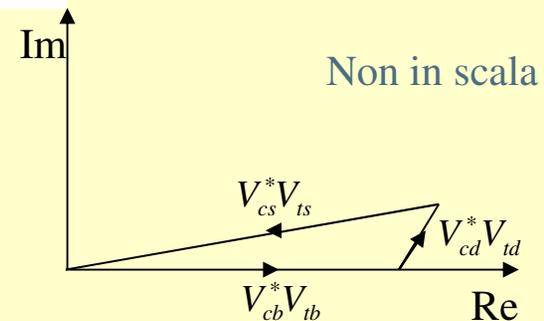
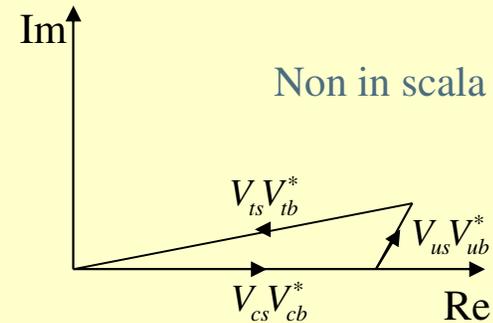
1 side  $\propto \lambda^4$

$$V_{us}^* V_{ub} + V_{cs}^* V_{cb} + V_{ts}^* V_{tb} = \delta_{bs} = 0 \quad \hat{=} \quad bs \text{ triangle}$$

$$V_{td}^* V_{cd} + V_{ts}^* V_{cs} + V_{tb}^* V_{cb} = \delta_{tc} = 0 \quad \hat{=} \quad tc \text{ triangle}$$

Difficult to use to test  $\mathcal{CP}$

$\mathcal{CP} \propto$  Height with base normalized to 1



# $B$ vs $K - V$

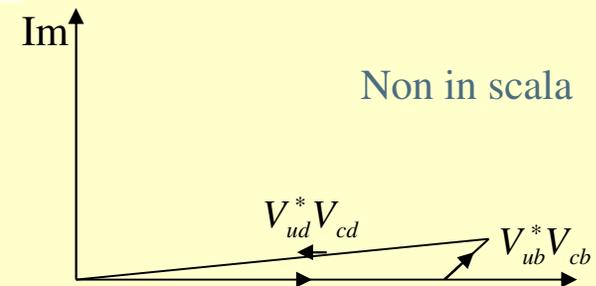
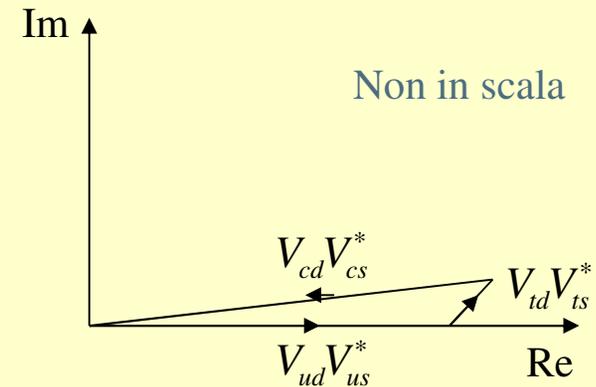
...and two even more squashed

2 sides  $\propto \lambda$

1 side  $\propto \lambda^5$

$$V_{ud}^* V_{us} + V_{cd}^* V_{cs} + V_{td}^* V_{ts} = \delta_{sd} = 0 \quad \hat{=} \quad sd \text{ triangle}$$

$$V_{ud}^* V_{cd} + V_{us}^* V_{cs} + V_{ub}^* V_{cb} = \delta_{cu} = 0 \quad \hat{=} \quad cu \text{ triangle}$$



# $B$ vs $K$ - VI

$B^0 - \bar{B}^0$  : Most promising sector for validation of  $CKM$

'Large'  $\mathcal{CP}$  expected

Similar to  $K^0 - \bar{K}^0$ , but:

$$\Delta M_B = 0.489 \pm 0.008 \text{ ps}^{-1} \sim 0.489 \cdot 10^{12} \text{ s}^{-1} \cdot 6.582 \cdot 10^{-16} \text{ eV s} \sim 3.22 \cdot 10^{-4} \text{ eV}$$

$$\tau_{B_1} \approx \tau_{B_2} = 1.56 \pm 0.06 \text{ ps}$$

Compare to  $K$ :

$$\Delta M_K = 5.29 \text{ ns}^{-1} \sim 5.29 \cdot 10^9 \text{ s}^{-1} \cdot 6.582 \cdot 10^{-16} \text{ eV s} \sim 3.4 \cdot 10^{-6} \text{ eV}$$

$$\tau_L \sim 600 \text{ ps} \quad \tau_S \sim 600 \cdot 89 \text{ ps}$$

$B_H, B_L$  states cannot be physically separated

# B Mixing: CP - I

$$\left\{ \begin{array}{l} |B_H\rangle = \frac{1}{\sqrt{1+|\eta|^2}} (|B^0\rangle + \eta |\bar{B}^0\rangle) \\ |B_L\rangle = \frac{1}{\sqrt{1+|\eta|^2}} (|B^0\rangle - \eta |\bar{B}^0\rangle) \end{array} \right. , \quad \left\{ \begin{array}{l} |B^0\rangle = \frac{1}{2} \sqrt{1+|\eta|^2} (|B_H\rangle + |B_L\rangle) \\ |\bar{B}^0\rangle = \frac{1}{2\eta} \sqrt{1+|\eta|^2} (|B_H\rangle - |B_L\rangle) \end{array} \right.$$

Compare to:

$$|K_L^0\rangle = \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} [(1+\varepsilon)|K^0\rangle + (1-\varepsilon)|\bar{K}^0\rangle], \quad |K_S^0\rangle = \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} [(1-\varepsilon)|K^0\rangle - (1+\varepsilon)|\bar{K}^0\rangle]$$

$$\rightarrow \eta = \frac{1-\varepsilon_B}{1+\varepsilon_B}$$

$$\rightarrow \left\{ \begin{array}{l} |B_H(t)\rangle = |B_H\rangle e^{-im_H t - \frac{\Gamma_H}{2} t} \\ |B_L(t)\rangle = |B_L\rangle e^{-im_L t - \frac{\Gamma_L}{2} t} \end{array} \right. , \quad \Gamma_H \approx \Gamma_L = \Gamma, \quad \Delta m \ll M$$

# B Mixing: CP - II

$$\rightarrow \begin{cases} |B^0(t)\rangle = |B^0\rangle f_+(t) + \eta |\overline{B}^0\rangle f_-(t) \\ |\overline{B}^0(t)\rangle = |B^0\rangle \frac{1}{\eta} f_-(t) + |\overline{B}^0\rangle f_+(t) \end{cases}$$

$$f_{\pm}(t) \approx \frac{1}{2} e^{-\frac{1}{2}\Gamma t} e^{-iMt} \left[ e^{-i\frac{\Delta m}{2}t} \pm e^{+i\frac{\Delta m}{2}t} \right], \quad \Delta m > 0, \quad \Gamma_H \simeq \Gamma_L = \Gamma$$

$$\rightarrow \begin{cases} f_+(t) \approx \frac{1}{2} e^{-\frac{1}{2}\Gamma t} e^{-iMt} \cos\left(\frac{\Delta m}{2}t\right) \\ f_-(t) \approx -i \frac{1}{2} e^{-\frac{1}{2}\Gamma t} e^{-iMt} \sin\left(\frac{\Delta m}{2}t\right) \end{cases}$$

$$\rightarrow \begin{cases} |B^0(t)\rangle = e^{-iMt} e^{-\frac{1}{2}\Gamma t} \left[ \cos\left(\frac{\Delta m}{2}t\right) |B_0\rangle - i\eta \sin\left(\frac{\Delta m}{2}t\right) |\overline{B}_0\rangle \right] \\ |\overline{B}^0(t)\rangle = e^{-iMt} e^{-\frac{1}{2}\Gamma t} \left[ -\frac{i}{\eta} \sin\left(\frac{\Delta m}{2}t\right) |B_0\rangle + \cos\left(\frac{\Delta m}{2}t\right) |\overline{B}_0\rangle \right] \end{cases}$$

# B Mixing: CP - III

→ Expect:

$$\Gamma(B^0(t=0) \rightarrow B^0) = e^{-\Gamma t} \cos^2\left(\frac{\Delta m}{2} t\right)$$

$$\Gamma(B^0(t=0) \rightarrow \bar{B}^0) = |\eta|^2 e^{-\Gamma t} \sin^2\left(\frac{\Delta m}{2} t\right)$$

$$\Gamma(\bar{B}^0(t=0) \rightarrow \bar{B}^0) = e^{-\Gamma t} \cos^2\left(\frac{\Delta m}{2} t\right)$$

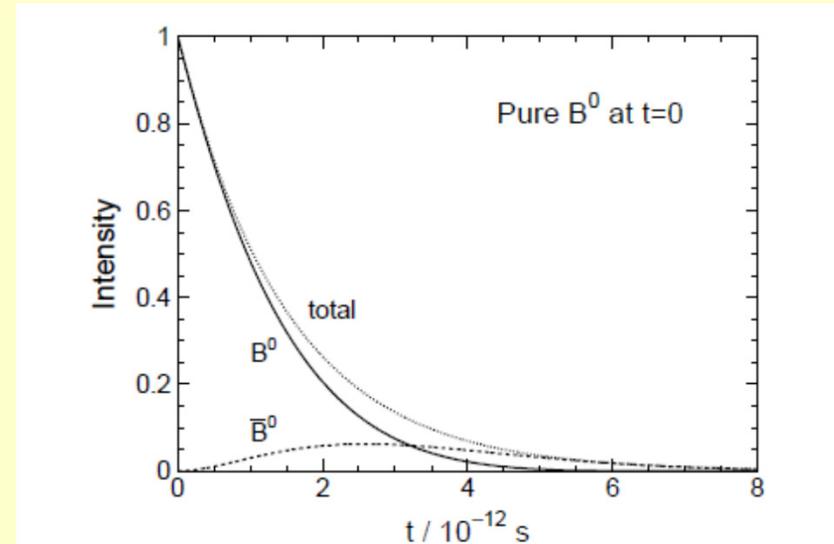
$$\Gamma(\bar{B}^0(t=0) \rightarrow B^0) = \left|\frac{1}{\eta}\right|^2 e^{-\Gamma t} \sin^2\left(\frac{\Delta m}{2} t\right)$$

Unlike  $K^0 / \bar{K}^0$ :

$|\eta| \simeq 1 \rightarrow \sim$  No  $\mathcal{CP}$  effect observable by looking at flavor oscillations

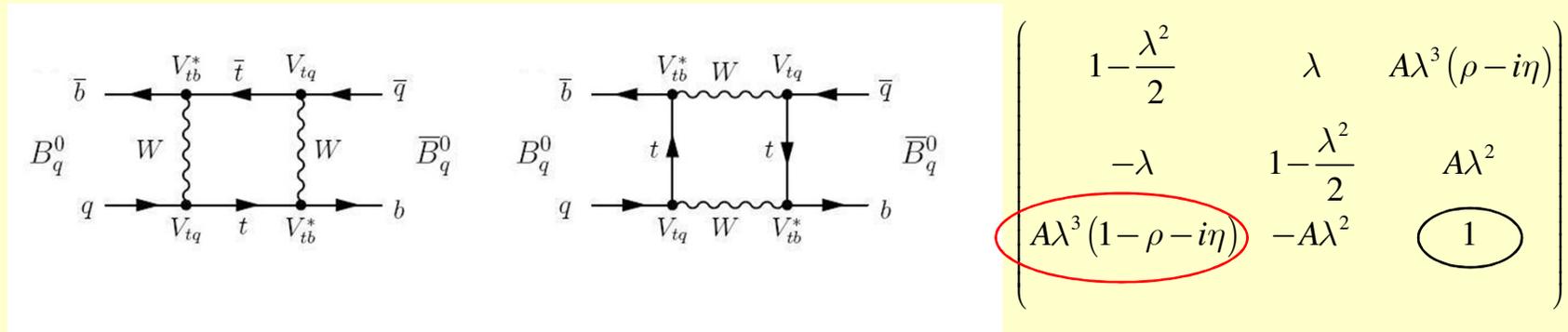
By restricting to decays to  $CP$  eigenstates: OK! See below..

Main disadvantage: Statistics (Tiny BR)



# B Mixing: CP - IV

Mixing in the SM: Box diagrams,  $t$  dominated for  $B^0$



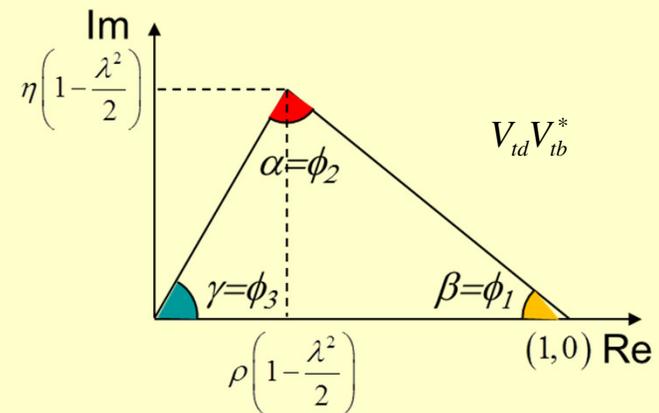
Mixing parameter:

$$\eta = \frac{(V_{tb}^* V_{td})}{(V_{tb} V_{td}^*)} \approx \frac{V_{td}}{V_{td}^*} = e^{-2i\varphi_{td}}$$

From UT:

$$\varphi_{td} = \beta$$

$$\rightarrow \eta = e^{-2i\beta}$$



# B Mixing: CP - V

Golden final state:  $J/\psi K_S^0$  (or  $K_L^0$ : Experimentally less attractive)

$$B^0, \bar{B}^0 \rightarrow J/\psi K_S^0$$

Angular momentum balance:

$$0 = 1 \oplus 0 \oplus L \rightarrow L = 1 \quad \text{Pure } P\text{-wave}$$

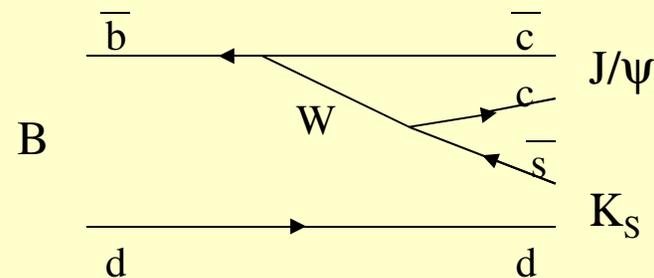
$$CP(J/\psi) = (-1)(-1) = +1$$

$$CP(K_S^0) = +1, \text{ neglect } \mathcal{CP} \text{ in } K^0$$

$$P_{orb} = (-1)^L = -1$$

$$\rightarrow CP(J/\psi K_S^0) = -1$$

$$\rightarrow CP(J/\psi K_L^0) = +1$$



# B Mixing: CP - VI

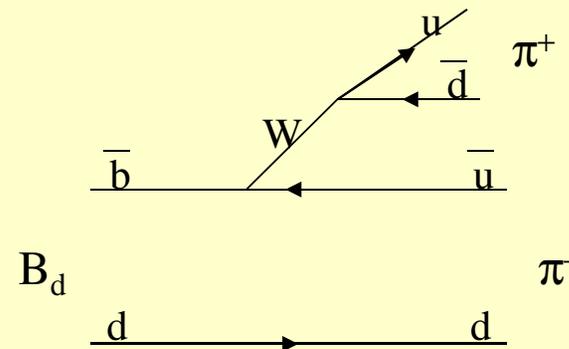
Another golden :  $\pi\pi$

$$B^0, \bar{B}^0 \rightarrow \pi\pi$$

Angular momentum balance:

$L = 0$  Pure  $S$  - wave

$$CP(\pi\pi) = +1$$



# B Mixing: CP - VII

Taking decays into (golden)  $CP$  eigenstates:

$$\begin{aligned}
 A(B^0 \rightarrow J/\psi K_S^0) &= \langle J/\psi K_S^0 | H_{eff} | B^0(t) \rangle \\
 \rightarrow A(B^0 \rightarrow J/\psi K_S^0) &= f_+(t) \langle J/\psi K_S^0 | H_{eff} | B^0 \rangle + \eta f_-(t) \langle J/\psi K_S^0 | H_{eff} | \bar{B}^0 \rangle \\
 \rightarrow A(B^0 \rightarrow J/\psi K_S^0) &= \langle J/\psi K_S^0 | H_{eff} | B^0 \rangle \left[ f_+(t) + \eta f_-(t) \frac{\langle J/\psi K_S^0 | H_{eff} | \bar{B}^0 \rangle}{\langle J/\psi K_S^0 | H_{eff} | B^0 \rangle} \right]
 \end{aligned}$$

Considering  $B^0, \bar{B}^0$  decay: Must occur in two steps

$$B^0 \rightarrow J/\psi K^0 \rightarrow J/\psi K_S^0 \quad \bar{B}^0 \rightarrow J/\psi \bar{K}^0 \rightarrow J/\psi K_S^0$$

because at the quark level:

$$\bar{b} \rightarrow \bar{c} cs \quad b \rightarrow c \bar{c}s$$

$$A(B^0 \rightarrow J/\psi K^0) \propto V_{cb}^* V_{cs} \quad A(\bar{B}^0 \rightarrow J/\psi \bar{K}^0) \propto V_{cb} V_{cs}^*$$

$$\rightarrow \frac{\langle J/\psi K_S^0 | H_{eff} | \bar{B}^0 \rangle}{\langle J/\psi K_S^0 | H_{eff} | B^0 \rangle} = +1 \quad \text{CKM elements involved real}$$

$$\frac{\langle \psi K_L | H | \bar{B}^0 \rangle}{\langle \psi K_L | H | B^0 \rangle} = -1.$$

# B Mixing: CP - VIII

$$\Gamma(B^0(t=0) \rightarrow J/\psi K_S) \propto |f_+(t) + \eta f_-(t)|^2$$

$$\rightarrow \Gamma(B^0(t=0) \rightarrow J/\psi K_S) \propto e^{-\Gamma t} \left| \cos\left(\frac{\Delta m}{2}t\right) - ie^{-2i\beta} \sin\left(\frac{\Delta m}{2}t\right) \right|^2$$

$$\rightarrow \Gamma(B^0(t=0) \rightarrow J/\psi K_S) \propto e^{-\Gamma t} (1 - \sin \Delta m t \sin 2\beta)$$

$$\rightarrow \Gamma(\bar{B}^0(t=0) \rightarrow J/\psi K_S) \propto e^{-\Gamma t} (1 + \sin \Delta m t \sin 2\beta)$$

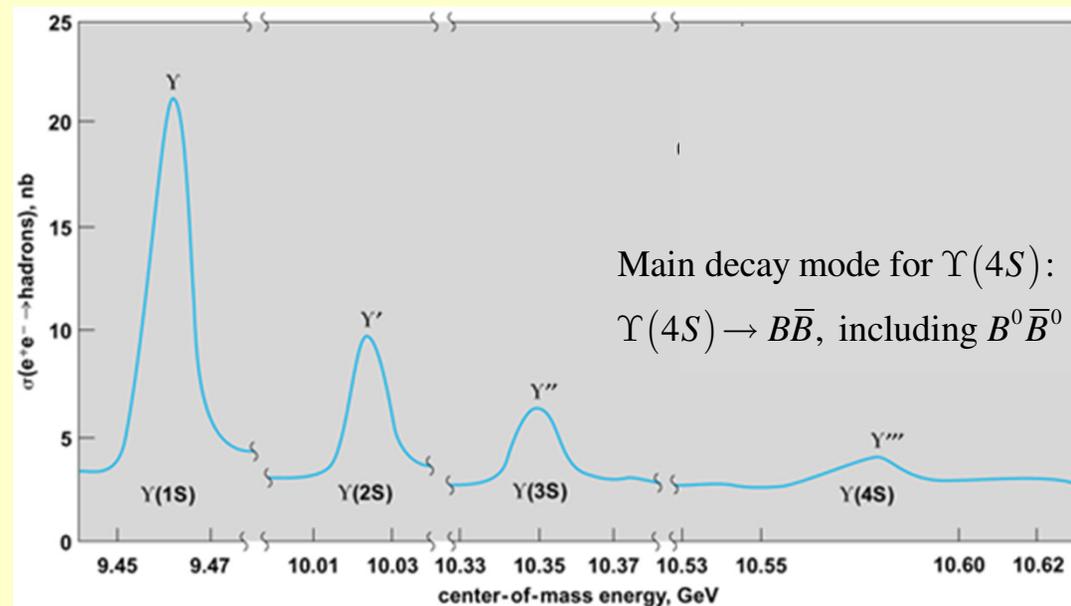
Time dependent asymmetry:

$$A_{J/\psi K_S} = \frac{\Gamma(B^0(t=0) \rightarrow J/\psi K_S) - \Gamma(\bar{B}^0(t=0) \rightarrow J/\psi K_S)}{\Gamma(B^0(t=0) \rightarrow J/\psi K_S) + \Gamma(\bar{B}^0(t=0) \rightarrow J/\psi K_S)} = \sin \Delta m t \sin 2\beta$$

$$A_{J/\psi K_L} = -\sin \Delta m t \sin 2\beta$$

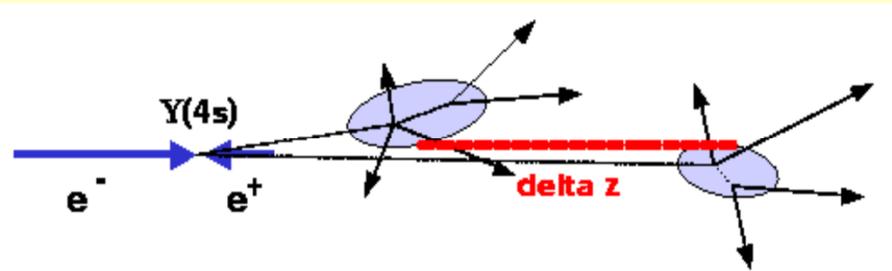
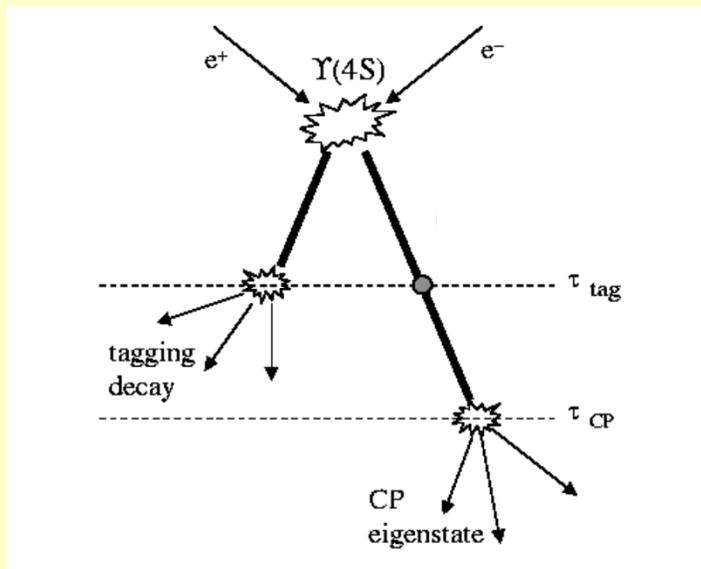
# B Factories - I

Total  $e^+e^-$  annihilation cross section:



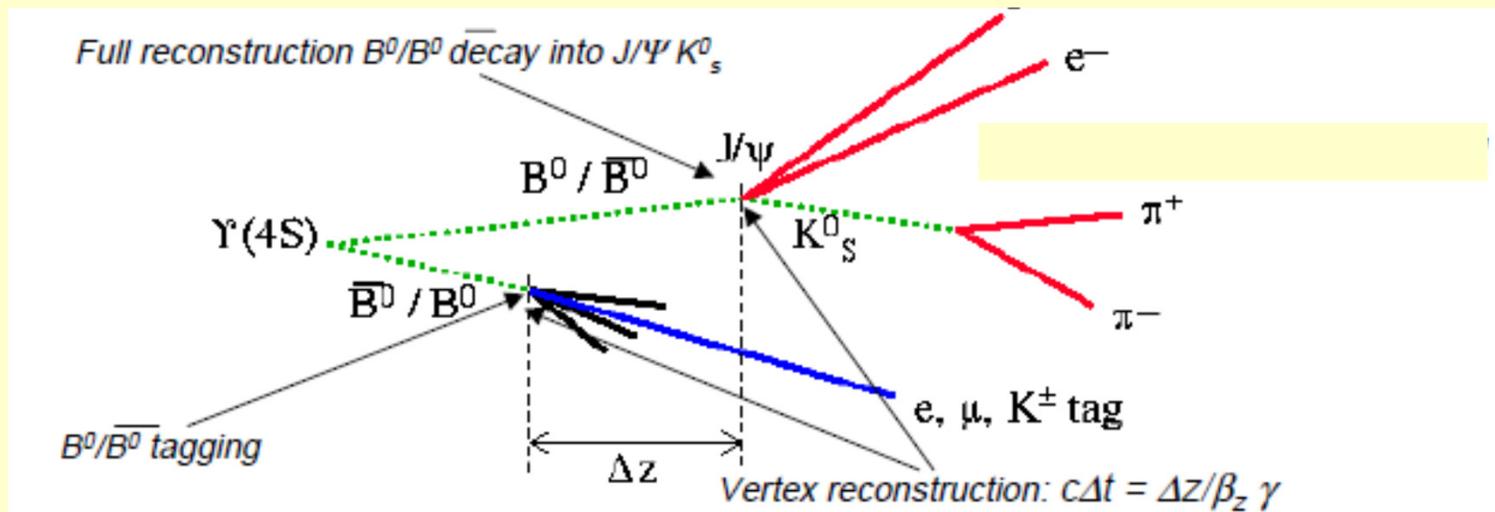
# B Factories - II

Basic idea to measure time dependent asymmetry:

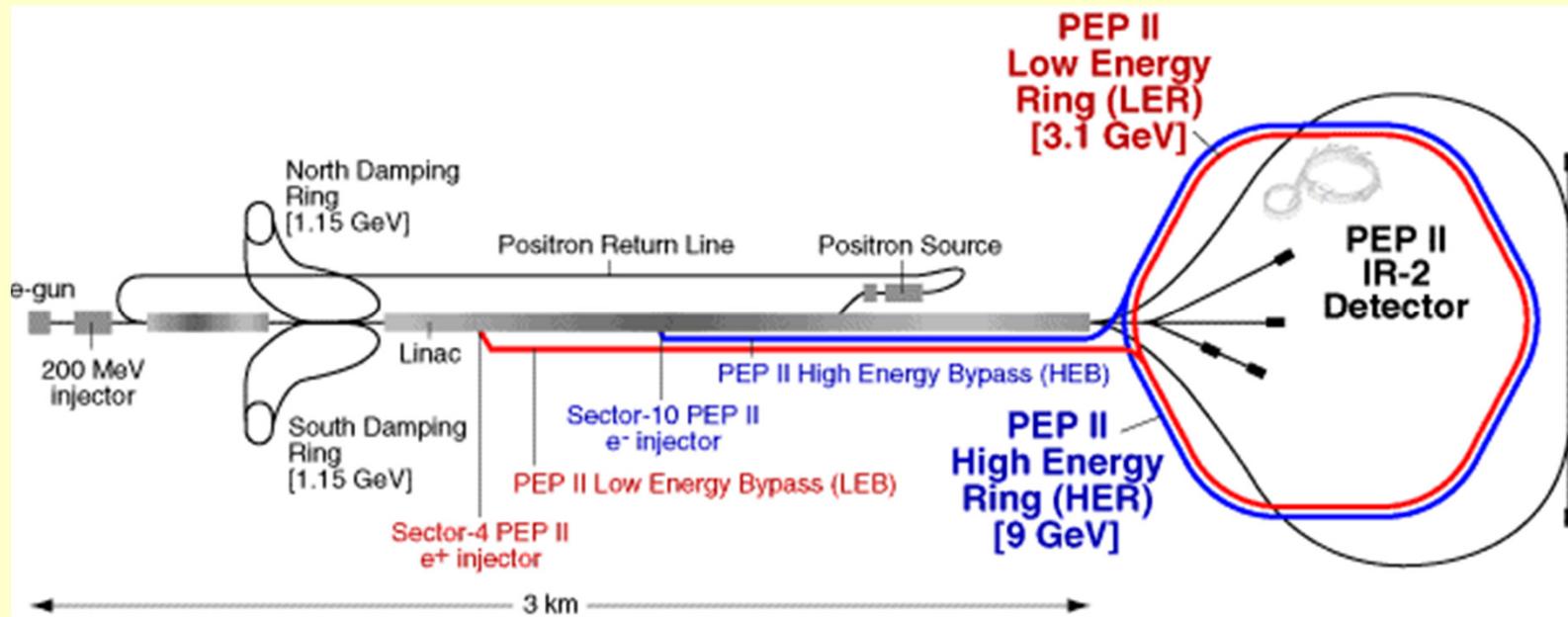


# B Factories - III

Example:

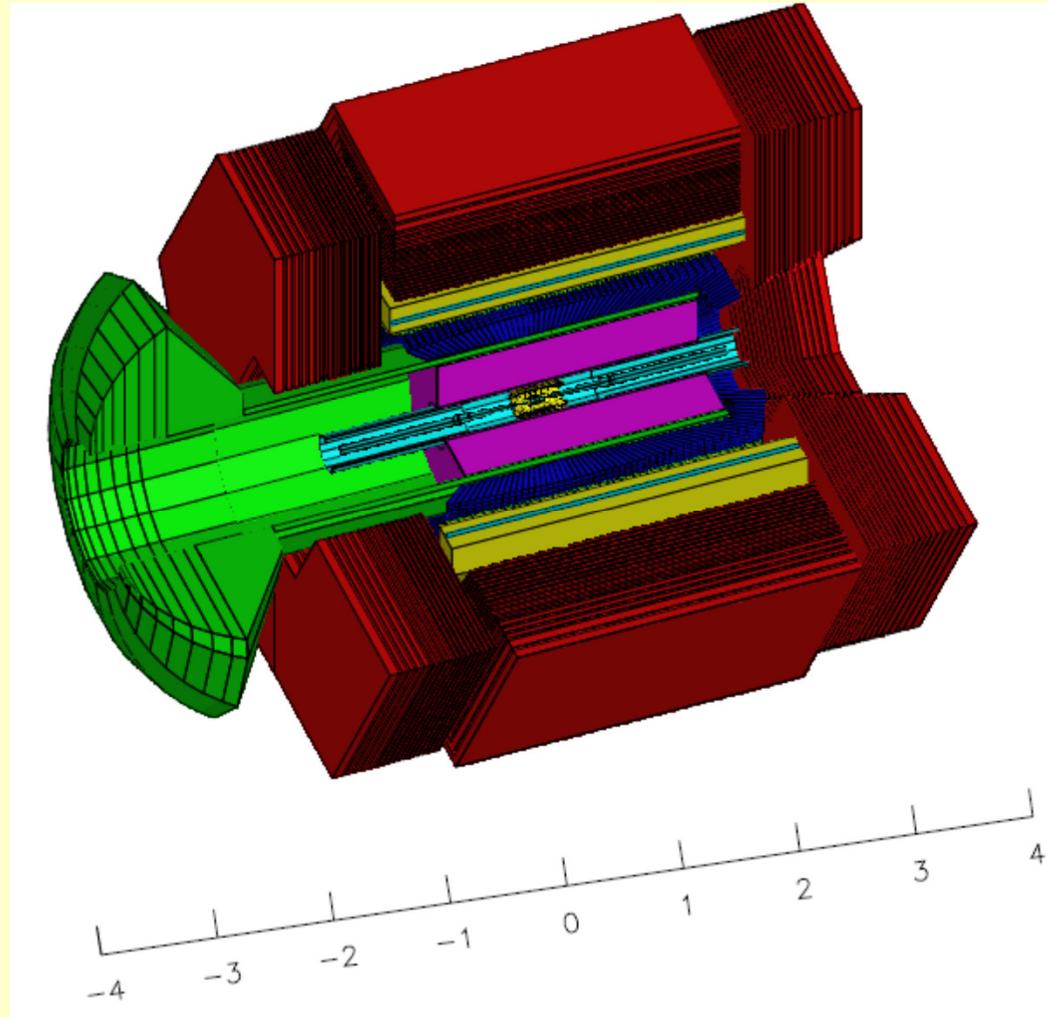


# B Factories - IV



# *B* Factories - V

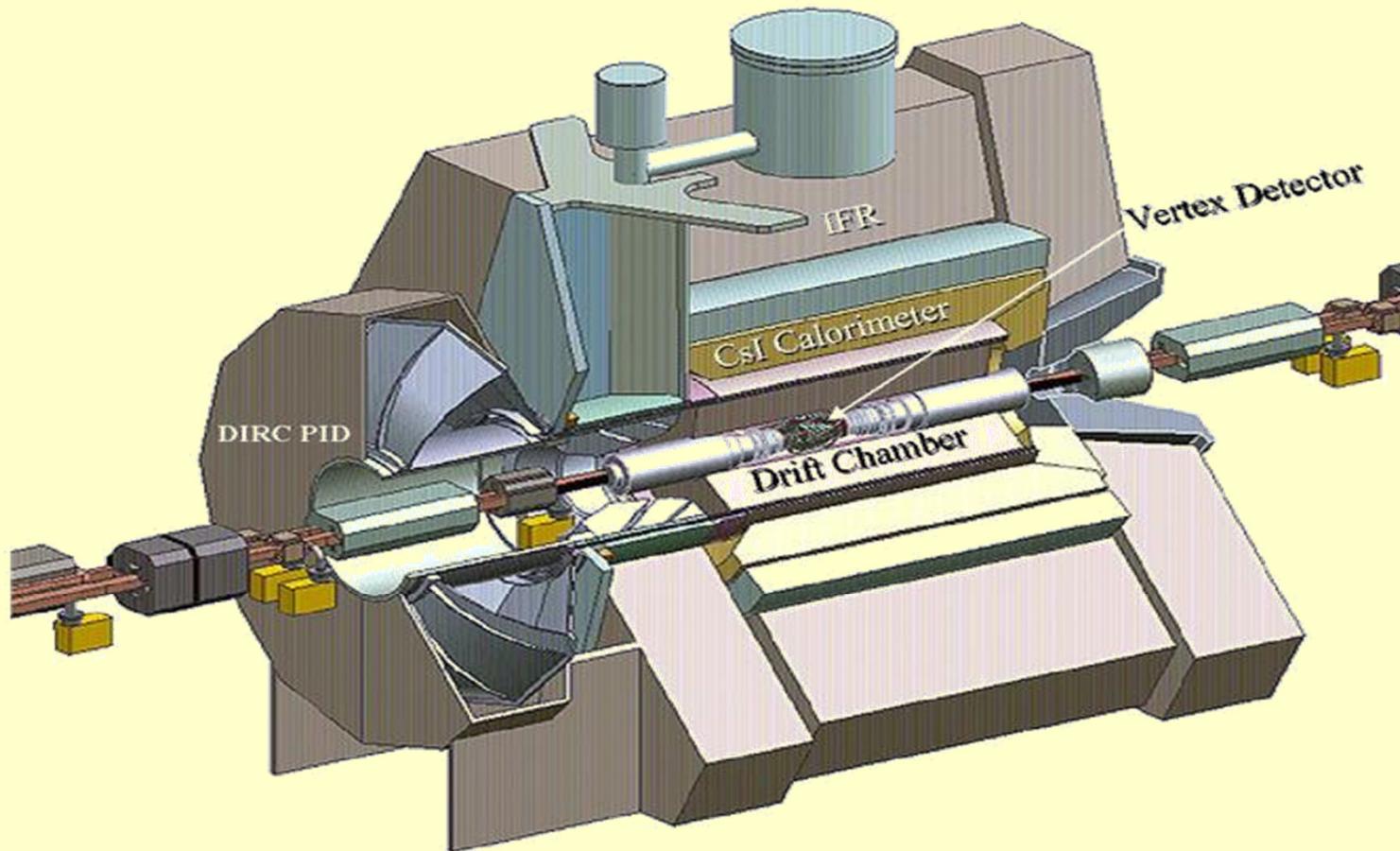
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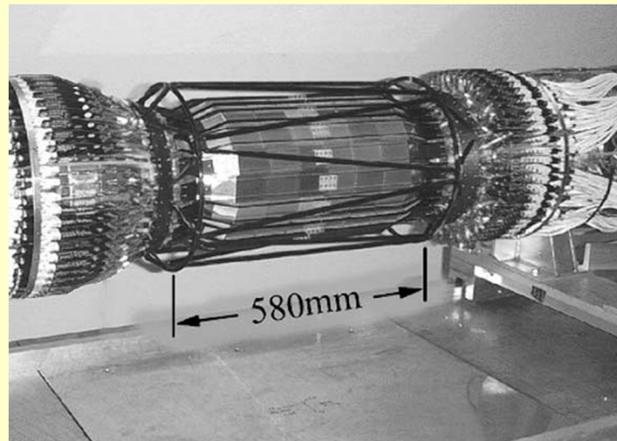
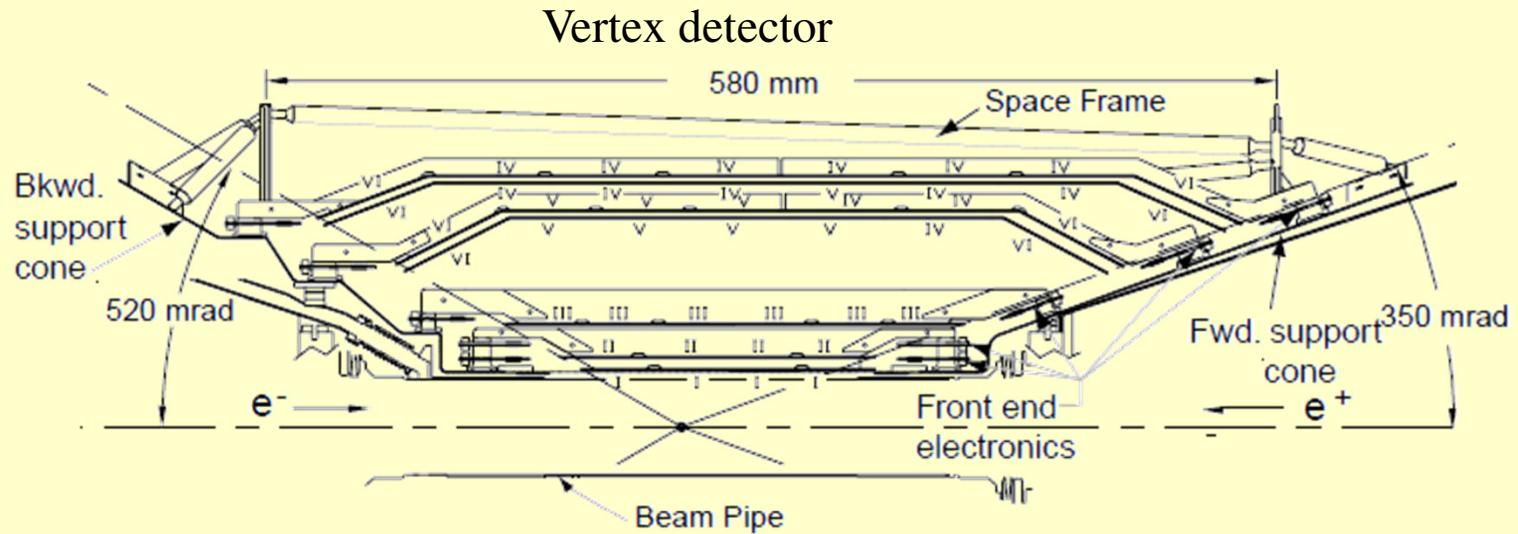
# B Factories - VI



# B Factories - VII

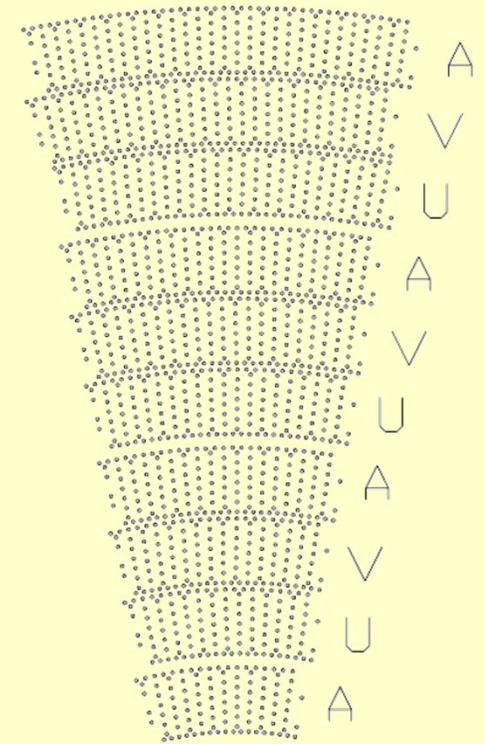
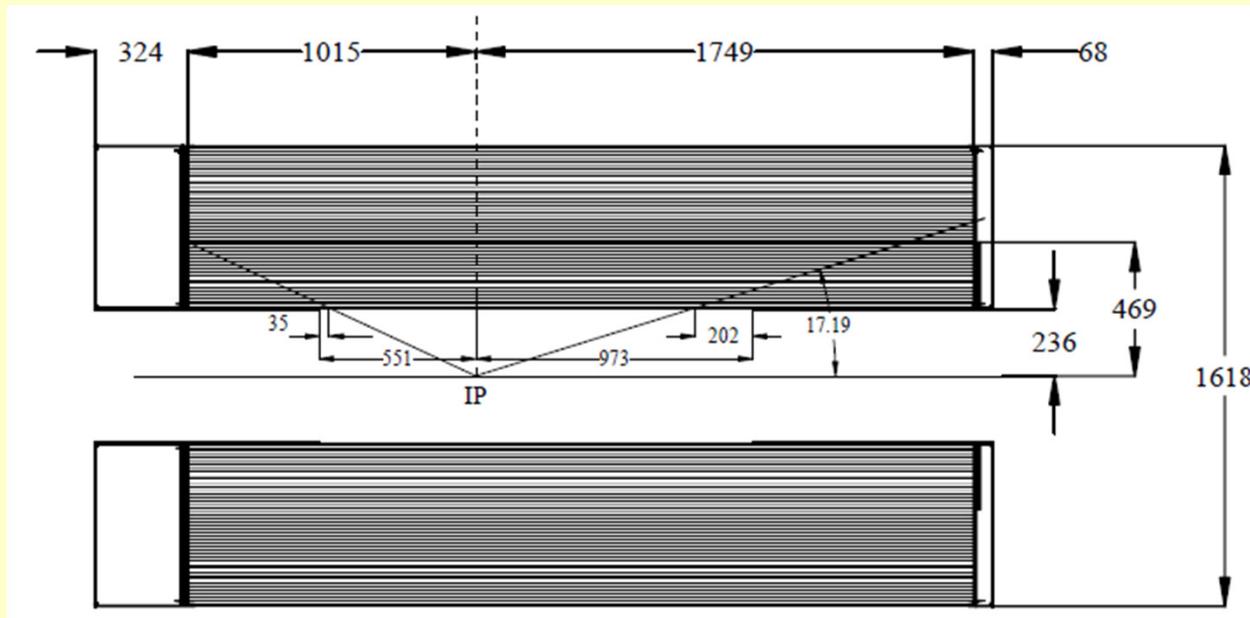


# B Factories - VIII



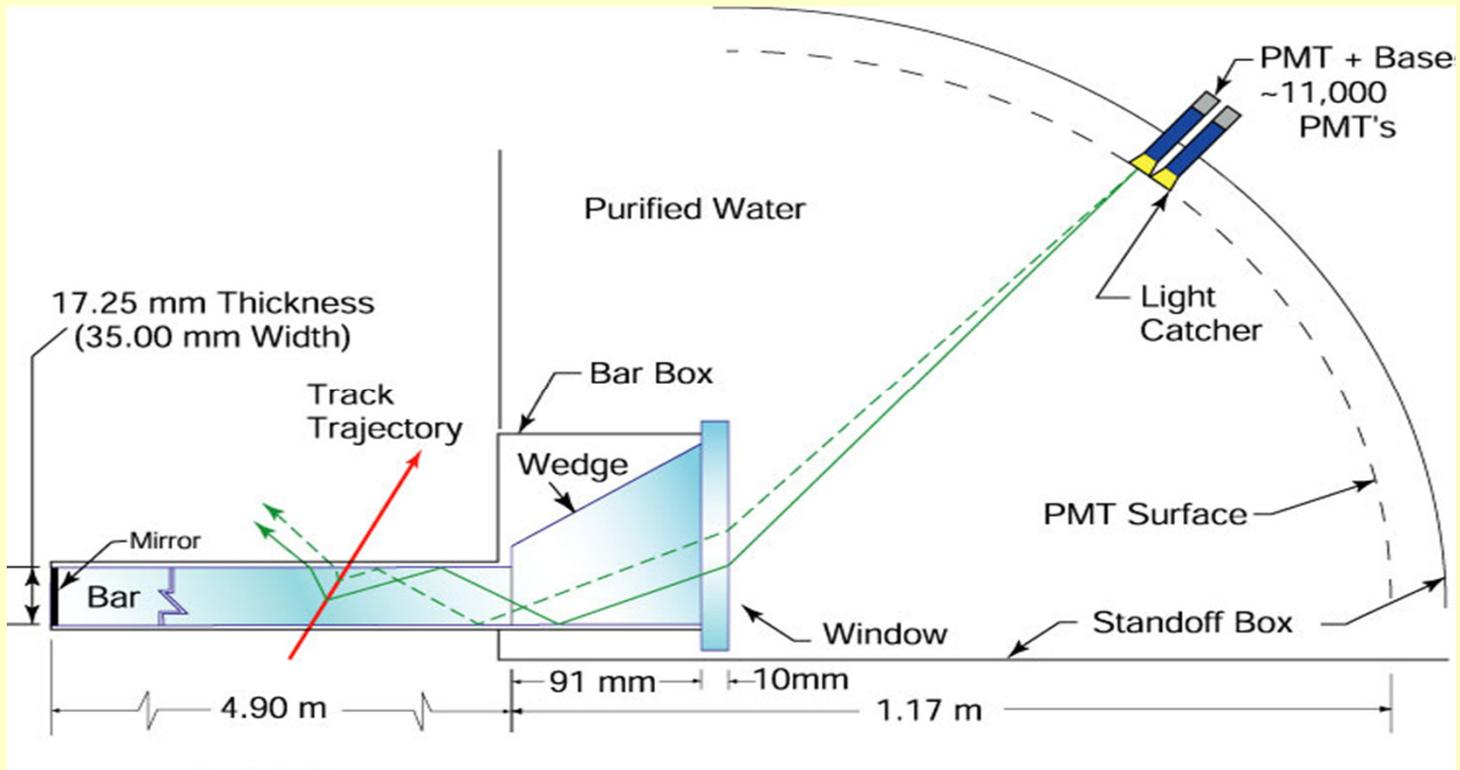
# B Factories - IX

## Drift chamber



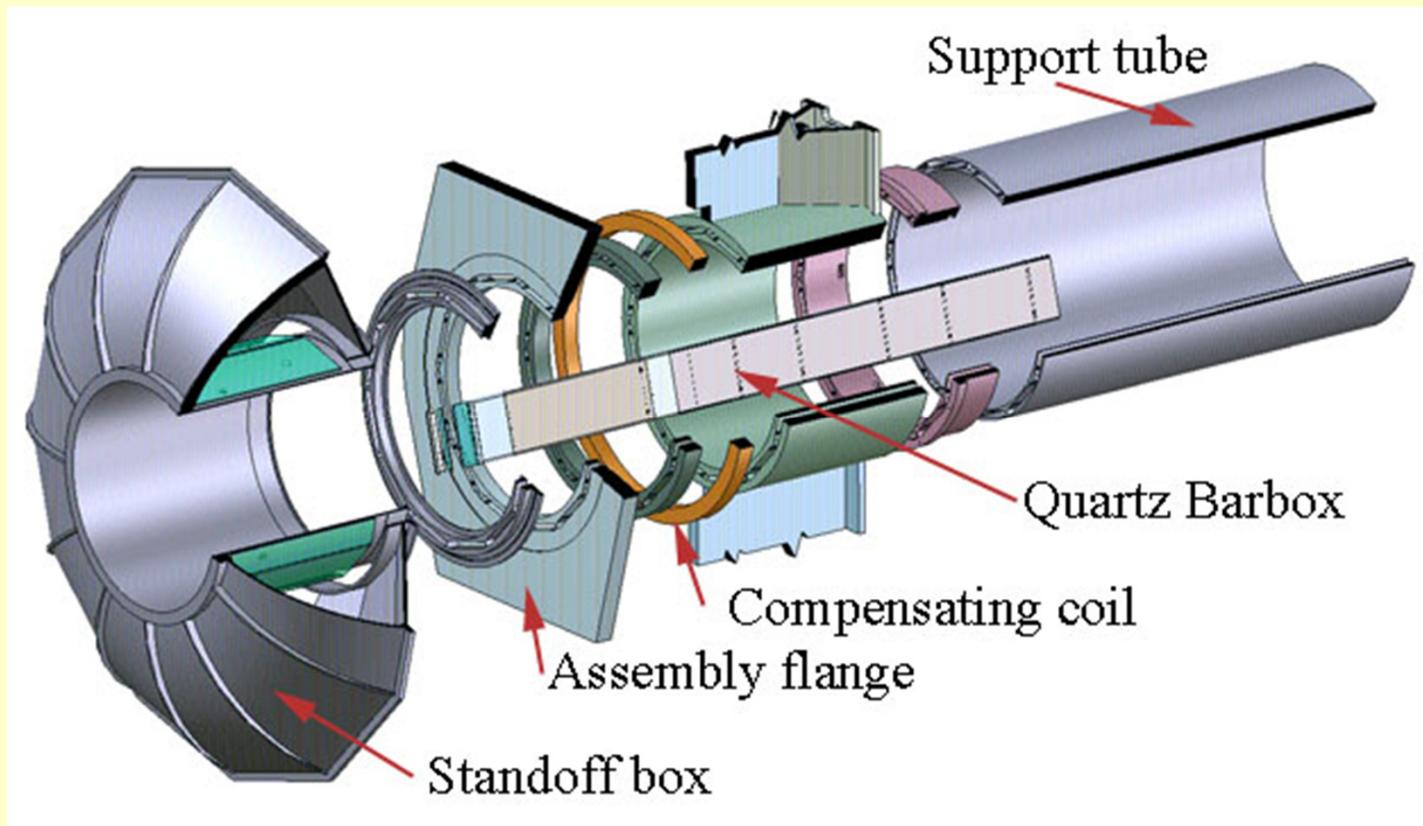
# B Factories - X

DIRC : Particle Id



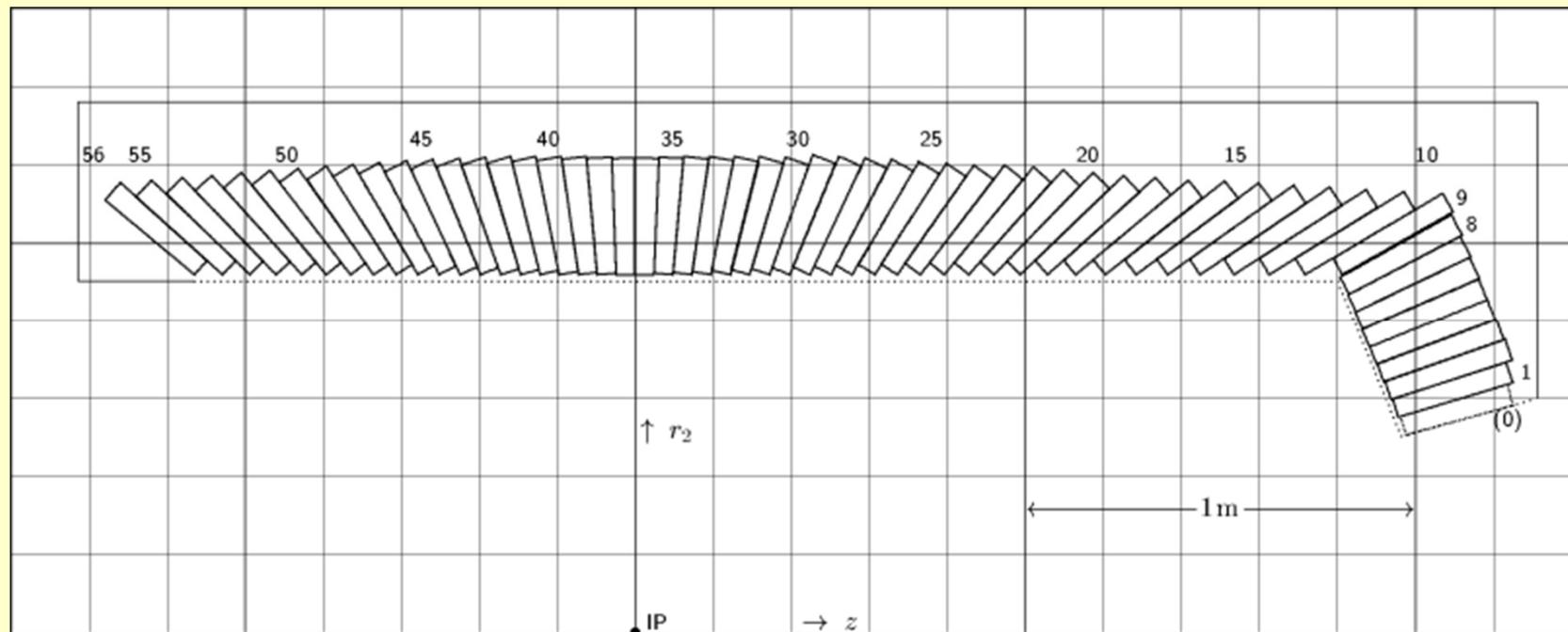
# B Factories - XI

DIRC



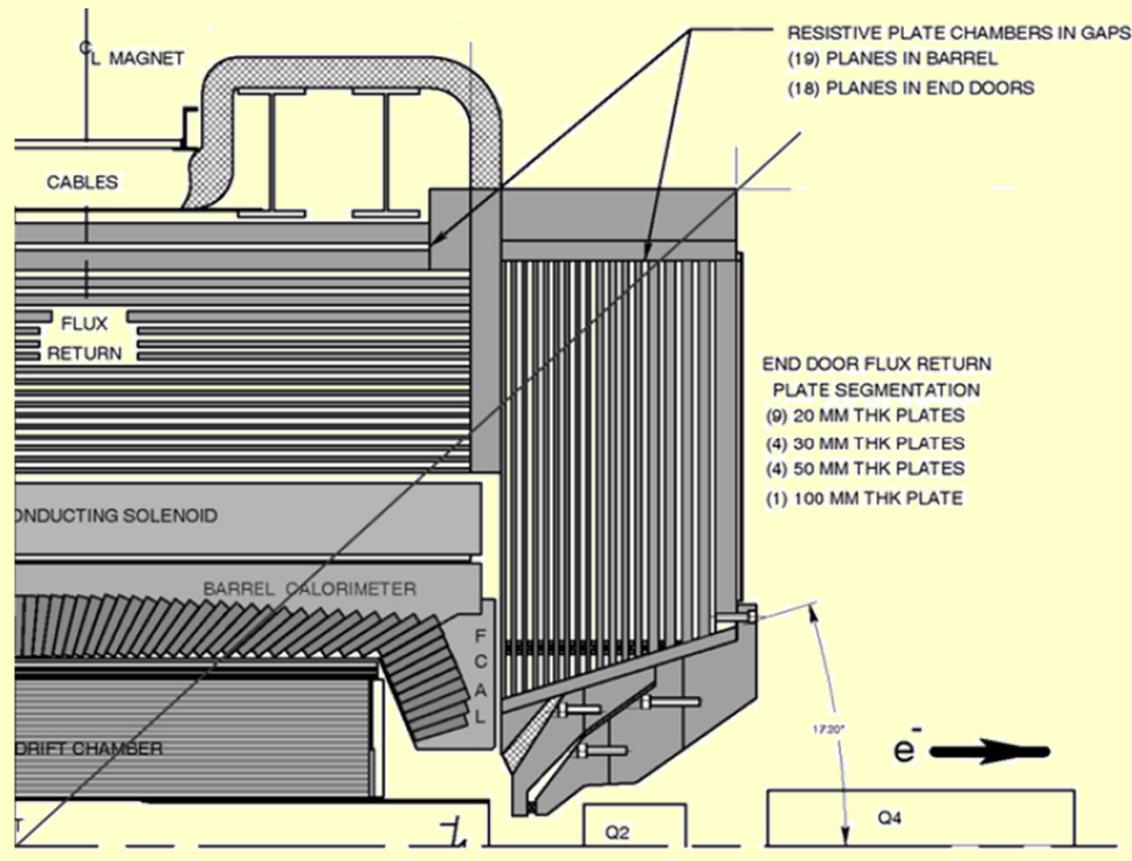
# B Factories - XII

## EM Calorimeter



# B Factories - XIII

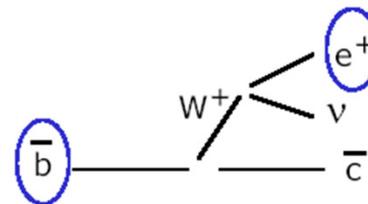
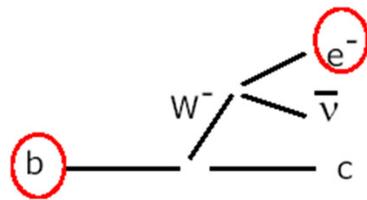
Instrumented Flux Return: Muon detector & (coarse) hadron calorimeter



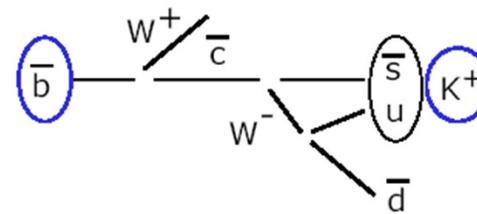
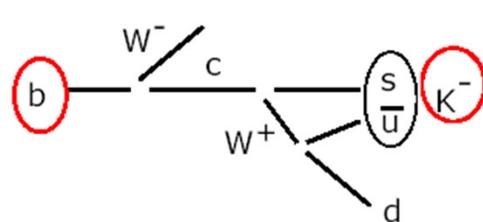
# B Factories - XIV

Tagging: finding the flavor of the 2<sup>nd</sup> B-meson

Leptons : cleanest tag (correct=97%, efficiency=8.6%)

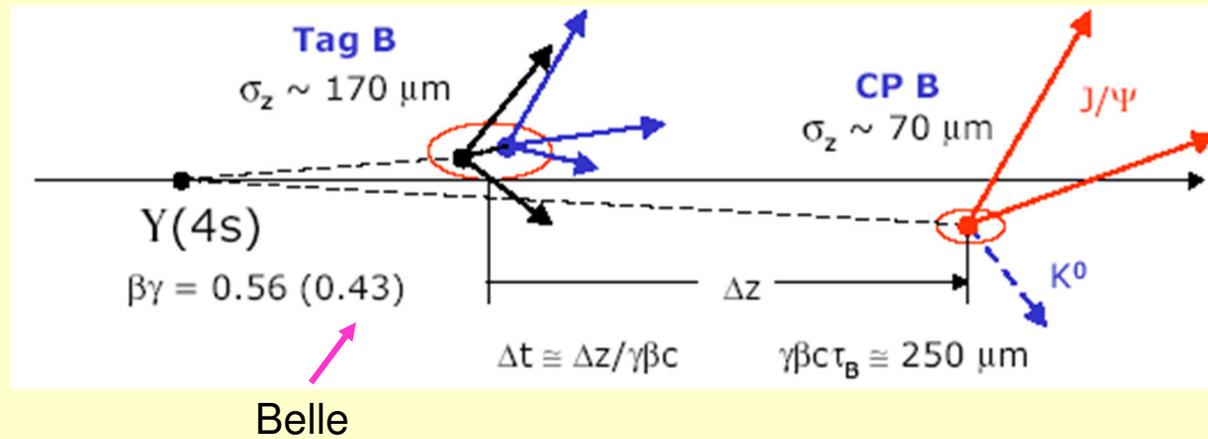


Kaons : 2<sup>nd</sup> cleanest tag (correct 85%-95%, efficiency=28%)

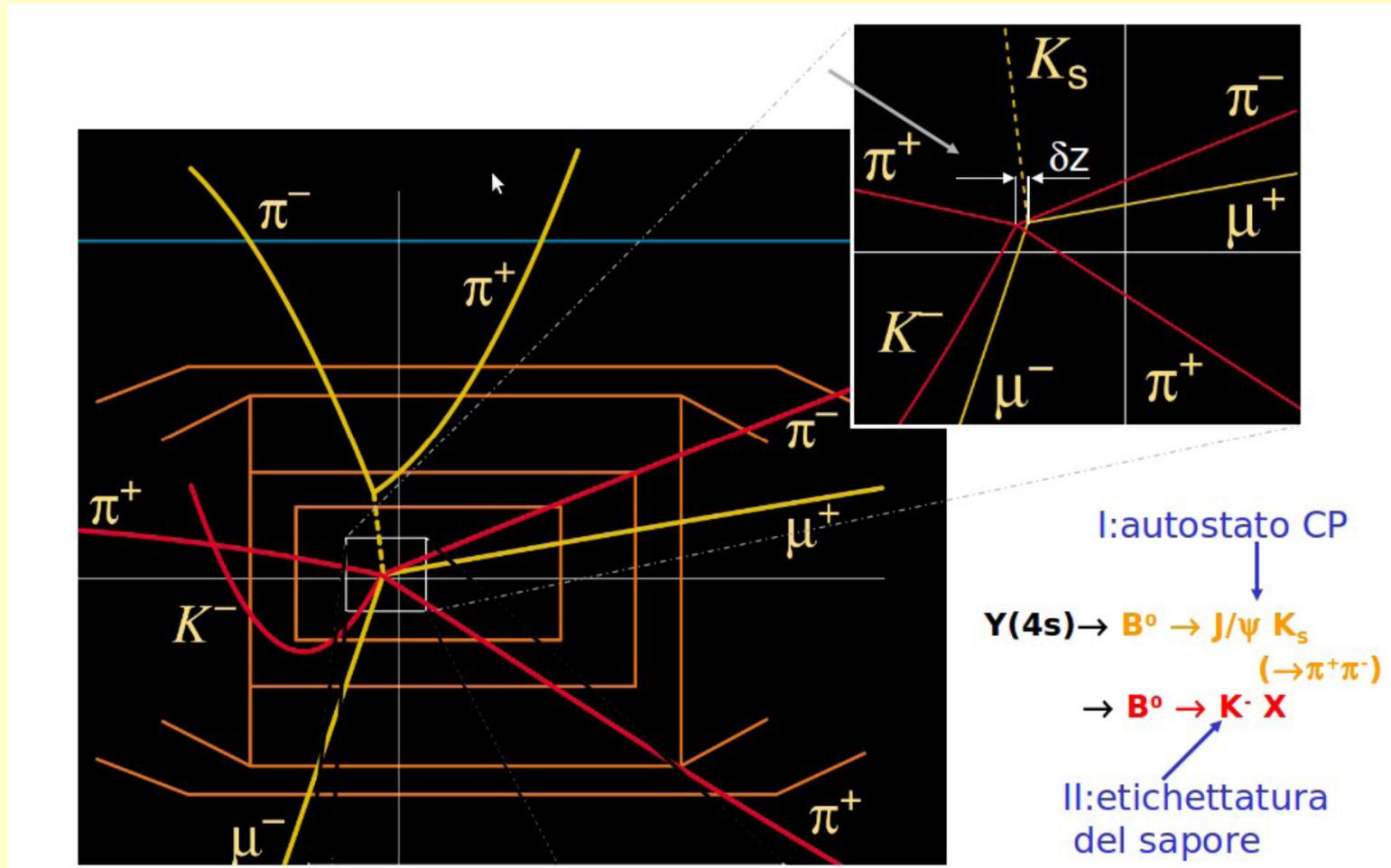


$w = \text{mistag probability} = 1 - \text{correct}$       “dilution”:  $D = 1 - 2w$

# B Factories - XV



# B Factories - XVI



# B Factories - XVII

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