

# Elementary Particles II

Fall 2019

## 3 – Flavor Physics and CP Violation

Quark Mixing – CKM –  $K^o$  Strangeness oscillations  
CP violation – Extension to Bottom and Charm  
FCNC and Physics Beyond the Standard Model

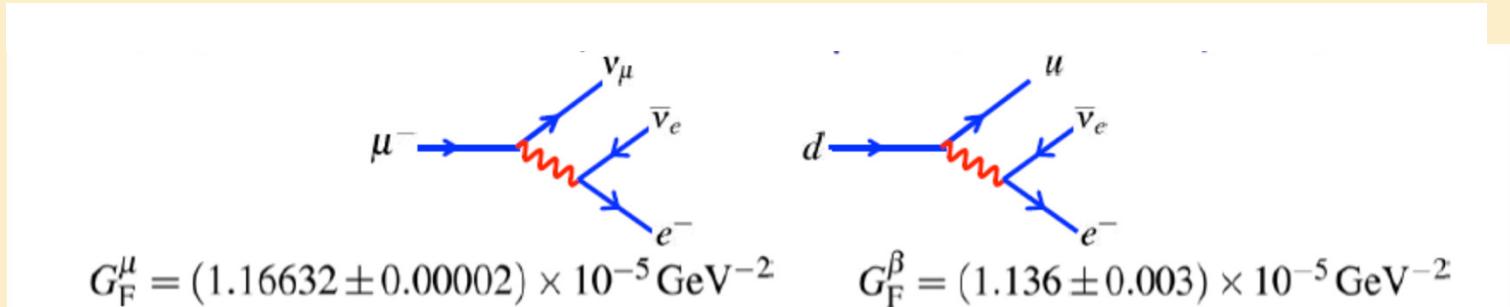
# Quark Mixing - I

Fall 2019

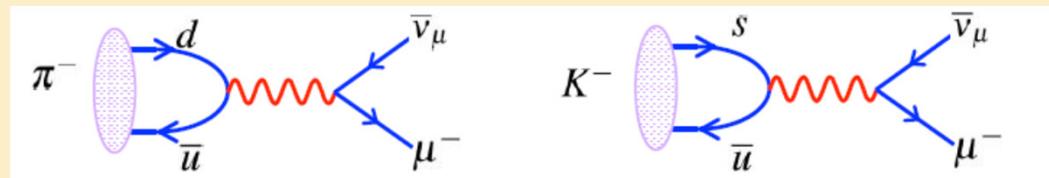
Reminder:

Fermi constant from  $\mu$  decay  $\simeq$  Fermi constant from  $\beta$  decay

Tiny difference:



Kaon decay suppressed by a factor  $\sim 20$  as compared to  $\pi$  decay



# Quark Mixing - II

Fall 2019

## Cabibbo explanation:

## Weak eigenstates

## Strong (mass) eigenstates

$$\begin{pmatrix} d' \\ s' \end{pmatrix} = \begin{pmatrix} \cos \theta_c & \sin \theta_c \\ -\sin \theta_c & \cos \theta_c \end{pmatrix} \begin{pmatrix} d \\ s \end{pmatrix}$$

Weak charged currents: Linear combinations of different flavors

$$\theta_C \approx 13.1^0$$

Unique value for Cabibbo angle explaining many strange particle decays

Strong support for universality of weak interaction

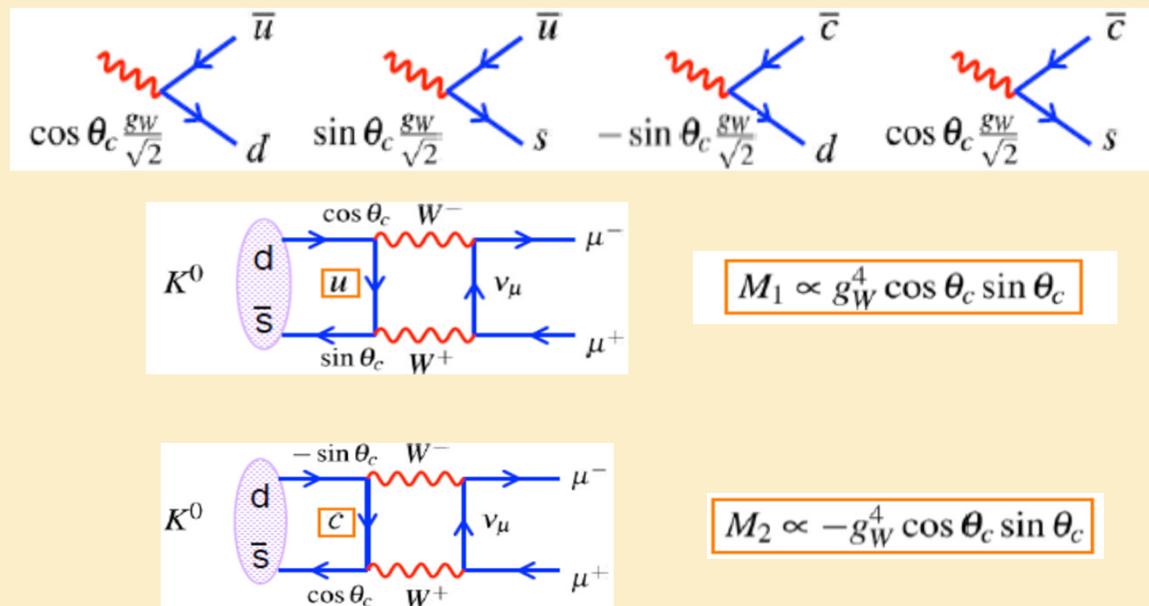
# Quark Mixing - III

Fall 2019

Another mystery

$$BR(K^0 \rightarrow \mu^+ \mu^-) \sim 10^{-8} BR(K^+ \rightarrow \mu^+ \nu_\mu)$$

GIM explanation:



Tiny BR left due to  $m_c \neq m_u$  in the virtual quark propagator

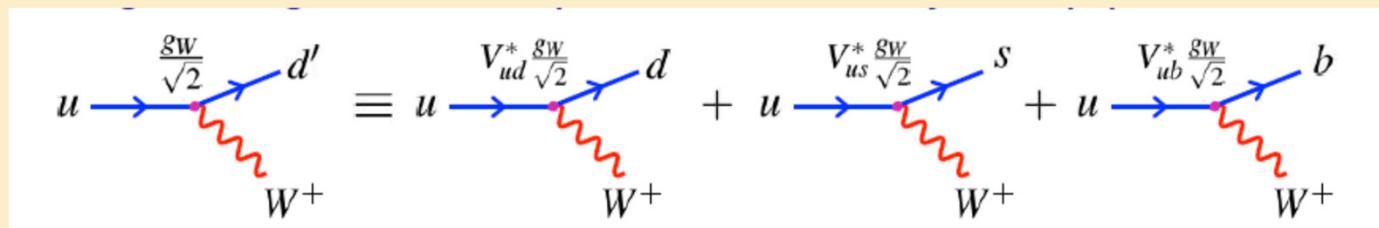
# Quark Mixing - IV

Fall 2019

Extend mixing to 3 families:

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = V_{(d)}^\dagger \begin{pmatrix} d \\ s \\ b \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}, \quad \begin{pmatrix} u' \\ c' \\ t' \end{pmatrix} = V_{(u)}^\dagger \begin{pmatrix} u \\ c \\ t \end{pmatrix}$$

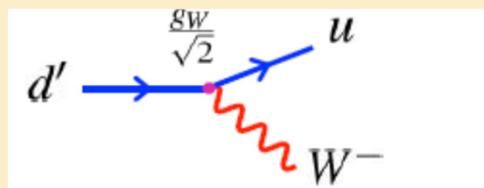
→ Conventionally: Mixing of  $d$ -like quarks only



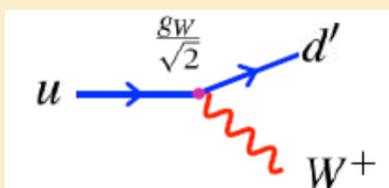
# Quark Mixing - V

Fall 2019

Encode mixing CKM matrix element into charged current



$$j_{d'u} = \bar{u} \left[ -i \frac{g_W}{\sqrt{2}} \gamma^{\mu \frac{1}{2}} (1 - \gamma^5) \right] d'$$



$$j_{ud'} = \bar{d}' \left[ -i \frac{g_W}{\sqrt{2}} \gamma^{\mu \frac{1}{2}} (1 - \gamma^5) \right] u$$

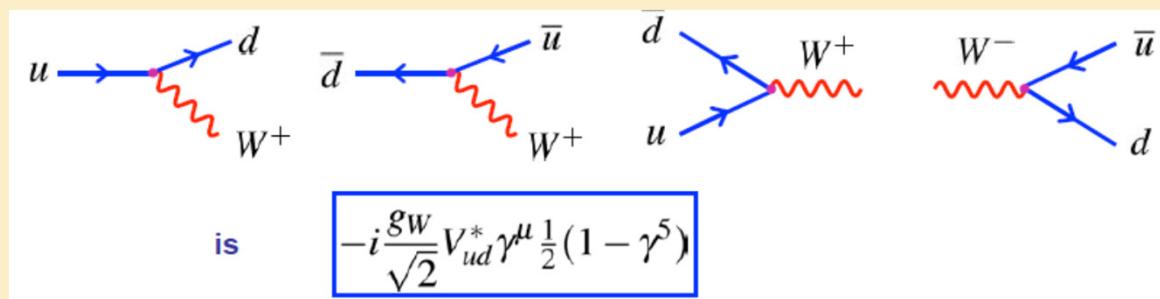
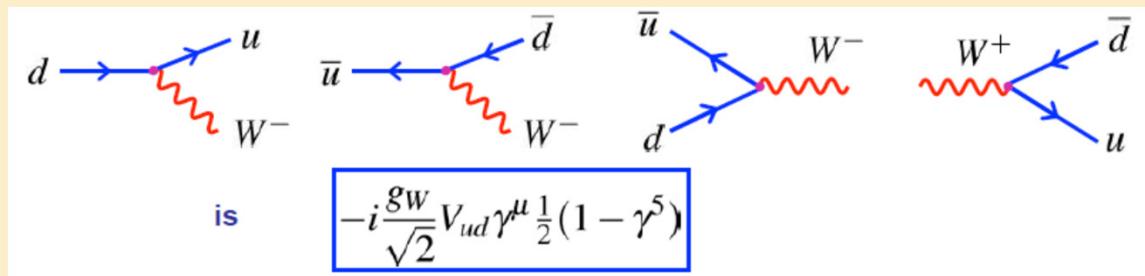
$$\bar{d}' = d'^\dagger \gamma^0 \rightarrow (V_{udd} d)^\dagger \gamma^0 = V_{ud}^* d^\dagger \gamma^0 = V_{ud}^* \bar{d}$$

$$j_{ud} = \bar{d} V_{ud}^* \left[ -i \frac{g_W}{\sqrt{2}} \gamma^{\mu \frac{1}{2}} (1 - \gamma^5) \right] u$$

# Quark Mixing - VI

Fall 2019

Charged current :  $qq, \bar{q}\bar{q}, q\bar{q}$



# CKM - I

Fall 2019

Generic mixing matrix:

Mixing weak eigenstates into mass eigenstates (or the opposite)

$3 \times 3$  Unitary matrix:

9 complex parameters  $\rightarrow$  18 real parameters

$$9 \text{ unitarity conditions: } \left. \begin{array}{l} UU^\dagger = 1 \\ (U^\dagger)_{ij} = U_{ji}^* \end{array} \right\} \rightarrow \sum_{j=1}^3 a_{ij} a_{jk}^* = \delta_{ik}, \quad i, k = 1, \dots, 3$$

$\rightarrow 18 - 9 = 9$  free, real parameters

# CKM - II

Fall 2019

Mixing matrix definition for 'up'- and 'down'-like quarks:

$$\begin{aligned}
 \begin{pmatrix} u \\ c \\ t \end{pmatrix} &= V_{(u)} \begin{pmatrix} u_1' \\ u_2' \\ u_3' \end{pmatrix}; \quad \begin{pmatrix} d \\ s \\ b \end{pmatrix} = V_{(d)} \begin{pmatrix} d_1' \\ d_2' \\ d_3' \end{pmatrix} \\
 \rightarrow \begin{pmatrix} u_1' \\ u_2' \\ u_3' \end{pmatrix} &= V_{(u)}^{-1} \begin{pmatrix} u \\ c \\ t \end{pmatrix} = V_{(u)}^\dagger \begin{pmatrix} u \\ c \\ t \end{pmatrix} \rightarrow (u \quad c \quad t) = (u_1' \quad u_2' \quad u_3') (V_{(u)}^\dagger)^\dagger = (u_1' \quad u_2' \quad u_3') V_{(u)} \\
 \rightarrow \begin{pmatrix} d_1' \\ d_2' \\ d_3' \end{pmatrix} &= V_{(d)}^{-1} \begin{pmatrix} d \\ s \\ b \end{pmatrix} = V_{(d)}^\dagger \begin{pmatrix} d \\ s \\ b \end{pmatrix} \\
 \rightarrow V_{CKM} = V_{(u)} V_{(d)}^\dagger \equiv V &= \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}
 \end{aligned}$$

# CKM - III

Fall 2019

Re-define (arbitrary) phases of quark mass eigenstates:

$$\begin{pmatrix} u \\ c \\ t \end{pmatrix} \rightarrow \begin{pmatrix} e^{i\varphi_u} & 0 & 0 \\ 0 & e^{i\varphi_c} & 0 \\ 0 & 0 & e^{i\varphi_t} \end{pmatrix} \begin{pmatrix} u \\ c \\ t \end{pmatrix}, \quad \begin{pmatrix} d \\ s \\ b \end{pmatrix} \rightarrow \begin{pmatrix} e^{i\varphi_d} & 0 & 0 \\ 0 & e^{i\varphi_s} & 0 \\ 0 & 0 & e^{i\varphi_b} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

Translate into redefinition of weak eigenstates:

$$\begin{pmatrix} u' \\ c' \\ t' \end{pmatrix} \rightarrow V_u^\dagger \begin{pmatrix} e^{i\phi_u} & 0 & 0 \\ 0 & e^{i\phi_c} & 0 \\ 0 & 0 & e^{i\phi_t} \end{pmatrix} \begin{pmatrix} u \\ c \\ t \end{pmatrix}, \quad \begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} \rightarrow V_d^\dagger \begin{pmatrix} e^{i\phi_d} & 0 & 0 \\ 0 & e^{i\phi_s} & 0 \\ 0 & 0 & e^{i\phi_b} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

Redefinition of weak eigenstates equivalent to *CKM* redefinition:

$$V_{CKM} \rightarrow \begin{pmatrix} e^{-i\varphi_u} & 0 & 0 \\ 0 & e^{-i\varphi_c} & 0 \\ 0 & 0 & e^{-i\varphi_t} \end{pmatrix} V_{CKM} \begin{pmatrix} e^{i\varphi_d} & 0 & 0 \\ 0 & e^{i\varphi_s} & 0 \\ 0 & 0 & e^{i\varphi_b} \end{pmatrix} = \begin{pmatrix} V_{ud} e^{i(\varphi_d - \varphi_u)} & V_{us} e^{i(\varphi_s - \varphi_u)} & V_{ub} e^{i(\varphi_b - \varphi_u)} \\ V_{cd} e^{i(\varphi_d - \varphi_c)} & V_{cs} e^{i(\varphi_s - \varphi_c)} & V_{cb} e^{i(\varphi_b - \varphi_c)} \\ V_{td} e^{i(\varphi_d - \varphi_t)} & V_{ts} e^{i(\varphi_s - \varphi_t)} & V_{tb} e^{i(\varphi_b - \varphi_t)} \end{pmatrix}$$

# CKM - IV

Fall 2019

Factorize one (any) phase:

$$\rightarrow V_{CKM} = e^{-i\varphi_u} \begin{pmatrix} V_{ud} e^{i\varphi_d} & V_{us} e^{i\varphi_s} & V_{ub} e^{i\varphi_b} \\ V_{cd} e^{i(\varphi_u + \varphi_d - \varphi_c)} & V_{cs} e^{i(\varphi_u + \varphi_s - \varphi_c)} & V_{cb} e^{i(\varphi_u + \varphi_b - \varphi_c)} \\ V_{td} e^{i(\varphi_u + \varphi_d - \varphi_t)} & V_{ts} e^{i(\varphi_u + \varphi_s - \varphi_t)} & V_{tb} e^{i(\varphi_u + \varphi_b - \varphi_t)} \end{pmatrix}$$

Global field phase not relevant: Can't be used to fix one free  $V$  parameter

5 free relative phases

$\rightarrow 9 - 5 = 4$  real free parameters

Encode as:

3 'rotation angles' ( $\leftarrow$  Euler angles)

[In order to understand this:

Suppose the matrix is real  $\rightarrow$  Any  $3 \times 3$  real, unitary matrix = Orthogonal

Any  $3 \times 3$  orthogonal matrix = 3D Rotation  $\rightarrow$  3 angles]

1 complex (irreducible) phase factor

# CKM - V

Fall 2019

→ Generally CKM matrix *must* be complex, 3x3 real would require just 3 parameters  
Standard form : → Will have 5 complex  $V_{ij}$

Parameters:

$\theta_{12}, \theta_{13}, \theta_{23}$  Rotation angles

$\delta$  Irreducible phase

$$s_{ij} = \sin \theta_{ij}$$

$$c_{ij} = \cos \theta_{ij}$$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}e^{+i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{+i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{+i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{+i\delta} & c_{13}c_{23} \end{pmatrix}$$

Experiment:

$$\sin \theta_{13} \ll \sin \theta_{23} \ll \sin \theta_{12} \ll 1$$

# CKM - VI

Fall 2019

Visualizing CKM in standard representation:

Product of 3 independent 2D rotations + 1 Phase

$$U_{12} = \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$U_{13} = \begin{bmatrix} c_{13} & 0 & s_{13} \\ 0 & 1 & 0 \\ -s_{13} & 0 & c_{13} \end{bmatrix}$$

$$U_{23} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix}$$

$$U_\delta = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{-i\delta_{13}} \end{bmatrix}$$

$$V_{\text{CKM}} = U_{23} U_\delta^\dagger U_{13} U_\delta U_{12}$$

# CKM - VII

Fall 2019

Wolfenstein parametrization of  $V_{CKM}$ :

Based on experimental evidence of some hierarchy among angles

Define:

$$\lambda = \sin \theta_{12}$$

$$A\lambda^2 = \sin \theta_{23}$$

$$A\lambda^3(\rho - i\eta) = \sin \theta_{13} e^{-i\delta}$$

Then:

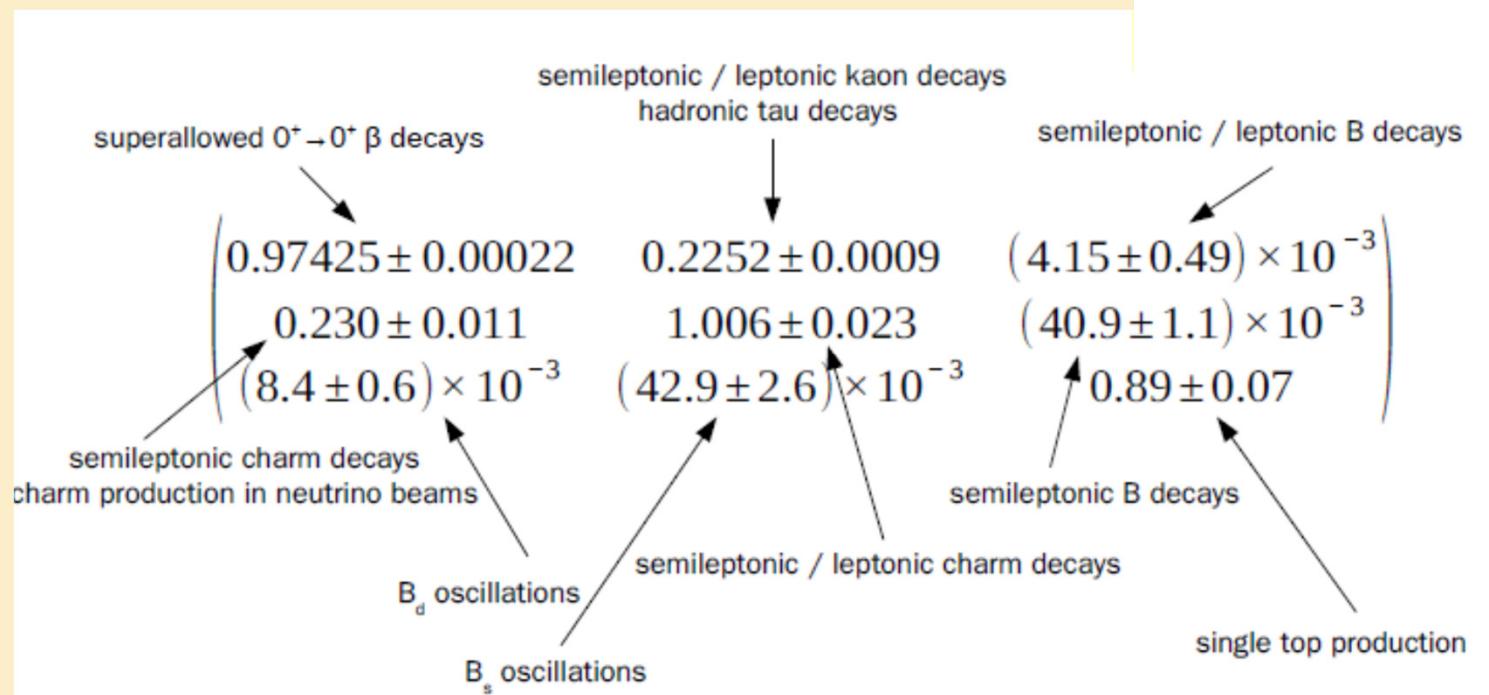
$$\cos \theta_{12} = \sqrt{1 - \lambda^2} \simeq 1 - \frac{\lambda^2}{2} - \frac{\lambda^4}{8}$$

$$\rightarrow V_{CKM} \simeq \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} \quad \begin{aligned} \lambda &= 0.2259 \pm 0.0021 \approx \sin \theta_c \\ A &= 0.82 \pm 0.02 \\ \eta &\neq 0 \rightarrow CP \end{aligned}$$

# Filling CKM - I

Fall 2019

Filling CKM (PDG 2013)

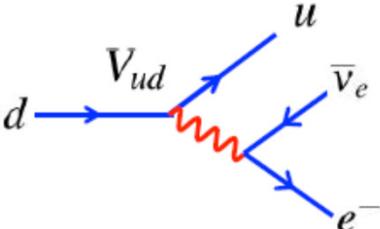


CKM elements involving  $t$  quark less well known

# Filling CKM - II

Fall 2019

**$|V_{ud}|$**     **from nuclear beta decay**     $\begin{pmatrix} \times & \cdot & \cdot \\ \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{pmatrix}$



**Super-allowed  $0^+ \rightarrow 0^+$  beta decays are relatively free from theoretical uncertainties**

$$\Gamma \propto |V_{ud}|^2$$

**$|V_{ud}| = 0.97377 \pm 0.00027$**      $(\approx \cos \theta_c)$

Superallowed  $\beta$  transition:  $\Delta J = 0$ ,  $\Delta P = 0$

+ Same level structure for both initial and final nucleus, just  $n \leftrightarrow p$

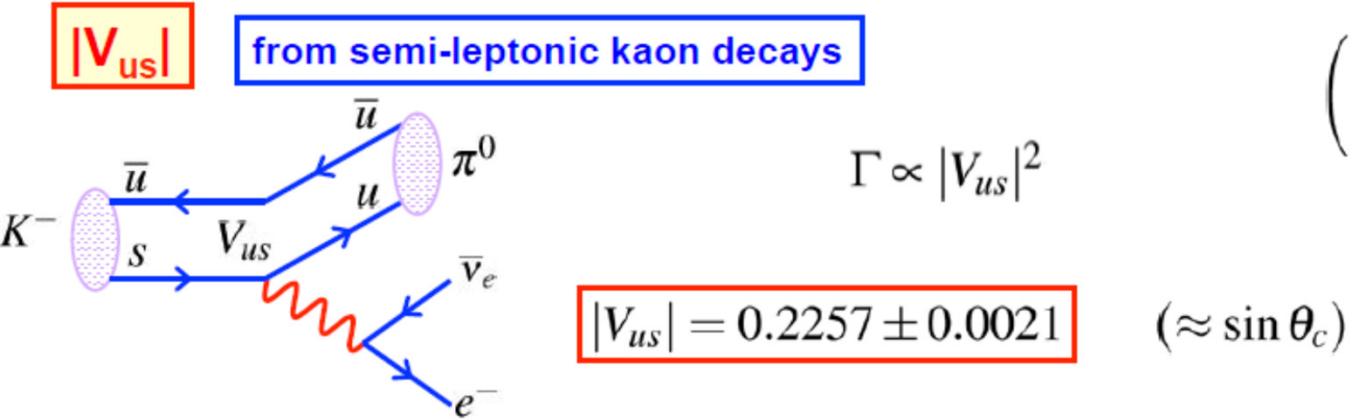
Global level shift, only due to Coulomb energy  $\rightarrow$  No theoretical corrections!

Example:  $n \rightarrow p + e^- + \bar{\nu}_e$

$\rightarrow$  High precision measurement of  $V_{ud}$  from transition rate

# Filling CKM - III

Fall 2019



Differential decay rate:

$$\frac{d\Gamma(\overline{K}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)}{dx_\pi} = \underbrace{\frac{G_F^2 m_K^5}{192\pi^2}}_{\text{Standard 3-body total rate}} |V_{us}|^2 \underbrace{\frac{f(q^2)^2}{\text{Form factor}}}_{\text{Phase space factor}} \left( x_\pi^2 - 4 \frac{m_\pi^2}{m_K^2} \right)^{3/2}, \quad x_\pi = \frac{2E_\pi}{m_K}$$

# Filling CKM - IV

Fall 2019

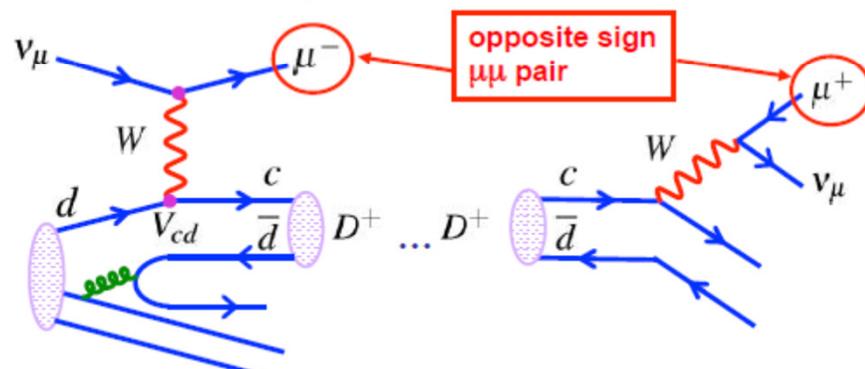
$|V_{cd}|$

from neutrino scattering

$$\nu_\mu + N \rightarrow \mu^+ \mu^- X$$

$$\begin{pmatrix} \cdot & \cdot & \cdot \\ \times & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{pmatrix}$$

Look for opposite charge di-muon events in  $\nu_\mu$  scattering from production and decay of a  $D^+(c\bar{d})$  meson



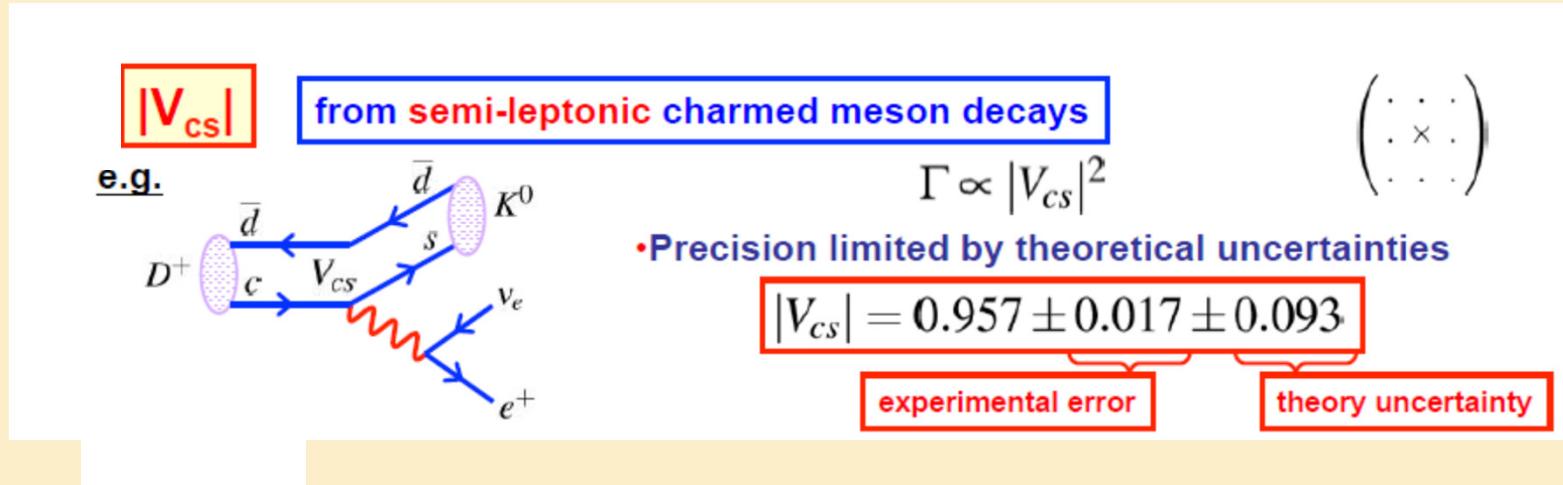
$$\text{Rate} \propto |V_{cd}|^2 \text{Br}(D^+ \rightarrow X \mu^+ \nu_\mu)$$

Measured in various  
collider experiments

$$\Rightarrow |V_{cd}| = 0.230 \pm 0.011$$

# Filling CKM - V

Fall 2019

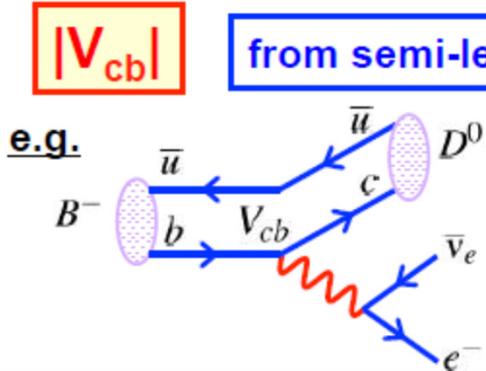


Make  $D^+D^-$  pairs from  $e^+e^- \rightarrow \psi(3770) \rightarrow D^+D^-$

BR  $D^+ \rightarrow K^0 e^+ \nu_e = (8.83 \pm 0.22) \%$

# Filling CKM - VI

Fall 2019



$$\Gamma \propto |V_{cb}|^2$$

$$|V_{cb}| = 0.0416 \pm 0.0006$$

$$\begin{pmatrix} \cdot & \cdot & \cdot \\ \cdot & \times & \cdot \\ \cdot & \cdot & \cdot \end{pmatrix}$$

$$\overline{D^0} \ell^+ \bar{\nu}_\ell$$

$$[a] \quad (2.23 \pm 0.11)\%$$

$$\frac{d\Gamma(b \rightarrow cl^-\bar{\nu}_l)}{dx} = \frac{G_F^2 m_b^5}{192\pi^2} |V_{cb}|^2 \left( 2x^2 \left( \frac{1-x-\zeta}{1-x} \right)^2 \left( 3 - 2x + \zeta + \frac{2\zeta}{1-x} \right) \right), \zeta = \frac{m_c^2}{m_b^2}, x = \frac{2E_l}{m_b}$$

$$L = 10^{33} \text{ cm}^{-2} \text{ s}^{-1} \rightarrow L_{\text{int}} \sim 10^{38} \text{ cm}^{-2} \text{ d}^{-1}$$

$$\sigma_{B\bar{B}} \sim 1 \text{ nb} = 10^{-33} \text{ cm}^2 \rightarrow R \sim 10^5 B\bar{B} \text{ d}^{-1}$$

$$\rightarrow R_{\text{dec}} \sim 10^3 D^0 e^- \bar{\nu}_e \text{ d}^{-1} \rightarrow \frac{\sqrt{\sigma_{\text{stat}}}}{|V_{cb}|} \sim \frac{3\%}{\sqrt{T(\text{days})}}$$

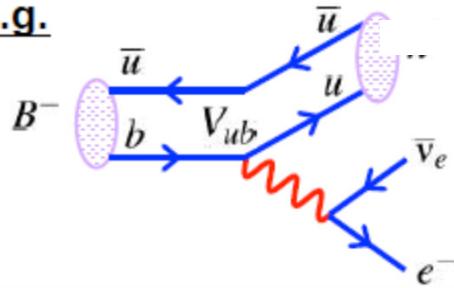
# Filling CKM - VII

Fall 2019

$|V_{ub}|$

from semi-leptonic  $B$  hadron decays

e.g.



$$\Gamma \propto |V_{ub}|^2$$

$$|V_{ub}| = 0.0043 \pm 0.0003$$

$$\begin{pmatrix} \dots & \times \\ \dots & \cdot \\ \dots & \cdot \end{pmatrix}$$

$\pi^0 \ell^+ \nu_\ell$

$$( -7.7 \pm 1.2 ) \times 10^{-5}$$

$$\frac{\Gamma(b \rightarrow ul^-\bar{\nu}_l)}{\Gamma(b \rightarrow cl^-\bar{\nu}_l)} = \frac{|V_{ub}|^2}{|V_{cb}|^2} \left( \frac{f(m_u^2/m_b^2)}{f(m_c^2/m_b^2)} \right), \text{ compare rates}$$

$$L = 10^{33} \text{ cm}^{-2} \text{ s}^{-1} \rightarrow L_{\text{int}} \sim 10^{38} \text{ cm}^{-2} \text{ d}^{-1}$$

$$\sigma_{B\bar{B}} \sim 1 \text{ nb} = 10^{-33} \text{ cm}^2 \rightarrow R \sim 10^5 B\bar{B} \text{ d}^{-1}$$

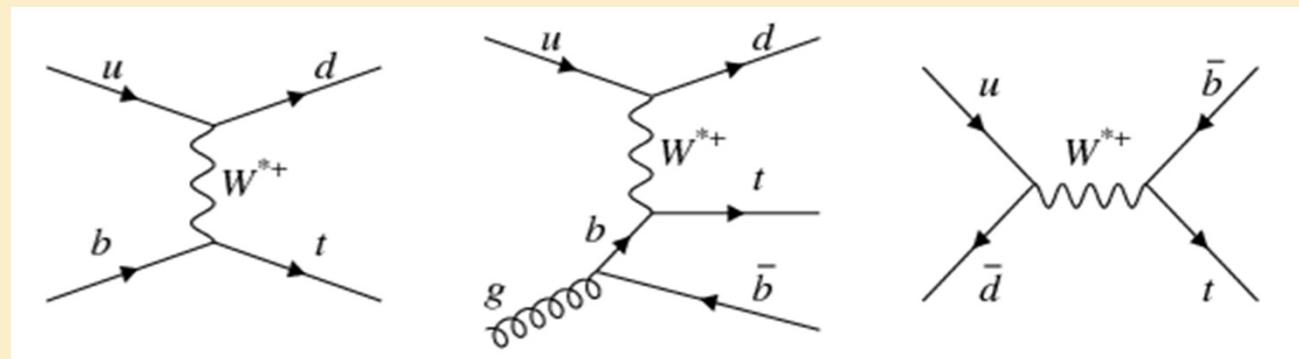
$$\rightarrow R_{\text{dec}} \sim 10 \pi^0 e^- \bar{\nu}_e \text{ d}^{-1} \rightarrow \frac{\sigma_{\text{stat}}}{|V_{ub}|} \sim \frac{30\%}{\sqrt{T(\text{days})}}$$

# Filling CKM - VIII

Fall 2019

$|V_{tb}|$

from single top production



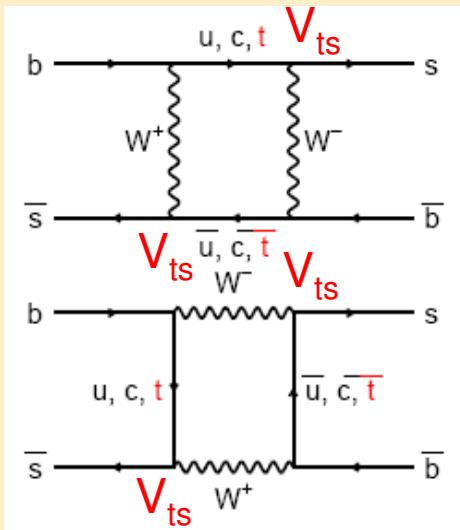
# Filling CKM - IX

Fall 2019

$|V_{td}|, |V_{ts}|$  from  $B_d, B_s$  oscillations

Cannot rely on direct measurements of  $V_{td}, V_{ts}$  from  $t$  decays: Too small

Rather use loop diagrams of  $B_d, B_s$  oscillations



+ Similar for  $B_d$ , yielding  $V_{td}$

# CKM Triangles - I

Fall 2019

$V_{CKM}$  unitary: 9 unitarity conditions

Take 6 'off-diagonal' conditions:

$$(1) \quad V_{ud}V_{us}^* + V_{cd}V_{cs}^* + V_{td}V_{ts}^* = 0;$$

$$(3) \quad V_{us}V_{ub}^* + V_{cs}V_{cb}^* + V_{ts}V_{tb}^* = 0;$$

$$(5) \quad V_{ud}^*V_{td} + V_{us}^*V_{ts} + V_{ub}^*V_{tb} = 0;$$

$$(2) \quad V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0;$$

$$(4) \quad V_{ud}^*V_{cd} + V_{us}^*V_{cs} + V_{ub}^*V_{cb} = 0;$$

$$(6) \quad V_{cd}^*V_{td} + V_{cs}^*V_{ts} + V_{cb}^*V_{tb} = 0;$$

Each condition:

Sum of 3 complex numbers = 0

Complex number  $\triangleq$  Vector in the complex plane

→ Each condition  $\sim$  3 numbers should add to a closed triangle

# CKM Triangles - II

Fall 2019

Sides & Angles from experiment

Area: Same for all 6

$$A_{triangle} = \frac{1}{2} J_{CP} = \frac{1}{2} \text{Im}(V_{ij} V_{kl} V_{il}^* V_{kj}^*); \quad i \neq k, j \neq l; \text{Im}$$

$$J_{CP} = s_{12} s_{13} s_{23} c_{12} c_{13}^2 c_{23} s_{\delta_{13}} \approx A^2 \lambda^6 \eta$$

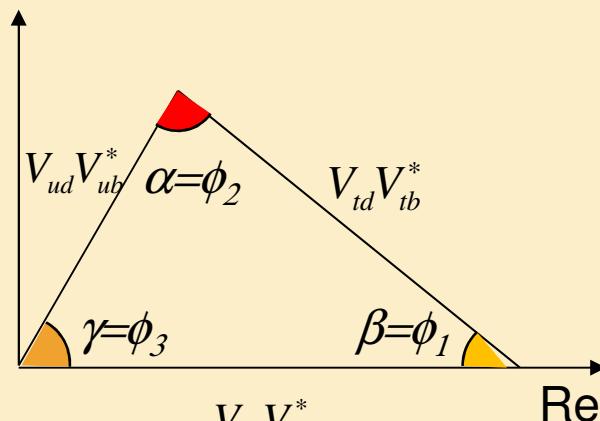
$\rightarrow J_{CP}$  = Nice measure of  $\mathcal{CP}$

Example: Most common unitary triangle

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

Wolfenstein approximation:

$$A\lambda^3 \left(1 - \frac{\lambda^2}{2}\right)(\rho + i\eta) - A\lambda^3 \left[1 + A^2 \lambda^4 (\rho + i\eta)\right] + A\lambda^3 \left[1 - (\rho + i\eta) \left(1 - \frac{\lambda^2}{2}\right)\right] = 0$$



# CKM Triangles - III

$$\begin{cases} V_{ud}V_{ub}^* = A\lambda^3 \left(1 - \frac{\lambda^2}{2}\right) (\rho + i\eta) \\ V_{cd}V_{cb}^* = -A\lambda^3 \\ V_{td}V_{tb}^* = A\lambda^3 [1 - (\rho + i\eta)] \end{cases}$$

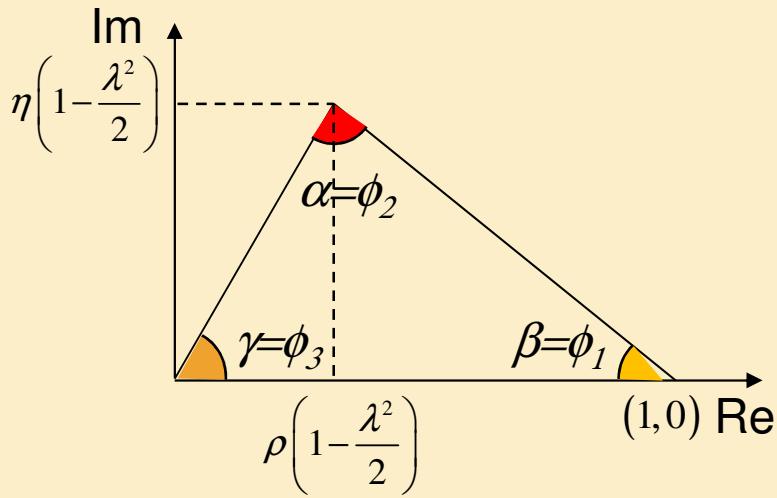
Normalize to  $V_{cd}V_{cb}^* = -A\lambda^3 \equiv 1$ ;

Ignore overall – signs

$$V_{ud}V_{ub}^* = \left(1 - \frac{\lambda^2}{2}\right) (\rho + i\eta) \approx \rho + i\eta$$

$$V_{cd}V_{cb}^* = 1$$

$$V_{td}V_{tb}^* \approx 1 - (\rho + i\eta)$$



# CKM Triangles - IV

Recent fit by CKMFitter group:

Observable	Central $\pm 1 \sigma$	$\pm 2 \sigma$	$\pm 3 \sigma$
A	0.812 [+0.015 -0.022]	0.812 [+0.025 -0.031]	0.812 [+0.035 -0.039]
$\lambda$	0.22543 [+0.00059 -0.00095]	0.2254 [+0.0010 -0.0019]	0.2254 [+0.0013 -0.0027]
$p\bar{b}ar$	0.145 [+0.027 -0.027]	0.145 [+0.046 -0.040]	0.145 [+0.057 -0.050]
$\eta\bar{b}ar$	0.343 [+0.015 -0.015]	0.343 [+0.030 -0.026]	0.343 [+0.044 -0.035]
J [ $10^{-5}$ ]	2.96 [+0.18 -0.14]	2.96 [+0.32 -0.19]	2.96 [+0.46 -0.23]
$\alpha$ [deg]	91.1 [+4.3 -4.3]	91.1 [+7.1 -6.2]	91.1 [+8.8 -7.8]
$\alpha$ [deg] (meas. not in the fit)	95.9 [+2.2 -5.6]	95.9 [+3.6 -10.9]	95.9 [+5.0 -12.8]
$\alpha$ [deg] (dir. meas.)	<b>88.7 [+4.6 -4.2]</b>	<b>88.7 [+9.4 -8.5]</b>	<b>89 [+21 -13]</b>
$\beta$ [deg]	21.85 [+0.80 -0.77]	21.9 [+1.6 -1.3]	21.9 [+2.5 -1.8]
$\beta$ [deg] (meas. not in the fit)	27.5 [+1.2 -1.4]	27.5 [+1.9 -3.9]	27.5 [+2.6 -6.8]
$\beta$ [deg] (dir. meas.)	<b>21.38 [+0.79 -0.77]</b>	<b>21.4 [+1.6 -1.5]</b>	<b>21.4 [+2.4 -2.3]</b>
$\gamma$ [deg]	67.1 [+4.3 -4.3]	67.1 [+6.1 -7.0]	67.1 [+7.6 -8.5]
$\gamma$ [deg] (meas. not in the fit)	67.2 [+4.4 -4.6]	67.2 [+6.1 -7.2]	67.2 [+7.6 -8.7]
$\gamma$ [deg] (dir. meas.)	66 [+12 -12]	66 [+23 -22]	66 [+36 -30]

# $K$ Oscillations - I

Fall 2019

First among a host of astonishing quantum mechanical oddities

$$|K^0\rangle = |d\bar{s}\rangle \quad S = +1$$

$$|\bar{K}^0\rangle = |\bar{d}s\rangle \quad S = -1$$

$$P|K^0\rangle = -|K^0\rangle \quad \text{Pseudoscalar}$$

$$P|\bar{K}^0\rangle = -|\bar{K}^0\rangle \quad \text{Pseudoscalar}$$

$$C|K^0\rangle = |\bar{K}^0\rangle \quad \text{Not a C eigenstate}$$

$$C|\bar{K}^0\rangle = |K^0\rangle \quad \text{Not a C eigenstate}$$

→ Make  $C$  eigenstates:

$$|K_1^0\rangle = \frac{1}{\sqrt{2}}(|K^0\rangle - |\bar{K}^0\rangle) \rightarrow C|K_1^0\rangle = C\left[\frac{1}{\sqrt{2}}(|K^0\rangle - |\bar{K}^0\rangle)\right] = \frac{1}{\sqrt{2}}(|\bar{K}^0\rangle - |K^0\rangle) = -|K_1^0\rangle$$

$$|K_2^0\rangle = \frac{1}{\sqrt{2}}(|K^0\rangle + |\bar{K}^0\rangle) \rightarrow C|K_2^0\rangle = |K_2^0\rangle$$

# $K$ Oscillations - II

Fall 2019

Kaons : Just weak decays ( $\leftarrow$  Lightest strange hadron)

$C, P$  not conserved by weak processes

$CP$  almost conserved by weak processes  $\rightarrow$  Take it as good for the moment

$\rightarrow$  Focus on  $CP$  as a symmetry for weak processes

$CP$  eigenstates:

$$CP|K_1^0\rangle = CP\left[\frac{1}{\sqrt{2}}(|K^0\rangle - |\bar{K}^0\rangle)\right] = C\left[\frac{1}{\sqrt{2}}(-|K^0\rangle + |\bar{K}^0\rangle)\right] = +|K_1^0\rangle \quad CP = +1$$

$$CP|K_2^0\rangle = -|K_2^0\rangle \quad CP = -1$$

Observe :  $K_1^0, K_2^0$   $CP$  eigenstates, like photon,  $\pi^0$

$\rightarrow$  Different particles

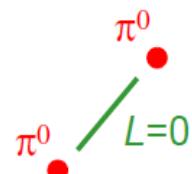
# $K$ Oscillations - III

Fall 2019

$K^0$ : Many different decay modes, including weak decays into pions

Consider first decays into 2  $\pi$ 's:

$$K^0 \rightarrow \pi^0 \pi^0$$



$$J^P : 0^- \rightarrow 0^- + 0^-$$

Ang. mom. conservation

$$\Rightarrow L = 0$$

$$\Rightarrow P(\pi^0 \pi^0) = -1 \cdot -1 \cdot (-1)^L = (-1)^L = +1$$

$$\pi^0 \text{ is eigenstate of } C : \hat{C} |\pi^0\rangle = +|\pi^0\rangle$$

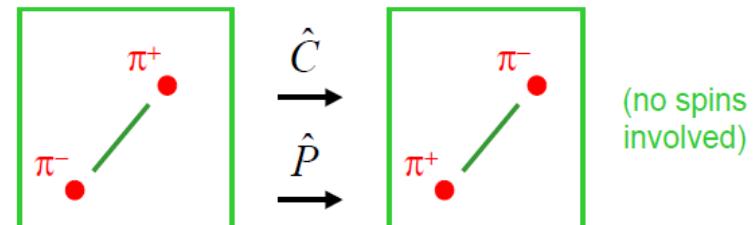
$$\Rightarrow C(\pi^0 \pi^0) = +1 \cdot +1 = +1$$

$$K^0 \rightarrow \pi^+ \pi^-$$

Still have  $L = 0$

$$\Rightarrow P(\pi^+ \pi^-) = -1 \cdot -1 \cdot (-1)^L = (-1)^L = +1$$

C and P operations have identical effect :



$$\Rightarrow C(\pi^+ \pi^-) = P(\pi^+ \pi^-) = (-1)^L = +1$$

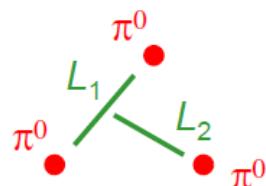
$$\Rightarrow CP = +1 \quad \text{for both } \pi^+ \pi^- \text{ and } \pi^0 \pi^0$$

# $K$ Oscillations - IV

Fall 2019

Consider then decays into 3  $\pi$ 's:

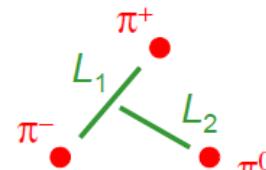
$$K^0 \rightarrow \pi^0 \pi^0 \pi^0$$



$$\begin{aligned} J^P : \quad 0^- &\rightarrow 0^- + 0^- + 0^- \\ \text{Ang. mom. conservation} \\ \Rightarrow \quad L_1 \oplus L_2 &= 0 \\ \Rightarrow \quad L_1 &= L_2 \end{aligned}$$

$$\begin{aligned} \Rightarrow \quad P(\pi^0 \pi^0 \pi^0) &= -1. -1. -1. (-1)^{L_1}. (-1)^{L_2} = -1 \\ C(\pi^0 \pi^0 \pi^0) &= +1. +1. +1 = +1 \end{aligned}$$

$$K^0 \rightarrow \pi^+ \pi^- \pi^0$$



$$\begin{aligned} \text{As above:} \quad L_1 &= L_2 \\ \Rightarrow \quad P(\pi^+ \pi^- \pi^0) &= -1. -1. -1. (-1)^{L_1}. (-1)^{L_2} = -1 \\ C(\pi^+ \pi^- \pi^0) &= +1. C(\pi^+ \pi^-) = +1. (-1)^{L_1} = +1 \end{aligned}$$

Experimentally:  $L_1 = 0$  from study  
of angular distributions of  $\pi^+, \pi^-$

$$\Rightarrow \quad CP = -1 \quad \text{for both } \pi^+ \pi^- \pi^0 \text{ and } \pi^0 \pi^0 \pi^0$$

# $K$ Oscillations - V

Fall 2019

If  $CP$  is conserved in weak processes:

$$\left. \begin{array}{l} K_1^0 \rightarrow \pi\pi \\ K_2^0 \rightarrow \pi\pi\pi \end{array} \right\} \text{Exclusively}$$

Summary so far about neutral  $K$  states:

Production (by strong interaction):  $|K^0\rangle, |\bar{K}^0\rangle$

Decay (by weak interaction):  $|K_1^0\rangle, |K_2^0\rangle$

$m_{|K^0\rangle} = m_{|\bar{K}^0\rangle} \approx m_{|K_1^0\rangle} \approx m_{|K_2^0\rangle} \approx 498 \text{ MeV}$

Expect, and find:

$K_1^0 \rightarrow \pi\pi$  Fast: Larger phase space etc  $\rightarrow \tau_1 = 0.9 \cdot 10^{-10} \text{ s}$  ' $K$  short'

$K_2^0 \rightarrow \pi\pi\pi$  Slow: Smaller phase space etc  $\rightarrow \tau_2 = 0.5 \cdot 10^{-7} \text{ s}$  ' $K$  long'

# $K$ Oscillations - VI

Fall 2019

Provisionally identify:

$$K_S \equiv K_1^0 (\rightarrow \pi\pi) \quad \tau_S = 0.9 \cdot 10^{-10} s \quad 'K \text{ short}' \quad CP = +1$$

$$K_L \equiv K_2^0 (\rightarrow \pi\pi\pi) \quad \tau_L = 0.5 \cdot 10^{-7} s \quad 'K \text{ long}' \quad CP = -1$$

Therefore:

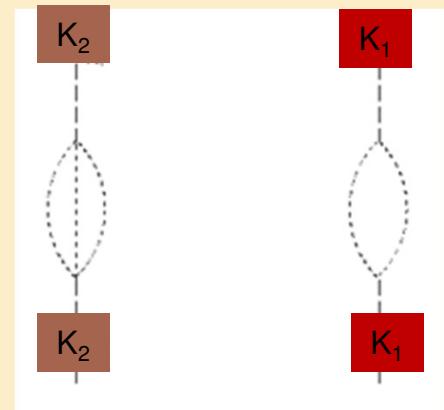
$$K_S \equiv K_1^0, K_L \equiv K_2^0 : \begin{array}{ll} \text{Different} & CP \\ \text{Different lifetime} & \end{array}$$

Also: Different mass!

Old fashioned (but simple) argument:

Different virtual weak couplings,  $2\pi$  vs  $3\pi$

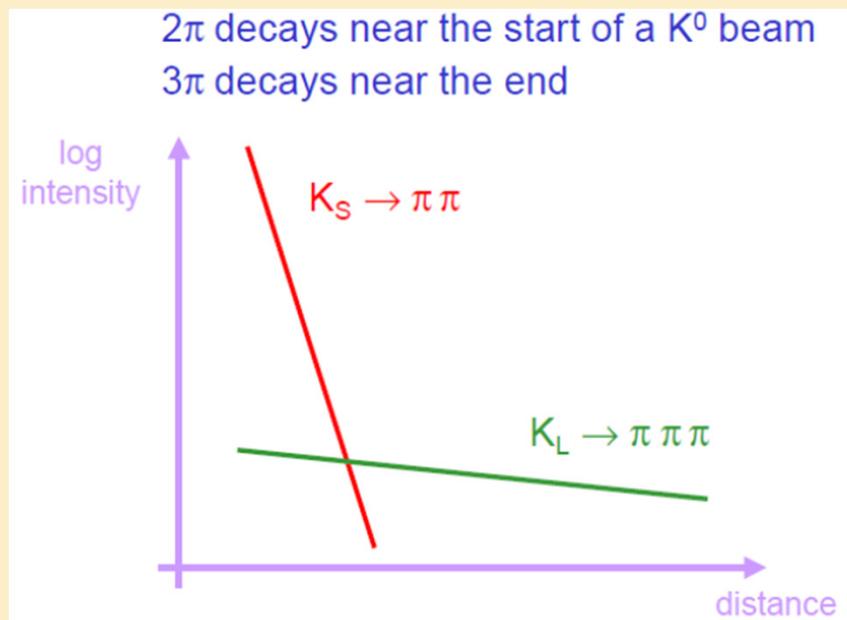
→ Different corrections to the mass



# $K$ Oscillations - VII

Fall 2019

Taking a neutral  $K$  beam produced by strong interaction, expect qualitatively



# $K$ Oscillations - VIII

Fall 2019

Production: Strong interaction  $\rightarrow$  Strangeness conserved

Neglect weak interaction in production process

Strongly produced neutral  $K$  either  $K^0$  or  $\bar{K}^0$

$\rightarrow$  Either  $K^0$  or  $\bar{K}^0$  as *initial condition* for the  $K$  wave function

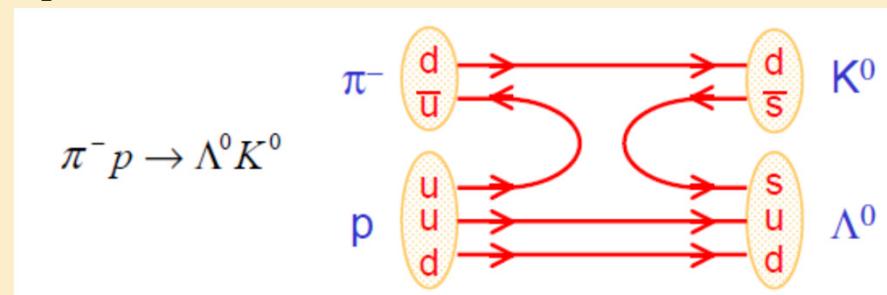
Time evolution : Weak interaction  $\rightarrow$  Strangeness *not* conserved

Neglect strong interaction in time evolution

Neither  $P$  or  $C$  conserved by weak interaction;  $CP$  (*provisionally*) conserved

$\rightarrow$  Propagate  $CP$  eigenstates  $K_S$ ,  $K_L$

Take a definite production process:



# $K$ Oscillations - IX

Fall 2019

$$\psi(t=0) : |K^0\rangle = \frac{1}{\sqrt{2}}(|K_L^0\rangle + |K_S^0\rangle)$$

$$\begin{cases} |K_L^0(t)\rangle = |K_L^0\rangle e^{-i(m_L - i\frac{\Gamma_L}{2})t}, & \Gamma_L = \frac{1}{\tau_L} \\ |K_S^0(t)\rangle = |K_S^0\rangle e^{-i(m_S - i\frac{\Gamma_S}{2})t}, & \Gamma_S = \frac{1}{\tau_S} \end{cases}$$

$$\rightarrow \psi(t) = \frac{1}{\sqrt{2}} \left( |K_L^0\rangle e^{-i(m_L - i\frac{\Gamma_L}{2})t} + |K_S^0\rangle e^{-i(m_S - i\frac{\Gamma_S}{2})t} \right)$$

$$\rightarrow \psi(t) = \frac{1}{\sqrt{2}} \left( \left[ \frac{1}{\sqrt{2}} (|K^0\rangle + |\bar{K}^0\rangle) \right] e^{-i(m_L - i\frac{\Gamma_L}{2})t} + \frac{1}{\sqrt{2}} (|K^0\rangle - |\bar{K}^0\rangle) \frac{1}{\sqrt{2}} e^{-i(m_S - i\frac{\Gamma_S}{2})t} \right)$$

$$\rightarrow \psi(t) = \frac{1}{2} \left( |K^0\rangle \left[ e^{-i(m_L - i\frac{\Gamma_L}{2})t} + e^{-i(m_S - i\frac{\Gamma_S}{2})t} \right] + |\bar{K}^0\rangle \left[ e^{-i(m_L - i\frac{\Gamma_L}{2})t} - e^{-i(m_S - i\frac{\Gamma_S}{2})t} \right] \right)$$

# $K$ Oscillations - X

Fall 2019

Time evolution of strangeness content of the beam:

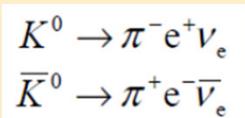
Initial condition  $K^0$

$$\Delta m = m_L - m_S$$

$$\rightarrow \begin{cases} I(K^0) = \frac{1}{4} \left| e^{-i(m_L - i\frac{\Gamma_L}{2})t} + e^{-i(m_S - i\frac{\Gamma_S}{2})t} \right|^2 = \frac{1}{4} \left[ e^{-\Gamma_L t} + e^{-\Gamma_S t} + 2e^{-\frac{(\Gamma_L + \Gamma_S)}{2}t} \cos \Delta m t \right] \\ I(\bar{K}^0) = \frac{1}{4} \left| e^{-i(m_L - i\frac{\Gamma_L}{2})t} - e^{-i(m_S - i\frac{\Gamma_S}{2})t} \right|^2 = \frac{1}{4} \left[ e^{-\Gamma_L t} + e^{-\Gamma_S t} - 2e^{-\frac{(\Gamma_L + \Gamma_S)}{2}t} \cos \Delta m t \right] \end{cases}$$

→ Strangeness oscillations

Detected in many ways, for example by semileptonic modes:



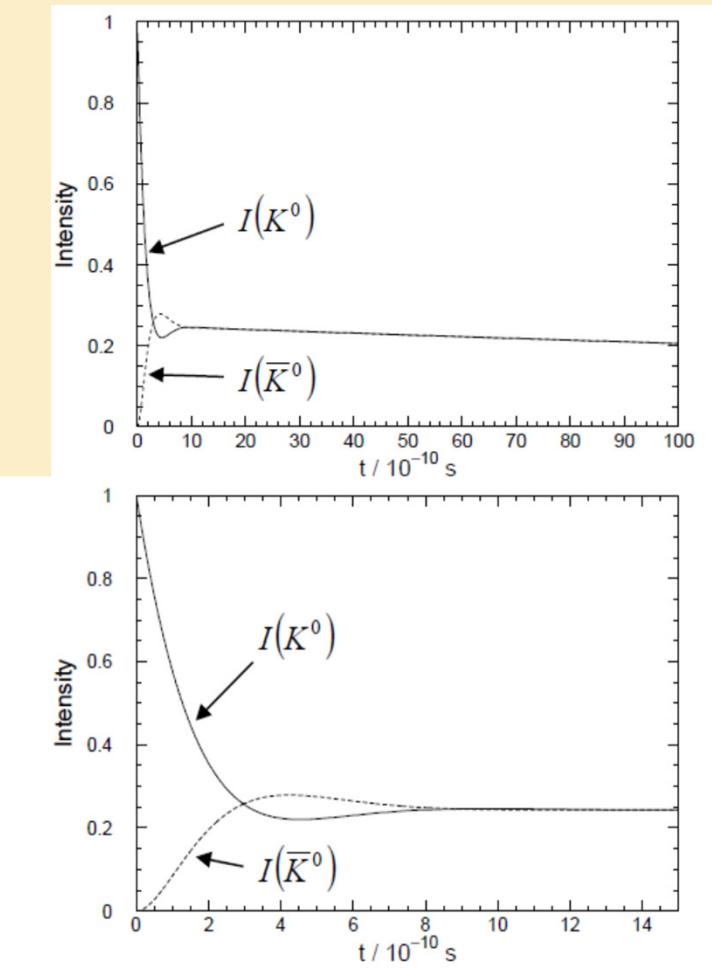
$\Delta Q = \Delta S$  rule: Unambiguous strangeness assignment from decay products

# $K$ Oscillations - XI

Fall 2019

Interference!

Expanded timescale:



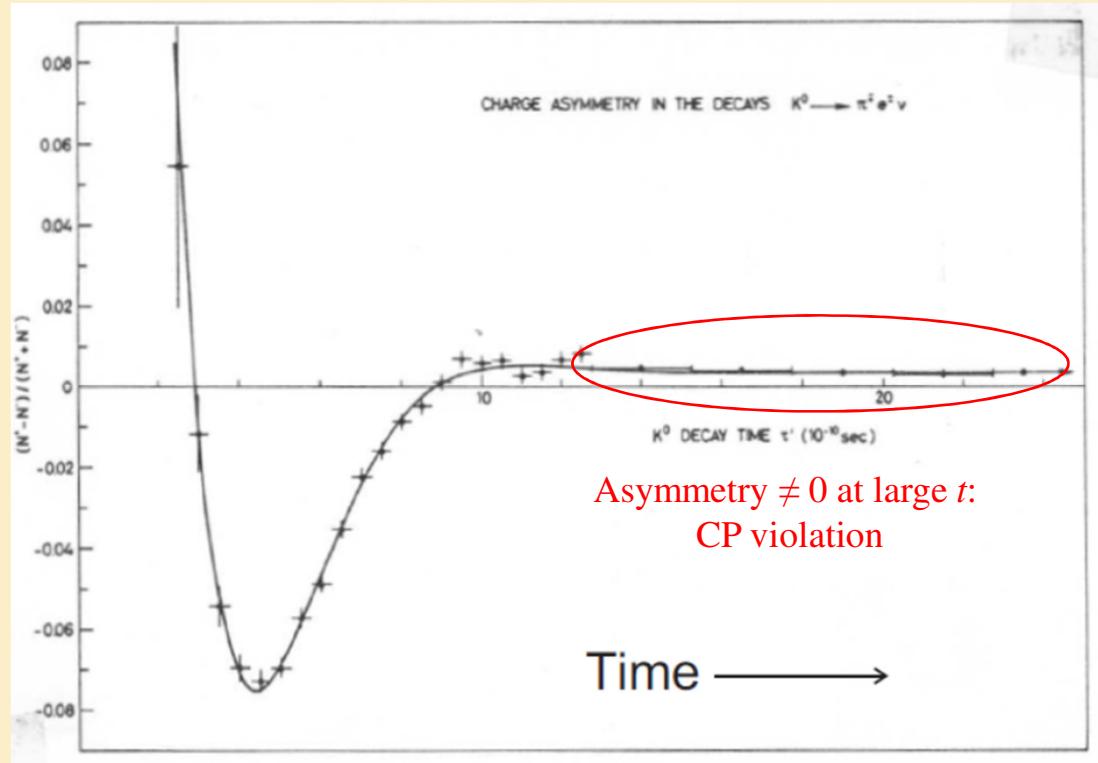
# $K$ Oscillations - XII

Fall 2019

...And it's true!

Semileptonic decays

$$\frac{N_{\pi^+} - N_{\pi^-}}{N_{\pi^+} + N_{\pi^-}}$$



!!!

E.Menichetti - Universita' di Torino

# $K$ Oscillations - XIII

Fall 2019

CLEAR experiment fit to  $\Delta m$

$$R_+ \equiv \Gamma(K_{t=0}^0 \rightarrow \pi^- e^+ \nu_e)$$

$$R_- \equiv \Gamma(K_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)$$

$$\bar{R}_- \equiv \Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)$$

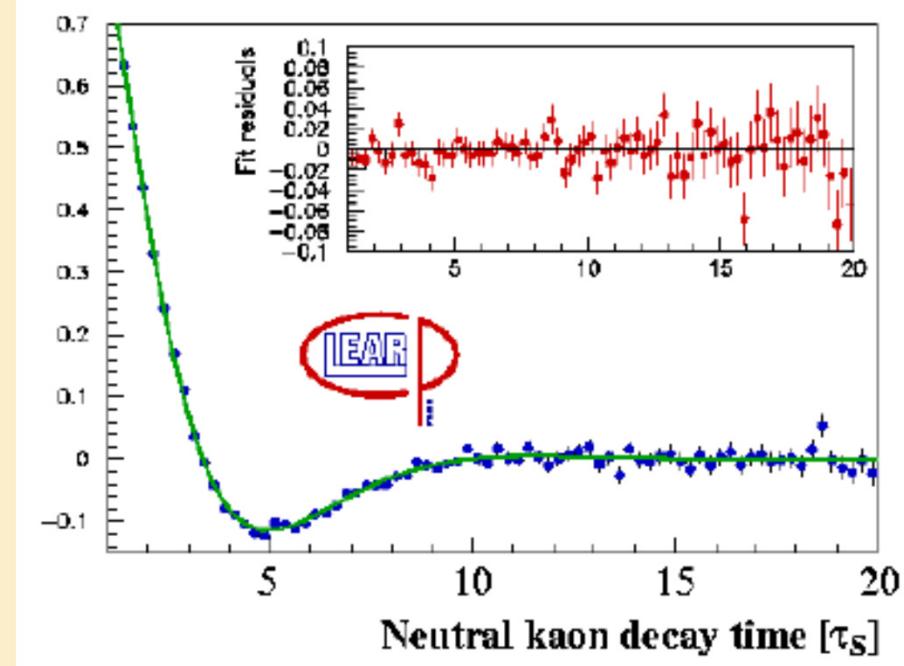
$$\bar{R}_+ \equiv \Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^- e^+ \nu_e)$$

$$A_{\Delta m} = \frac{(R_+ + \bar{R}_-) - (R_- + \bar{R}_+)}{(R_+ + \bar{R}_-) + (R_- + \bar{R}_+)}$$

$$A_{\Delta m} = \frac{2e^{-(\Gamma_S + \Gamma_L)t/2} \cos \Delta m t}{e^{-\Gamma_S t} + e^{-\Gamma_L t}}$$

$$\boxed{\Delta m = 3.485 \times 10^{-15} \text{ GeV}}$$

!!!



# $K$ Oscillations - XIV

Fall 2019

Observe:

$$T(K^0 \leftrightarrow \bar{K}^0) = \frac{2\pi}{\Delta m}$$

$$\rightarrow T(K^0 \leftrightarrow \bar{K}^0) = \frac{2\pi}{3.49 \cdot 10^{-12} \text{ MeV}} = \frac{2\pi}{3.49 \cdot 10^{-12} \text{ MeV}} \underbrace{6.58 \cdot 10^{-22} \text{ MeVs}}_{\hbar} \simeq 1.18 \text{ ns}$$

$$\tau_s \simeq 8.95 \cdot 10^{-11} \text{ s}$$

$$\rightarrow \frac{T}{\tau_s} \approx 13.3$$

→ Just a fraction of a single oscillation within a  $K_s$  lifetime

# $K$ Oscillations - XV

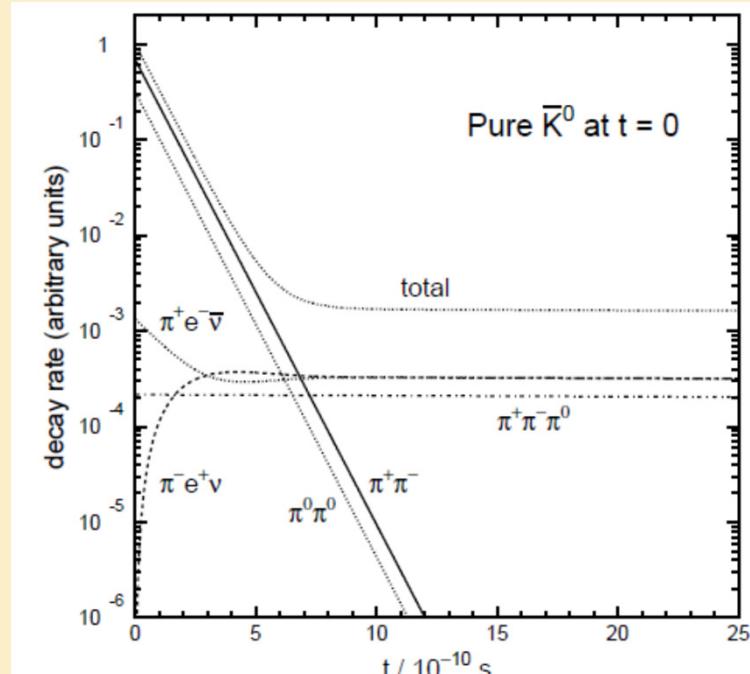
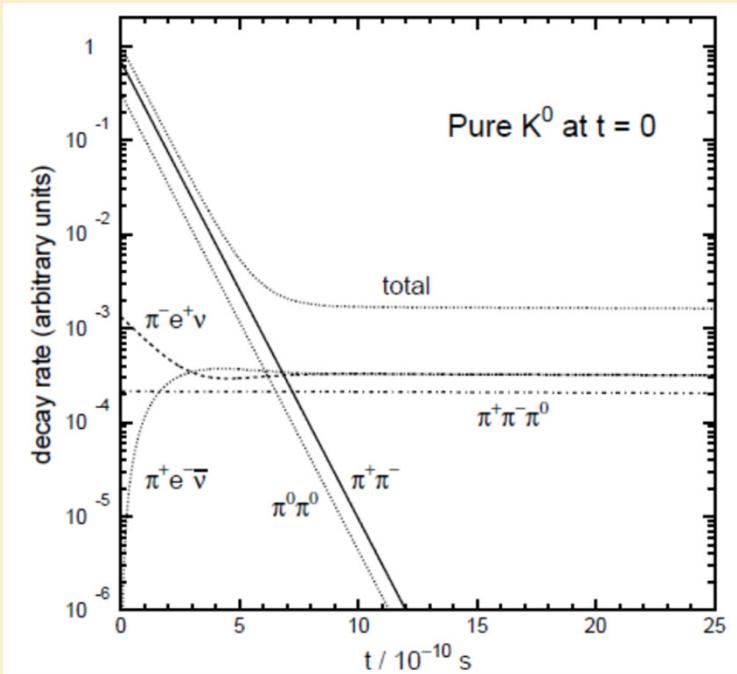
Fall 2019

Summary of decay rates ( CP conserved):

2 lifetimes ( $2\pi$ ,  $3\pi$ )

Strangeness oscillations (Semileptonic)

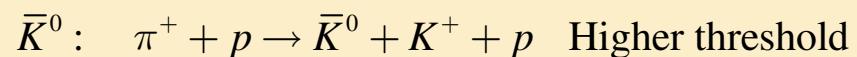
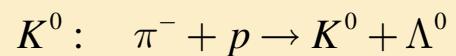
2 masses ( $2\pi$ ,  $3\pi$ )



# $K_S$ Regeneration - I

Fall 2019

Production reactions (e.g. at low energy):



Take first reaction → Initially pure  $K^0$  beam

After several  $\tau_s$ :  $K_S$  component off → Pure  $K_L$  beam

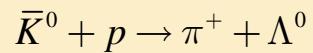
Introduce some material in the beam path: Funny effect!

# $K_S$ Regeneration - II

Fall 2019

Total cross section different for  $K^0, \bar{K}^0$ :

Indeed, e.g.



is strictly forbidden for  $K^0$

$$\rightarrow \sigma_{K^0} \neq \sigma_{\bar{K}^0}$$

Remembering the "OpticalTheorem":

$$\sigma_{tot} = \frac{4\pi}{k} \text{Im } f(0), \quad f(0) \text{ forward scattering amplitude}$$

$$\rightarrow f_{K^0}(0) \neq f_{\bar{K}^0}(0)$$

Take forward scattering ( $= propagation$ ) of our pure  $K_L$  beam:

$$|K_L\rangle = \frac{1}{\sqrt{2}}(|K^0\rangle + |\bar{K}^0\rangle) \rightarrow \frac{1}{\sqrt{2}}(A(f_{K^0})|K^0\rangle + B(f_{\bar{K}^0})|\bar{K}^0\rangle) \neq |K_L\rangle$$

$$|K_L\rangle \rightarrow a|K_L\rangle + b|K_S\rangle, \quad |a|^2 + |b|^2 = 1$$

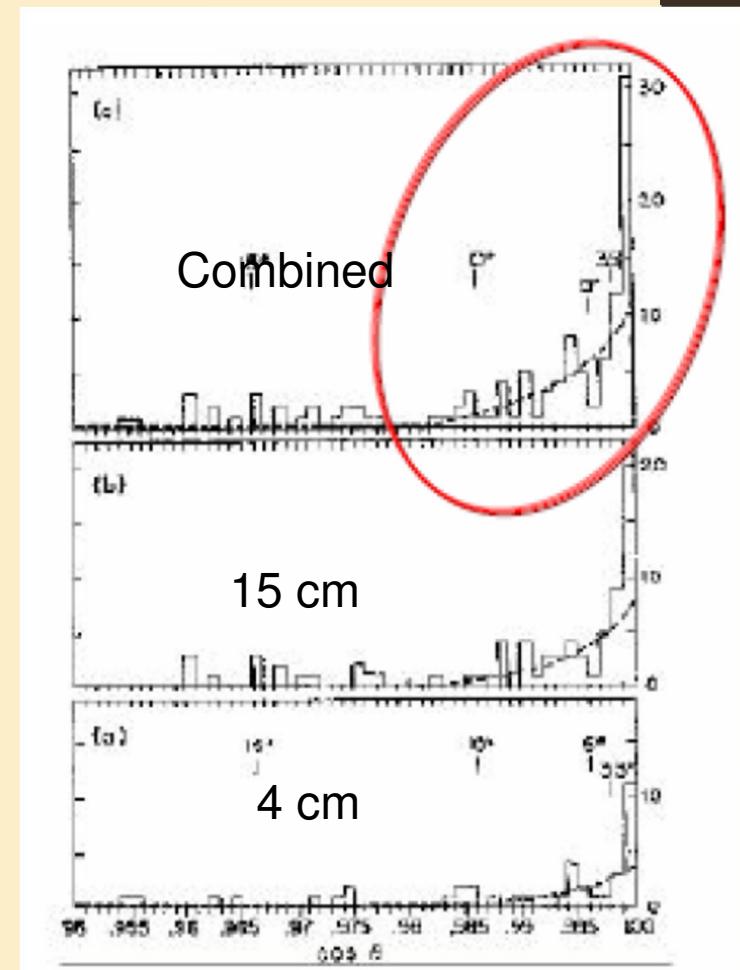
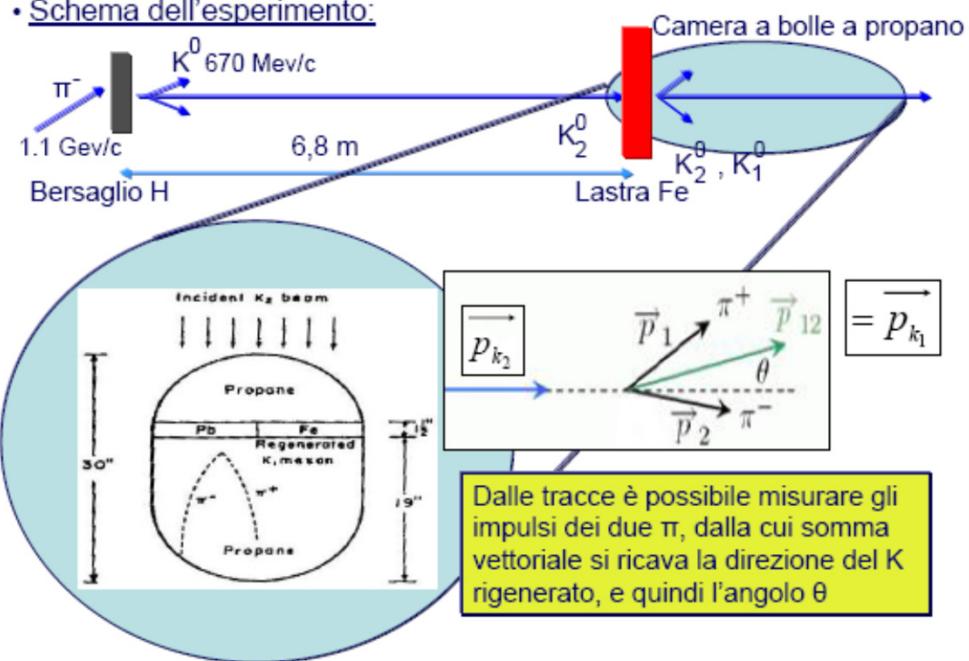
$\rightarrow A|K_S\rangle$  component has been regenerated by the material!

# $K_S$ Regeneration - III

Fall 2019

Piccioni et al. – Berkeley  $\approx 1956$

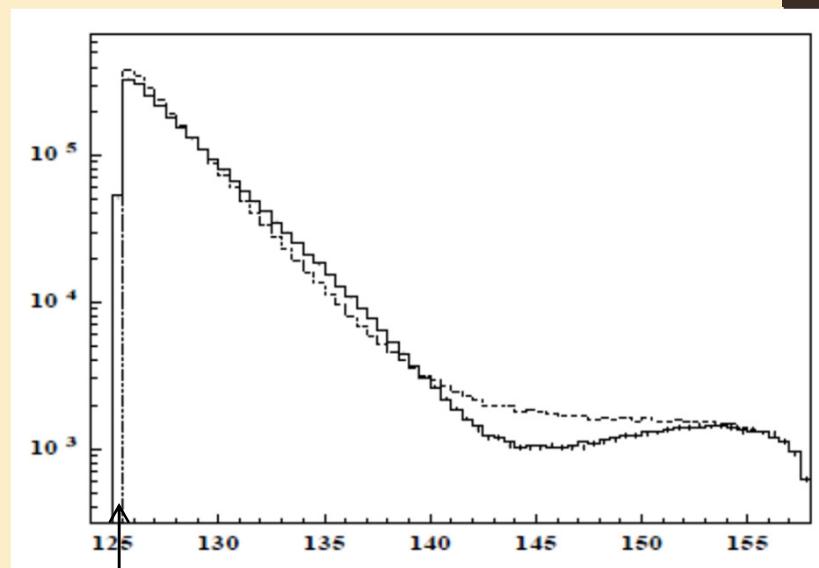
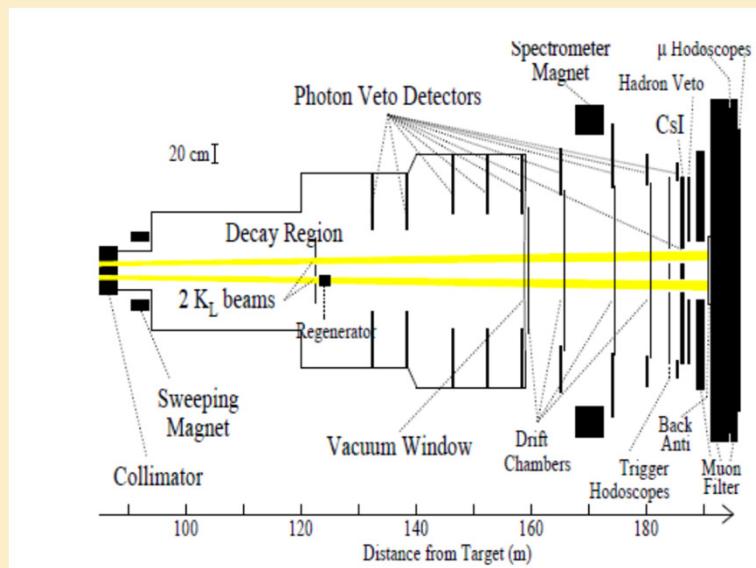
- Schema dell'esperimento:



# $K_S$ Regeneration - IV

Fall 2019

KTEV - Fermilab  $\approx 2000$



Regenerator

Strong  $K_S$  regeneration signalled by  $2\pi$  decays with  $\tau_s$  lifetime

[Large interference observed in  $2\pi$  rate:

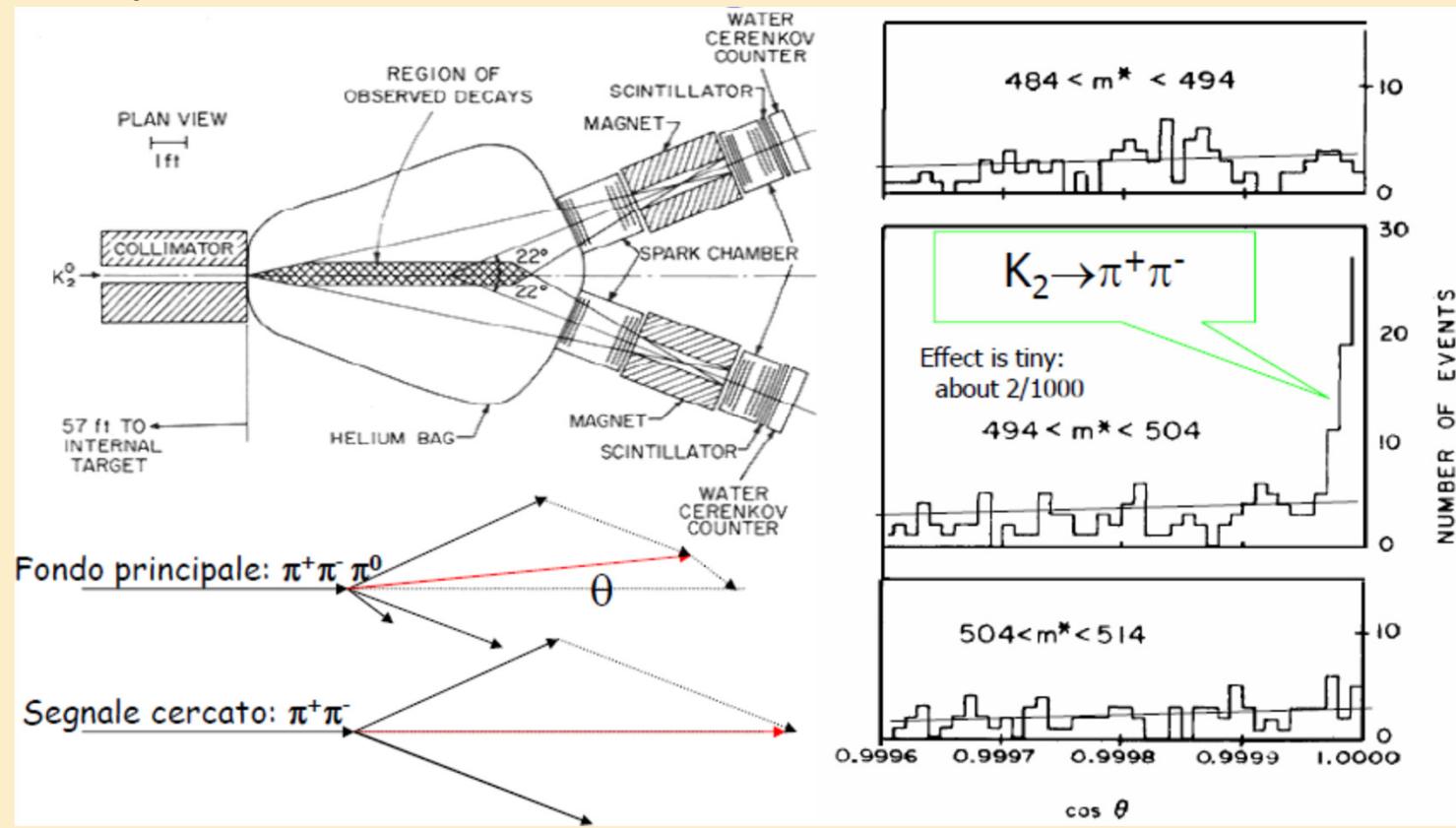
Regenerated  $K_S$  component interfering with  $CP$  violating,  $2\pi$  decay of  $K_L$  beam]

# K CP Violation - I

Fall 2019

$K_2 \rightarrow \pi^+ \pi^-$  decay observed in 1964;  $K_2 \rightarrow \pi^0 \pi^0$  decay also observed

Small  $BR \sim 10^{-3}$



# K CP Violation - II

Fall 2019

Three possible mechanisms:

1)  $K_L, K_S$  not  $CP$  eigenstates - Decay  $CP$  conserving

$$\rightarrow |K_L^0\rangle = \frac{1}{\sqrt{1+|\varepsilon|^2}}(|K_2^0\rangle + \varepsilon|K_1^0\rangle), |K_S^0\rangle = \frac{1}{\sqrt{1+|\varepsilon|^2}}(|K_1^0\rangle - \varepsilon|K_2^0\rangle)$$

$K_L^0 \rightarrow \pi\pi$  accounted for by

$$|K_L^0\rangle = \frac{1}{\sqrt{1+|\varepsilon|^2}} \begin{pmatrix} |K_2^0\rangle + \varepsilon|K_1^0\rangle \\ \downarrow_{\pi\pi\pi} \quad \downarrow_{\pi\pi} \end{pmatrix} \quad \textit{Mixing } \cancel{CP}: \text{Measured by small parameter } \varepsilon$$

2) Decay  $CP$  violating -  $K_L, K_S$   $CP$  eigenstates

$$|K_L^0\rangle = |K_2^0\rangle \quad \textit{Direct } \cancel{CP}: \text{Measured by very small parameter } \varepsilon'$$

3) Interference between mixing and decay

# K CP Violation - III

Fall 2019

Define:

$$|\eta_{+-}| \equiv \frac{\Gamma(K_L^0 \rightarrow \pi^+ \pi^-)}{\Gamma(K_S^0 \rightarrow \pi^+ \pi^-)} = (2.276 \pm 0.017) \times 10^{-3}$$

$$|\eta_{00}| \equiv \frac{\Gamma(K_L^0 \rightarrow \pi^0 \pi^0)}{\Gamma(K_S^0 \rightarrow \pi^0 \pi^0)} = (2.262 \pm 0.017) \times 10^{-3}$$

Expect:

Case 1)  $\rightarrow \eta_{+-} = \eta_{00}$

Case 2)  $\rightarrow \eta_{+-} \neq \eta_{00}$

Generally (see later):

$$\eta_{+-} = \varepsilon + \varepsilon'$$

$$\eta_{+-} = \varepsilon - 2\varepsilon'$$

$\rightarrow \varepsilon' \ll \varepsilon$ , must be very small

# K CP Violation - IV

Fall 2019

Focus on mixing  $\mathcal{CP}$ , ignore direct  $\mathcal{CP}$  for the moment

$\varepsilon = |\varepsilon| e^{i\varphi}$  Measuring mixing  $\mathcal{CP}$

For a neutral beam initially pure  $K^0 / \bar{K}^0$ :

$\pi\pi$  decay rate as a function of distance

$$I(K^0; t) = \frac{N}{2} (1 - 2 \operatorname{Re}(\varepsilon)) \left[ e^{-\Gamma_s t} + \underbrace{|\varepsilon|^2 e^{-\Gamma_L t}}_{K_L \text{ contribution}} + \underbrace{2|\varepsilon| e^{-\frac{\Gamma_L + \Gamma_s}{2} t} \cos(\Delta m t - \varphi)}_{\text{Interference}} \right]$$
$$I(\bar{K}^0; t) = \frac{N}{2} (1 + 2 \operatorname{Re}(\varepsilon)) \left[ e^{-\Gamma_s t} + |\varepsilon|^2 e^{-\Gamma_L t} - 2|\varepsilon| e^{-\frac{\Gamma_L + \Gamma_s}{2} t} \cos(\Delta m t - \varphi) \right]$$

→ Get  $|\varepsilon|, \varphi, \Delta m$

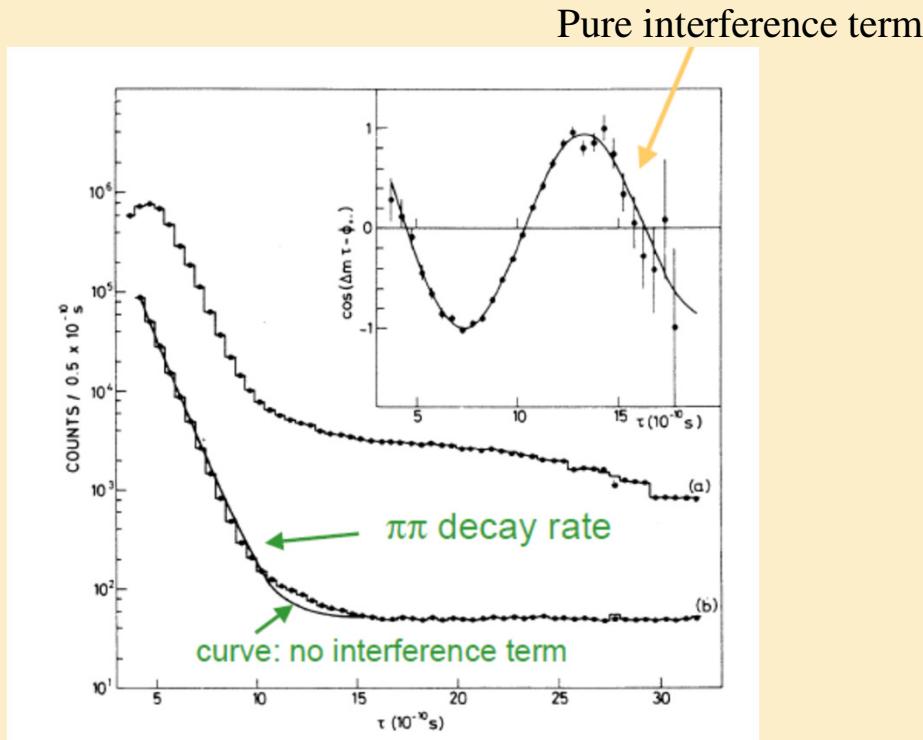
$$|\varepsilon| = (2.285 \pm 0.019) \times 10^{-3}$$

$$\phi = (43.5 \pm 0.6)^\circ$$

# K CP Violation - V

Fall 2019

...Quite correct!



Similar to regeneration data, but : No regenerator!

Interference between  $K_L$  and  $K_S$  in  $2\pi$  decay

→  $K_L$  and  $K_S$  states not orthogonal: Both have a  $K_1$  component

# K CP Violation - VI

Fall 2019

Neutral beam at large distance from production target: Pure  $K_L$

$$|K_L^0\rangle = \frac{1}{\sqrt{1+|\varepsilon|^2}}(|K_2^0\rangle + \varepsilon |K_1^0\rangle)$$

$$\rightarrow |K_L^0\rangle = \frac{1}{\sqrt{2(1+|\varepsilon|^2)}}[(1+\varepsilon)|K^0\rangle + (1-\varepsilon)|\bar{K}^0\rangle]$$

$$\rightarrow |K_s^0\rangle = \frac{1}{\sqrt{2(1+|\varepsilon|^2)}}[(1+\varepsilon)|K^0\rangle - (1-\varepsilon)|\bar{K}^0\rangle]$$

Take semileptonic decays, e.g.  $K_{e3}$ :

$$K^0 \rightarrow \pi^- e^+ \nu_e$$

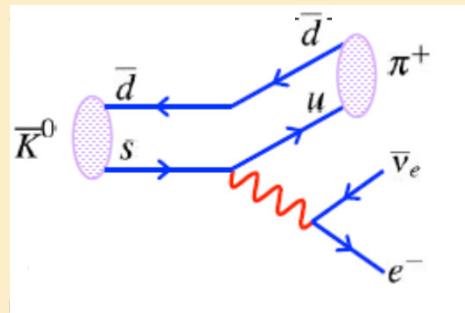
$$\bar{K}^0 \rightarrow \pi^+ e^- \bar{\nu}_e$$

Observe:

$$CP|K^0\rangle = |\bar{K}^0\rangle$$

$$CP|\pi^- e^+ \nu_e\rangle = |\pi^+ e^- \bar{\nu}_e\rangle$$

$\rightarrow$  No  $CP$  eigenstates



# K CP Violation - VII

Fall 2019

Define CP violation asymmetry for semileptonic decays ( $K_{e3}$ )

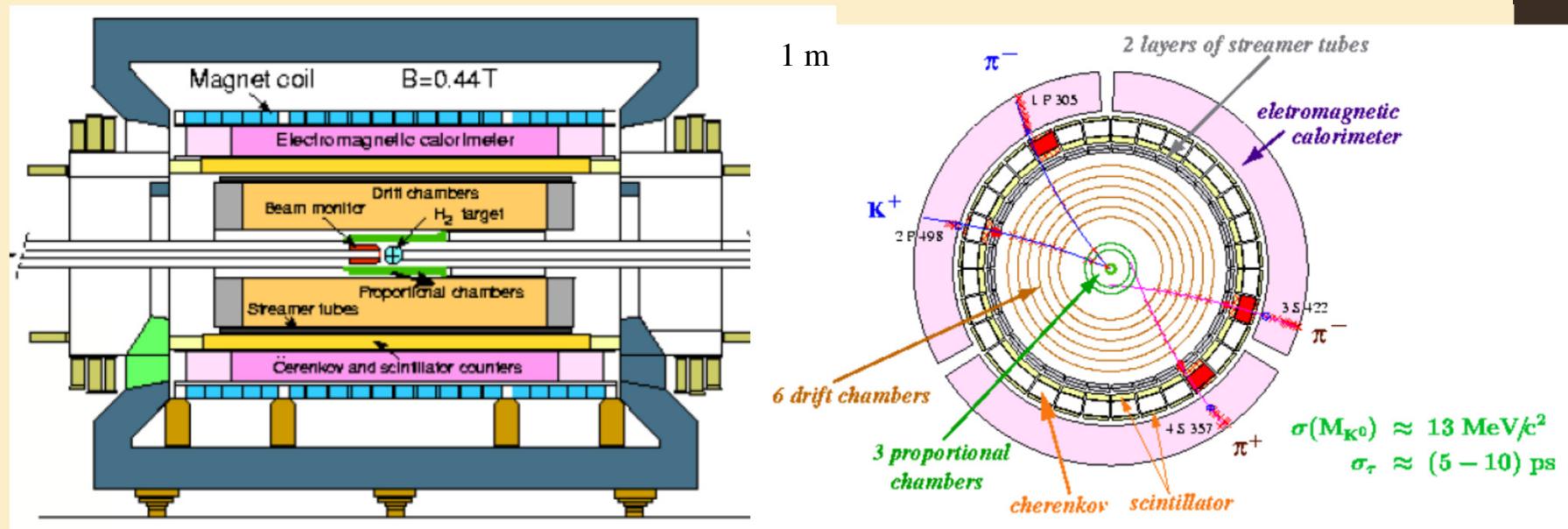
$$\delta = \frac{\Gamma(K_L \rightarrow \pi^- e^+ \nu_e) - \Gamma(K_L \rightarrow \pi^+ e^- \bar{\nu}_e)}{\Gamma(K_L \rightarrow \pi^- e^+ \nu_e) + \Gamma(K_L \rightarrow \pi^+ e^- \bar{\nu}_e)}$$
$$\Gamma(K_L \rightarrow \pi^+ e^- \bar{\nu}_e) \propto |\langle K^0 | K_L \rangle|^2 \propto |1 - \varepsilon|^2$$
$$\Gamma(K_L \rightarrow \pi^+ e^- \bar{\nu}_e) \propto |\langle \bar{K}^0 | K_L \rangle|^2 \propto |1 + \varepsilon|^2$$
$$|1 \pm \varepsilon|^2 = (1 \pm \varepsilon)(1 \pm \varepsilon^*) \approx 1 + \varepsilon + \varepsilon^* = 1 \pm 2 \operatorname{Re} \varepsilon$$
$$\rightarrow \delta \approx \frac{(1 + 2 \operatorname{Re} \varepsilon) - (1 - 2 \operatorname{Re} \varepsilon)}{(1 + 2 \operatorname{Re} \varepsilon) + (1 - 2 \operatorname{Re} \varepsilon)} = \frac{4 \operatorname{Re} \varepsilon}{2} = 2 \operatorname{Re} \varepsilon = 2 |\varepsilon| \cos \phi$$
$$\rightarrow \delta \approx 3.21 10^{-3} \text{ calculated by taking } \varepsilon \text{ from } \pi\pi$$

Measured:  $(3.27 \pm 0.012) 10^{-3}$

# K CP Violation - VIII

Fall 2019

CLEAR – CERN '90s



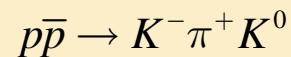
# K CP Violation - IX

Fall 2019

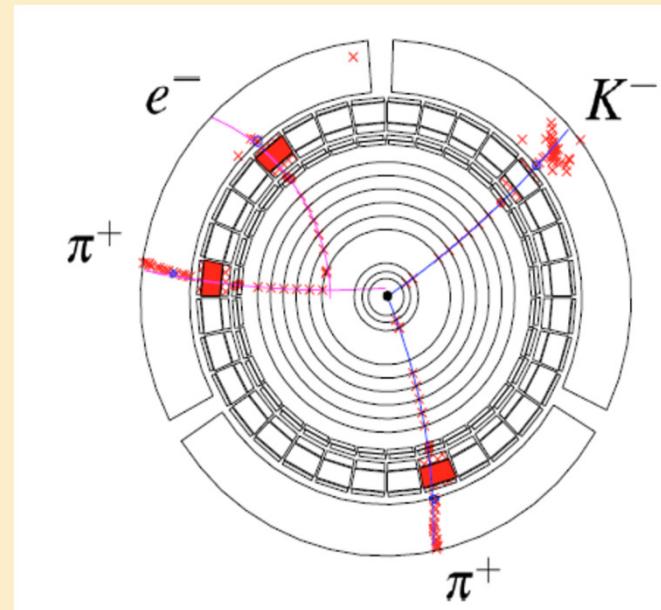
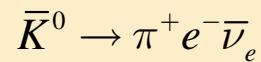
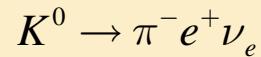
Experiment CPLEAR

(CERN  $\bar{p}$  Low Energy Accumulator Ring - LEAR)  $\rightarrow \sim 1995$

Use reactions:



and semileptonic decays



Strangeness of *produced*  
*decaying*  $K$  state: Tagged *unambiguously*

# K CP Violation - X

Fall 2019

Strangeness oscillations in presence of  $\mathcal{CP}$ :

$$R_+ = \Gamma(K_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) = N \frac{1}{4} \left[ e^{-\Gamma_s t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_s + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$R_- = \Gamma(K_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e) = N(1 - 4 \operatorname{Re} \varepsilon) \frac{1}{4} \left[ e^{-\Gamma_s t} + e^{-\Gamma_L t} - 2e^{-\frac{\Gamma_s + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$\bar{R}_+ = \Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) = N(1 + 4 \operatorname{Re} \varepsilon) \frac{1}{4} \left[ e^{-\Gamma_s t} + e^{-\Gamma_L t} - 2e^{-\frac{\Gamma_s + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$\bar{R}_- = \Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e) = N \frac{1}{4} \left[ e^{-\Gamma_s t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_s + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$A_{\Delta m} = \frac{(R_+ + \bar{R}_-) - (R_- + \bar{R}_+)}{(R_+ + \bar{R}_-) + (R_- + \bar{R}_+)} = \frac{2e^{-\frac{\Gamma_s + \Gamma_L}{2} t} \cos \Delta m t}{e^{-\Gamma_s t} + e^{-\Gamma_L t}}$$

$$\rightarrow A_{\Delta m}(\mathcal{CP}) = A_{\Delta m}(CP)$$

Asymmetry not sensitive to CP violation

# K CP Violation - XI

Fall 2019

Decays into 2  $\pi$ 's: Time dependent asymmetry

$$A(\pi\pi) = \frac{\Gamma(\bar{K}^0 \rightarrow \pi\pi) - \Gamma(K^0 \rightarrow \pi\pi)}{\Gamma(\bar{K}^0 \rightarrow \pi\pi) + \Gamma(K^0 \rightarrow \pi\pi)}$$

$$I(K^0; t) = \frac{N}{2}(1 - 2 \operatorname{Re}(\varepsilon)) \left[ e^{-\Gamma_s t} + |\varepsilon|^2 e^{-\Gamma_L t} + 2|\varepsilon| e^{-\frac{\Gamma_L + \Gamma_s}{2}t} \cos(\Delta m t - \varphi) \right]$$

$$I(\bar{K}^0; t) = \frac{N}{2}(1 + 2 \operatorname{Re}(\varepsilon)) \left[ e^{-\Gamma_s t} + |\varepsilon|^2 e^{-\Gamma_L t} - 2|\varepsilon| e^{-\frac{\Gamma_L + \Gamma_s}{2}t} \cos(\Delta m t - \varphi) \right]$$

$$I(\bar{K}^0; t) \approx \frac{N}{2} \left[ e^{-\Gamma_s t} + |\varepsilon|^2 e^{-\Gamma_L t} - 2|\varepsilon| e^{-\frac{\Gamma_L + \Gamma_s}{2}t} \cos(\Delta m t - \varphi) + 2 \operatorname{Re}(\varepsilon) e^{-\Gamma_s t} - 4 \operatorname{Re}(\varepsilon) |\varepsilon| e^{-\frac{\Gamma_L + \Gamma_s}{2}t} \cos(\Delta m t - \varphi) \right]$$

$$I(K^0; t) \approx \frac{N}{2} \left[ e^{-\Gamma_s t} + |\varepsilon|^2 e^{-\Gamma_L t} + 2|\varepsilon| e^{-\frac{\Gamma_L + \Gamma_s}{2}t} \cos(\Delta m t - \varphi) - 2 \operatorname{Re}(\varepsilon) e^{-\Gamma_s t} - 4 \operatorname{Re}(\varepsilon) |\varepsilon| e^{-\frac{\Gamma_L + \Gamma_s}{2}t} \cos(\Delta m t - \varphi) \right]$$

$$I(\bar{K}^0; t) - I(K^0; t) \approx N \left[ -2|\varepsilon| e^{-\frac{\Gamma_L + \Gamma_s}{2}t} \cos(\Delta m t - \varphi) + 2 \operatorname{Re}(\varepsilon) e^{-\Gamma_s t} \right]$$

$$I(\bar{K}^0; t) + I(K^0; t) \approx N \left[ e^{-\Gamma_s t} + |\varepsilon|^2 e^{-\Gamma_L t} - 4 \operatorname{Re}(\varepsilon) |\varepsilon| e^{-\frac{\Gamma_L + \Gamma_s}{2}t} \right]$$

# K CP Violation - XII

$$A(\pi\pi) \approx \frac{-2|\varepsilon| e^{-\frac{\Gamma_L + \Gamma_s t}{2}} \cos(\Delta m t - \varphi) + 2 \operatorname{Re}(\varepsilon) e^{-\Gamma_s t}}{e^{-\Gamma_s t} + |\varepsilon|^2 e^{-\Gamma_L t} - 4 \operatorname{Re}(\varepsilon) |\varepsilon| e^{-\frac{\Gamma_L + \Gamma_s t}{2}}}$$

Keeping only terms linear in  $|\varepsilon|$ :

$$A \approx \frac{4 \operatorname{Re}(\varepsilon) e^{-\Gamma_s t} - 4 |\varepsilon| e^{-\frac{\Gamma_L + \Gamma_s t}{2}} \cos(\Delta m t - \varphi)}{2 e^{-\Gamma_s t}} = 2 \operatorname{Re}(\varepsilon) - 2 |\varepsilon| e^{\frac{\Gamma_s - \Gamma_L}{2} t} \cos(\Delta m t - \varphi)$$

$$\rightarrow A(\pi\pi) \approx 2 \left[ \operatorname{Re}(\varepsilon) - |\varepsilon| e^{\frac{(\Gamma_s - \Gamma_L)}{2} t} \cos(\Delta m t - \phi) \right]$$

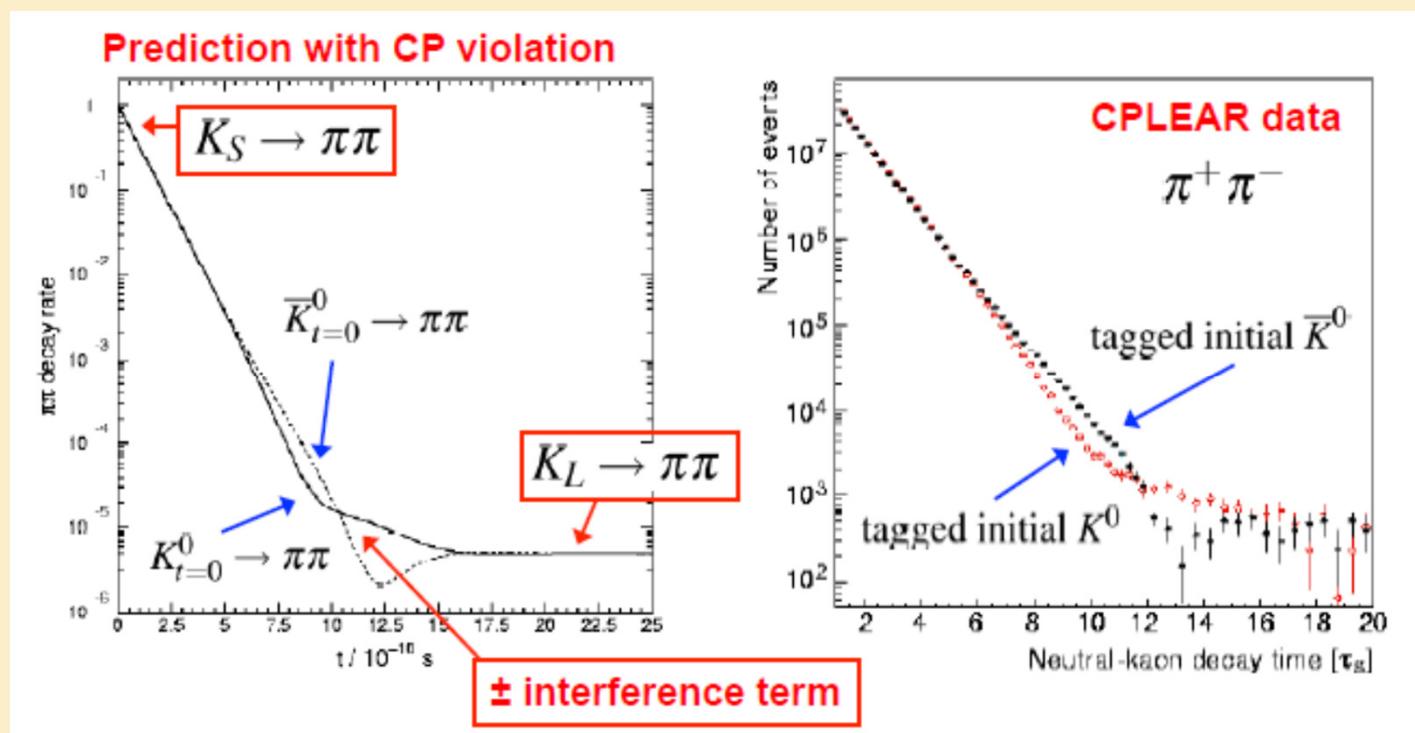
# K CP Violation - XIII

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CLEAR on  $2\pi$  decays

Expected decay rates  
for  $K^0, \bar{K}^0$  initial state

Observed decay rates  
for  $K^0, \bar{K}^0$  initial state



# K CP Violation - XIV

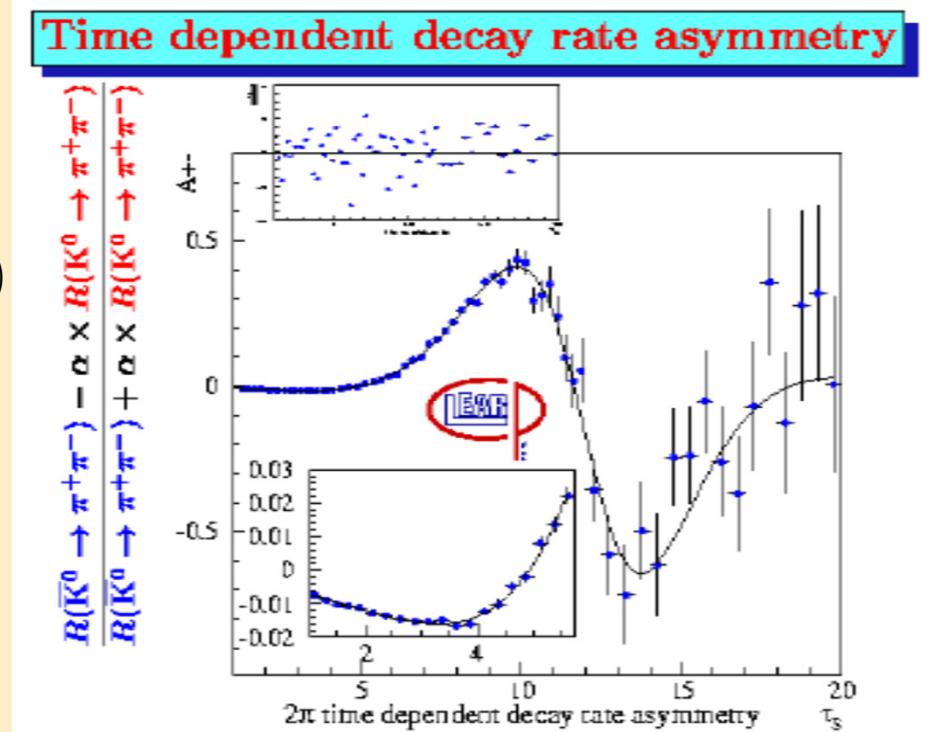
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Time dependent asymmetry:

$$A(\pi\pi) = \frac{\Gamma(\bar{K}^0) - \Gamma(K^0)}{\Gamma(\bar{K}^0) + \Gamma(K^0)}$$

$$\rightarrow A(\pi\pi) \approx 2 \operatorname{Re}(\varepsilon) - 2|\varepsilon| e^{\frac{(\Gamma_s - \Gamma_L)t}{2}} \cos(\Delta mt - \phi)$$

$$\begin{cases} |\varepsilon| = (2.264 \pm 0.035) 10^{-3} \\ \varphi = (43.19 \pm 0.073)^0 \\ \Delta m = (3.4852 \pm 0.013) 10^{-15} \text{ GeV} \end{cases}$$



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# K CP Violation - XV

Fall 2019

*CP* violation in  $3\pi$  decays

Expect, by swapping  $K_s \leftrightarrow K_L$ :

$$I(K^0; t) = \frac{N'}{2} (1 - 2 \operatorname{Re}(\varepsilon)) \left[ e^{-\Gamma_L t} + |\varepsilon|^2 e^{-\Gamma_S t} + 2|\varepsilon| e^{-\frac{\Gamma_L + \Gamma_S}{2}t} \cos(\Delta m t - \varphi) \right]$$

Very different experimental conditions as compared to  $2\pi$ :

Lots of *CP* conserving  $3\pi$  decays from  $K_L$  component of the beam

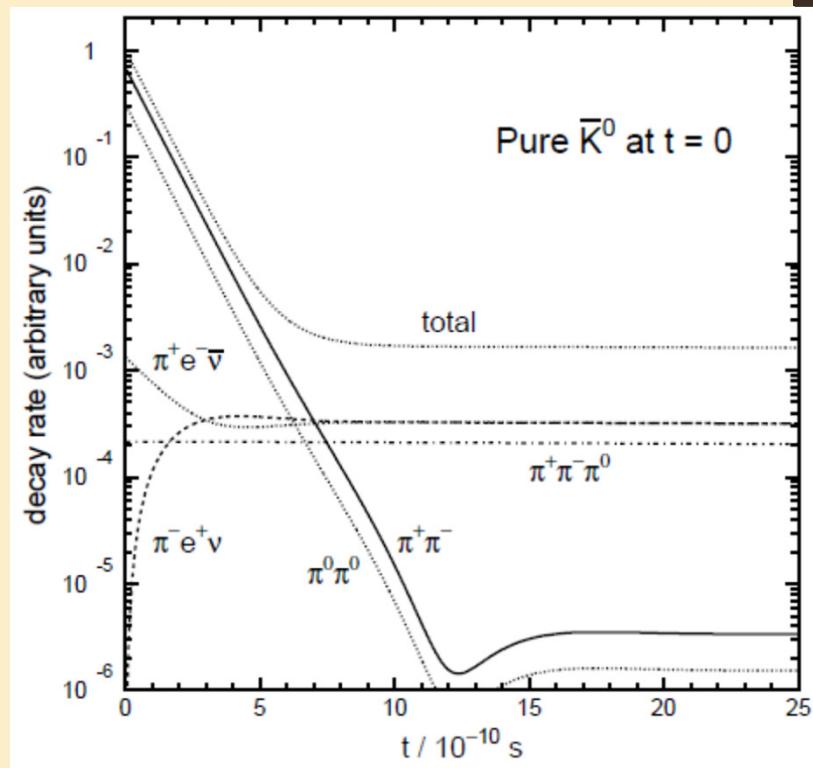
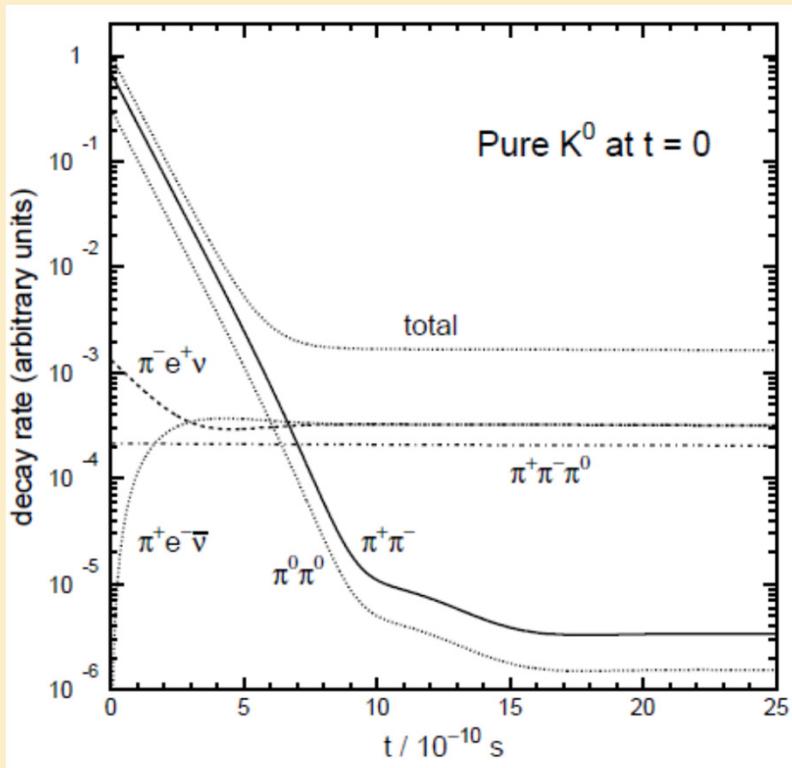
(Compare: No *CP* conserving  $2\pi$  from  $K_s$  component, which just dies out at large distance)

→ Measurement difficult, large errors

# K CP Violation - XVI

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Summary of decay rates ( CP violated)



# K T, CPT Tests - I

Fall 2019

From previous conclusions on *CP* violation:

$$R_- = \Gamma(K_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e) = N(1 - 4 \operatorname{Re} \varepsilon) \frac{1}{4} \left[ e^{-\Gamma_s t} + e^{-\Gamma_L t} - 2 e^{-\frac{\Gamma_s + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$\bar{R}_+ = \Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) = N(1 + 4 \operatorname{Re} \varepsilon) \frac{1}{4} \left[ e^{-\Gamma_s t} + e^{-\Gamma_L t} - 2 e^{-\frac{\Gamma_s + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$\rightarrow \Gamma(K_{t=0}^0 \rightarrow \bar{K}^0) \neq \Gamma(\bar{K}_{t=0}^0 \rightarrow K^0)$$

→ Amplitude of direct process  $\neq$  Amplitude of reverse process

→ CP violation  $\leftrightarrow$  *Time Reversal* violation

To be expected if *CPT* is a good symmetry

Define *T* asymmetry:

$$A_T = \frac{\Gamma(\bar{K}_{t=0}^0 \rightarrow K^0) - \Gamma(K_{t=0}^0 \rightarrow \bar{K}^0)}{\Gamma(\bar{K}_{t=0}^0 \rightarrow K^0) + \Gamma(K_{t=0}^0 \rightarrow \bar{K}^0)}$$

# K T, CPT Tests - II

Measure by taking semileptonic:

$$A_T = \frac{\Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) - \Gamma(K_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)}{\Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) + \Gamma(K_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)}$$

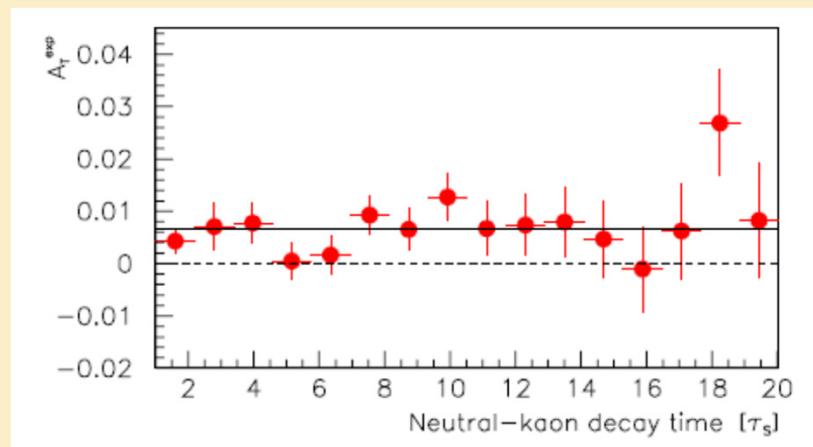
$$A_T \approx 4 \operatorname{Re}(\varepsilon) = 4 |\varepsilon| \cos \phi \text{ Time independent constant}$$

→ Expect from  $\pi\pi$  CP violation

$$A_T \approx 6.6 \cdot 10^{-3}$$

Measure:

$$A_T = (6.2 \pm 1.7) \cdot 10^{-3}$$



# K T, CPT Tests - III

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Semileptonic decays also used to test *CPT*

Simple test:

$$\Gamma(K^0 \rightarrow K^0) = \Gamma(\bar{K}^0 \rightarrow \bar{K}^0)$$

Define *CPT* asymmetry :

$$A_{CPT} = \frac{\Gamma(K^0 \rightarrow K^0) - \Gamma(\bar{K}^0 \rightarrow \bar{K}^0)}{\Gamma(K^0 \rightarrow K^0) + \Gamma(\bar{K}^0 \rightarrow \bar{K}^0)}$$

Measure by:

$$A_{CPT} = \frac{\Gamma(K_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) - \Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)}{\Gamma(K_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) + \Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)}$$

# K T, CPT Tests - IV

Fall 2019

Since:

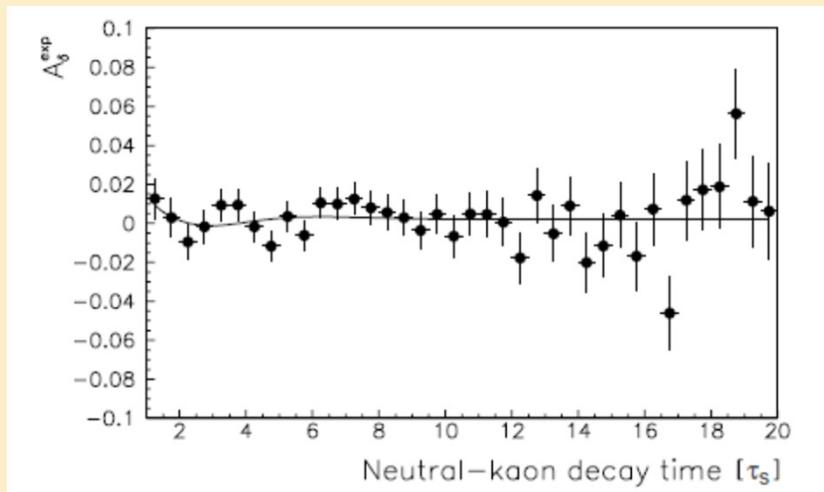
$$\Gamma(K_{t=0}^0 \rightarrow \pi^- e^+ \nu_e) = N \frac{1}{4} \left[ e^{-\Gamma_s t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_s + \Gamma_L}{2} t} \cos \Delta m t \right]$$

$$\Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_e) = N \frac{1}{4} \left[ e^{-\Gamma_s t} + e^{-\Gamma_L t} + 2e^{-\frac{\Gamma_s + \Gamma_L}{2} t} \cos \Delta m t \right]$$

→ Expect:

$$A_{CPT} = 0, \quad t \text{ independent}$$

Measure:



# K Direct $CP$ Violation - I

Fall 2019

Another side of  $\cancel{CP}$ :  $K^0$  decays  $CP$  violating

→ Direct  $\cancel{CP}$

Amplitude ratios :

$$\eta_{+-} = \frac{\langle \pi^+ \pi^- | T | K_L^0 \rangle}{\langle \pi^+ \pi^- | T | K_S^0 \rangle} = |\eta_{+-}| e^{i\phi_{+-}}, \quad \eta_{00} = \frac{\langle \pi^0 \pi^0 | T | K_L^0 \rangle}{\langle \pi^0 \pi^0 | T | K_S^0 \rangle} = |\eta_{00}| e^{i\phi_{00}}$$

In order to relate  $\eta, \phi$  parameters to  $\varepsilon, \varepsilon'$

a) Decompose  $2\pi$  states into isospin eigenstates:

$$\begin{cases} \langle \pi^+ \pi^- | = \frac{1}{\sqrt{3}} \langle I=2 | + \sqrt{\frac{2}{3}} \langle I=0 | \\ \langle \pi^0 \pi^0 | = \sqrt{\frac{2}{3}} \langle I=2 | - \frac{1}{\sqrt{3}} \langle I=0 | \end{cases} \quad I=1 \text{ absent due to Bose statistics of } \pi \text{'s in a } S\text{-wave}$$

# K Direct $CP$ Violation - II

Full  $2\pi$  states should include proper phase factors originating from  $S$ -wave  $\pi\pi$  scattering

$$\langle \pi^+ \pi^- | = \frac{1}{\sqrt{3}} \langle 2 | e^{i\delta_2} + \sqrt{\frac{2}{3}} \langle 0 | e^{i\delta_0}$$

$$\langle \pi^0 \pi^0 | = \sqrt{\frac{2}{3}} \langle 2 | e^{i\delta_2} - \frac{1}{\sqrt{3}} \langle 0 | e^{i\delta_0}$$

Define decay amplitudes into isospin states:

$$A_0 = \langle 0 | H_w | K^0 \rangle$$

$$A_2 = \langle 2 | H_w | K^0 \rangle$$

# K Direct $CP$ Violation - III

Fall 2019

$$CP|\pi\pi\rangle = +1 \rightarrow CPT|0\rangle = \langle 0|, CPT|2\rangle = \langle 2|$$

$$CP|K^0\rangle = -|\bar{K}^0\rangle \rightarrow CPT|K^0\rangle = -\langle \bar{K}^0|$$

$$[H_w, CPT] = 0$$

$$\rightarrow \begin{cases} \langle 0 | H_w | \bar{K}^0 \rangle \xrightarrow{CPT} -\langle K^0 | H_w | 0 \rangle = -A_0^* \\ \langle 2 | H_w | \bar{K}^0 \rangle \xrightarrow{CPT} -\langle K^0 | H_w | 2 \rangle = -A_2^* \end{cases}$$

$$|K_L^0\rangle = \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} [ (1+\varepsilon) |K^0\rangle + (1-\varepsilon) |\bar{K}^0\rangle ]$$

$$|K_S^0\rangle = \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} [ (1+\varepsilon) |K^0\rangle - (1-\varepsilon) |\bar{K}^0\rangle ]$$

# K Direct $CP$ Violation - IV

Fall 2019

Transition matrix elements:

$$\langle \pi^+ \pi^- | H | K_L^0 \rangle = \frac{2}{\sqrt{3}} \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} \varepsilon \left[ \operatorname{Re} A_2 e^{i\delta_2} + \sqrt{2} A_0 e^{i\delta_0} \right] + \operatorname{Im} A_2 e^{i\delta_2}$$

$$\langle \pi^+ \pi^- | H | K_S^0 \rangle = \frac{2}{\sqrt{3}} \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} \left[ \operatorname{Re} A_2 e^{i\delta_2} + \sqrt{2} A_0 e^{i\delta_0} + \varepsilon \operatorname{Im} A_2 e^{i\delta_2} \right]$$

$$\langle \pi^0 \pi^0 | H | K_L^0 \rangle = \frac{2}{\sqrt{3}} \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} \varepsilon \left[ \sqrt{2} \operatorname{Re} A_2 e^{i\delta_2} - A_0 e^{i\delta_0} \right] + \sqrt{2} \operatorname{Im} A_2 e^{i\delta_2}$$

$$\langle \pi^0 \pi^0 | H | K_S^0 \rangle = \frac{2}{\sqrt{3}} \frac{1}{\sqrt{2(1+|\varepsilon|^2)}} \left[ \sqrt{2} \operatorname{Re} A_2 e^{i\delta_2} - A_0 e^{i\delta_0} + \varepsilon \sqrt{2} \operatorname{Im} A_2 e^{i\delta_2} \right]$$

After some complex algebra:

$$\eta_{+-} = \frac{\langle \pi^+ \pi^- | T | K_L^0 \rangle}{\langle \pi^+ \pi^- | T | K_S^0 \rangle} \simeq \varepsilon + \underbrace{\frac{1}{\sqrt{2}} \frac{\operatorname{Im} A_2}{A_0} e^{i(\delta_2-\delta_0)}}_{\varepsilon'} = \varepsilon + \varepsilon'$$

$$\eta_{00} = \frac{\langle \pi^0 \pi^0 | T | K_L^0 \rangle}{\langle \pi^0 \pi^0 | T | K_S^0 \rangle} \simeq \varepsilon - \underbrace{\sqrt{2} \frac{\operatorname{Im} A_2}{A_0} e^{i(\delta_2-\delta_0)}}_{2\varepsilon'} = \varepsilon - 2\varepsilon'$$

# K Direct $CP$ Violation - V

Fall 2019

Double ratio magic:

$$\eta_{+-} = \frac{\langle \pi^+ \pi^- | T | K_L^0 \rangle}{\langle \pi^+ \pi^- | T | K_S^0 \rangle} \simeq \varepsilon + \varepsilon'$$

$$\eta_{00} = \frac{\langle \pi^0 \pi^0 | T | K_L^0 \rangle}{\langle \pi^0 \pi^0 | T | K_S^0 \rangle} \simeq \varepsilon - 2\varepsilon'$$

$$\rightarrow \left| \frac{\eta_{00}}{\eta_{+-}} \right|^2 \simeq \frac{|\varepsilon - 2\varepsilon'|^2}{|\varepsilon + \varepsilon'|^2} = \frac{(\varepsilon - 2\varepsilon')(\varepsilon - 2\varepsilon')^*}{(\varepsilon + \varepsilon')(\varepsilon + \varepsilon')^*} \simeq \frac{|\varepsilon|^2 - 4 \operatorname{Re}(\varepsilon' \varepsilon)}{|\varepsilon|^2 + 2 \operatorname{Re}(\varepsilon' \varepsilon)}$$

$$\rightarrow \left| \frac{\eta_{00}}{\eta_{+-}} \right|^2 \approx \frac{1 - 4 \frac{\operatorname{Re}(\varepsilon' \varepsilon)}{|\varepsilon|^2}}{1 + 2 \frac{\operatorname{Re}(\varepsilon' \varepsilon)}{|\varepsilon|^2}} \approx \left[ 1 - 2 \frac{\operatorname{Re}(\varepsilon' \varepsilon)}{|\varepsilon|^2} \right] \left[ 1 - 4 \frac{\operatorname{Re}(\varepsilon' \varepsilon)}{|\varepsilon|^2} \right] \approx 1 - 6 \frac{\operatorname{Re}(\varepsilon' \varepsilon)}{|\varepsilon|^2}$$

$$\rightarrow \left| \frac{\eta_{00}}{\eta_{+-}} \right|^2 = \frac{\frac{N_L^{00}}{N_S^{00}}}{\frac{N_L^{+-}}{N_S^{+-}}} \approx 1 - 6 \operatorname{Re} \frac{(\varepsilon')}{|\varepsilon|}$$

# K Direct $CP$ Violation - VI

Fall 2019

Actually a very important question:  
Does weak interaction violate CP?

- $\varepsilon' \neq 0$  yes
- $\varepsilon' = 0$  don't know

'80s:

$$\text{Re} \left( \frac{\varepsilon'}{\varepsilon} \right) = (23.0 \pm 6.5) \times 10^{-4} (\text{NA31}) \quad > 3\sigma$$

$$\text{Re} \left( \frac{\varepsilon'}{\varepsilon} \right) = (7.4 \pm 5.9) \times 10^{-4} (\text{E731}) \quad \sim 1.5\sigma$$

Mostly systematics

'90s:

$$\text{Re} \left( \frac{\varepsilon'}{\varepsilon} \right) = (15.3 \pm 2.6) \times 10^{-4} (\text{NA48}) \quad \sim 6\sigma$$

$$\text{Re} \left( \frac{\varepsilon'}{\varepsilon} \right) = (20.7 \pm 2.8) \times 10^{-4} (\text{KTEV}) \quad > 7\sigma$$

# K Direct $CP$ Violation - VII

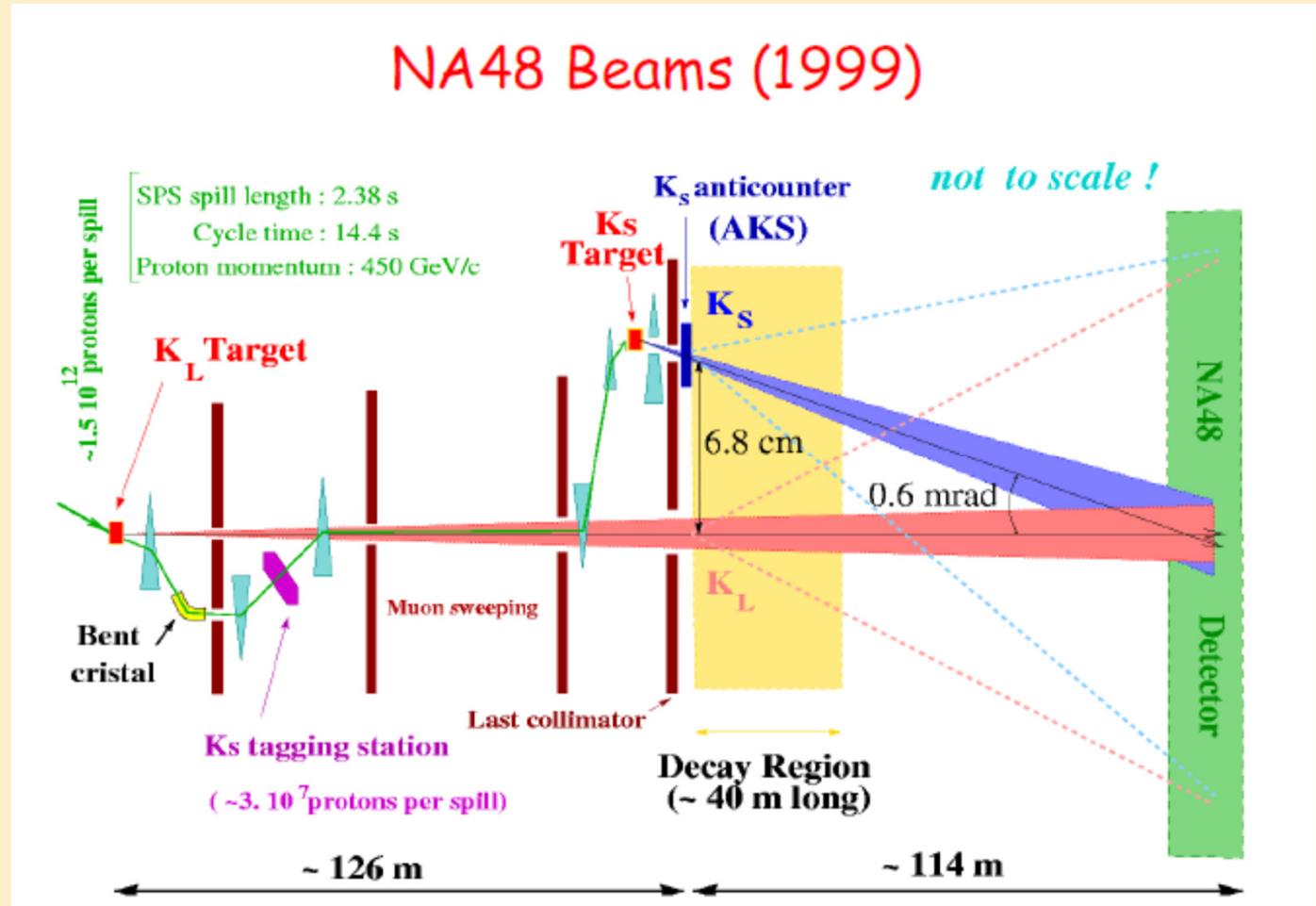
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## NA48 technique

- Employ two almost collinear neutral beams
- Collect the four decay modes simultaneously, in the same detector and from the same decay region
- Keep the acceptance correction small by weighting the  $K_L$  events according to the ratio of  $K_S/K_L$  decay intensities as a function of proper time
- Distinguish  $K_S$  and  $K_L$  events by tagging the protons upstream of the  $K_S$  target
- Use precise and stable liquid krypton (LKr) calorimetry to control the relative momentum scale

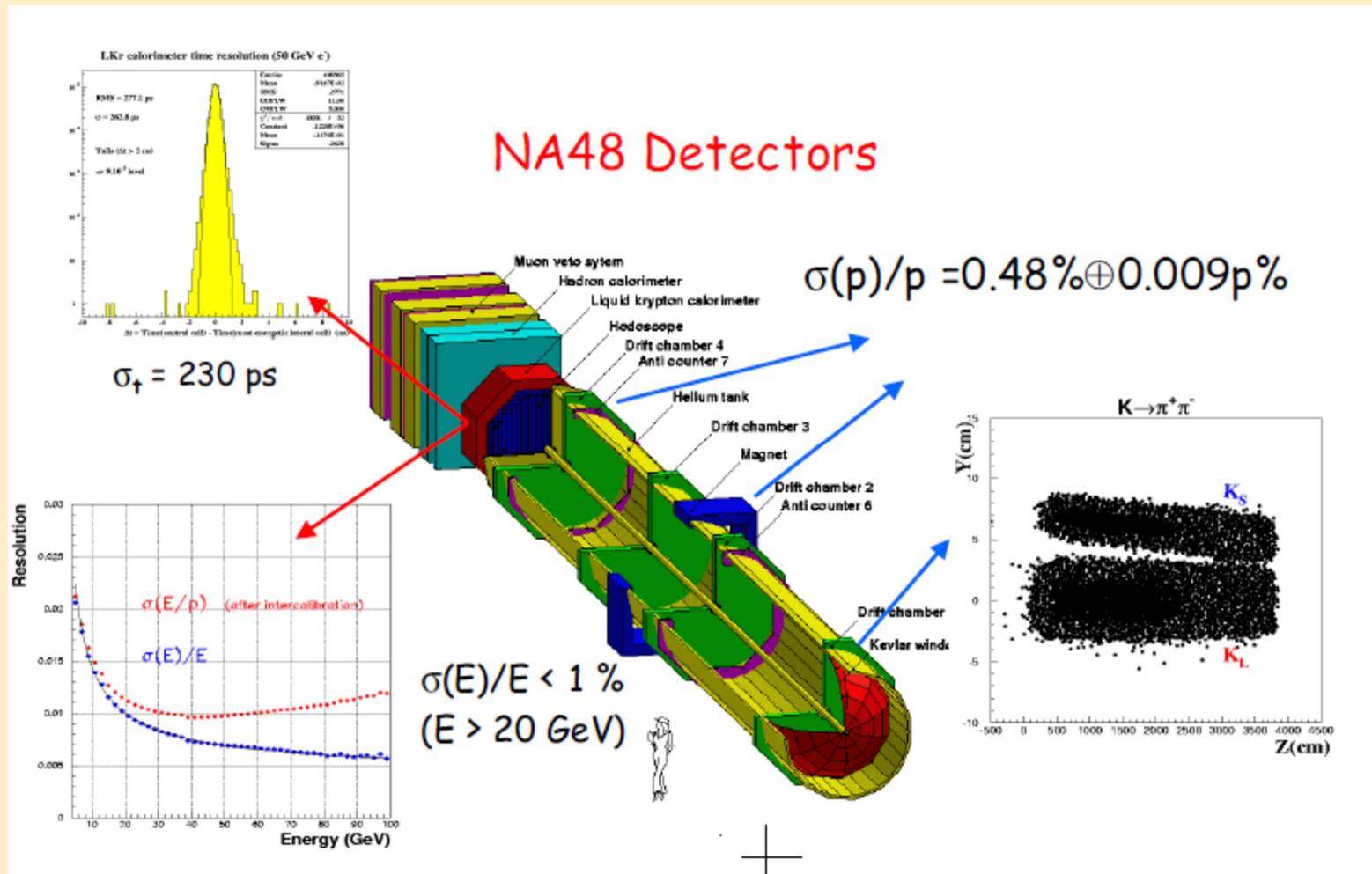
# K Direct $CP$ Violation - VIII

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# K Direct $CP$ Violation - IX

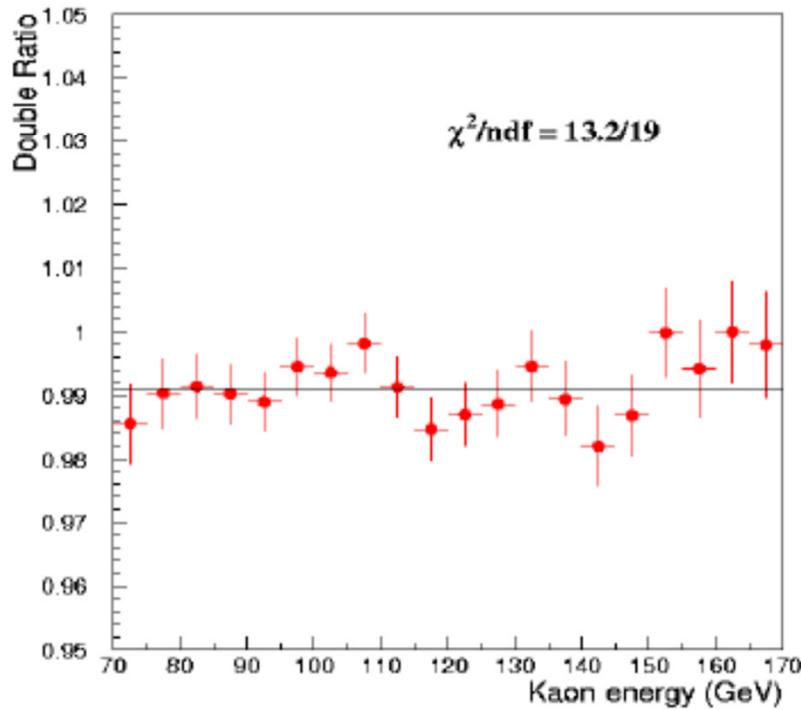
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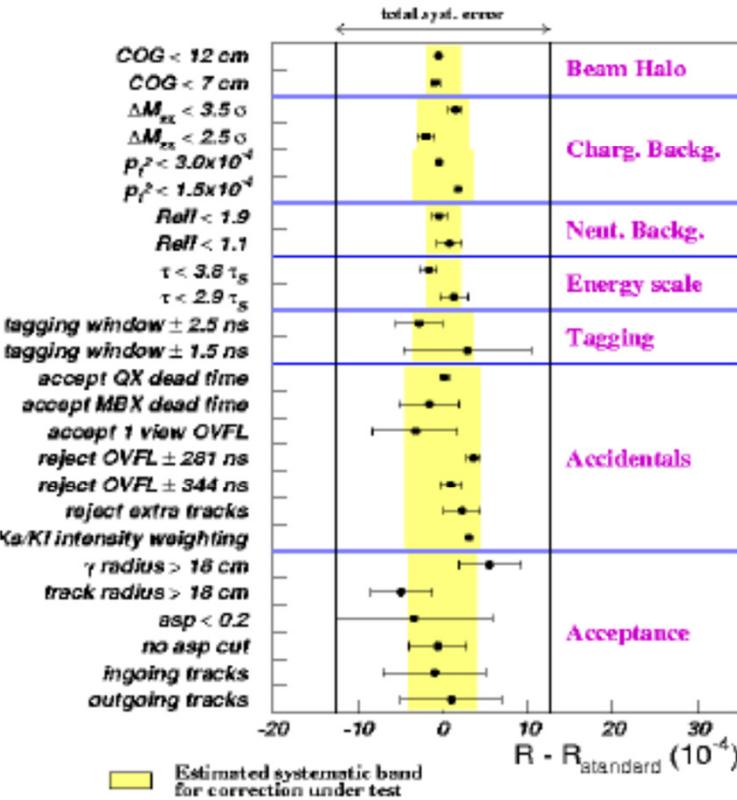
# K Direct $CP$ Violation - X

Fall 2019

## Systematics Checks and Result



R stability against cut variations



# Mixing and Oscillations - I

Fall 2019

Two-state system: Electron in a magnetic field  $\mathbf{B}$  along  $\hat{z}$

$$H = -\mu \cdot \mathbf{B} = \frac{1}{2} a \boldsymbol{\sigma} \cdot \mathbf{B} \quad \mathbf{B} = B \hat{k}, \quad \boldsymbol{\sigma} = (\sigma_x, \sigma_y, \sigma_z) : \text{Pauli spin matrices}$$

$$\rightarrow H = \frac{1}{2} a \sigma_z B = \begin{pmatrix} \frac{1}{2} a B & 0 \\ 0 & -\frac{1}{2} a B \end{pmatrix} = \begin{pmatrix} +E & 0 \\ 0 & -E \end{pmatrix}$$

2 state system: Choose as base states

$$|+\rangle \equiv \begin{pmatrix} 1 \\ 0 \end{pmatrix}, |-\rangle \equiv \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad \text{Eigenstates of } \sigma_z \rightarrow \text{Generic state: } |\psi\rangle = \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} \rightarrow \sigma_z |\psi\rangle = \begin{pmatrix} \psi_+ \\ -\psi_- \end{pmatrix}$$

Schrodinger equation:

$$i\hbar \frac{\partial |\psi\rangle}{\partial t} = H |\psi\rangle \rightarrow |\psi(t)\rangle = e^{-i\frac{Ht}{\hbar}} |\psi\rangle = e^{-i\frac{aB}{2\hbar} t \sigma_z} |\psi\rangle \rightarrow i\hbar \frac{\partial}{\partial t} \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \frac{1}{2} a B \sigma_z \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \frac{1}{2} a B \begin{pmatrix} \psi_+ \\ -\psi_- \end{pmatrix}$$

Uncoupled equations:

$$\rightarrow \begin{cases} i\hbar \frac{\partial \psi_+}{\partial t} = \frac{1}{2} a B \psi_+ \\ i\hbar \frac{\partial \psi_-}{\partial t} = -\frac{1}{2} a B \psi_- \end{cases} \rightarrow \begin{cases} \psi_+(t) = A_+ e^{-i\frac{aB}{2\hbar} t} \\ \psi_-(t) = A_- e^{+i\frac{aB}{2\hbar} t} \end{cases}, \quad |A_+|^2 + |A_-|^2 = 1 \rightarrow \begin{cases} |+,t\rangle = \begin{pmatrix} \psi_+(t) \\ 0 \end{pmatrix} \\ |-,t\rangle = \begin{pmatrix} 0 \\ \psi_-(t) \end{pmatrix} \end{cases} \text{ Stationary states}$$

# Mixing and Oscillations - II

Fall 2019

Introduce another  $\mathbf{B}$  component along  $x$ :

$$\mathbf{B} = B\hat{\mathbf{k}} + B'\hat{\mathbf{i}}$$

$$H = -\boldsymbol{\mu} \cdot \mathbf{B} = \frac{1}{2}a\boldsymbol{\sigma} \cdot \mathbf{B} = \frac{1}{2}a(\sigma_z B + \sigma_x B')$$

$$\rightarrow H = \begin{pmatrix} \frac{1}{2}aB & 0 \\ 0 & -\frac{1}{2}aB' \end{pmatrix} + \begin{pmatrix} 0 & \frac{1}{2}aB' \\ \frac{1}{2}aB' & 0 \end{pmatrix} = \begin{pmatrix} +E & E' \\ E' & -E \end{pmatrix}$$

$$\rightarrow i\hbar \frac{\partial}{\partial t} \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \frac{1}{2}a(B\sigma_z + B'\sigma_x) \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \frac{1}{2}a \left[ \mathbf{B} \begin{pmatrix} \psi_+ \\ -\psi_- \end{pmatrix} + \mathbf{B}' \begin{pmatrix} \psi_- \\ \psi_+ \end{pmatrix} \right]$$

Coupled equations:

$$\rightarrow \begin{cases} i\hbar \frac{\partial \psi_+}{\partial t} = \frac{1}{2}aB\psi_+ + aB'\psi_- \\ i\hbar \frac{\partial \psi_-}{\partial t} = -\frac{1}{2}aB\psi_- + aB'\psi_+ \end{cases} \rightarrow \begin{cases} |+,t\rangle \\ |-,t\rangle \end{cases} \text{ Non-stationary states}$$

# Mixing and Oscillations - III

Fall 2019

Build a phenomenological framework suitable to describe flavor oscillations

Use symbol  $M^0$  for neutral, flavored mesons: Most of the formalism suitable for  $K^0, D^0, B^0, B_s^0$

Neutral meson time evolution: Two-state system

$$|M^0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, |\bar{M}^0\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$i \frac{\partial}{\partial t} \psi = H \psi \quad \text{Schrodinger equation}$$

$$\psi(t) = a(t)|M^0\rangle + b(t)|\bar{M}^0\rangle \equiv \begin{pmatrix} a(t) \\ b(t) \end{pmatrix} \quad \text{Two-component state vector}$$

Just free evolution for both components, no decay:

$$H = \underbrace{\begin{pmatrix} M & 0 \\ 0 & M \end{pmatrix}}_{\text{hermitian}} \quad \text{Effective Hamiltonian, } M = \text{mass}$$

Free evolution for both components, with decay:

$$H = \underbrace{\begin{pmatrix} M & 0 \\ 0 & M \end{pmatrix}}_{\text{hermitian}} - \frac{i}{2} \underbrace{\begin{pmatrix} \Gamma & 0 \\ 0 & \Gamma \end{pmatrix}}_{\text{hermitian}}, \quad \Gamma = \text{total decay width}$$

# Mixing and Oscillations - IV

Fall 2019

Observe:

$$H^\dagger = \begin{pmatrix} M & 0 \\ 0 & M \end{pmatrix}^\dagger + \frac{i}{2} \begin{pmatrix} \Gamma & 0 \\ 0 & \Gamma \end{pmatrix}^\dagger = \begin{pmatrix} M & 0 \\ 0 & M \end{pmatrix} + \frac{i}{2} \begin{pmatrix} \Gamma & 0 \\ 0 & \Gamma \end{pmatrix} \neq H$$

$\rightarrow H$  non-Hermitian  $\rightarrow e^{-iHt}$  non-unitary  $\rightarrow$  State norm not conserved: Decreasing  $\leftrightarrow \Gamma > 0$

$$H = \underbrace{\begin{pmatrix} M & A \\ B & M \end{pmatrix}}_{\text{hermitian}} - \frac{i}{2} \underbrace{\begin{pmatrix} \Gamma & C \\ D & \Gamma \end{pmatrix}}_{\text{hermitian}}$$

Include mixing

$$\begin{pmatrix} M & A \\ B & M \end{pmatrix}^\dagger = \begin{pmatrix} M & A \\ B & M \end{pmatrix} \rightarrow \begin{pmatrix} M & B^* \\ A^* & M \end{pmatrix} = \begin{pmatrix} M & A \\ B & M \end{pmatrix} \rightarrow A^* = B, \text{ same for } \Gamma$$

$$\rightarrow H = \underbrace{\begin{pmatrix} M & M_{12} \\ M_{12}^* & M \end{pmatrix}}_{\text{hermitian}} - \frac{i}{2} \underbrace{\begin{pmatrix} \Gamma & \Gamma_{12} \\ \Gamma_{12}^* & \Gamma \end{pmatrix}}_{\text{hermitian}}$$

$$\rightarrow i \frac{\partial}{\partial t} \psi(t) = \begin{pmatrix} M - \frac{i}{2}\Gamma & M_{12} - \frac{i}{2}\Gamma_{12} \\ M_{12}^* - \frac{i}{2}\Gamma_{12}^* & M - \frac{i}{2}\Gamma \end{pmatrix} \psi(t)$$

# Mixing and Oscillations - V

Fall 2019

Eigenvalues:

$$\text{Define } F \equiv \text{Re } F + i \text{Im } F = \sqrt{\left( M_{12} - \frac{i}{2} \Gamma_{12} \right) \left( M_{12}^* - \frac{i}{2} \Gamma_{12}^* \right)}$$

$$\begin{vmatrix} M - \frac{i}{2} \Gamma - \lambda & M_{12} - \frac{i}{2} \Gamma_{12} \\ M_{12}^* - \frac{i}{2} \Gamma_{12}^* & M - \frac{i}{2} \Gamma - \lambda \end{vmatrix} = 0 \rightarrow \begin{aligned} \lambda_1 &\equiv m_1 - i \frac{\Gamma_1}{2} = M - i \frac{\Gamma}{2} - F \\ \lambda_2 &\equiv m_2 - i \frac{\Gamma_2}{2} = M - i \frac{\Gamma}{2} + F \end{aligned}$$

$$\rightarrow \begin{cases} m_1 - i \frac{\Gamma_1}{2} = M - \text{Re}(F) - i \left( \frac{\Gamma}{2} + \text{Im}(F) \right) \\ m_2 - i \frac{\Gamma_2}{2} = M + \text{Re}(F) - i \left( \frac{\Gamma}{2} - \text{Im}(F) \right) \end{cases}$$

$$\rightarrow \begin{cases} \Delta m = m_2 - m_1 = 2 \text{Re}(F) = 2 \text{Re} \sqrt{\left( M_{12} - \frac{i}{2} \Gamma_{12} \right) \left( M_{12}^* - \frac{i}{2} \Gamma_{12}^* \right)} \\ \Delta \Gamma = \Gamma_2 - \Gamma_1 = 4 \text{Im}(F) = 4 \text{Im} \sqrt{\left( M_{12} - \frac{i}{2} \Gamma_{12} \right) \left( M_{12}^* - \frac{i}{2} \Gamma_{12}^* \right)} \end{cases}$$

# Mixing and Oscillations - VI

Fall 2019

Eigenvectors:

$$\begin{pmatrix} M - \frac{i}{2}\Gamma & M_{12} - \frac{i}{2}\Gamma_{12} \\ M_{12}^* - \frac{i}{2}\Gamma_{12}^* & M - \frac{i}{2}\Gamma \end{pmatrix} \begin{pmatrix} p \\ q \end{pmatrix} = \lambda_{1,2} \begin{pmatrix} p \\ q \end{pmatrix} \rightarrow \eta \equiv \frac{q}{p} = \sqrt{\frac{M_{12}^* - \frac{i}{2}\Gamma_{12}^*}{M_{12} - \frac{i}{2}\Gamma_{12}}}, |q|^2 + |p|^2 = 1$$

Mass eigenstates, similar to  $K_S$ ,  $K_L$ :

Named "Heavy" and "Light" because for heavy quarks  $\cong$  same lifetime

$$\begin{cases} |M_H\rangle = p|M^0\rangle + q|\overline{M}^0\rangle, & m_H = m_1, \Gamma_H = \Gamma_1 \\ |M_L\rangle = p|M^0\rangle - q|\overline{M}^0\rangle, & m_L = m_2, \Gamma_L = \Gamma_2 \end{cases}$$

Flavor eigenstates:

$$\begin{cases} |M^0\rangle = \frac{1}{2p}(|M_H\rangle + |M_L\rangle) \\ |\overline{M}^0\rangle = \frac{1}{2q}(|M_H\rangle - |M_L\rangle) \end{cases}$$

# Mixing and Oscillations - VII

Fall 2019

Define:

$$\omega_+ = m_H - i \frac{\Gamma_H}{2}, \omega_- = m_L - i \frac{\Gamma_L}{2}$$

Time evolution of mass eigenstates:

$$\begin{cases} |M_H(t)\rangle = e^{-i\omega_+ t} |M_H(0)\rangle \\ |M_L(t)\rangle = e^{-i\omega_- t} |M_L(0)\rangle \end{cases}$$

→ Straightforward free propagation & decay

Observe:

$$\Gamma_H \cong \Gamma_L \rightarrow \tau_H \cong \tau_L$$

Generally true for heavy mesons, due to a large number of decay modes

# Mixing and Oscillations - VIII

Fall 2019

Time evolution of flavor eigenstates:

Flavor oscillations

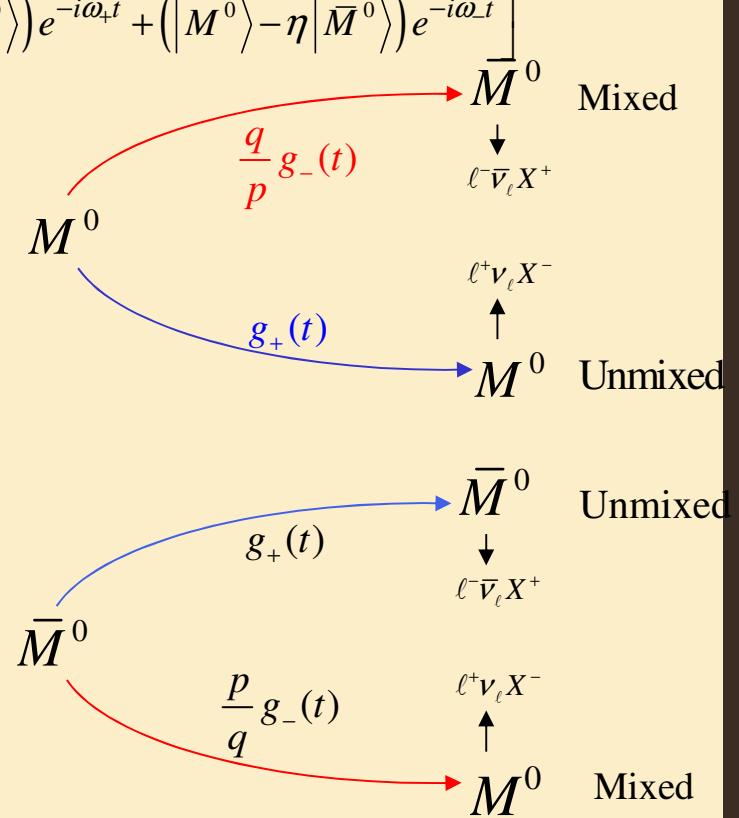
$$|M^0(t)\rangle = \frac{1}{2p} \left[ |M_H\rangle e^{-i\omega_+ t} + |M_L\rangle e^{-i\omega_- t} \right] = \frac{1}{2p} \left[ (|M^0\rangle + \eta |\bar{M}^0\rangle) e^{-i\omega_+ t} + (|M^0\rangle - \eta |\bar{M}^0\rangle) e^{-i\omega_- t} \right]$$

$$|M^0(t)\rangle = \frac{1}{2p} \left[ |M^0\rangle \frac{e^{-i\omega_+ t} + e^{-i\omega_- t}}{2} + \eta |\bar{M}^0\rangle \frac{e^{-i\omega_+ t} - e^{-i\omega_- t}}{2} \right]$$

$$\text{Define: } g_{\pm}(t) = \frac{e^{-i\omega_+ t} \pm e^{-i\omega_- t}}{2}$$

$$\rightarrow \begin{cases} |M^0(t)\rangle \propto g_+(t) |M^0\rangle + \frac{q}{p} g_-(t) |\bar{M}^0\rangle \\ |\bar{M}^0(t)\rangle \propto g_+(t) |\bar{M}^0\rangle + \frac{p}{q} g_-(t) |M^0\rangle \end{cases}$$

$$\rightarrow \begin{cases} |M^0(t)\rangle = \frac{1}{\sqrt{1+|\eta|^2}} (g_+(t) |M^0\rangle + \eta g_-(t) |\bar{M}^0\rangle) \\ |\bar{M}^0(t)\rangle = \frac{1}{\sqrt{1+|\eta|^2}} (\eta g_+(t) |\bar{M}^0\rangle + g_-(t) |M^0\rangle) \end{cases}$$

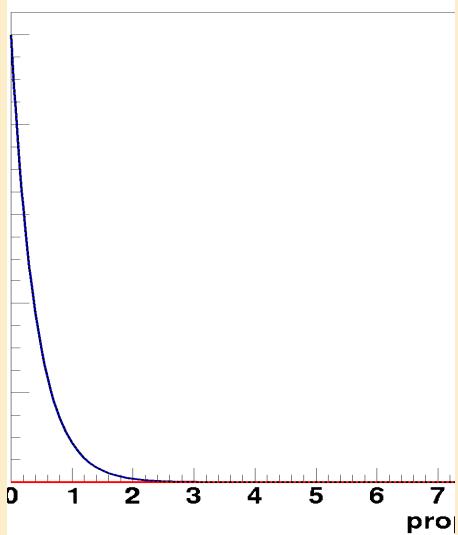


# Mixing and Oscillations - IX

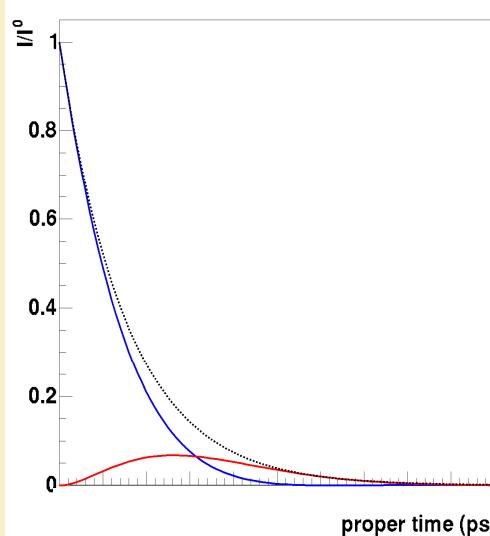
Fall 2019

Compare different ratios

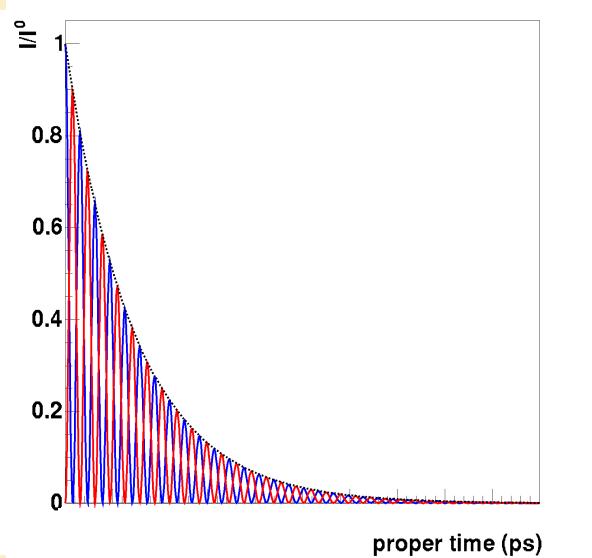
$$x = \frac{\Delta m}{\Gamma}$$



$$x \equiv \frac{\Delta m}{\Gamma} = 0$$



$$x \equiv \frac{\Delta m}{\Gamma} \approx 1$$



$$x \equiv \frac{\Delta m}{\Gamma} \gg 1$$

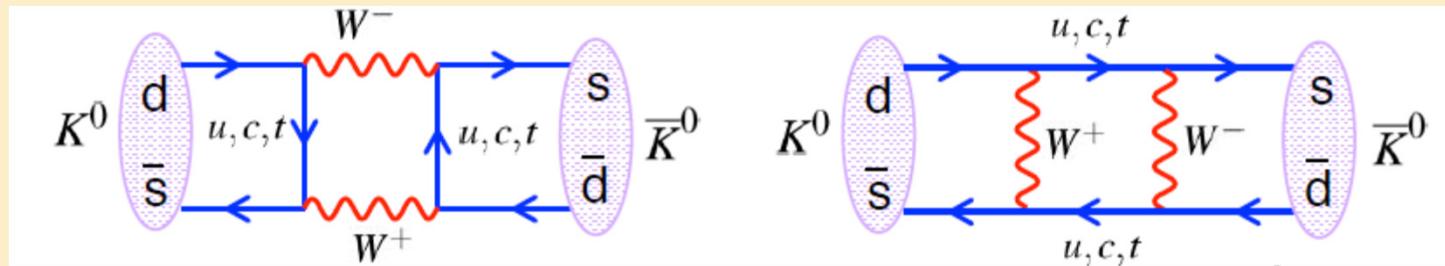
# $CP$ Violation and SM - I

Fall 2019

So far: Phenomenological description  $\rightarrow \sim$  Just symmetries

Now: Try to connect to SM

$K$  Mixing : Box diagrams



Mass difference between mass eigenstates:

$$\Delta m_K \approx \frac{G_F^2}{3\pi^2} f_K^2 m_K \left| V_{qd} V_{qs}^* V_{q'd'} V_{q's}^* \right| m_q m_{q'}, \quad q, q' = u, c, t$$

# $CP$ Violation and SM - II

Fall 2019

Go to  $CKM$ , find:

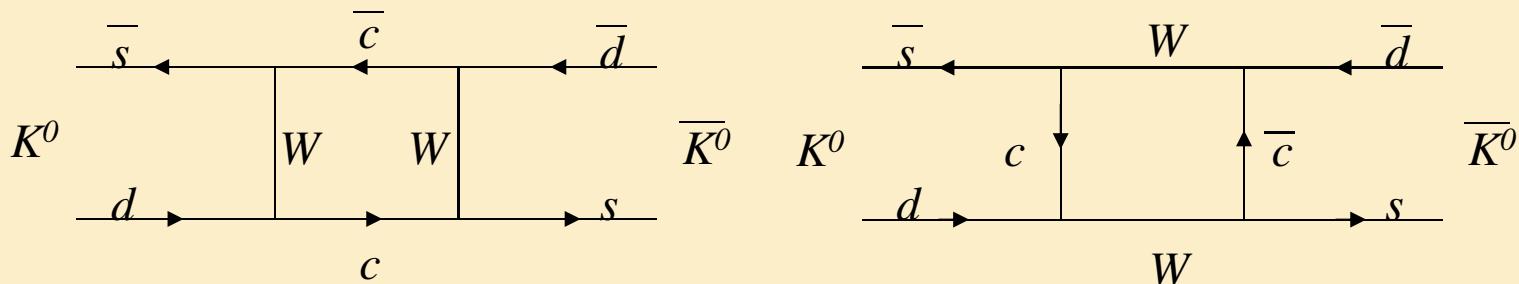
$u,u$	$\sin^2 \theta_c \cos^2 \theta_c m_u^2$	$\sim 0.048 m_u^2$	$\sim .005$
$u,c$	$\sin^2 \theta_c \cos^2 \theta_c m_u m_c$	$\sim 0.048 m_u m_c$	$\sim .022$
$u,t$	$ V_{td}   V_{ts}  \sin \theta_c \cos \theta_c m_u m_t$	$\sim 0.220 \cdot 4 \cdot 10^{-5} m_u m_t$	$\sim .0005$
$c,c$	$\sin^2 \theta_c \cos^2 \theta_c m_c^2$	$\sim 0.048 m_c^2$	$\sim .095$
$c,t$	$ V_{td}   V_{ts}  \sin \theta_c \cos \theta_c m_c m_t$	$\sim 0.220 \cdot 4 \cdot 10^{-5} m_c m_t$	$\sim .0021$
$t,t$	$ V_{td} ^2  V_{ts} ^2 m_t^2$	$\sim 1.6 \cdot 10^{-10} m_t^2$	$\sim 0$

→ Diagrams with  $c$  quark : Most relevant

# $CP$ Violation and SM - III

Fall 2019

Just for the fun: Oversimplify, take only charm contribution



$$A_{box} \propto (V_{cs} V_{cd}^*)^2 m_c^2 \approx (\lambda^2 + i 2 A^2 \lambda^6 \eta) m_c^2$$

$$|\varepsilon| \approx \frac{\text{Im}(A_{box})}{\text{Re}(A_{box})} \approx \frac{2 A^2 \lambda^6 \eta}{\lambda^2} = 2 A^2 \lambda^4 \eta$$

$$|\varepsilon| \sim 2 \cdot 0.81 \cdot 0.0025 \cdot 0.343 \sim 1.4 \cdot 10^{-3}$$

Not that bad...

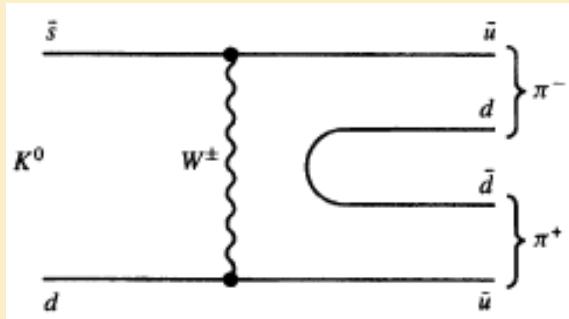
# CP Violation and SM - IV

Fall 2019

Fun again:  $2\pi$  decays and  $\varepsilon'$

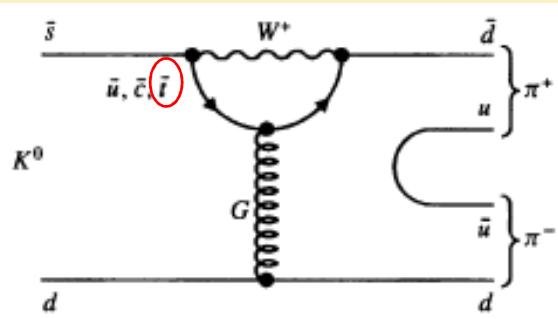
Must take into account two diagrams:

‘Tree’



$$\propto |V_{us} V_{ud}|^2 \approx \lambda^2$$

‘Penguin’



Top dominating:

$$\propto \text{Im}(V_{ts} V_{td}) \approx A^2 \lambda^5 \eta$$

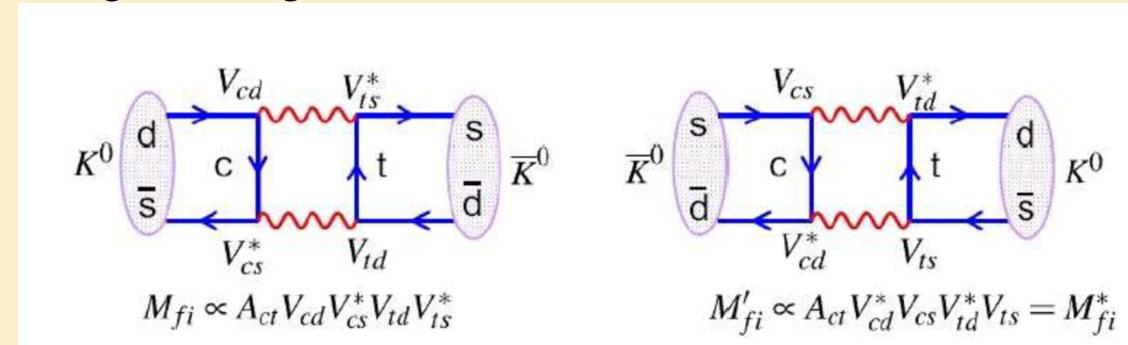
$\varepsilon' \propto$  Interference between the two above  $\sim \lambda^2 A^2 \lambda^5 \eta \sim \lambda^7$

$$\rightarrow \frac{\varepsilon'}{\varepsilon} \sim \frac{A^2 \lambda^7 \eta}{2 A^2 \lambda^4 \eta} \sim \frac{\lambda^3}{2} \sim 5 10^{-3} \text{ Not that bad too...}$$

# CP Violation and SM - V

Fall 2019

Reconsidering box diagrams:



$$\Gamma(K_{t=0}^0 \rightarrow \bar{K}^0) - \Gamma(\bar{K}_{t=0}^0 \rightarrow K^0) \propto M_{fi} - M_{fi}^* = 2\text{Im}(M_{fi})$$

$$A_T \equiv \frac{\Gamma(\bar{K}^0 \rightarrow K^0) - \Gamma(K^0 \rightarrow \bar{K}^0)}{\Gamma(\bar{K}^0 \rightarrow K^0) + \Gamma(K^0 \rightarrow \bar{K}^0)}$$

Remembering:

$$A_T \approx 4\text{Re}(\varepsilon) \rightarrow 4\text{Re}(\varepsilon) \propto 2\text{Im} M_{fi}$$

→ No  $\mathcal{CP}$  from mixing unless some  $CKM$  elements are complex

# CP Violation and SM - VI

Fall 2019

91

Summary about neutral kaons:

Lifetime, width, mass:

$$m_1 \simeq m_2 \rightarrow \Delta m \simeq 4.1 \cdot 10^{-6} \text{ eV}$$

$$\tau_1 \ll \tau_2 \sim 0.09 - 52 \text{ ns}$$

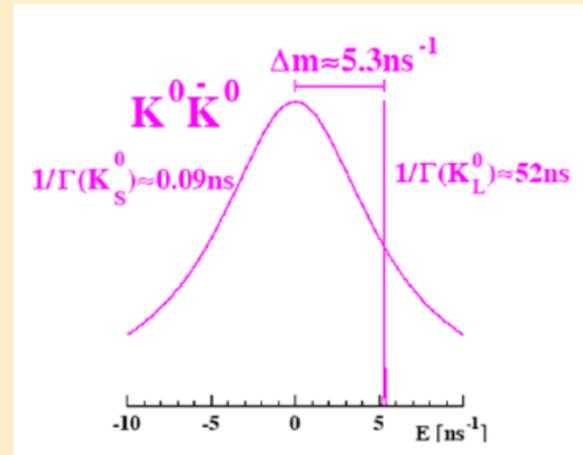
$$\Gamma_2 \ll \Gamma_1 \sim 11.1 \text{ ns}^{-1} \sim 0.038 \cdot 10^{-3} \text{ eV}$$

$$\Gamma = \frac{\Gamma_1 + \Gamma_2}{2} \simeq \frac{\Gamma_1}{2}$$

$$x \equiv \frac{\Delta m}{\Gamma} \sim 0.5, \quad y \equiv \frac{\Delta \Gamma}{2\Gamma} \sim 0.5$$

$$\omega_+ = m_H - i \frac{\Gamma_H}{2}, \quad \omega_- = m_L - i \frac{\Gamma_L}{2}$$

$$\begin{aligned} g_+(t) &= \frac{e^{-i(m_L + \Delta m - i\frac{\Gamma_H}{2})t} + e^{-i(m_L - i\frac{\Gamma_L}{2})t}}{2} = \frac{e^{-im_L t} e^{-\frac{\Gamma_L}{2}t} \left( e^{i\Delta m t} e^{-\frac{\Gamma_H - \Gamma_L}{2}t} + 1 \right)}{2} \approx e^{-imt} \frac{e^{i\Delta m t} e^{-\frac{\Gamma}{2}t} + 1}{2} \\ \rightarrow g_-(t) &= \frac{e^{-i(m_L + \Delta m - i\frac{\Gamma_H}{2})t} - e^{-i(m_L - i\frac{\Gamma_L}{2})t}}{2} = \frac{e^{-im_L t} e^{-\frac{\Gamma_L}{2}t} \left( e^{i\Delta m t} e^{-\frac{\Gamma_H - \Gamma_L}{2}t} - 1 \right)}{2} \approx e^{-imt} \frac{e^{i\Delta m t} e^{-\frac{\Gamma}{2}t} - 1}{2} \end{aligned}$$



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# CP Violation and SM - VII

Fall 2019

92

For  $K$ :  $\Delta\Gamma = \Gamma_s - \Gamma_L \approx \Gamma_s$ ,  $\left| \frac{q}{p} \right| = |\eta| \neq 1$

$$\begin{cases} |K^0(t)\rangle = g_+(t)|K^0\rangle + \eta g_-(t)|\overline{K^0}\rangle \approx e^{-imt} \left[ \frac{e^{i\Delta mt} e^{-\frac{\Gamma}{2}t} + 1}{2} |K^0\rangle + \eta \frac{e^{i\Delta mt} e^{-\frac{\Gamma}{2}t} - 1}{2} |\overline{K^0}\rangle \right] \\ |\overline{K^0}(t)\rangle = g_+(t)|\overline{K^0}\rangle + \frac{1}{\eta} g_-(t)|K^0\rangle \approx e^{-imt} \left[ \frac{e^{i\Delta mt} e^{-\frac{\Gamma}{2}t} + 1}{2} |\overline{K^0}\rangle + \frac{1}{\eta} \frac{e^{i\Delta mt} e^{-\frac{\Gamma}{2}t} - 1}{2} |K^0\rangle \right] \end{cases}$$

$$P_{K^0}(K^0, t) = |g_+(t)|^2 = g_+ g_+^* \approx \frac{e^{i\Delta mt} e^{-\frac{\Gamma}{2}t} + 1}{2} \frac{e^{-i\Delta mt} e^{-\frac{\Gamma}{2}t} + 1}{2} = \frac{1}{4} \left( 1 + e^{-\frac{\Gamma}{2}t} (1 + 2 \cos \Delta mt) \right)$$

$$P_{K^0}(\overline{K^0}, t) \approx \frac{e^{i\Delta mt} e^{-\frac{\Gamma}{2}t} - 1}{2} \frac{e^{-i\Delta mt} e^{-\frac{\Gamma}{2}t} - 1}{2} = \frac{1}{4} |\eta|^2 \left( 1 + e^{-\frac{\Gamma}{2}t} (1 - 2 \cos \Delta mt) \right)$$

$$P_{K^0}(K^0, t) \approx \frac{1}{4|\eta|^2} \left( 1 + e^{-\frac{\Gamma}{2}t} (1 - 2 \cos \Delta mt) \right)$$

$$P_{K^0}(\overline{K^0}, t) \approx \frac{1}{4} \left( 1 + e^{-\frac{\Gamma}{2}t} (1 + 2 \cos \Delta mt) \right)$$

# CP Violation and SM - VIII

Fall 2019

Transition probabilities

$K^0 \rightarrow K^0 / \bar{K}^0 \rightarrow \bar{K}^0$ :

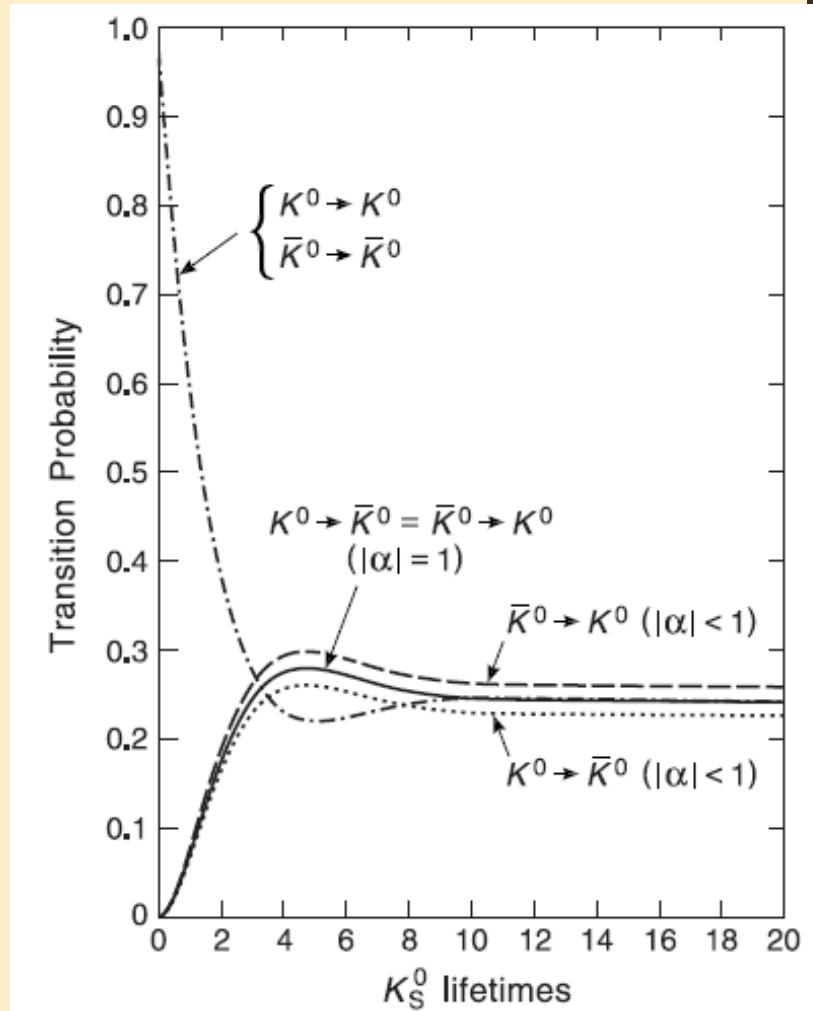
Identical (*CPT*)

$K^0 \rightarrow \bar{K}^0 / \bar{K}^0 \rightarrow K^0$ :

Identical if  $|\eta| = 1 \rightarrow$  No *CP* in mixing: Full line

Different if  $|\eta| \neq 1$ : Dashed + Point lines

As shown prediction for  $1 - |\eta| = 10 \times$  Exp. value



# $CP$ Violation and SM - IX

Fall 2019

Rationale:

$\cancel{CP}$  observed in neutral kaon decays

Ascribed to mixing, decay, or both

Accounted for by a *single* complex phase in  $CKM$

→ Expect  $\cancel{CP}$  to occur in other neutral, flavored meson decays

→ Heavy quarks involved

Looking again at unitarity triangles:

$$(1) \quad V_{ud}V_{us}^* + V_{cd}V_{cs}^* + V_{td}V_{ts}^* = 0;$$

$$(2) \quad V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0;$$

$$(3) \quad V_{us}V_{ub}^* + V_{cs}V_{cb}^* + V_{ts}V_{tb}^* = 0;$$

$$(4) \quad V_{ud}^*V_{cd} + V_{us}^*V_{cs} + V_{ub}^*V_{cb} = 0;$$

$$(5) \quad V_{ud}^*V_{td} + V_{us}^*V_{ts} + V_{ub}^*V_{tb} = 0;$$

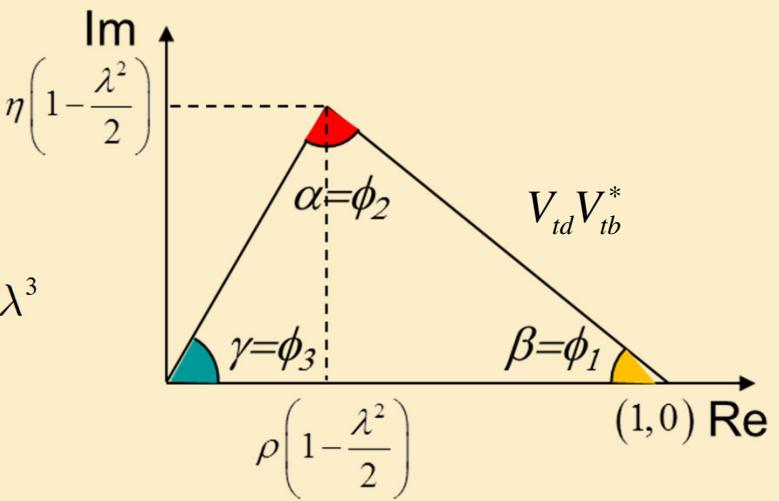
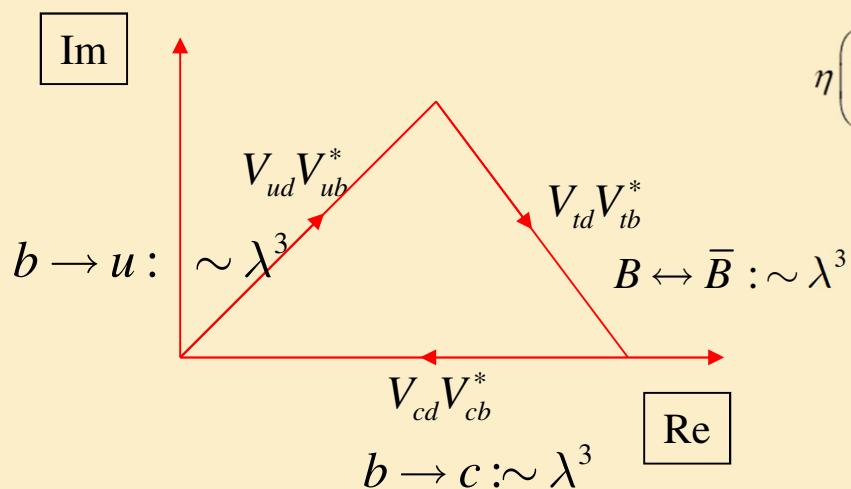
$$(6) \quad V_{cd}^*V_{td} + V_{cs}^*V_{ts} + V_{cb}^*V_{tb} = 0$$

Not all equally useful: *Shape, Easy to measure*

# CP Violation and SM - X

Fall 2019

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$



The unitarity triangle: Somewhat ‘equilateral’ → Large angles

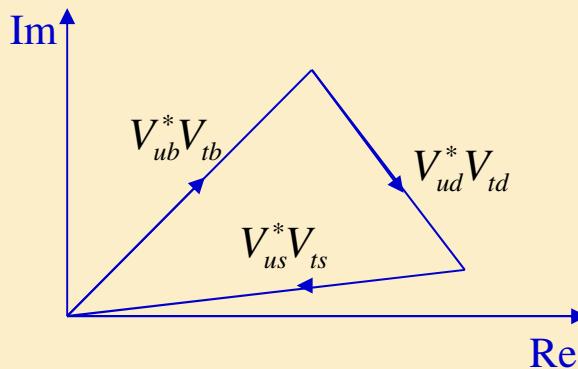
# $CP$ Violation and SM - XI

Fall 2019

$$V_{ud}^* V_{td} + V_{us}^* V_{ts} + V_{ub}^* V_{tb} = \delta_{tu} = 0 \quad \hat{=} \quad tu \text{ triangle}$$

Another  $\approx$  equilateral one

Each side  $\propto \lambda^3$



# $CP$ Violation and SM - XII

Fall 2019

Two ‘squashed’ triangles...

$$2 \text{ sides } \propto \lambda^2$$

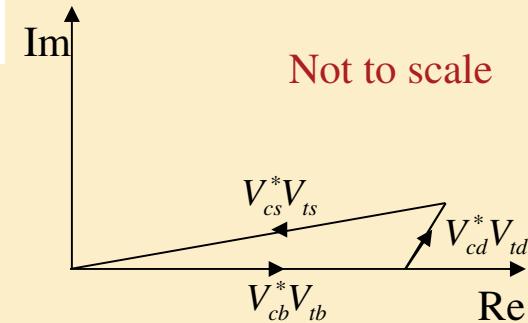
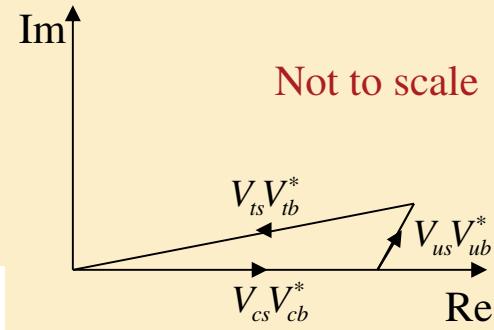
$$1 \text{ side } \propto \lambda^4$$

$$V_{us}^* V_{ub} + V_{cs}^* V_{cb} + V_{ts}^* V_{tb} = \delta_{bs} = 0 \quad \hat{=} \quad bs \text{ triangle}$$

$$V_{td}^* V_{cd} + V_{ts}^* V_{cs} + V_{tb}^* V_{cb} = \delta_{tc} = 0 \quad \hat{=} \quad tc \text{ triangle}$$

Difficult to use to test  $\mathcal{CP}$

$\mathcal{CP} \propto$  Height with base normalized to 1



# $CP$ Violation and SM - XIII

Fall 2019

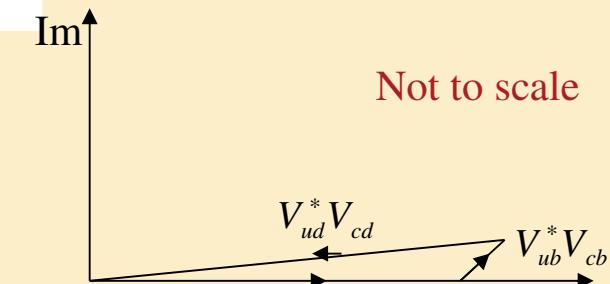
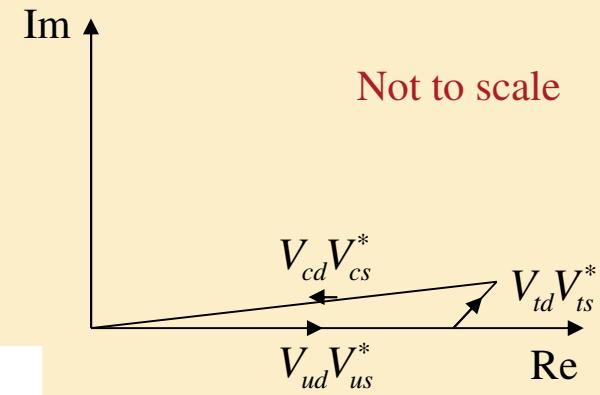
...and two even more squashed

$$2 \text{ sides } \propto \lambda$$

$$1 \text{ side } \propto \lambda^5$$

$$V_{ud}^* V_{us} + V_{cd}^* V_{cs} + V_{td}^* V_{ts} = \delta_{sd} = 0 \quad \hat{=} \quad sd \text{ triangle}$$

$$V_{ud}^* V_{cd} + V_{us}^* V_{cs} + V_{ub}^* V_{cb} = \delta_{cu} = 0 \quad \hat{=} \quad cu \text{ triangle}$$



# CP Violation and SM - XIV

Fall 2019

Neutral, flavored mesons: Lightest states

$K^0 : d\bar{s}$

$\bar{K}^0 : \bar{d}s$

$D^0 : c\bar{u}$

$\bar{D}^0 : \bar{c}u$

$B^0 : \bar{b}d$

$\bar{B}^0 : b\bar{d}$

$B_s^0 : \bar{b}\bar{s}$

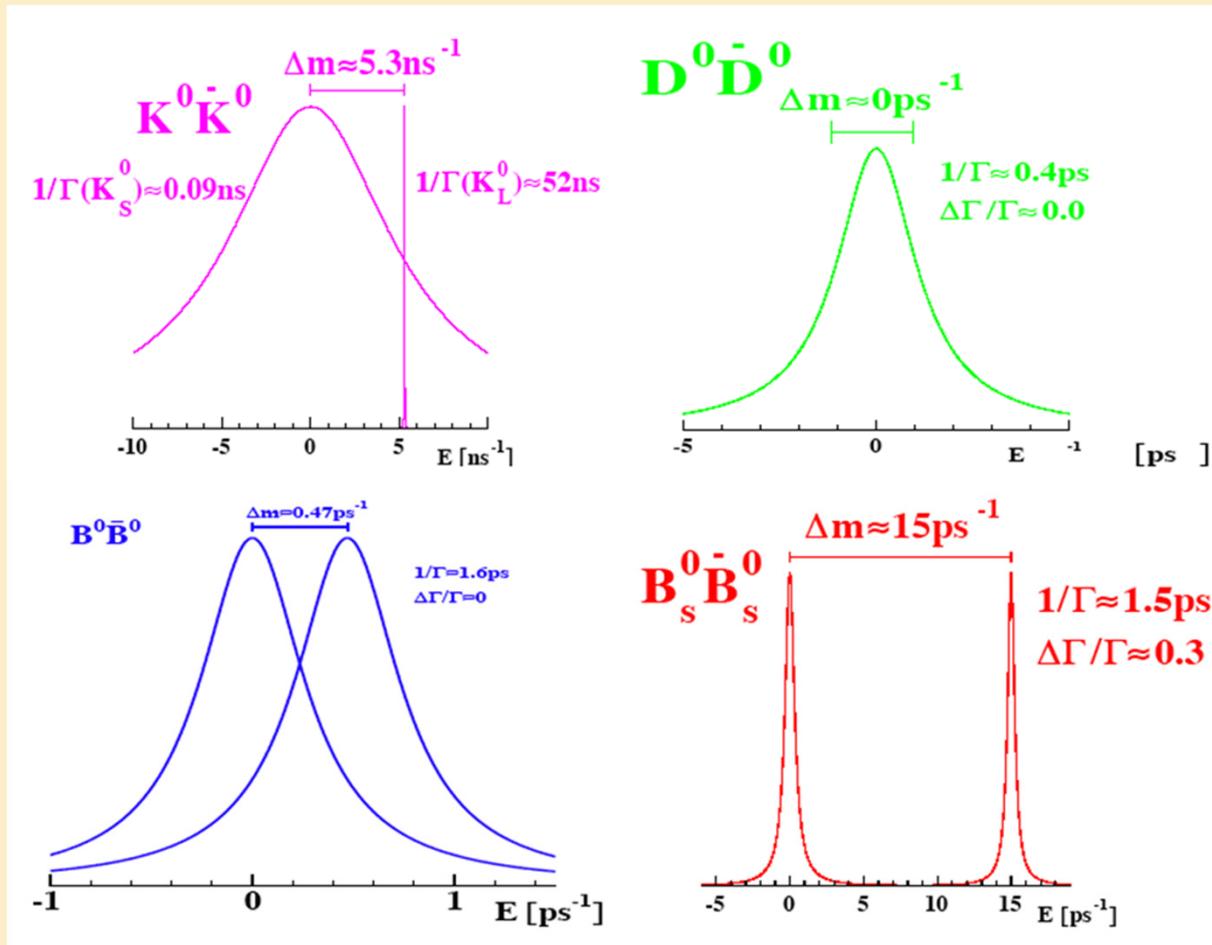
$\bar{B}_s^0 : b\bar{s}$

	$\langle\tau\rangle$	$\Delta m$	$x = \Delta m/\Gamma$	$y = \Delta\Gamma/2\Gamma$
$K^0$	$2.6 \cdot 10^{-8} \text{ s}$	$5.29 \text{ ns}^{-1}$	$\Delta m/\Gamma_s = 0.49$	$\sim 1$
$D^0$	$0.41 \cdot 10^{-12} \text{ s}$	$0.001 \text{ fs}^{-1}$	$\sim 0$	$0.01$
$B^0$	$1.53 \cdot 10^{-12} \text{ s}$	$0.507 \text{ ps}^{-1}$	$0.78$	$\sim 0$
$B_s^0$	$1.47 \cdot 10^{-12} \text{ s}$	$17.8 \text{ ps}^{-1}$	$12.1$	$\sim 0.05$

# *CP* Violation and SM - XV

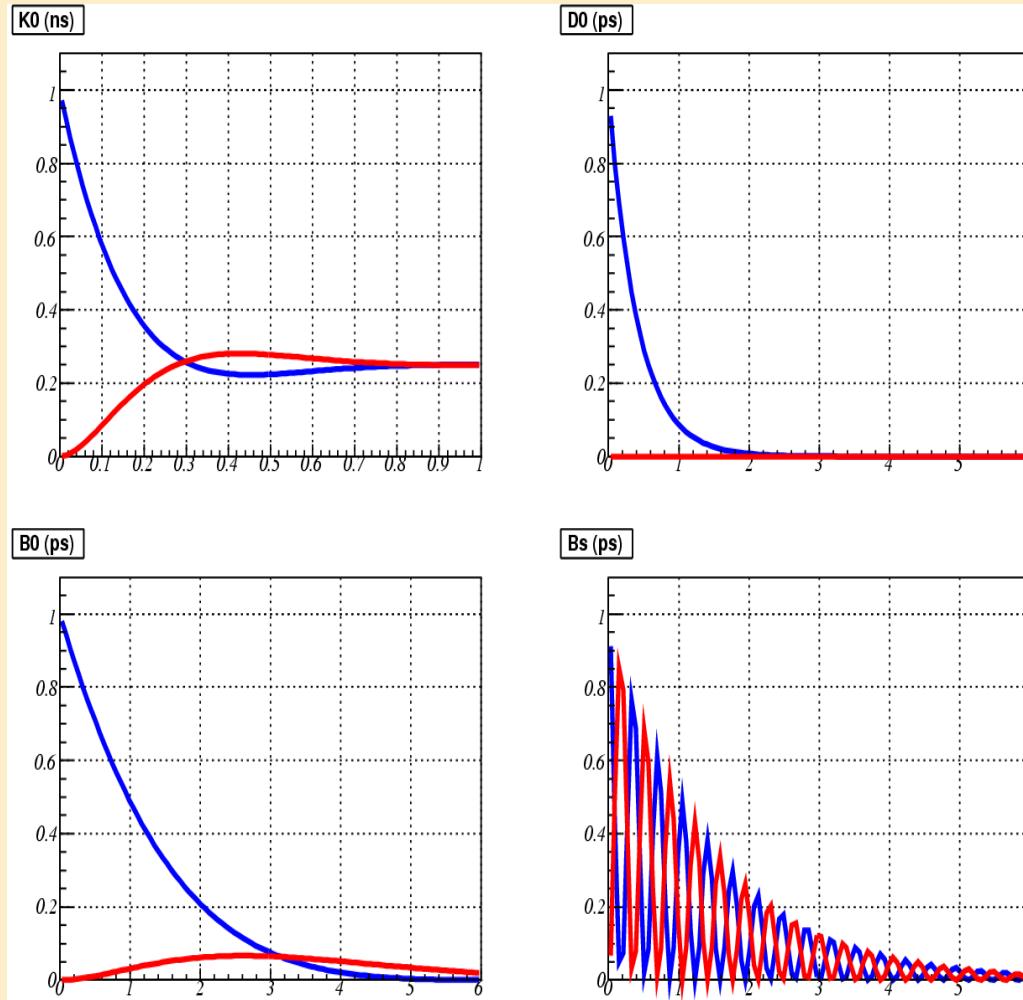
Fall 2019

Lineshapes of mass eigenstates of neutral, flavored meson systems



# CP Violation and SM - XVI

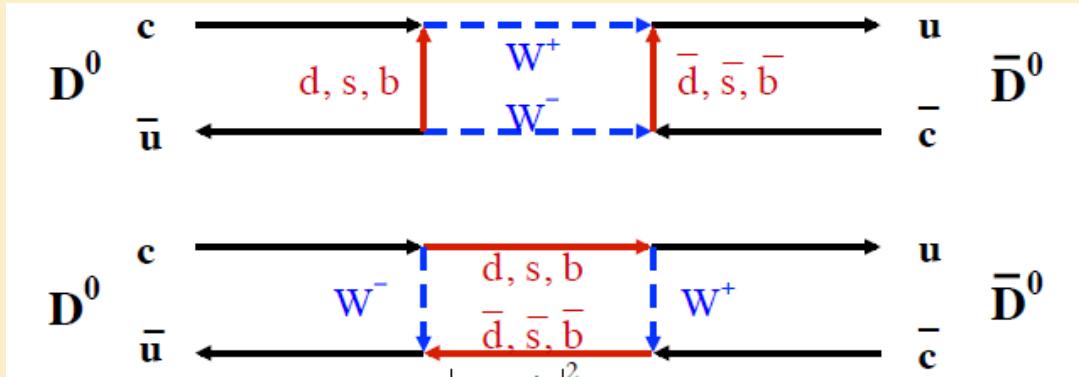
Fall 2019



# $CP$ Violation and SM - XVII

Fall 2019

Extend box diagrams to other neutral systems:  $D^0 - \bar{D}^0$



$b$  loop: Strong CKM suppression

$$M \propto |V_{ub} V_{cb}^*|^2 \ll 1$$

Indeed, go to Wolfenstein parametrization:

$$|V_{ub} V_{cb}^*|^2 \sim |\lambda^3 \lambda^2|^2 \sim 10^{-7}$$

$s, d$  loops: Strong GIM suppression

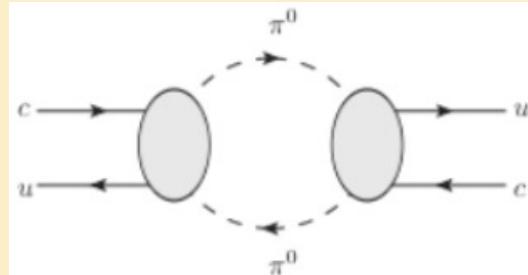
$$M \propto (m_s^2 - m_d^2) \text{ small!}$$

→ Expect very small mixing

# *CP* Violation and SM - XVIII

Fall 2019

Long distance effects ( $\leftarrow$  Meson exchange, rather than quarks) important



Lifetime, width, mass: Very different from  $K^0$ , difficult to compute

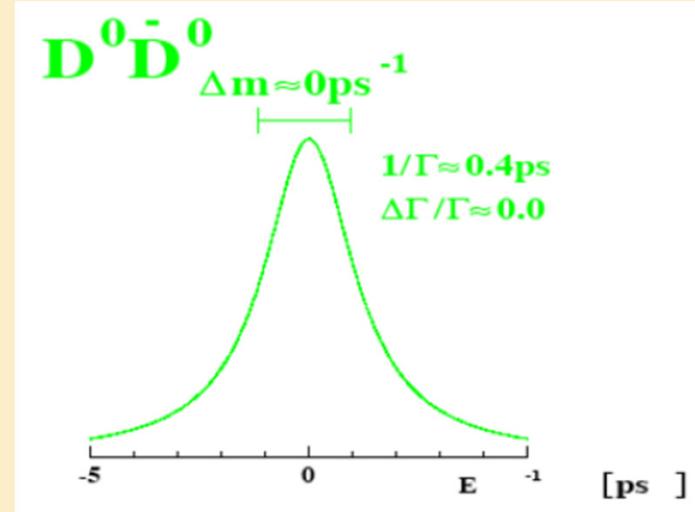
$$m_1 \simeq m_2$$

$$\tau_1 \simeq \tau_2 = (4.15 \pm 0.04) 10^{-13} \text{ s}$$

$$\Gamma_2 \simeq \Gamma_1 = (1.59 \pm 0.01) 10^{-12} \text{ GeV}$$

$$\Gamma = \frac{\Gamma_1 + \Gamma_2}{2} \simeq \Gamma_2 \simeq \Gamma_1$$

$$\left. \begin{aligned} x &\equiv \frac{\Delta m}{\Gamma} = \frac{m_2 - m_1}{\Gamma} \\ y &\equiv \frac{\Delta \Gamma}{2\Gamma} = \frac{\Gamma_2 - \Gamma_1}{2\Gamma} \end{aligned} \right\} \text{Estimate } x, \quad y \sim 10^{-4} - 10^{-3}$$



# $CP$ Violation and SM - XIX

Fall 2019

- ~ Same mass: Oscillation frequency small
- ~ Same (small) lifetime :  $D_H$ ,  $D_L$  cannot be physically separated (Compare to  $K_S$  /  $K_L$ ..)
- Only chance to observe mixing by time integrated measurement

Tag  $D$  flavor at both production and decay

Production: Take strong decays

$$D^{*+} \rightarrow D^0 \pi^+, \bar{D}^{*-} \rightarrow \bar{D}^0 \pi^-$$

Decay: Take two modes

$$D^0 \rightarrow K^+ \mu^- \bar{\nu}_\mu \quad \text{forbidden, only accessed by mixing } D^0 \rightarrow \bar{D}^0$$

$$D^0 \rightarrow K^- \mu^+ \nu_\mu \quad \text{allowed}$$

$$\rightarrow R = \frac{N(K^+ \mu^- \bar{\nu}_\mu)}{N(K^- \mu^+ \nu_\mu)} \cong \frac{x^2 + y^2}{2}$$

Measurement difficult, large samples required

Mixing &  $\mathcal{CP}$  observed since 2007 by BaBar, Belle, LHCb

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# $CP$ Violation and SM - XX

Fall 2019

Most promising sector for validation of  $CKM : B^0 - \bar{B}^0$

'Large'  $\mathcal{CP}$  expected

Similar to  $K^0 - \bar{K}^0$ , but:

$$\Delta M_B = 0.489 \pm 0.008 \text{ ps}^{-1}$$

$$\rightarrow \Delta M_B \sim 0.489 \cdot 10^{12} \text{ s}^{-1}$$

$$\hbar \approx 6.582 \cdot 10^{-16} \text{ eV s} \rightarrow \Delta M_B \sim 3.22 \cdot 10^{-4} \text{ eV} \sim 100 \Delta$$

$$\tau_{B_L} \approx \tau_{B_S} = 1.56 \pm 0.06 \text{ ps}$$

$$\rightarrow \frac{\Delta M_B}{\Gamma_B} \sim 1$$

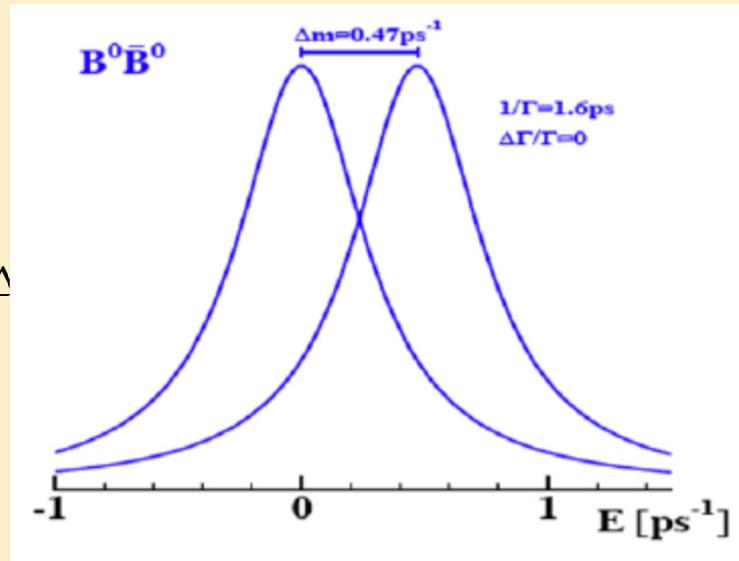
Compare to  $K$ :

$$\Delta M_K = 5.29 \text{ ns}^{-1} \sim 5.29 \cdot 10^9 \text{ s}^{-1} \quad 6.582 \cdot 10^{-16} \text{ eV s} \sim 3.4 \cdot 10^{-6} \text{ eV}$$

$$\rightarrow \frac{\Delta M_K}{\Gamma_{K_S}} \sim 1$$

$$\tau_L \sim 600 \quad \tau_S \sim 600 \cdot 89 \text{ ps}$$

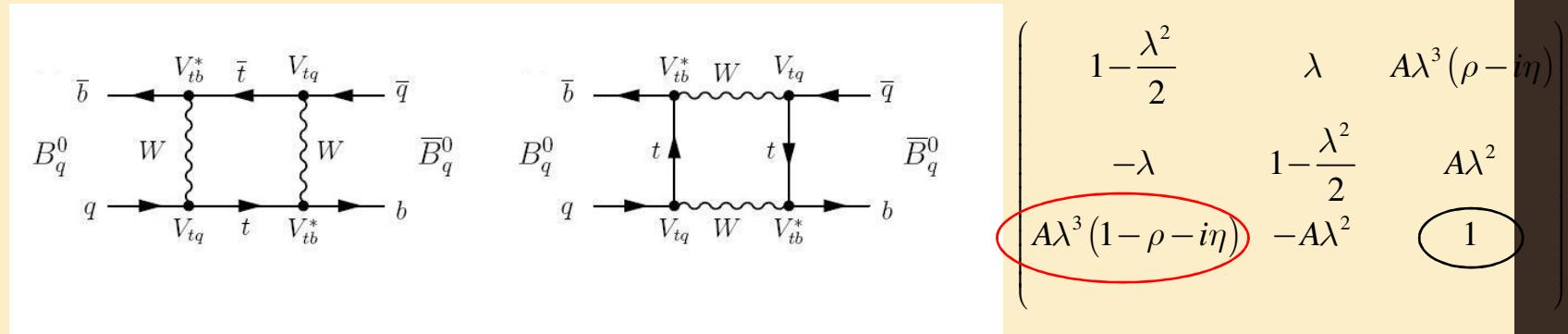
$B_H, B_L$  states cannot be physically separated



# $B$ Mixing: CP - I

Fall 2019

Box diagrams,  $t$  dominated for  $B^0$



Mixing parameter:

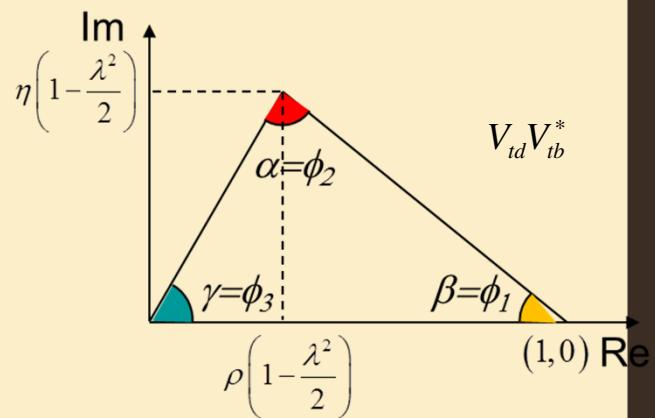
$$\eta = \frac{(V_{tb}^* V_{td})}{(V_{tb} V_{td}^*)} \approx \frac{V_{td}}{V_{td}^*} = e^{-2i\varphi_{td}}$$

From UT:

$$\varphi_{td} = \beta$$

$$\rightarrow \eta = e^{-2i\beta}$$

$$\rightarrow |\eta| \cong 1$$



# $B$ Mixing: CP - II

Fall 2019

Mass eigenstates:

$$\begin{cases} |B_H\rangle = \frac{1}{\sqrt{1+|\eta|^2}} (|B^0\rangle + \eta |\bar{B}^0\rangle) \\ |B_L\rangle = \frac{1}{\sqrt{1+|\eta|^2}} (|B^0\rangle - \eta |\bar{B}^0\rangle) \end{cases}, \quad \begin{cases} |B^0\rangle = \frac{1}{2} \sqrt{1+|\eta|^2} (|B_H\rangle + |B_L\rangle) \\ |\bar{B}^0\rangle = \frac{1}{2\eta} \sqrt{1+|\eta|^2} (|B_H\rangle - |B_L\rangle) \end{cases}$$

$$\rightarrow \eta = \frac{1-\varepsilon_B}{1+\varepsilon_B}, \quad \varepsilon_B \text{ analog to } \varepsilon \text{ used for kaons}$$

Time evolution of mass eigenstates:

$$\rightarrow \begin{cases} |B_H(t)\rangle = |B_H\rangle e^{-i(m_H - i\frac{\Gamma_H}{2})t} \\ |B_L(t)\rangle = |B_L\rangle e^{-i(m_L - i\frac{\Gamma_L}{2})t} \end{cases}, \quad \Gamma_H \simeq \Gamma_L = \Gamma, \quad \Delta m \ll M$$

# $B$ Mixing: CP - III

Fall 2019

Time evolution of flavor eigenstates:

$$\begin{cases} |B^0(t)\rangle = |B^0\rangle f_+(t) + \eta |\bar{B}^0\rangle f_-(t) \\ |\bar{B}^0(t)\rangle = |B^0\rangle \frac{1}{\eta} f_-(t) + |\bar{B}^0\rangle f_+(t) \end{cases}$$

$$f_+(t) = \frac{e^{-i(M+\frac{\Delta m}{2}-i\frac{\Gamma_H}{2})t} + e^{-i(M-\frac{\Delta m}{2}-i\frac{\Gamma_L}{2})t}}{2}, f_-(t) = \frac{e^{-i(M+\frac{\Delta m}{2}-i\frac{\Gamma_H}{2})t} - e^{-i(M-\frac{\Delta m}{2}-i\frac{\Gamma_L}{2})t}}{2}$$

$$f_{\pm}(t) \approx \frac{1}{2} e^{-\frac{1}{2}\Gamma t} e^{-iMt} \left[ e^{-i\frac{\Delta m}{2}t} \pm e^{+i\frac{\Delta m}{2}t} \right], M = \frac{m_H + m_L}{2}, \Delta m > 0, \Gamma_H \simeq \Gamma_L = \Gamma$$

$$\rightarrow \begin{cases} f_+(t) \approx \frac{1}{2} e^{-\frac{1}{2}\Gamma t} e^{-iMt} \cos\left(\frac{\Delta m}{2}t\right) \\ f_-(t) \approx -i \frac{1}{2} e^{-\frac{1}{2}\Gamma t} e^{-iMt} \sin\left(\frac{\Delta m}{2}t\right) \end{cases}$$

$$\rightarrow \begin{cases} |B^0(t)\rangle = e^{-iMt} e^{-\frac{1}{2}\Gamma t} \left[ \cos\left(\frac{\Delta m}{2}t\right) |B_0\rangle - i\eta \sin\left(\frac{\Delta m}{2}t\right) |\bar{B}_0\rangle \right] \\ |\bar{B}^0(t)\rangle = e^{-iMt} e^{-\frac{1}{2}\Gamma t} \left[ -\frac{i}{\eta} \sin\left(\frac{\Delta m}{2}t\right) |B_0\rangle + \cos\left(\frac{\Delta m}{2}t\right) |\bar{B}_0\rangle \right] \end{cases}$$

# $B$ Mixing: CP - IV

→ Expect:

$$\Gamma(B^0(t=0) \rightarrow B^0) = e^{-\Gamma t} \cos^2\left(\frac{\Delta m}{2}t\right)$$

$$\Gamma(B^0(t=0) \rightarrow \bar{B}^0) = |\eta|^2 e^{-\Gamma t} \sin^2\left(\frac{\Delta m}{2}t\right)$$

$$\Gamma(\bar{B}^0(t=0) \rightarrow \bar{B}^0) = e^{-\Gamma t} \cos^2\left(\frac{\Delta m}{2}t\right)$$

$$\Gamma(\bar{B}^0(t=0) \rightarrow B^0) = \left|\frac{1}{\eta}\right|^2 e^{-\Gamma t} \sin^2\left(\frac{\Delta m}{2}t\right)$$

Similar for  $B_s^0$ :

Important difference  $\Delta m \gg \Gamma$

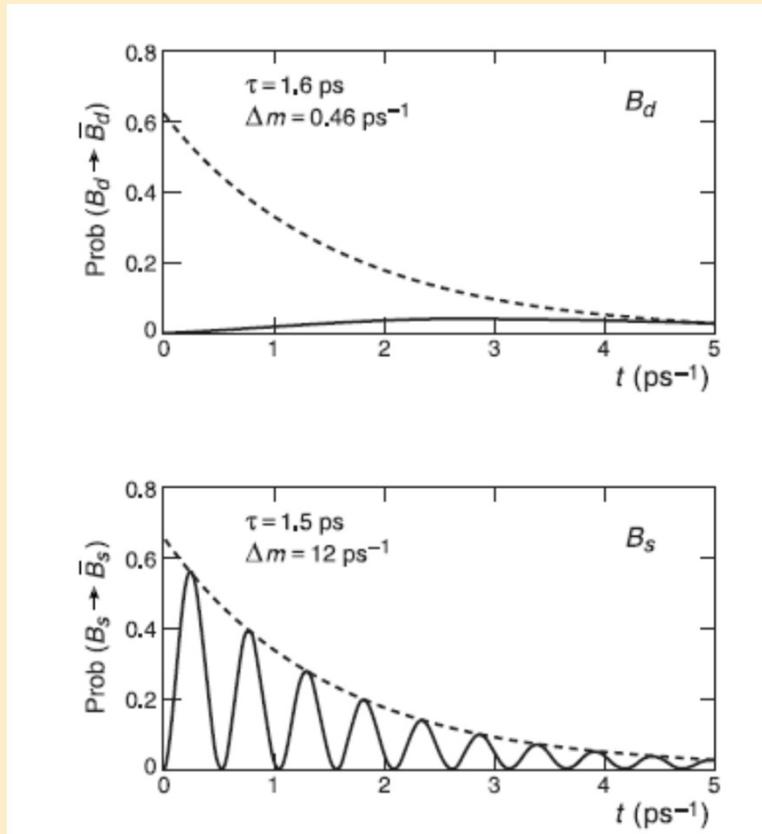
→ Many oscillations in a lifetime

# $B$ Mixing: CP - V

Fall 2019

Unlike  $K^0 / \bar{K}^0$ :

$|\eta| \simeq 1 \rightarrow \sim \text{No } \mathcal{CP}$  effect observable by looking at flavor oscillations



# $B$ Mixing: CP - VI

Fall 2019

By restricting to decays to  $CP$  eigenstates: OK!

Main disadvantage: Statistics (Tiny BR)

Golden final state:  $J/\psi K_S^0$  (or  $K_L^0$ : Experimentally less attractive)

$$B^0, \bar{B}^0 \rightarrow J/\psi K_S^0$$

Angular momentum balance:

$0 = 1 \oplus 0 \oplus L \rightarrow L = 1$  Pure  $P$ -wave

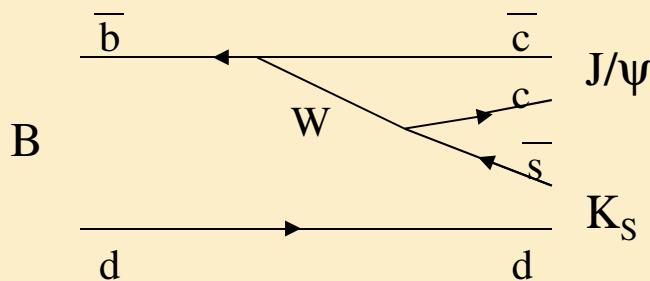
$$CP(J/\psi) = (-1)(-1) = +1$$

$$CP(K_S^0) = +1, \text{ neglect } \cancel{CP} \text{ in } K^0$$

$$P_{orb} = (-1)^L = -1$$

$$\rightarrow CP(J/\psi K_S^0) = -1$$

$$\rightarrow CP(J/\psi K_L^0) = +1$$



# $B$ Mixing: CP - VII

Fall 2019

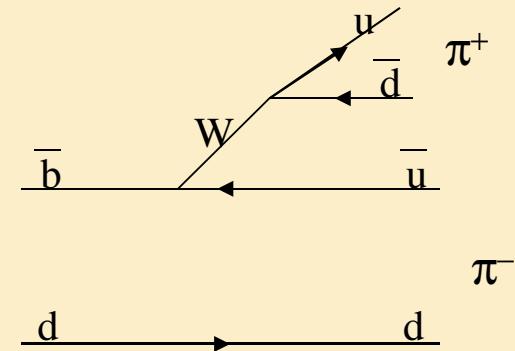
Another golden:  $\pi\pi$

$$B^0, \bar{B}^0 \rightarrow \pi\pi$$

Angular momentum balance:

$L=0$  Pure  $S$ -wave

$$CP(\pi\pi) = +1$$



# $B$ Mixing: CP - VIII

Fall 2019

Taking decays into (golden)  $CP$  eigenstates:

$$\begin{aligned} A(B^0 \rightarrow J/\psi K_S^0) &= \langle J/\psi K_S^0 | H_{\text{eff}} | B^0(t) \rangle \\ \rightarrow A(B^0 \rightarrow J/\psi K_S^0) &= f_+(t) \langle J/\psi K_S^0 | H_{\text{eff}} | B^0 \rangle + \eta f_-(t) \langle J/\psi K_S^0 | H_{\text{eff}} | \bar{B}^0 \rangle \\ \rightarrow A(B^0 \rightarrow J/\psi K_S^0) &= \langle J/\psi K_S^0 | H_{\text{eff}} | B^0 \rangle \left[ f_+(t) + \eta f_-(t) \frac{\langle J/\psi K_S^0 | H_{\text{eff}} | \bar{B}^0 \rangle}{\langle J/\psi K_S^0 | H_{\text{eff}} | B^0 \rangle} \right] \end{aligned}$$

Considering  $B^0, \bar{B}^0$  decay: Must occur in two steps

$$B^0 \rightarrow J/\psi K^0 \rightarrow J/\psi K_S^0 \quad \bar{B}^0 \rightarrow J/\psi \bar{K}^0 \rightarrow J/\psi K_S^0$$

because at the quark level:

$$\bar{b} \rightarrow \bar{c} \ c\bar{s} \quad b \rightarrow c \ \bar{c}s$$

$$A(B^0 \rightarrow J/\psi K^0) \propto V_{cb}^* V_{cs} \quad A(\bar{B}^0 \rightarrow J/\psi \bar{K}^0) \propto V_{cb} V_{cs}^*$$

$$\rightarrow \frac{\langle J/\psi K_S^0 | H_{\text{eff}} | \bar{B}^0 \rangle}{\langle J/\psi K_S^0 | H_{\text{eff}} | B^0 \rangle} = +1 \quad \text{CKM elements involved real}$$

$$\frac{\langle \psi K_L | H | \bar{B}^0 \rangle}{\langle \psi K_L | H | B^0 \rangle} = -1 .$$

# $B$ Mixing: CP - IX

$$\begin{aligned}\Gamma(B^0(t=0) \rightarrow J/\psi K_S) &\propto |f_+(t) + \eta f_-(t)|^2 \\ \rightarrow \Gamma(B^0(t=0) \rightarrow J/\psi K_S) &\propto e^{-\Gamma t} \left| \cos\left(\frac{\Delta m}{2}t\right) - ie^{-2i\beta} \sin\left(\frac{\Delta m}{2}t\right) \right|^2 \\ \rightarrow \Gamma(B^0(t=0) \rightarrow J/\psi K_S) &\propto e^{-\Gamma t} (1 - \sin \Delta m t \sin 2\beta) \\ \rightarrow \Gamma(\bar{B}^0(t=0) \rightarrow J/\psi K_S) &\propto e^{-\Gamma t} (1 + \sin \Delta m t \sin 2\beta)\end{aligned}$$

Time dependent asymmetry:

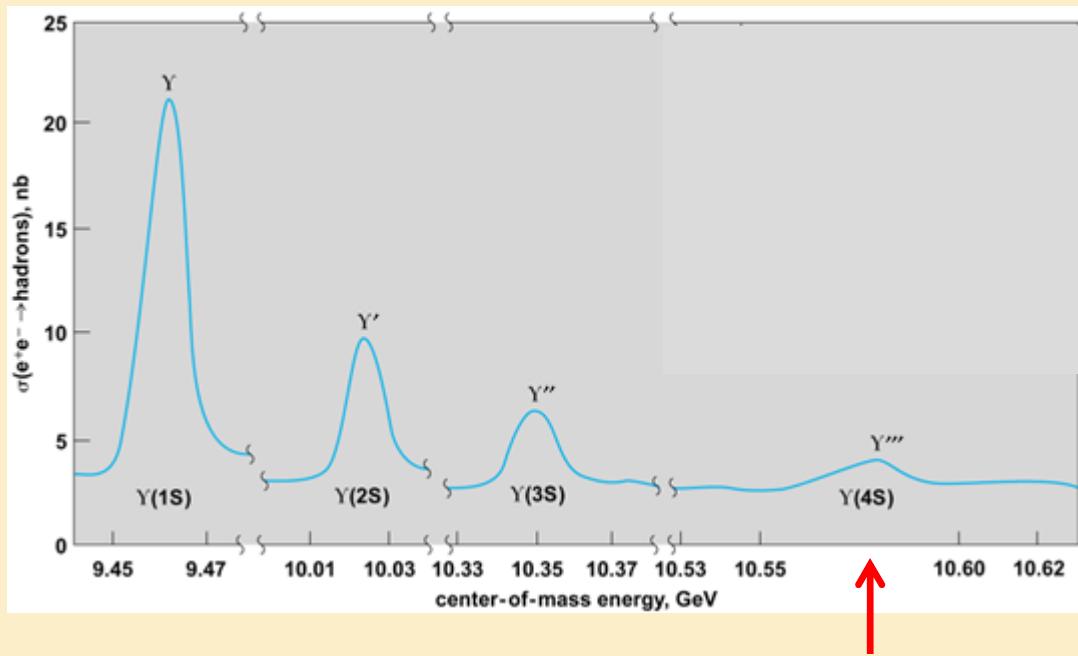
$$A_{J/\psi K_S} = \frac{\Gamma(B^0(t=0) \rightarrow J/\psi K_S) - \Gamma(\bar{B}^0(t=0) \rightarrow J/\psi K_S)}{\Gamma(B^0(t=0) \rightarrow J/\psi K_S) + \Gamma(\bar{B}^0(t=0) \rightarrow J/\psi K_S)} = \sin \Delta m t \sin 2\beta$$

$$A_{J/\psi K_L} = -\sin \Delta m t \sin 2\beta$$

# *B* Factories - I

Fall 2019

Total  $e^+e^-$  annihilation cross section:



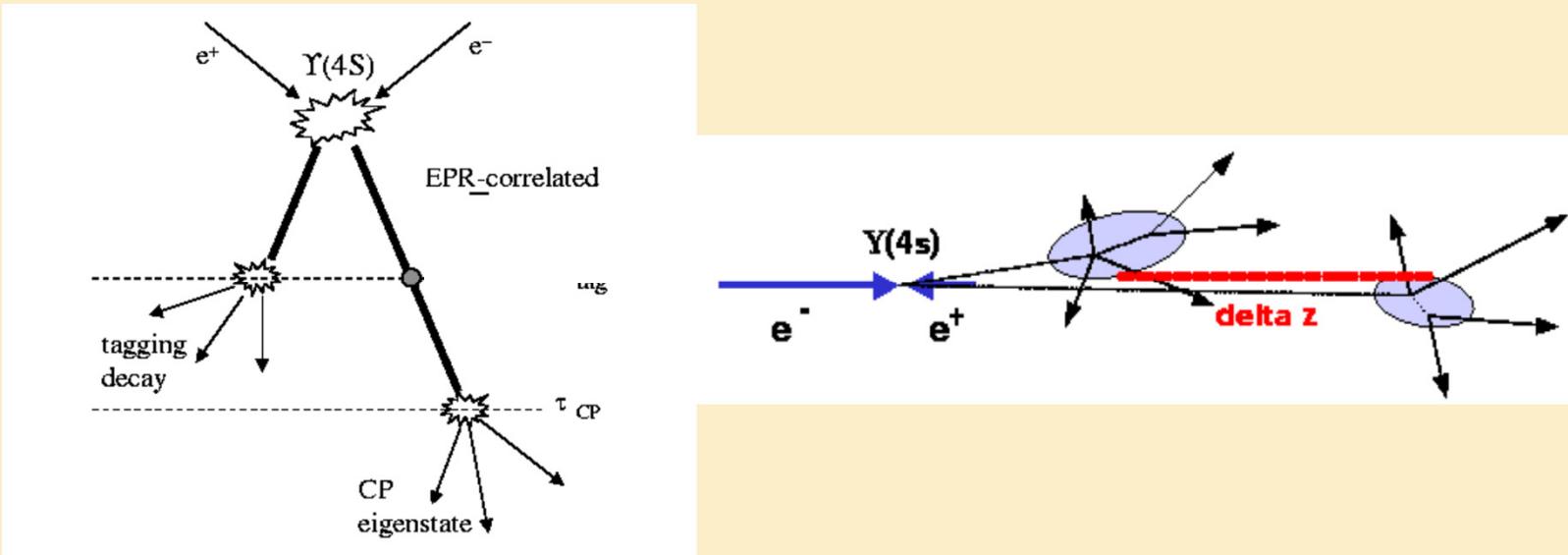
Main decay mode for  $\Upsilon(4S)$ :

$\Upsilon(4S) \rightarrow B\bar{B}$ , including  $B^0\bar{B}^0$

# $B$ Factories - II

Fall 2019

Basic idea to measure time dependent asymmetry:



Measure time difference between 'tag' meson and 'CP' meson decays:

Use space distance between vertexes

Measurement difficult in CM, due to short lifetime ( $d \sim 30 \mu m$ )

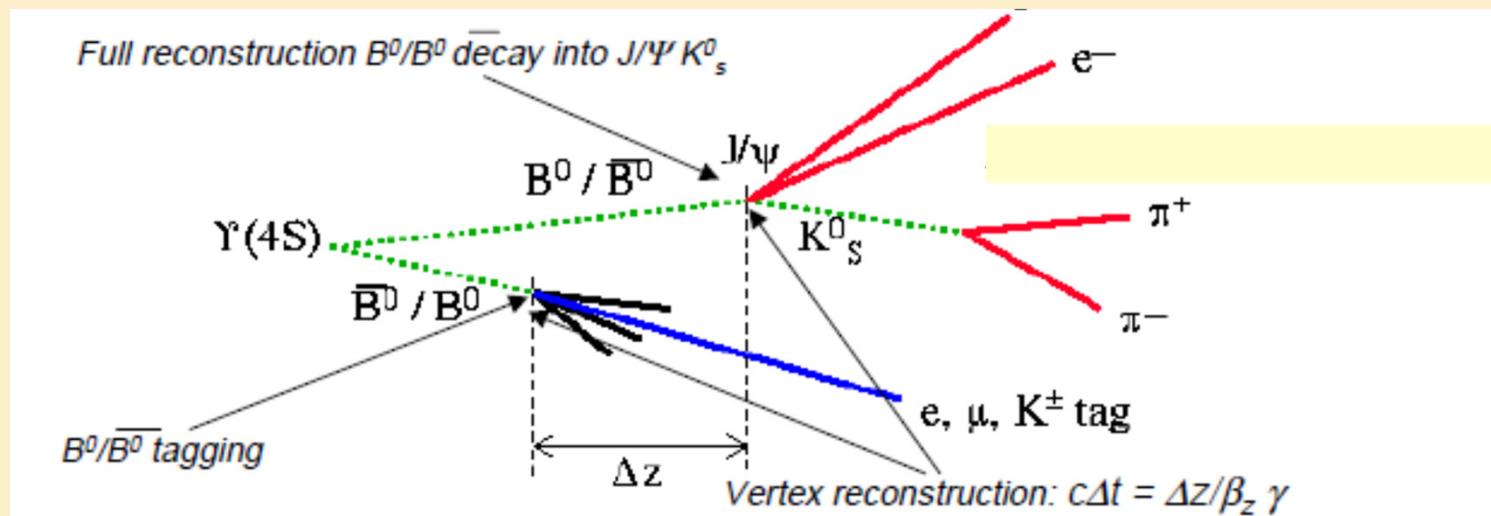
→ Boost mesons in lab by making collider *asymmetric*

→  $\Upsilon(4S)$  moving in the lab system

# $B$ Factories - III

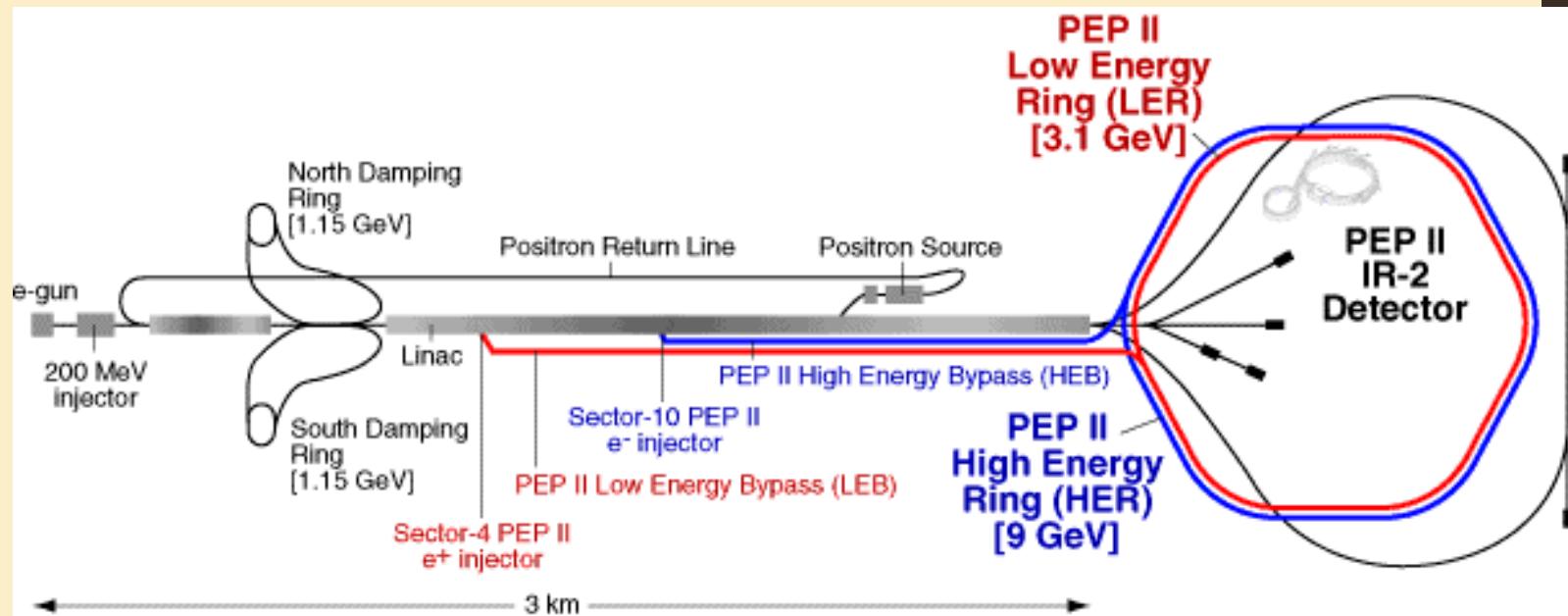
Fall 2019

Example:



# *B* Factories - IV

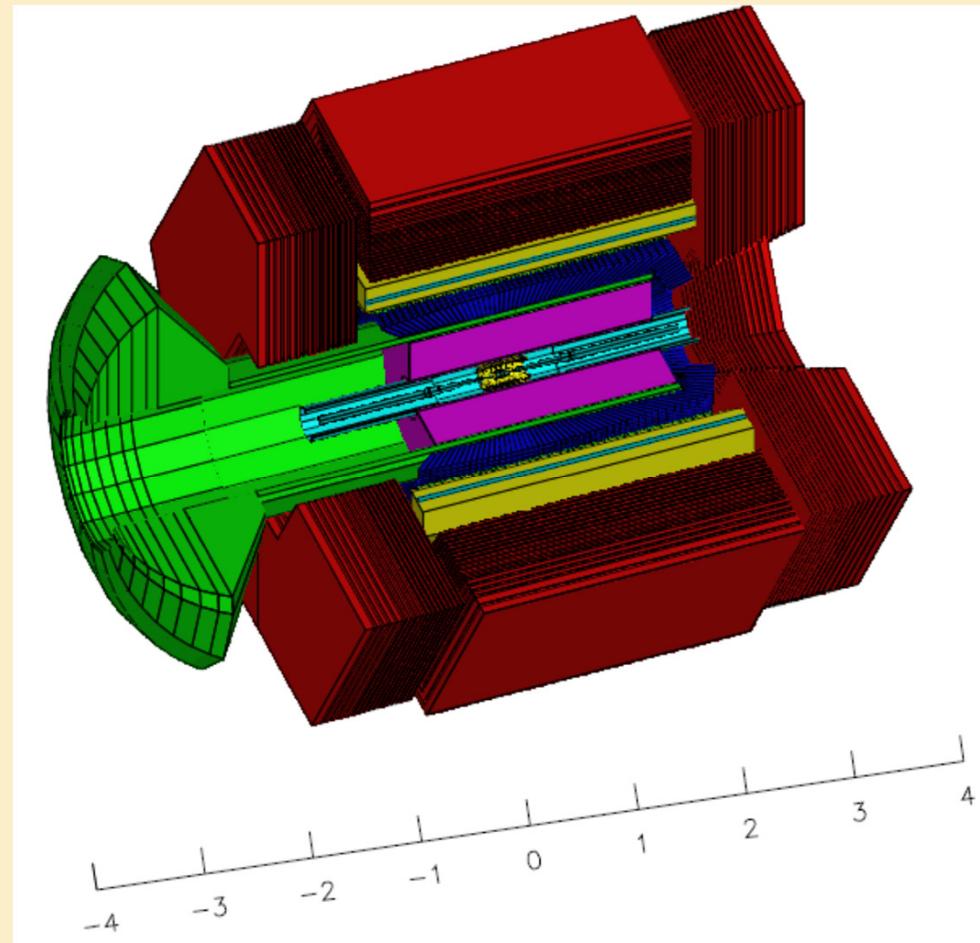
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# *B* Factories - V

BABAR



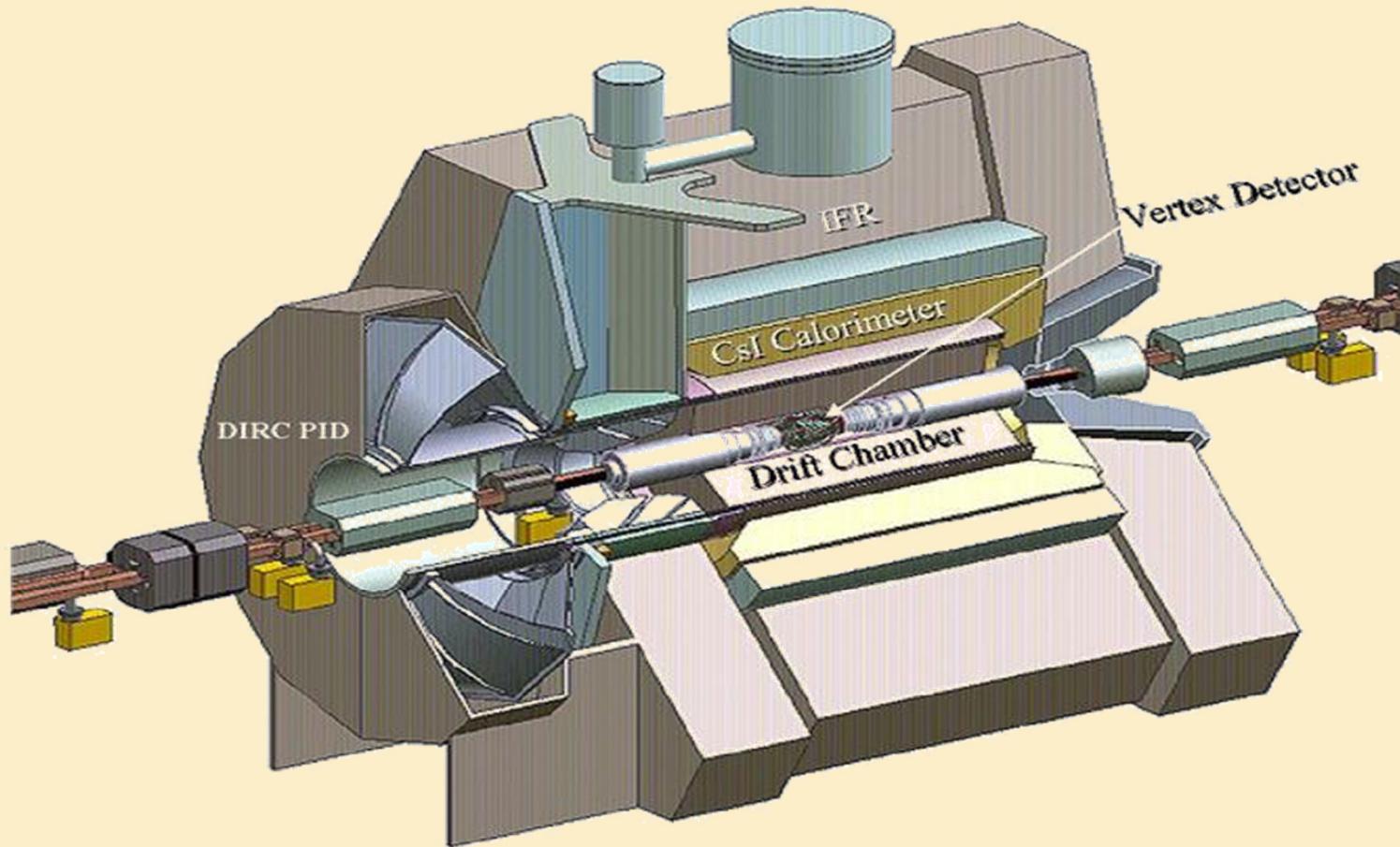
# *B* Factories - VI

Fall 2019



# *B* Factories - VII

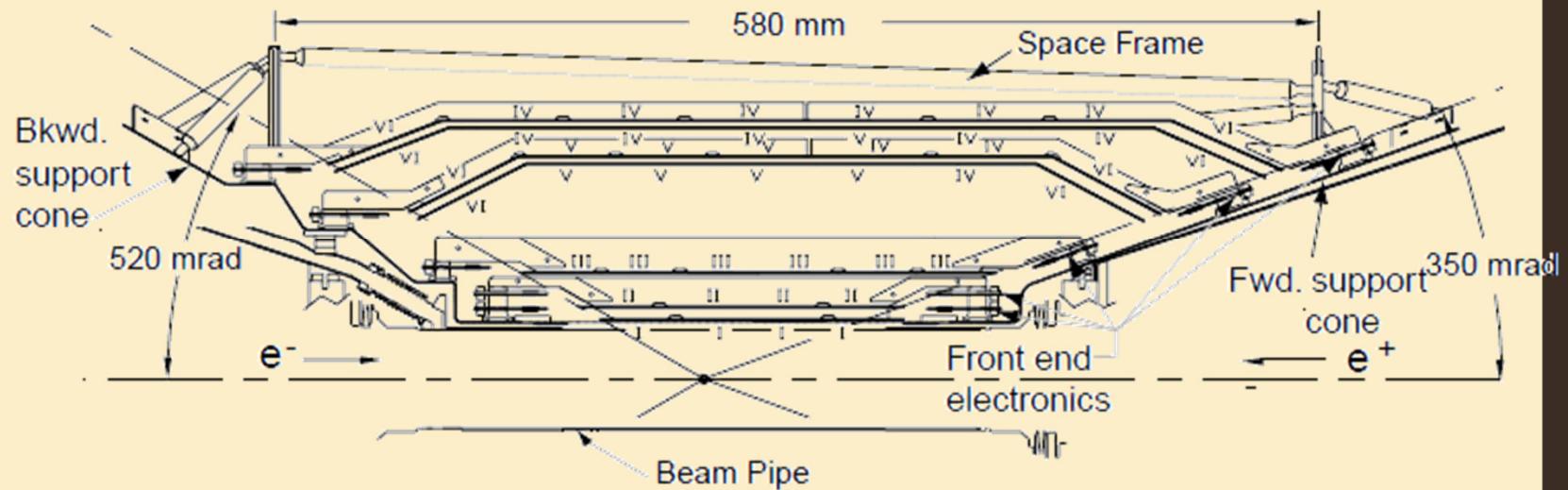
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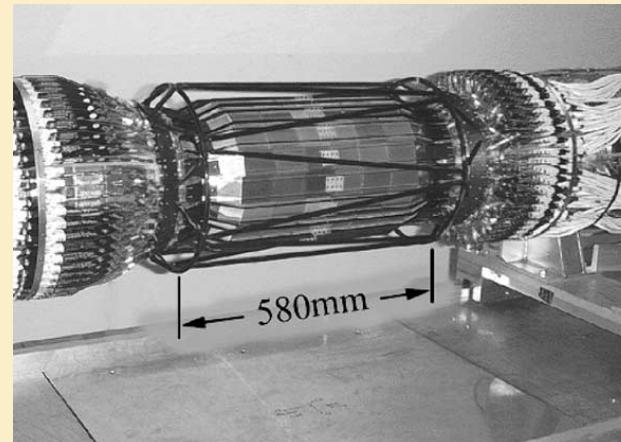
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# *B* Factories - VIII

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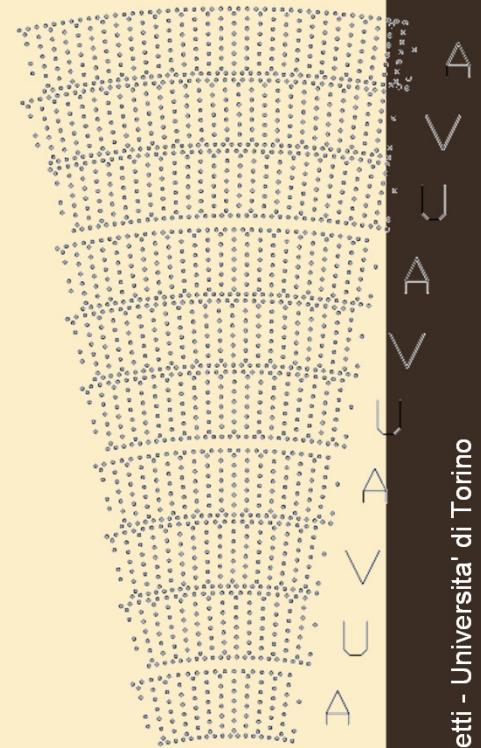
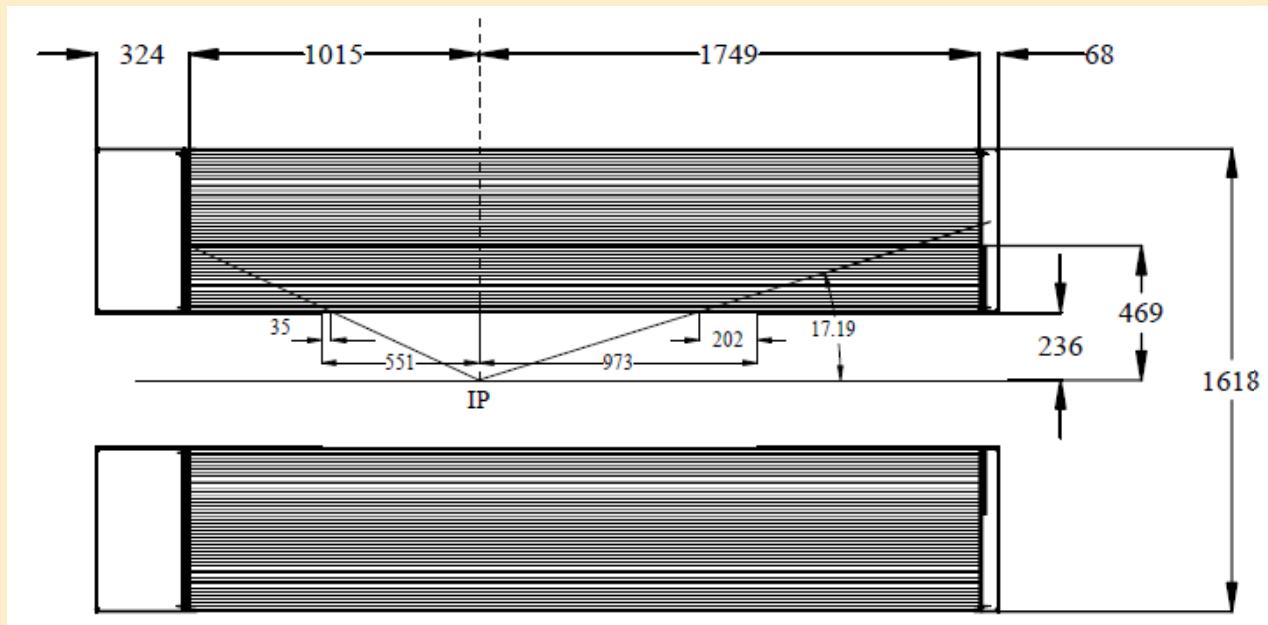
Vertex detector



# *B* Factories - IX

Fall 2019

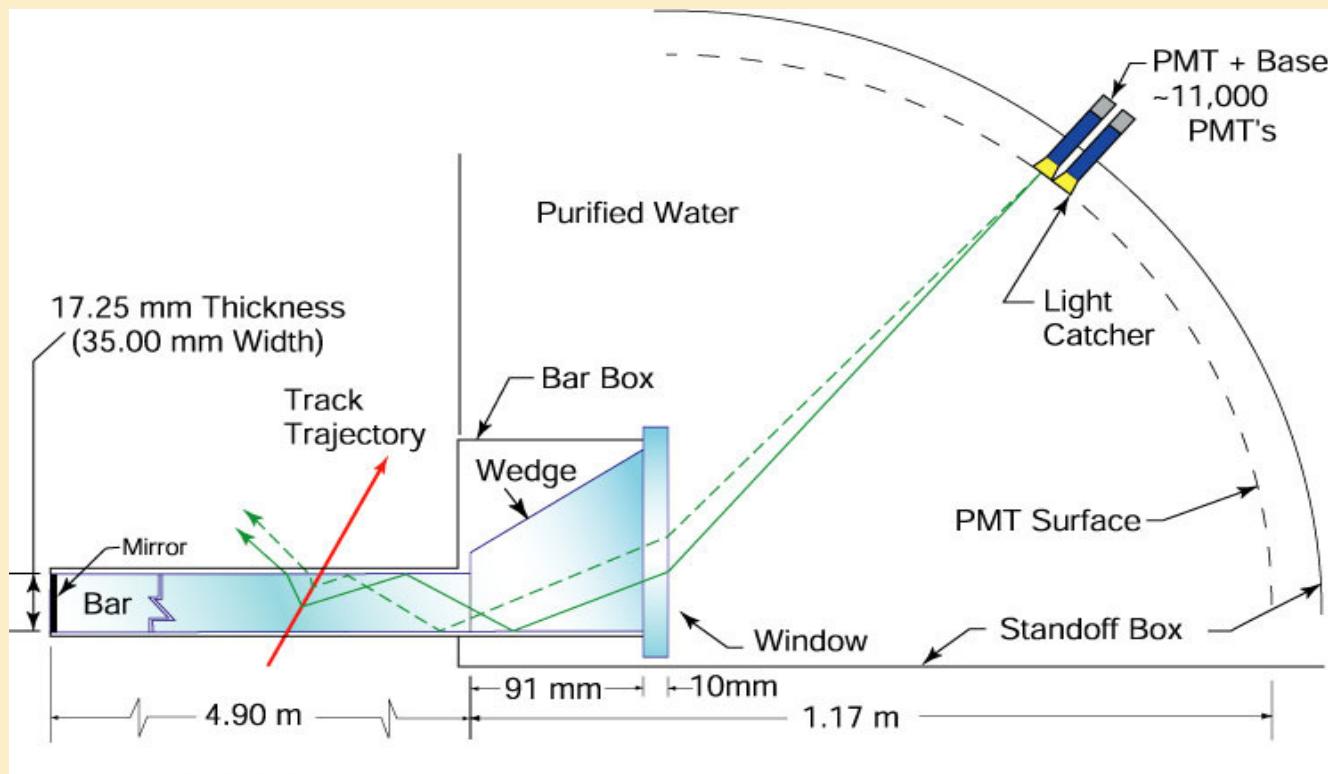
Drift chamber



# *B* Factories - X

Fall 2019

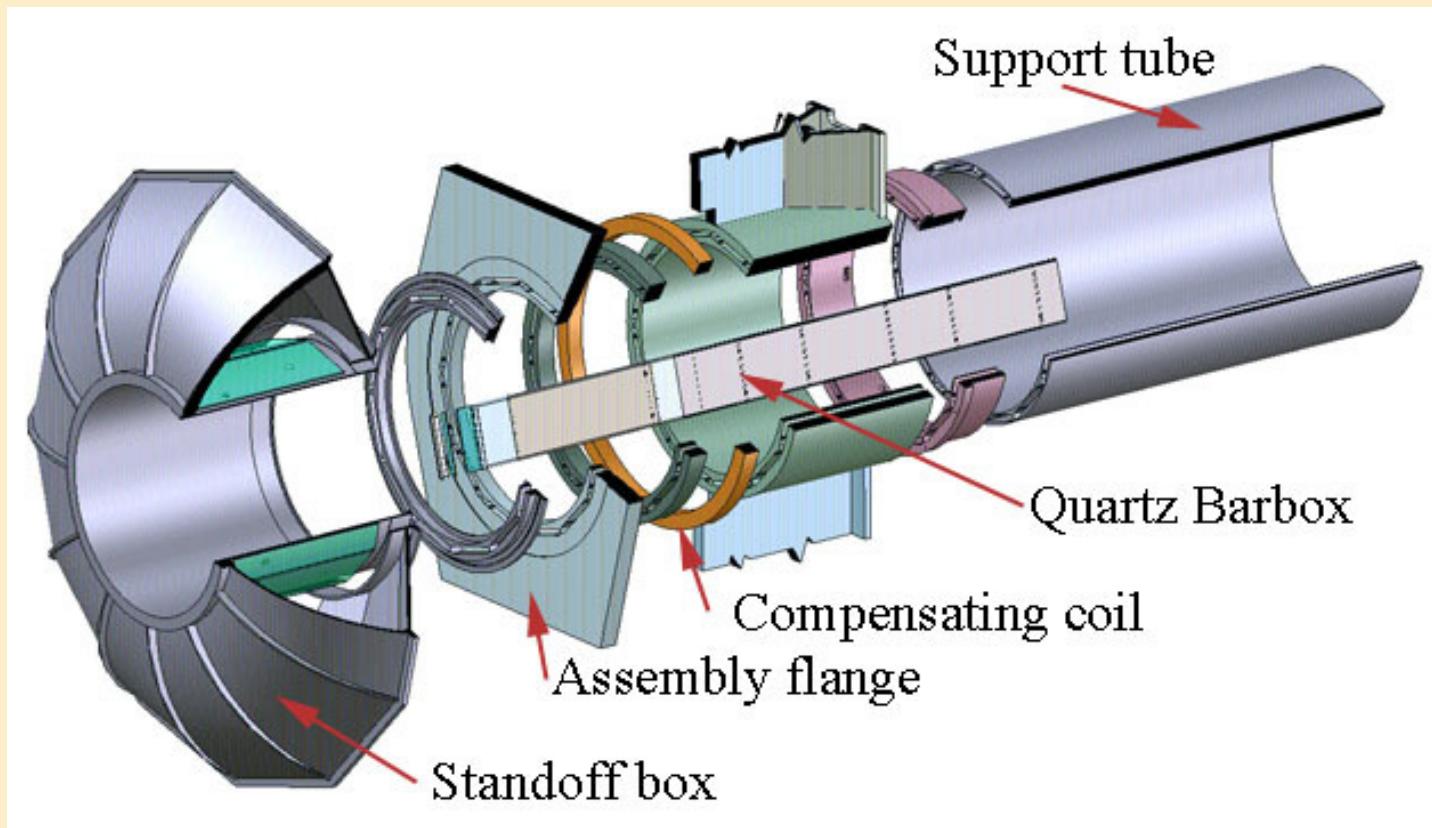
DIRC : Particle Id



# B Factories - XI

Fall 2019

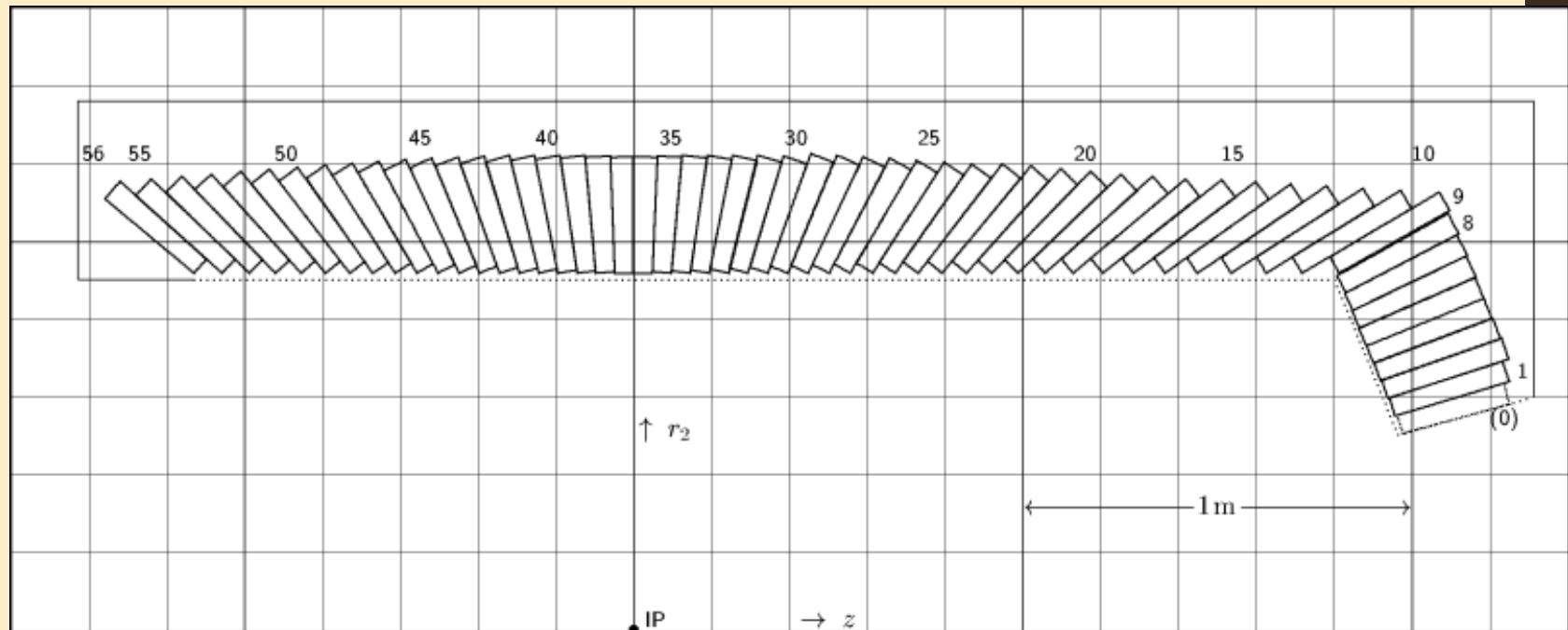
DIRC



# *B* Factories - XII

Fall 2019

EM Calorimeter

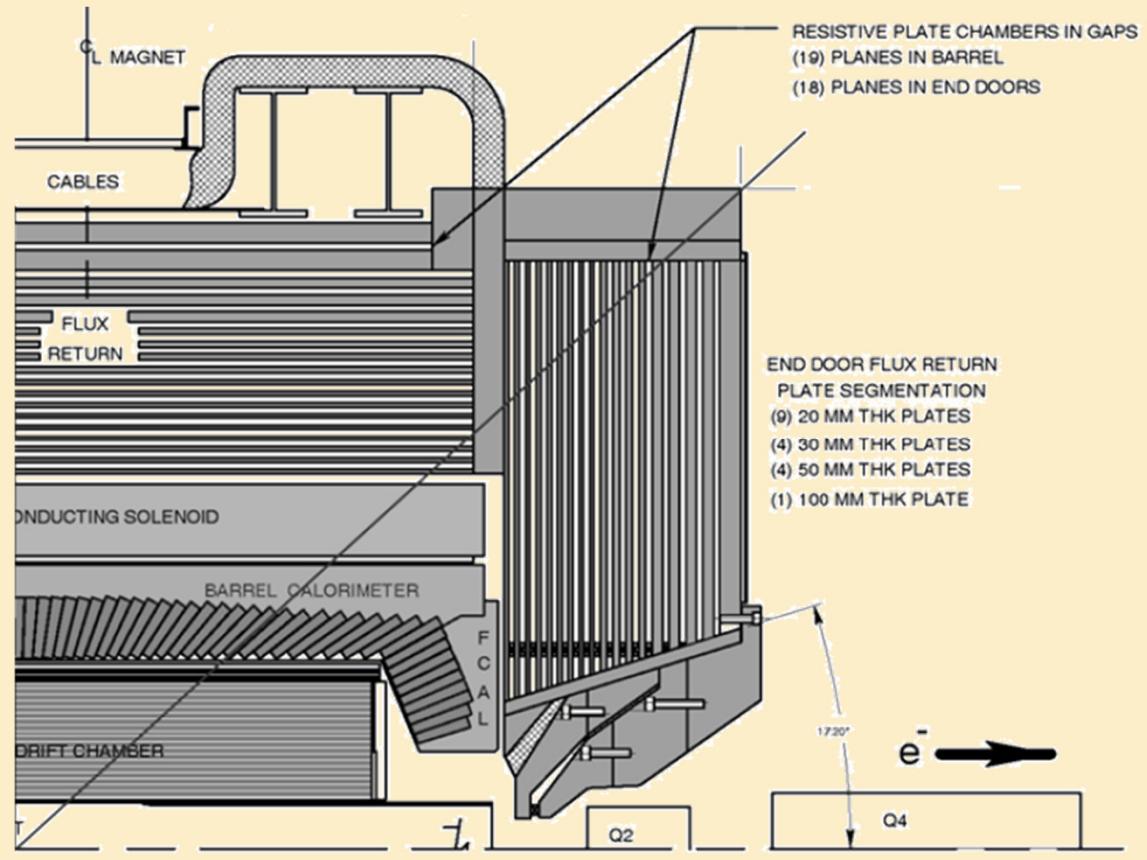


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# B Factories - XIII

Fall 2019

Instrumented Flux Return: Muon detector & (coarse) hadron calorimeter



# *B* Factories - XIV

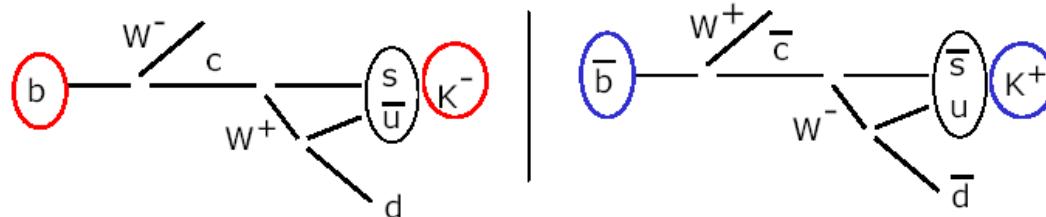
Fall 2019

Tagging: finding the flavor of the 2<sup>nd</sup> *B*-meson

Leptons : cleanest tag (correct=97%, efficiency=8.6%)



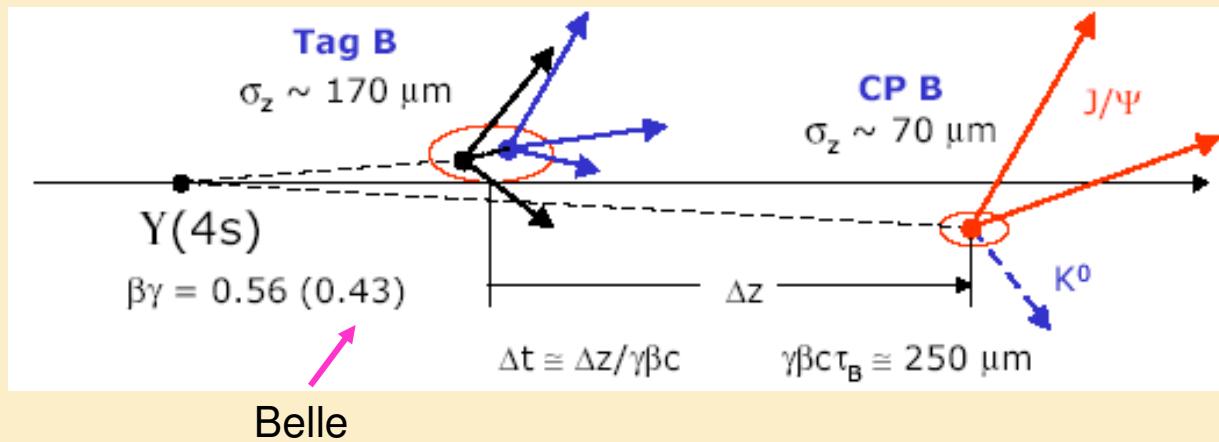
Kaons : 2<sup>nd</sup> cleanest tag (correct 85%-95%, efficiency=28%)



$w$  = mistag probability = 1 - correct      "dilution":  $D = 1-2w$

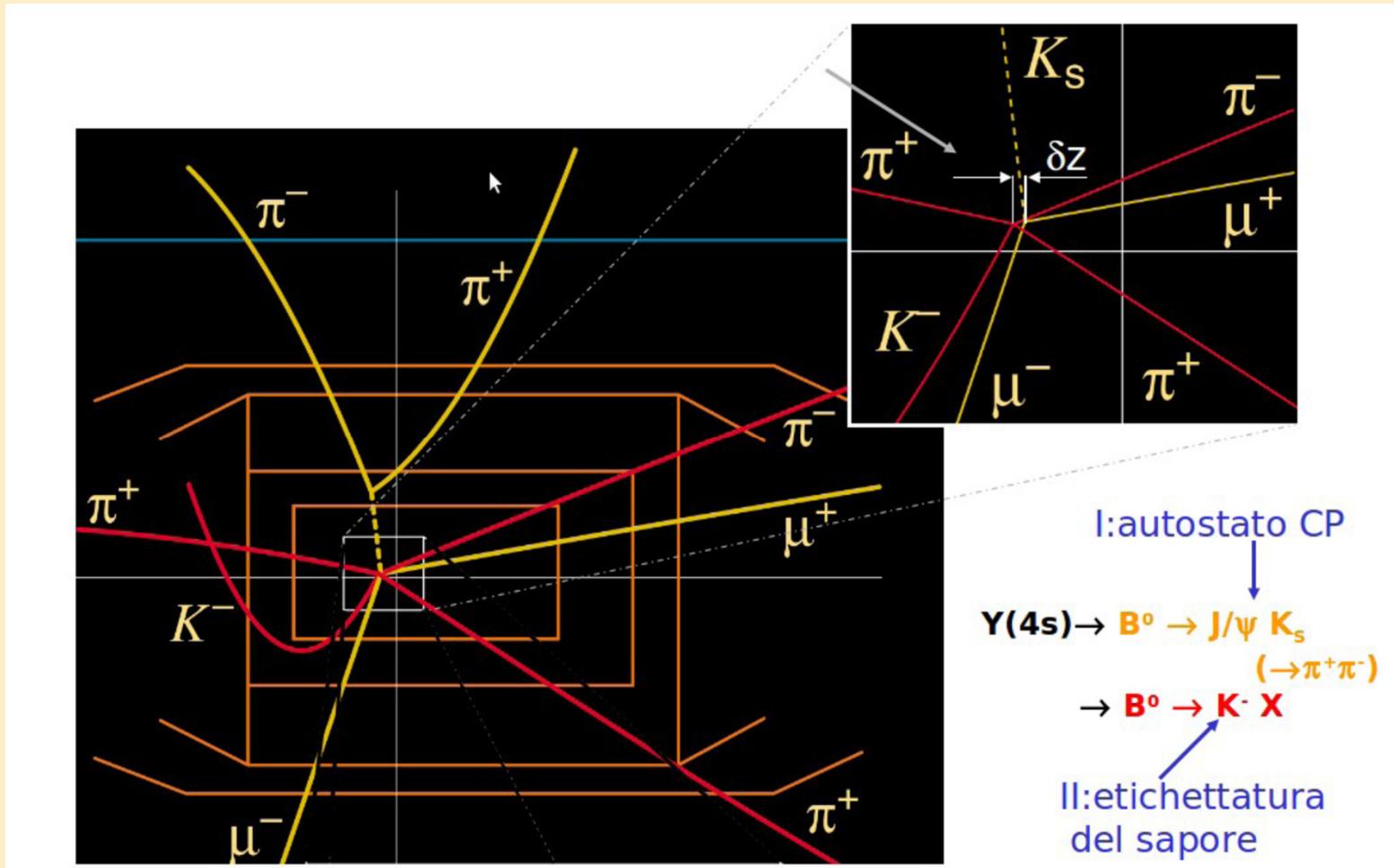
# *B* Factories - XV

Fall 2019



# *B* Factories - XVI

Fall 2019

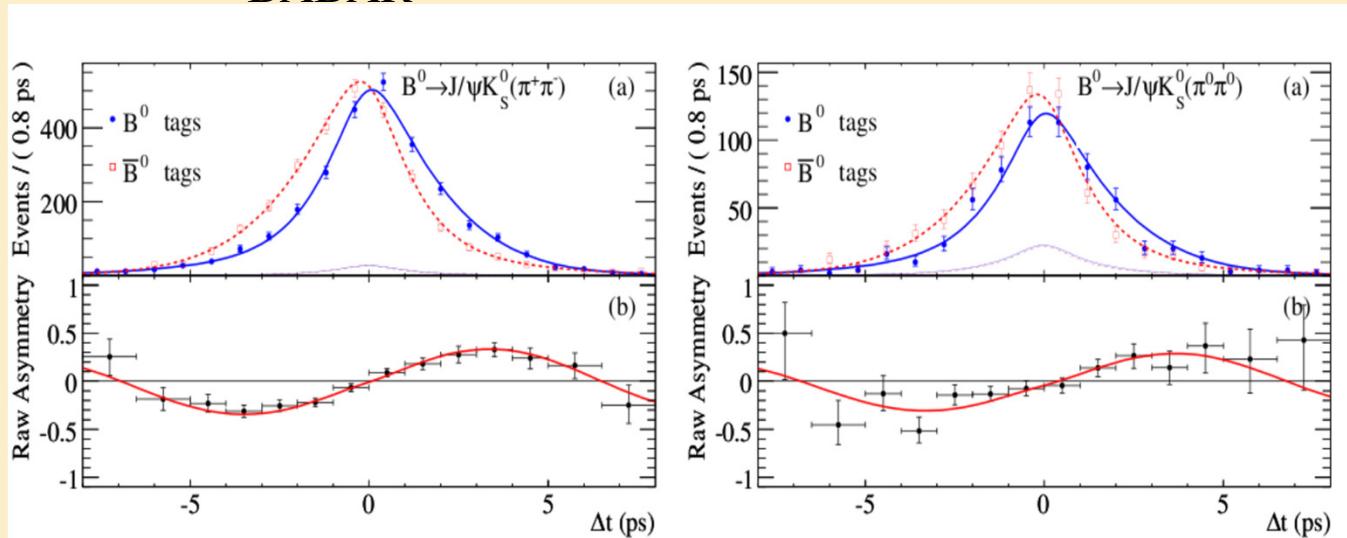


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# $B$ Factories - XVII

Fall 2019

BABAR



Sample		$-\eta_f S_f$	$C_f$
Full $CP$ sample	→	$0.687 \pm 0.028$	$0.024 \pm 0.020$
$J/\psi K_S^0(\pi^+\pi^-)$	→	$0.662 \pm 0.039$	$0.017 \pm 0.028$
$J/\psi K_S^0(\pi^0\pi^0)$	→	$0.625 \pm 0.091$	$0.091 \pm 0.063$