

*$\mathcal{N} = 1/2$ gauge theory and its instantons
from open strings in R-R background*

Marco Billò^{1,2}

¹Dipartimento di Fisica Teorica
Università di Torino

²Istituto Nazionale di Fisica Nucleare
Sezione di Torino

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This talk is based on...

-  M. Billo, M. Frau, I. Pesando, F. Fucito, A. Lerda and A. Liccardo, “Classical gauge instantons from open strings,” JHEP **0302** (2003) 045 [arXiv:hep-th/0211250].
-  M. Billo, M. Frau, I. Pesando and A. Lerda, “ $\mathcal{N} = 1/2$ gauge theory and its instanton moduli space from open strings in R-R background,” arXiv:hep-th/0402160.



Outline

Introduction

Gauge theories and String Theory

Deformations from closed string backgrounds

$\mathcal{N} = 1/2$ theory from strings

$\mathcal{N} = 1$ gauge theory from string amplitudes

The graviphoton deformation

ADHM moduli space

The ADHM moduli space of the $\mathcal{N} = 1$ theory

The RR deformation of the moduli space

The instanton solution

Conclusions

Typeset with L^AT_EX
using the beamer class



For a general introduction...

... see previous talk!



Gauge theories from String Theory

- ▶ String theory (which might well lead us to the T.O.E.) is anyhow, more modestly, a very **precious tool** to study **gauge theories**. For instance,
 - ▶ **perturbative amplitudes** (may gluons, ...) via **string techniques**;
 - ▶ **AdS/CFT** and its extensions;
 - ▶ **instantonic effects**, (see previous talk).
- ▶ In the string framework, **gauge** d.o.f. arise from open strings suspended between **D-branes** in a well-suited limit

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Gauge theories in closed string backgrounds

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- ▶ In this way, **deformations** of the gauge theory are naturally suggested by their string realization. Such deformations are characterized by
 - ▶ new geometry in (super)space-time;
 - ▶ new mathematical structures;
 - ▶ new types of interactions and couplings.



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 - ▶ new **mathematical structures**;
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Non-commutative field theories and NS-NS backgrounds

$B_{\mu\nu}$ background: new geometry

- ▶ The most famous example is that of (gauge) field theories in the background of the $B^{\mu\nu}$ field of the NS-NS sector of closed string.
- ▶ They are a stringy realization of non-commutative field theories, *i.e.* theories defined on a non commutative space-time:

$$[x^\mu, x^\nu] = \theta^{\mu\nu}(B) .$$

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Non-commutative field theories and NS-NS backgrounds

$B_{\mu\nu}$ background: new mathematical structure

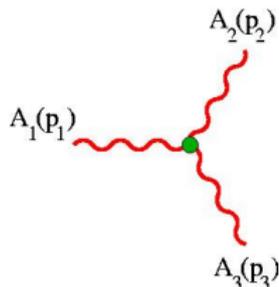
- ▶ There arises a **non-commutative associative algebra**:
ordinary product \rightarrow **Moyal \star product**:

$$\begin{aligned} f(x) \star g(x) &= f(x) \exp\left(\frac{i}{2} \overleftarrow{\frac{\partial}{\partial x^\mu}} \theta^{\mu\nu} \overrightarrow{\frac{\partial}{\partial x^\nu}}\right) g(x) \\ &= f(x)g(x) + \frac{i}{2} \partial_\mu f(x) \theta^{\mu\nu} \partial_\nu g(x) + \mathcal{O}(\theta^2) \end{aligned}$$

Non-commutative field theories and NS-NS backgrounds

$B_{\mu\nu}$ background: new interactions

There are new interactions and couplings.



For instance, a 3-photon coupling in the U(1) theory

$$(A_1 \cdot A_2 p_2 \cdot A_3 + \text{cyclic}) \underline{\underline{p_1 \cdot \theta \cdot p_2}} + \mathcal{O}(\theta^3).$$



Non-anticommutative theories and RR backgrounds

$C_{\mu\nu}$ RR background: new geometry

- ▶ Another case, recently attracting attention, is that of **gauge** (and matter) **fields** in the background of a “graviphoton” field strength $C_{\mu\nu}$ from the Ramond-Ramond sector of closed strings.
- ▶ These turn out to be defined on a **non-anticommutative superspace**, where the, say, anti-chiral fermionic coordinates satisfy

$$\{\theta^{\dot{\alpha}}, \theta^{\dot{\beta}}\} \propto C^{\dot{\alpha}\dot{\beta}} \propto (\sigma^{\mu\nu})^{\dot{\alpha}\dot{\beta}} C_{\mu\nu}.$$



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- ▶ There are also new interactions between the gauge and matter fields: see later in the talk.

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The focus of the talk

- ▶ We shall analyze a particular **deformation** of a **gauge theory** induced by a **RR background**.
- ▶ This is the case where the $\mathcal{N} = 1$ superspace becomes partly non-anticommutative because of the “graviphoton” $C_{\mu\nu}$ background and the pure $\mathcal{N} = 1$ **gauge theory** is deformed to the so-called $\mathcal{N} = 1/2$ **theory** of [Seiberg, 2003].
- ▶ We shall derive explicitly **from string diagrams** (in the traditional RNS formulation) the $\mathcal{N} = 1/2$ **theory**.
- ▶ Moreover, along the lines of the previous talk, we will derive from string diagrams the **instantonic solutions** of this theory and their **ADHM moduli space**.



The $\mathcal{N} = 1/2$ gauge theory from open strings

We will now proceed as follows.

- ▶ Review the set-up to retrieve the action of pure $\mathcal{N} = 1$ gauge theory from open string disk amplitudes.
- ▶ Retrieve the action of the so-called $\mathcal{N} = 1/2$ gauge theory [Seiberg, 2003] by inserting closed string vertices for a certain constant RR field strength.

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The set-up

- ▶ Type IIB string theory on target space

$$\mathbb{R}^4 \times \frac{\mathbb{R}^6}{\mathbb{Z}_2 \times \mathbb{Z}_2}$$

Decompose $x^M \rightarrow (x^\mu, x^a)$, ($\mu = 1, \dots, 4$, $a = 5, \dots, 10$).

- ▶ $\mathbb{Z}_2 \times \mathbb{Z}_2 \subset \text{SO}(6)$ is generated by
 - ▶ g_1 : a rotation by π in the 7-8 and by $-\pi$ in the 9-10 plane;
 - ▶ g_2 : a rotation by π in the 5-6 and by $-\pi$ in the 9-10 plane.
- ▶ The origin is a fixed point \Rightarrow the orbifold is a singular, non-compact, Calabi-Yau space.

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The set-up: Killing spinors

- ▶ Of the 8 **spinor weights** of $SO(6)$,

$$\vec{\lambda} = \left(\pm \frac{1}{2}, \pm \frac{1}{2}, \pm \frac{1}{2} \right)$$

it is easy to see that the only **invariant** ones w.r.t. the generators $g_{1,2}$ are

$$\vec{\lambda}^{(+)} = \left(+\frac{1}{2}, +\frac{1}{2}, +\frac{1}{2} \right), \quad \vec{\lambda}^{(-)} = \left(-\frac{1}{2}, -\frac{1}{2}, -\frac{1}{2} \right)$$

(resp. chiral and anti-chiral). In this orbifold realization, they describe the **2**(= $8/4$) **Killing spinors** of the **CY**.

- ▶ We remain with **8**(= $32/4$) real **susies** in the bulk.





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The set-up: internal spin fields

- ▶ Bosonizing the $SO(6)$ current algebra by

$$e^{i\varphi_1} = \frac{\psi^5 + i\psi^6}{\sqrt{2}}, \quad e^{i\varphi_2} = \frac{\psi^7 + i\psi^8}{\sqrt{2}}, \quad e^{i\varphi_3} = \frac{\psi^9 + i\psi^{10}}{\sqrt{2}}.$$

(up to cocycles), the spin fields are $S^{\vec{\lambda}} = e^{i\lambda^i \varphi_i}$.

- ▶ The correlators of spin fields are immediate upon use of

$$\langle \varphi_i(z) \varphi_j(w) \rangle = \delta_{ij} \log(z - w).$$

- ▶ Only two of these internal **spin fields** survive the orbifold projection:

$$S^{(\pm)} = e^{i\lambda^{(\pm)i} \varphi_i} = e^{\pm \frac{i}{2}(\varphi_1 + \varphi_2 + \varphi_3)}.$$





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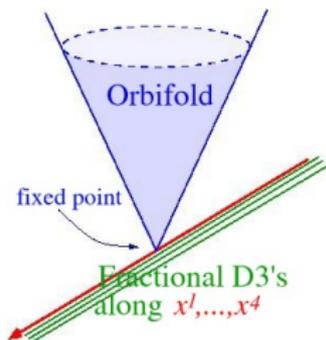
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Fractional $D3$ branes

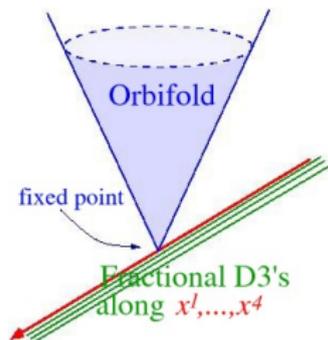
- ▶ Place N **fractional** $D3$ branes, localized at the orbifold fixed point. The branes preserve $4 = 8/2$ real supercharges.
- ▶ The **Chan-Patons** of open strings attached to fractional branes transform in an **irrep** of $\mathbb{Z}_2 \times \mathbb{Z}_2$.
- ▶ The fractional branes must sit at the orbifold fixed point (*otherwise would transform in the reducible regular rep*)





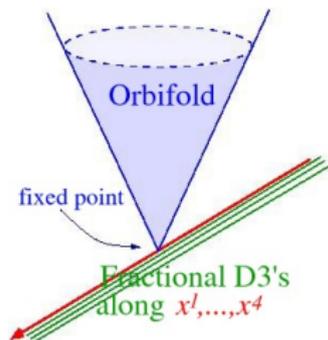
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Fractional D3 branes and pure $\mathcal{N} = 1$ gauge theory

- ▶ Spectrum of massless open strings attached to the N fractional D3's corresponds to $\mathcal{N} = 1$ pure U(N) gauge theory. Schematically,

$$\text{NS: } \begin{cases} \psi^\mu & \rightarrow A_\mu \\ \cancel{\psi^a} & \text{no scalars!} \end{cases} \quad \text{R: } \begin{cases} S^\alpha S^{(+)} & \rightarrow \Lambda_\alpha \\ S^{\dot{\alpha}} S^{(-)} & \rightarrow \Lambda_{\dot{\alpha}} \end{cases}$$

- ▶ The action is retrieved from disk amplitudes in the $\alpha' \rightarrow 0$ limit, as described in Alberto's talk. One gets indeed

$$S = \frac{1}{g_{\text{YM}}^2} \int d^4x \text{Tr} \left(\frac{1}{2} F_{\mu\nu}^2 - 2 \bar{\Lambda}_{\dot{\alpha}} \bar{D}^{\dot{\alpha}\beta} \Lambda_\beta \right).$$





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Auxiliary fields

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$$S' = \frac{1}{g_{\text{YM}}^2} \int d^4x \text{Tr} \left\{ (\partial_\mu A_\nu - \partial_\nu A_\mu) \partial^\mu A^\nu + 2i \partial_\mu A_\nu [A^\mu, A^\nu] - 2\bar{\Lambda}_{\dot{\alpha}} \bar{D}^{\dot{\alpha}\beta} \Lambda_\beta + H_c H^c + H_c \bar{\eta}_{\mu\nu}^c [A^\mu, A^\nu] \right\} ,$$

- ▶ Integrating out H_c gives $H_{\mu\nu} \propto [A_\mu, A_\nu]$ and the usual action
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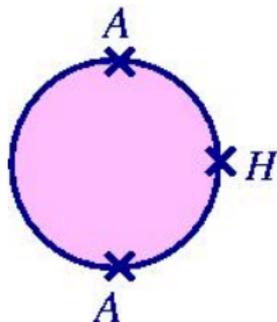
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Auxiliary fields in the open string set-up

- ▶ The auxiliary field $H_{\mu\nu}$ is associated to the (non-BRST invariant) vertex

$$V_H(y; p) = (2\pi\alpha') \frac{H_{\mu\nu}(p)}{2} \psi^\nu \psi^\mu(y) e^{i\sqrt{2\pi\alpha'} p \cdot X(y)} .$$



We have then, for instance,

$$\frac{1}{2} \langle\langle V_H V_A V_A \rangle\rangle = -\frac{1}{g_{\text{YM}}^2} \text{Tr} \left(H_{\mu\nu}(p_1) A^\mu(p_2) A^\nu(p_3) \right) + \text{other ordering}$$

\rightsquigarrow last term in the previous action.

Typeset with L^AT_EX
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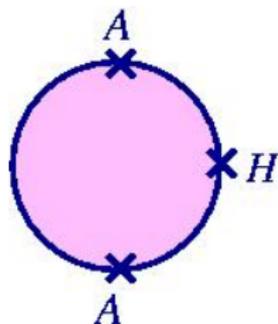




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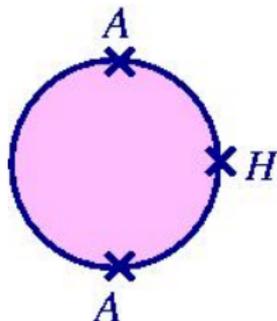
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The graviphoton background

- ▶ RR vertex in 10D, in the symmetric superghost picture:

$$\mathcal{F}_{\dot{A}\dot{B}} S^{\dot{A}} e^{-\phi/2}(z) \tilde{S}^{\dot{B}} e^{-\tilde{\phi}/2}(\bar{z}) .$$

Bispinor $\mathcal{F}_{\dot{A}\dot{B}} \rightsquigarrow$ 1-, 3- and **a.s.d. 5-form** field strengths.

- ▶ On $\mathbb{R}^4 \times \frac{\mathbb{R}^6}{\mathbb{Z}_2 \times \mathbb{Z}_2}$, a surviving 4D bispinor vertex is

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with $\mathcal{F}_{\dot{\alpha}\dot{\beta}} = \mathcal{F}_{\dot{\beta}\dot{\alpha}}$.

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The graviphoton background

- ▶ RR vertex in 10D, in the symmetric superghost picture:

$$\mathcal{F}_{\dot{A}\dot{B}} S^{\dot{A}} e^{-\phi/2}(z) \tilde{S}^{\dot{B}} e^{-\tilde{\phi}/2}(\bar{z}) .$$

Bispinor $\mathcal{F}_{\dot{A}\dot{B}} \rightsquigarrow$ 1-, 3- and **a.s.d. 5-form** field strengths.

- ▶ On $\mathbb{R}^4 \times \frac{\mathbb{R}^6}{\mathbb{Z}_2 \times \mathbb{Z}_2}$, a surviving 4D bispinor vertex is

$$\mathcal{F}_{\dot{\alpha}\dot{\beta}} S^{\dot{\alpha}} S^{(+)} e^{-\phi/2}(z) \tilde{S}^{\dot{\beta}} \tilde{S}^{(+)} e^{-\tilde{\phi}/2}(\bar{z}) .$$

with $\mathcal{F}_{\dot{\alpha}\dot{\beta}} = \mathcal{F}_{\dot{\beta}\dot{\alpha}}$.

- ▶ This \sim decomposing the **5-form** along the holom. 3-form of the CY \rightsquigarrow an **a.s.d. 2-form** in 4D

$$C_{\mu\nu} \propto \mathcal{F}_{\dot{\alpha}\dot{\beta}} (\bar{\sigma}^{\mu\nu})^{\dot{\alpha}\dot{\beta}} ,$$

the graviphoton f.s. of $\mathcal{N} = 1/2$ theories.



Inserting graviphotons in disk amplitudes

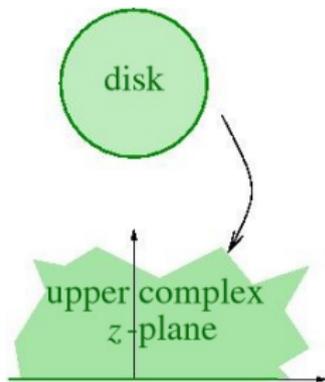
- ▶ Conformally mapping the disk to the upper half z -plane, the **D3 boundary conditions** on spin fields read

$$S^{\dot{\alpha}} S^{(+)}(z) = \tilde{S}^{\dot{\alpha}} \tilde{S}^{(+)}(\bar{z}) \Big|_{z=\bar{z}} .$$

(opposite sign for $\tilde{S}^{\alpha} \tilde{S}^{(+)}(\bar{z})$).

- ▶ When closed string vertices are inserted in a **D3 disk**,

$$\tilde{S}^{\dot{\alpha}} \tilde{S}^{(+)}(\bar{z}) \longrightarrow S^{\dot{\alpha}} S^{(+)}(\bar{z}) .$$





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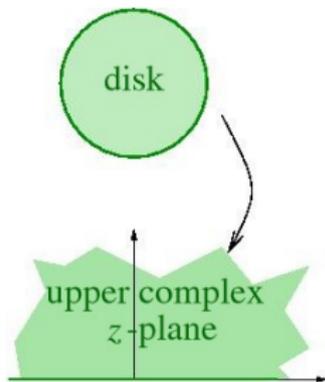
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Disk amplitudes with a graviphoton

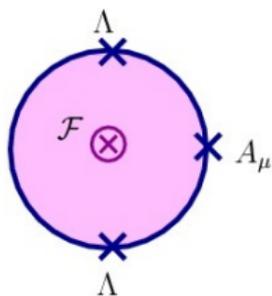
Start inserting a **graviphoton** vertex:

$$\langle\langle V_\Lambda V_\Lambda V_\Lambda V_{\mathcal{F}} \rangle\rangle$$

where

$$V_{\mathcal{F}}(z, \bar{z}) = \mathcal{F}_{\dot{\alpha}\dot{\beta}} S^{\dot{\alpha}} S^{(+)} e^{-\phi/2}(z) S^{\dot{\beta}} S^{(+)} e^{-\phi/2}(\bar{z}) .$$

\rightsquigarrow we need two $S^{(-)}$ operators to “saturate the charge”





Disk amplitudes with a graviphoton

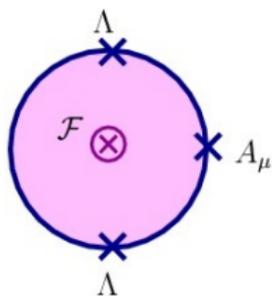
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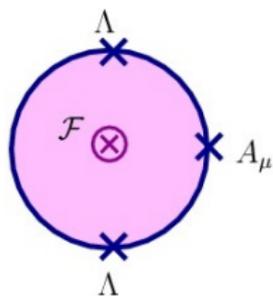
Disk amplitudes with a graviphoton

We insert therefore two **chiral gauginos**:

$$\langle\langle V_\Lambda V_\Lambda V_A V_{\mathcal{F}} \rangle\rangle$$

with vertices

$$V_\Lambda(y; p) = (2\pi\alpha')^{\frac{3}{4}} \Lambda^\alpha(p) S_\alpha S^{(-)} e^{-\frac{1}{2}\phi(y)} e^{i\sqrt{2\pi\alpha'} p \cdot X(y)} .$$



Without other insertions, however,

$$\langle S^{\dot{\alpha}} S^{\dot{\beta}} S_\alpha S_\beta \rangle \propto \epsilon^{\dot{\alpha}\dot{\beta}} \epsilon_{\alpha\beta}$$

\rightsquigarrow **vanishes** when contracted with $\mathcal{F}_{\dot{\alpha}\dot{\beta}}$.



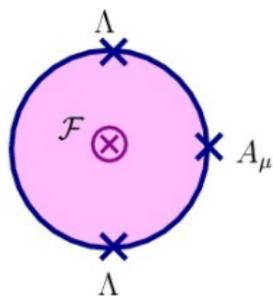
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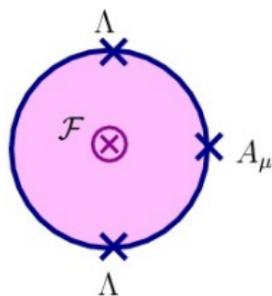
Disk amplitudes with a graviphoton

To this effect, insert a **gauge field** vertex:

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that must be in the 0 picture:

$$V_A(y; p) = 2i (2\pi\alpha')^{\frac{1}{2}} A_\mu(p) \left(\partial X^\mu(y) + i (2\pi\alpha')^{\frac{1}{2}} p \cdot \psi \psi^\mu(y) \right) e^{i\sqrt{2\pi\alpha'} p \cdot X(y)}$$



↪ finally, we may get a **non-zero result!**



Disk amplitudes with a graviphoton

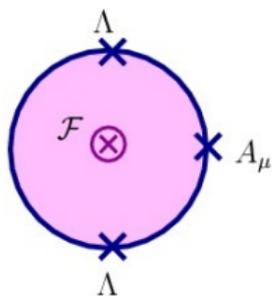
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Evaluation of the amplitude

- ▶ We have

$$\begin{aligned} \langle\langle V_\Lambda V_\Lambda V_A V_{\mathcal{F}} \rangle\rangle &\equiv C_4 \int \frac{\prod_i dy_i dz d\bar{z}}{dV_{\text{CKG}}} \\ &\langle V_\Lambda(y_1; p_1) V_\Lambda(y_2; p_2) V_A(y_3; p_3) V_{\mathcal{F}}(z, \bar{z}) \rangle \end{aligned}$$

where the **normalization** for a **D3 disk** is

$$C_4 = \frac{1}{\pi^2 \alpha'^2} \frac{1}{g_{\text{YM}}^2}$$

and the $\text{SL}(2, \mathbb{R})$ -invariant volume is

$$dV_{\text{CGK}} = \frac{dy_a dy_b dy_c}{(y_a - y_b)(y_b - y_c)(y_c - y_a)} .$$

Explicit expression of the amplitude

- Altogether, the explicit expression is

$$\begin{aligned}
 \langle\langle V_\Lambda V_\Lambda V_A V_{\mathcal{F}} \rangle\rangle &= \frac{8}{g_{\text{YM}}^2} (2\pi\alpha')^{\frac{1}{2}} \text{Tr} \left(\Lambda^\alpha(p_1) \Lambda^\beta(p_2) p_3^\nu A^\mu(p_3) \right) \mathcal{F}_{\dot{\alpha}\dot{\beta}} \\
 &\times \int \frac{\prod_i dy_i dz d\bar{z}}{dV_{\text{CKG}}} \left\{ \langle S_\alpha(y_1) S_\beta(y_2) : \psi^\nu \psi^\mu : (y_3) S^{\dot{\alpha}}(z) S^{\dot{\beta}}(\bar{z}) \rangle \right. \\
 &\times \langle S^{(-)}(y_1) S^{(-)}(y_2) S^{(+)}(z) S^{(+)}(\bar{z}) \rangle \\
 &\times \langle e^{-\frac{1}{2}\phi(y_1)} e^{-\frac{1}{2}\phi(y_2)} e^{-\frac{1}{2}\phi(z)} e^{-\frac{1}{2}\phi(\bar{z})} \rangle \\
 &\left. \times \langle e^{i\sqrt{2\pi\alpha'} p_1 \cdot X(y_1)} e^{i\sqrt{2\pi\alpha'} p_2 \cdot X(y_2)} e^{i\sqrt{2\pi\alpha'} p_3 \cdot X(y_3)} \rangle \right\} .
 \end{aligned}$$



Evaluation of the amplitude: correlators

- ▶ The relevant correlators are:





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1. Superghosts

$$\begin{aligned} & \langle e^{-\frac{1}{2}\phi(y_1)} e^{-\frac{1}{2}\phi(y_2)} e^{-\frac{1}{2}\phi(z)} e^{-\frac{1}{2}\phi(\bar{z})} \rangle \\ &= \left[(y_1 - y_2) (y_1 - z) (y_1 - \bar{z}) (y_2 - z) (y_2 - \bar{z}) (z - \bar{z}) \right]^{-\frac{1}{4}} . \end{aligned}$$



Evaluation of the amplitude: correlators

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2. Internal spin fields

$$\begin{aligned} & \langle S^{(-)}(y_1) S^{(-)}(y_2) S^{(+)}(z) S^{(+)}(\bar{z}) \rangle \\ &= (y_1 - y_2)^{\frac{3}{4}} (y_1 - z)^{-\frac{3}{4}} (y_1 - \bar{z})^{-\frac{3}{4}} (y_2 - z)^{-\frac{3}{4}} (y_2 - \bar{z})^{-\frac{3}{4}} \\ & \quad \times (z - \bar{z})^{\frac{3}{4}} . \end{aligned}$$



Evaluation of the amplitude: correlators

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3. 4D spin fields

$$\begin{aligned}
 & \langle S_\gamma(y_1) S_\delta(y_2) : \psi^\mu \psi^\nu : (y_3) S^{\dot{\alpha}}(z) S^{\dot{\beta}}(\bar{z}) \rangle \\
 &= \frac{1}{2} (y_1 - y_2)^{-\frac{1}{2}} (z - \bar{z})^{-\frac{1}{2}} \\
 & \times \left((\sigma^{\mu\nu})_{\gamma\delta} \varepsilon^{\dot{\alpha}\dot{\beta}} \frac{(y_1 - y_2)}{(y_1 - y_3)(y_2 - y_3)} \right. \\
 & \left. + \varepsilon_{\gamma\delta} (\bar{\sigma}^{\mu\nu})^{\dot{\alpha}\dot{\beta}} \frac{(z - \bar{z})}{(y_3 - z)(y_3 - \bar{z})} \right) .
 \end{aligned}$$





Evaluation of the amplitude: correlators

- ▶ The relevant correlators are:

4. Momentum factors

$$\langle e^{i\sqrt{2\pi\alpha'} p_1 \cdot X(y_1)} e^{i\sqrt{2\pi\alpha'} p_2 \cdot X(y_2)} e^{i\sqrt{2\pi\alpha'} p_3 \cdot X(y_3)} \rangle \xrightarrow{\text{on shell}} 1 .$$





Evaluation of the amplitude: $SL(2, \mathbb{R})$ fixing

- ▶ We may, for instance, choose

$$y_1 \rightarrow \infty, \quad z \rightarrow i, \quad \bar{z} \rightarrow -i.$$

- ▶ The remaining integrations turn out to be

$$\int_{-\infty}^{+\infty} dy_2 \int_{-\infty}^{y_2} dy_3 \frac{1}{(y_2^2 + 1)(y_3^2 + 1)} = \frac{\pi^2}{2}.$$

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Final result for the amplitude

- ▶ We finally obtain for $\langle\langle V_\Lambda V_\Lambda V_A V_{\mathcal{F}} \rangle\rangle$ the result

$$\frac{8\pi^2}{g_{\text{YM}}^2} (2\pi\alpha')^{\frac{1}{2}} \text{Tr} \left(\Lambda(p_1) \cdot \Lambda(p_2) p_3^\nu A^\mu(p_3) \right) \mathcal{F}_{\dot{\alpha}\dot{\beta}} (\bar{\sigma}_{\nu\mu})^{\dot{\alpha}\dot{\beta}} .$$

- ▶ This result is **finite** for $\alpha' \rightarrow 0$ if we keep constant

$$C_{\mu\nu} \equiv 4\pi^2 (2\pi\alpha')^{\frac{1}{2}} \mathcal{F}_{\dot{\alpha}\dot{\beta}} (\bar{\sigma}_{\mu\nu})^{\dot{\alpha}\dot{\beta}}$$

- ▶ $C_{\mu\nu}$, of dimension (length) will be exactly the one of $\mathcal{N} = 1/2$ theory.
- ▶ We get an extra term in the gauge theory action:

$$\frac{i}{g_{\text{YM}}^2} \int d^4x \text{Tr} \left(\Lambda \cdot \Lambda (\partial^\mu A^\nu - \partial^\nu A^\mu) \right) C_{\mu\nu} .$$

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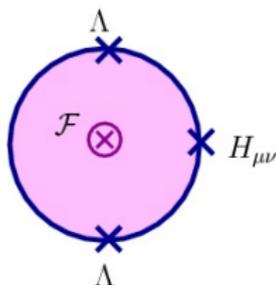
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Another contribute

- ▶ Another possible diagram with a graviphoton insertion is

$$\langle\langle V_\Lambda V_\Lambda V_H V_{\mathcal{F}} \rangle\rangle.$$

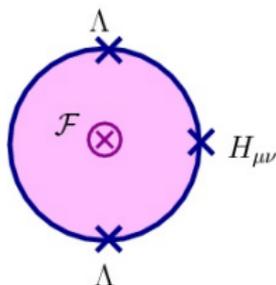




Another contribute

- ▶ Another possible diagram with a graviphoton insertion is

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- ▶ Recall that the auxiliary field vertex in the 0 picture is

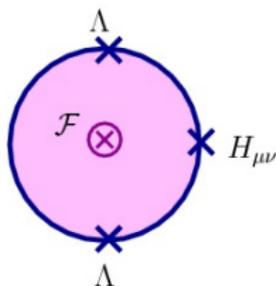
$$V_H(y; p) = (2\pi\alpha') \frac{H_{\mu\nu}(p)}{2} \psi^\nu \psi^\mu(y) e^{i\sqrt{2\pi\alpha'} p \cdot X(y)}$$



Another contribute

- ▶ Another possible diagram with a graviphoton insertion is

$$\langle\langle V_\Lambda V_\Lambda V_H V_{\mathcal{F}} \rangle\rangle.$$



- ▶ The evaluation of this amplitude parallels exactly the previous one and contributes to the field theory action the term:

$$\frac{1}{2g_{\text{YM}}^2} \int d^4x \text{Tr} \left(\Lambda \cdot \Lambda H^{\mu\nu} \right) C_{\mu\nu},$$

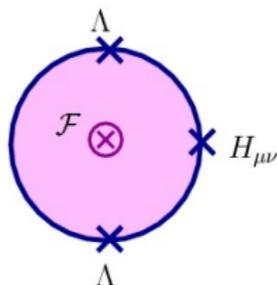
having introduced $C_{\mu\nu}$ as above.



Another contribute

- ▶ Another possible diagram with a graviphoton insertion is

$$\langle\langle V_\Lambda V_\Lambda V_H V_{\mathcal{F}} \rangle\rangle.$$



- ▶ All other amplitudes involving \mathcal{F} vertices either
 - ▶ vanish because of their tensor structure;
 - ▶ vanish in the $\alpha' \rightarrow 0$ limit, with $C_{\mu\nu}$ fixed.

The deformed gauge theory action

- From **disk diagrams** with **RR insertions** we obtain, in the field theory limit

$$\alpha' \rightarrow 0 \text{ with } C_{\mu\nu} \text{ fixed}$$

the action

$$\begin{aligned} \tilde{S}' = \frac{1}{g_{\text{YM}}^2} \int d^4x \text{Tr} \left\{ & (\partial_\mu A_\nu - \partial_\nu A_\mu) \partial^\mu A^\nu + 2i \partial_\mu A_\nu [A^\mu, A^\nu] \right. \\ & - 2\bar{\Lambda}_{\dot{\alpha}} \bar{D}^{\dot{\alpha}\beta} \Lambda_\beta + i(\partial^\mu A^\nu - \partial^\nu A^\mu) \Lambda \cdot \Lambda C_{\mu\nu} \\ & \left. + H_c H^c + H_c \bar{\eta}_{\mu\nu}^c \left([A^\mu, A^\nu] + \frac{1}{2} \Lambda \cdot \Lambda C^{\mu\nu} \right) \right\} . \end{aligned}$$

The deformed gauge theory action

- ▶ Integrating on the **auxiliary** field H_c , we get

$$\begin{aligned}\tilde{S} &= \frac{1}{g_{\text{YM}}^2} \int d^4x \operatorname{Tr} \left\{ \frac{1}{2} F_{\mu\nu}^2 - 2\bar{\Lambda}_{\dot{\alpha}} \bar{\mathcal{D}}^{\dot{\alpha}\beta} \Lambda_{\beta} \right. \\ &\quad \left. + i F^{\mu\nu} \Lambda \cdot \Lambda C_{\mu\nu} - \frac{1}{4} \left(\Lambda \cdot \Lambda C_{\mu\nu} \right)^2 \right\} \\ &= \frac{1}{g_{\text{YM}}^2} \int d^4x \operatorname{Tr} \left\{ \left(F_{\mu\nu}^{(-)} + \frac{i}{2} \Lambda \cdot \Lambda C_{\mu\nu} \right)^2 + \frac{1}{2} F_{\mu\nu} \tilde{F}^{\mu\nu} \right. \\ &\quad \left. - 2\bar{\Lambda}_{\dot{\alpha}} \bar{\mathcal{D}}^{\dot{\alpha}\beta} \Lambda_{\beta} \right\},\end{aligned}$$

i.e., **exactly** the action of Seiberg's $\mathcal{N} = 1/2$ gauge theory.

The deformed gauge theory action

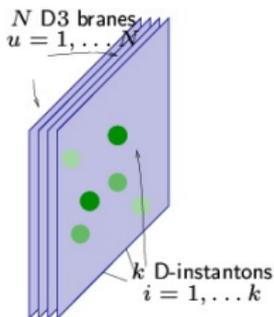
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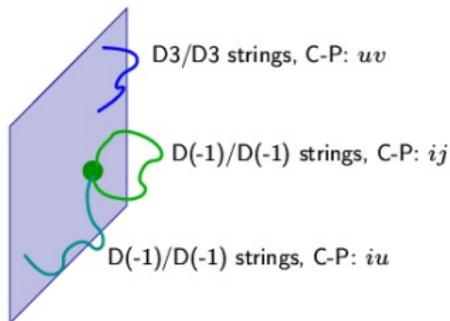
↪ How is the **instantonic sector** affected?



Instantonic effects in the deformed theory



- ▶ As we saw in the previous talk, adding (fractional) $D(-1)$ branes to the $D3$'s \rightsquigarrow instantonic sectors in the gauge theory.

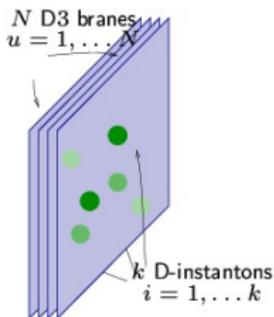


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Instantonic effects in the deformed theory

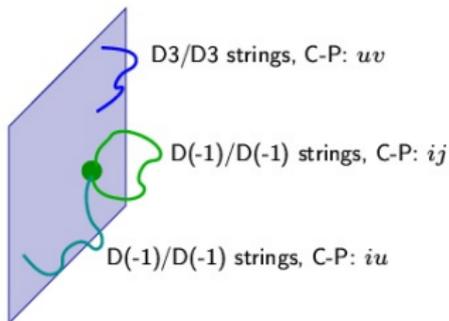


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- ▶ The open strings stretching
 - ▶ between a $D(-1)$ and another $D(-1)$;
 - ▶ between a $D(-1)$ and a $D3$

carry no momentum \rightsquigarrow ADHM moduli in the gauge theory.

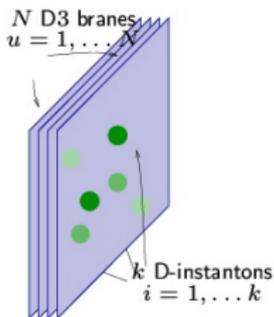
- ▶ Disks with $D(-1)$ and mixed $D(-1)/D3$ boundary \rightsquigarrow "measure" on moduli space



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Instantonic effects in the deformed theory

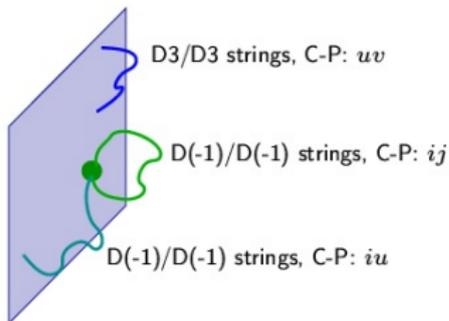


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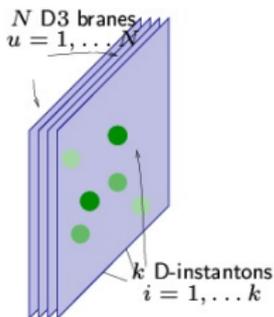
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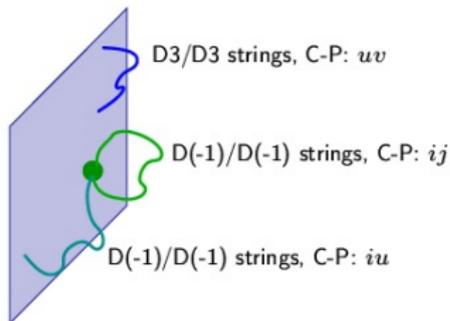




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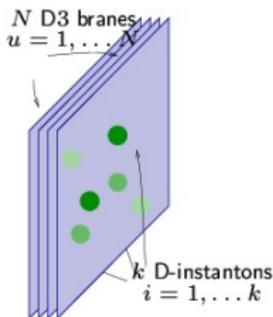


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- ▶ Mixed $D(-1)/D3$ disks can emit gauge theory fields \rightsquigarrow produce the instantonic solutions of the gauge theory.





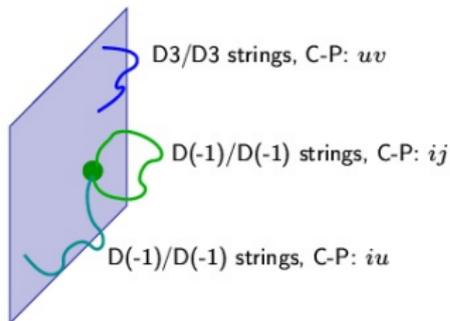
Instantonic effects in the deformed theory



- ▶ As we saw in the previous talk, adding (fractional) $D(-1)$ branes to the $D3$'s \rightsquigarrow instantonic sectors in the gauge theory.

- ▶ We shall now

- ▶ Review this in the $\mathcal{N} = 1$ case;
- ▶ Deform it with the graviphoton.

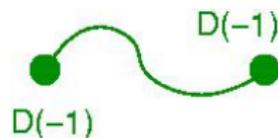




Moduli spectrum in the $\mathcal{N} = 1$ case

D(-1)/D(-1) strings

With k D(-1)'s, all vertices have Chan-Paton factors in the adjoint of $U(k)$.



NS sector

The vertices surviving the orbifold projection are

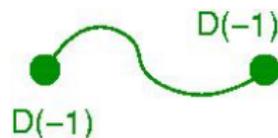
$$V_a(y) = (2\pi\alpha')^{\frac{1}{2}} g_0 a_\mu \psi^\mu(y) e^{-\phi(y)} .$$



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- ▶ Here g_0 is the coupling on the D(-1) theory:

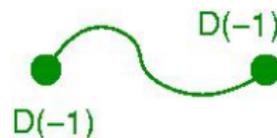
$$C_0 = \frac{1}{2\pi^2\alpha'^2} \frac{1}{g_0^2} = \frac{8\pi^2}{g_{\text{YM}}^2} .$$



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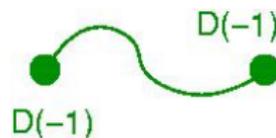
- ▶ C_0 = normaliz. of disks with (partly) D(-1) boundary. Since g_{YM} is fixed as $\alpha' \rightarrow 0$, g_0 blows up.



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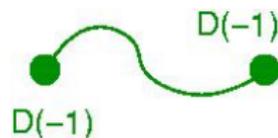
- ▶ The moduli a_μ are rescaled with powers of g_0 so that their interactions survive when $\alpha' \rightarrow 0$ with g_{YM}^2 fixed.



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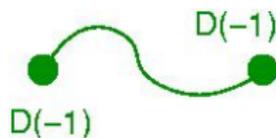
- ▶ The moduli a_μ have dimension (length) \sim positions of the (multi)center of the instanton



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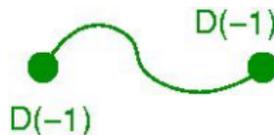
Moreover, we have the auxiliary vertex decoupling the quartic interactions

$$V_D(y) = (2\pi\alpha') \frac{D_c \bar{\eta}_{\mu\nu}^c}{2} \psi^\nu \psi^\mu(y) ,$$

Moduli spectrum in the $\mathcal{N} = 1$ case

D(-1)/D(-1) strings

With k D(-1)'s, all vertices have Chan-Paton factors in the **adjoint** of $U(k)$.



Ramond sector

The vertices surviving the **orbifold** projection are

$$V_M(y) = (2\pi\alpha')^{\frac{3}{4}} \frac{g_0}{\sqrt{2}} M'^{\alpha} S_{\alpha}(y) S^{(-)}(y) e^{-\frac{1}{2}\phi(y)} ,$$

$$V_{\lambda}(y) = (2\pi\alpha')^{\frac{3}{4}} \lambda_{\dot{\alpha}} S^{\dot{\alpha}}(y) S^{(+)}(y) e^{-\frac{1}{2}\phi(y)} .$$

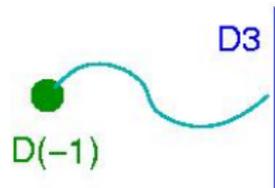
- ▶ M'^{α} has dimensions of $(\text{length})^{\frac{1}{2}}$;
- $\lambda_{\dot{\alpha}}$ has dimensions of $(\text{length})^{-\frac{3}{2}}$.



Moduli spectrum in the $\mathcal{N} = 1$ case

D(-1)/D3 strings

All vertices have Chan-Patons in the bifundamental of $U(k) \times U(N)$.



NS sector

The vertices surviving the orbifold projection are

$$V_w(y) = (2\pi\alpha')^{\frac{1}{2}} \frac{g_0}{\sqrt{2}} w_{\dot{\alpha}} \Delta(y) S^{\dot{\alpha}}(y) e^{-\phi(y)} ,$$

$$V_{\bar{w}}(y) = (2\pi\alpha')^{\frac{1}{2}} \frac{g_0}{\sqrt{2}} \bar{w}_{\dot{\alpha}} \bar{\Delta}(y) S^{\dot{\alpha}}(y) e^{-\phi(y)} ,$$

- ▶ The (anti-)twist fields $\Delta, \bar{\Delta}$ switch the b.c.'s on the X^μ string fields.

Typeset with L^AT_EX
using the beamer class

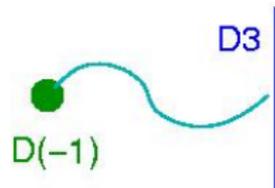




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- ▶ w and \bar{w} have dimensions of (length) and are related to the size of the instanton solution.

Typeset with L^AT_EX
using the beamer class

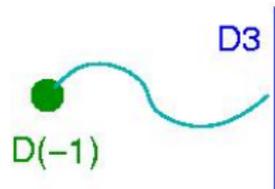




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The vertices surviving the orbifold projection are

$$V_{\mu}(y) = (2\pi\alpha')^{\frac{3}{4}} \frac{g_0}{\sqrt{2}} \mu \Delta(y) S^{(-)}(y) e^{-\frac{1}{2}\phi(y)} ,$$

$$V_{\bar{\mu}}(y) = (2\pi\alpha')^{\frac{3}{4}} \frac{g_0}{\sqrt{2}} \bar{\mu} \bar{\Delta}(y) S^{(-)}(y) e^{-\frac{1}{2}\phi(y)} .$$

- ▶ The fermionic moduli $\mu, \bar{\mu}$ have dimensions of $(\text{length})^{1/2}$.



The $\mathcal{N} = 1$ moduli action

- ▶ (Mixed) disk diagrams with the above moduli, for $\alpha' \rightarrow 0$ yield

$$S_{\text{mod}} = \text{tr} \left\{ -iD_c \left(W^c + i\bar{\eta}_{\mu\nu}^c [a'^{\mu}, a'^{\nu}] \right) - i\lambda^{\dot{\alpha}} \left(w_{\dot{\alpha}}^u \bar{\mu}_u + \mu^u \bar{w}_{\dot{\alpha}u} + [a'_{\alpha\dot{\alpha}}, M'^{\alpha}] \right) \right\}$$

where

$$(W^c)_j^i = w^{iu}_{\dot{\alpha}} (\tau^c)^{\dot{\alpha}}_{\dot{\beta}} \bar{w}^{\dot{\beta}}_{uj}$$

- ▶ D_c and $\lambda^{\dot{\alpha}}$ \sim Lagrange multipliers for the (super)ADHM constraints

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The $\mathcal{N} = 1$ ADHM constraints

- ▶ The ADHM constraints are three $k \times k$ matrix eq.s

$$W^c + i\bar{\eta}_{\mu\nu}^c [a'^{\mu}, a'^{\nu}] = \mathbf{0} .$$

- ▶ and their fermionic counterparts

$$w_{\dot{\alpha}}^u \bar{\mu}_u + \mu^u \bar{w}_{\dot{\alpha}u} + [a'_{\alpha\dot{\alpha}}, M'^{\alpha}] = \mathbf{0} .$$

- ▶ Once these constraints are satisfied, the moduli action vanishes.



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The graviphoton in $D(-1)$ disks

- ▶ Inserting $V_{\mathcal{F}}$ in a disk with all boundary on $D(-1)$'s is perfectly analogous to the $D3$ case (but we have non momenta).
- ▶ The only possible diagram is

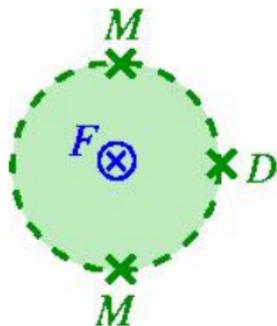
$$\langle\langle V_M V_M V_D V_{\mathcal{F}} \rangle\rangle$$

$$= \frac{\pi^2}{2} (2\pi\alpha')^{\frac{1}{2}} \text{tr} \left(M' \cdot M' D_c \right) \bar{\eta}_{\mu\nu}^c \mathcal{F}_{\dot{\alpha}\dot{\beta}} (\bar{\sigma}_{\nu\mu})^{\dot{\alpha}\dot{\beta}}$$

$$= -\frac{1}{2} \text{tr} \left(M' \cdot M' D_c \right) C^c,$$

where

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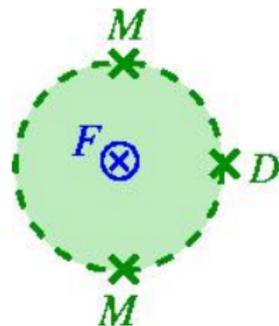
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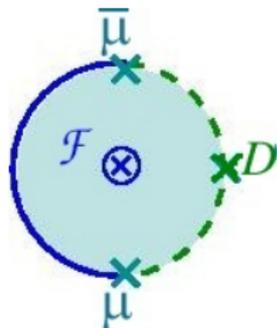




The graviphoton in mixed disks

- ▶ We can also insert $V_{\mathcal{F}}$ in a disk with mixed b.c.'s.
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$$\langle\langle V_{\bar{\mu}} V_{\mu} V_D V_{\mathcal{F}} \rangle\rangle$$

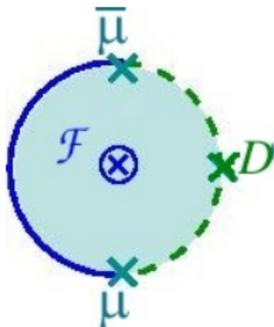




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- ▶ We have different b.c.s on the two parts of the boundary, but the spin fields in the RR vertex $V_{\mathcal{F}}$ have the same identification on both:

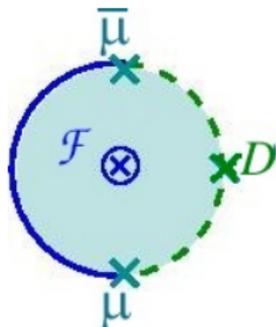
$$S^{\dot{\alpha}} S^{(+)}(z) = \tilde{S}^{\dot{\alpha}} \tilde{S}^{(+)}(\bar{z}) \Big|_{z=\bar{z}} .$$



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- ▶ This is because we chose $D(-1)$'s to represent instantons with self-dual f.s. and $\mathcal{F}_{\mu\nu}$ to be anti-self-dual.



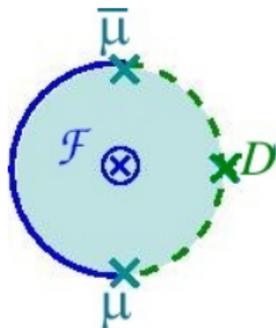
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- ▶ The $\mu, \bar{\mu}$ vertices contain bosonic **twist fields** with correlator

$$\Delta(y_1) \bar{\Delta}(y_2) \sim (y_1 - y_2)^{-\frac{1}{2}} .$$



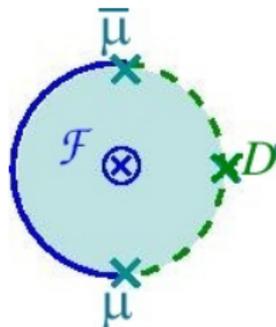
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- ▶ Taking into account all correlators, the $SL(2, \mathbb{R})$ gauge fixing, the integrations and the normalizations, we find the result

$$\begin{aligned} & -\frac{\pi^2}{2} (2\pi\alpha')^{\frac{1}{2}} \text{tr} \left(\bar{\mu}_u \mu^u D_c \right) \bar{\eta}_{\mu\nu}^c \mathcal{F}_{\dot{\alpha}\dot{\beta}} (\bar{\sigma}^{\nu\mu})^{\dot{\alpha}\dot{\beta}} \\ & = \frac{1}{2} \text{tr} \left(\bar{\mu}_u \mu^u D_c \right) C^c . \end{aligned}$$





Effects of the graviphoton on the moduli measure

- ▶ No other disk diagrams contribute in our $\alpha' \rightarrow 0$ limit.
- ▶ The two terms above are linear in the auxiliary field D_c
 \rightsquigarrow deform the bosonic ADHM constraints to

$$W^c + i\bar{\eta}_{\mu\nu}^c [a'^{\mu}, a'^{\nu}] + \frac{i}{2} \left(M' \cdot M' + \mu^u \bar{\mu}_u \right) C^c = 0 .$$

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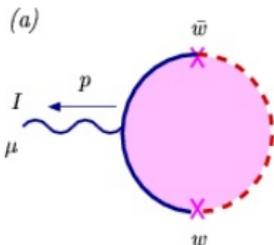


The emitted gauge field

- Mixed disks represent **sources** for the gauge theory fields. In particular, the amplitude for the emission of a gauge field A_μ^I results in

$$\begin{aligned} & \langle\langle V_{\bar{w}} \mathcal{V}_{A_\mu^I}(-p) V_w \rangle\rangle \\ &= i (T^I)^v{}_u p^\nu \bar{\eta}_{\nu\mu}^c (w^u{}_{\dot{\alpha}} (\tau^c)^{\dot{\alpha}}{}_{\dot{\beta}} \bar{w}^{\dot{\beta}}{}_v) e^{-ip \cdot x_0} . \end{aligned}$$

- The $\mathcal{V}_{A_\mu^I}(-p)$ has **no polarization** and **outgoing momentum**.
- N.B. From now on we set $k = 1$, i.e. we consider **instanton number 1**.

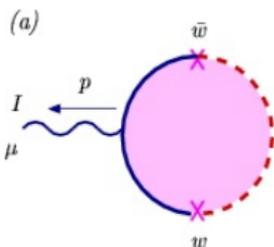


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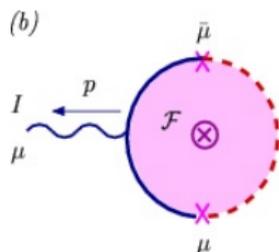
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The emitted gauge field in presence of $C_{\mu\nu}$

- In the graviphoton background, we have the extra emission diagram



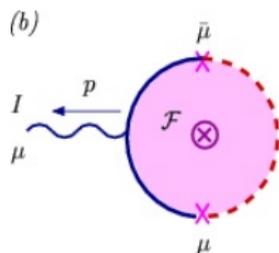
$$\begin{aligned}
 & \langle\langle V_{\bar{\mu}} \mathcal{V}_{A_{\mu}^I}(-p) V_{\mu} V_{\mathcal{F}} \rangle\rangle \\
 &= 2\pi^2 (2\pi\alpha')^{\frac{1}{2}} (T^I)^v{}_u p^{\nu} (\bar{\sigma}_{\nu\mu})^{\dot{\alpha}\dot{\beta}} \mathcal{F}_{\dot{\alpha}\dot{\beta}} \mu^u \bar{\mu}_v e^{-ip \cdot x_0} \\
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The classical solution

- ▶ Altogether, the emission amplitude is

$$A_{\mu}^I(p) = i (T^I)^v_u p^{\nu} \bar{\eta}_{\nu\mu}^c \left[(T^c)^u_v + (S^c)^u_v \right] e^{-ip \cdot x_0} ,$$

where $(T^I)^v_u$ are the $U(N)$ generators and

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- ▶ From this we obtain the profile of the classical solution

$$\begin{aligned} A_{\mu}^I(x) &= \int \frac{d^4 p}{(2\pi)^2} A_{\mu}^I(p) \frac{1}{p^2} e^{ip \cdot x} \\ &= 2 (T^I)^v_u \left[(T^c)^u_v + (S^c)^u_v \right] \bar{\eta}_{\mu\nu}^c \frac{(x - x_0)^{\nu}}{(x - x_0)^4} . \end{aligned}$$

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- ▶ The above solution will represents the **leading term** at long distance of the **deformed instanton solution** in the **singular gauge**.
- ▶ However, above appeared the **unconstrained moduli** $\mu, \bar{\mu}, w, \bar{w}$.
 - ▶ We need to enforce the deformed ADHM constraints, for $k = 1$:

$$W^c + \frac{i}{2} \left(M' \cdot M' + \mu^u \bar{\mu}_u \right) C^c = 0 ,$$

$$w^u_{\alpha} \bar{\mu}_u + \mu^u \bar{w}_{\alpha u} = 0 .$$

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The classical solution in the true moduli space

- ▶ Using the ADHM constraints, the solution can be written as

$$A_{\mu}^I(x) = 2 \left(\mathcal{M}^{cb} \text{Tr}(T^I t^b) + W^c \text{Tr}(T^I t^0) + \text{Tr}(T^I S^c) \right) \\ \times \bar{\eta}_{\mu\nu}^c \frac{(x - x_0)^{\nu}}{(x - x_0)^4} .$$

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- ▶ On the bosonic ADHM constraints,

$$W^c = -\frac{i}{2} \left(M' \cdot M' + \mu^u \bar{\mu}_u \right) C^c \equiv \hat{W}^c .$$

Without the RR deformation, W^c would vanish.

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- The matrix \mathcal{M} is $\mathcal{M}^{ab} = W^0 \sqrt{W_0^2 - |\vec{W}|^2} (\mathcal{R}^{-\frac{1}{2}})^{ab}$, with $(\mathcal{R})^{ab} = W_0^2 \delta^{ab} - W^a W^b$, where

$$W^0 = w_{\dot{\alpha}}^u \bar{w}_{\dot{u}}^{\dot{\alpha}} .$$

At $C_c = 0$, $W^0 = 2\rho^2$, where $\rho =$ size of the instanton.

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- The $N \times N$ matrices t^a and t^0 , depending on the moduli w, \bar{w} , generate a $\mathfrak{u}(2)$ subalgebra
 \rightsquigarrow the instanton field contains an **abelian** factor, beside $\mathfrak{su}(2)$.
- Moreover, the matrix $(S^c)^u_v = -\frac{i}{2} \mu^u \bar{\mu}_v C^c$ commutes with this $\mathfrak{u}(2)$ \rightsquigarrow another abelian factor.

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An explicit case of the solution

- ▶ We can write the above **general** expression choosing a **particular** solution to the ADHM constraints, to make contact with the literature [Grassi et al, 2003, Britto et al, 2003].
- ▶ Decomposing $u = (\dot{\alpha}, i)$ with $\dot{\alpha} = 1, 2$ and $i = 3, \dots, N$, the bosonic ADHM constraints are solved by

$$\begin{cases} w^{\dot{\beta}}_{\dot{\alpha}} = \rho \delta^{\dot{\beta}}_{\dot{\alpha}} + \frac{1}{4\rho} \hat{W}_c (\tau^c)^{\dot{\beta}}_{\dot{\alpha}} , \\ w^i_{\dot{\alpha}} = 0 . \end{cases}$$

- ▶ Having fixed w, \bar{w} , the fermionic constraints are solved by

$$\mu^{\dot{\alpha}} = \bar{\mu}_{\dot{\alpha}} = 0 .$$

Moreover, up to a $U(N - 2)$ rotation, we can choose a single $w^i_{\dot{\alpha}}$, say $w^3_{\dot{\alpha}} \neq 0$.

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An explicit case of the solution

- ▶ The instanton gauge field $(A_\mu)^u_v$ reduces then to

$$(A_\mu)^{\dot{\alpha}}_{\dot{\beta}} = \left\{ \rho^2 (\tau_c)^{\dot{\alpha}}_{\dot{\beta}} - \frac{i}{4} (M' \cdot M' + \mu^3 \bar{\mu}_3) C_c \delta^{\dot{\alpha}}_{\dot{\beta}} \right. \\ \left. + \frac{1}{32 \rho^2} (|\vec{C}|^2 (\tau_c)^{\dot{\alpha}}_{\dot{\beta}} - 2 C_c C^b (\tau_b)^{\dot{\alpha}}_{\dot{\beta}}) M' \cdot M' \mu^3 \bar{\mu}_3 \right\} \bar{\eta}_{\mu\nu}^c \frac{(x - x_0)^\nu}{(x - x_0)^4}$$

and

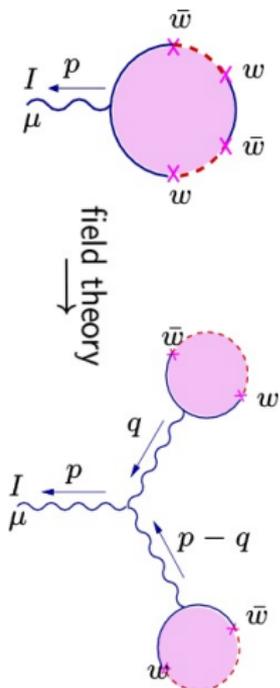
$$(A_\mu)_3^3 = -\frac{i}{2} \mu^3 \bar{\mu}_3 C_c \bar{\eta}_{\mu\nu}^c \frac{(x - x_0)^\nu}{(x - x_0)^4} .$$

This agrees with [Britto et al, 2003].



Additional remarks

- ▶ The mixed disks emit also a gaugino $\Lambda^{\alpha, I} \rightsquigarrow$ account for its **leading profile** in the **super-instanton** solution.
- ▶ **Subleading** terms in the long-distance expansion of the solution arise from emission diagrams with **more moduli insertions**.
- ▶ At the field theory level, they correspond to having **more source terms**.
- ▶ This, is exactly the field-theoretical procedure utilized in [Grassi et al, 2003, Britto et al, 2003] to determine the (deformed) super-instanton profile,



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Conclusions

- ▶ The **open string** realization of **gauge theories** is a very powerful tool, also in discussing possible **deformations** (induced by **closed string** backgrounds).
- ▶ In particular, the deformation of $\mathcal{N} = 1$ gauge theory to $\mathcal{N} = 1/2$ gauge theory is exactly described in the **open string set-up** by the inclusion of a particular **Ramond-Ramond** background.
- ▶ The **stringy description** of gauge instantons and of their moduli space by means of **D3/D(-1)** systems extends to the deformed case, proving itself to be a valuable tool.

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Perspectives

- ▶ Deformations of $\mathcal{N} = 2$ theories:
 - ▶ deformations of $\mathcal{N} = 2$ superspace by RR backgrounds (work in progress);
 - ▶ stringy interpretation of the deformations leading to the localization á la Nekrasov of the integrals on instanton moduli space (under investigation, in collab. also with Tor Vergata).
- ▶ Derivation of the effects of constant Ramond-Ramond field strengths (gauge theory action, instantons, etc) using Berkovits' formalism instead of RNS (work in progress).
- ▶ Derivation of the instantonic sector of non-commutative gauge theory from the string realization with constant $B_{\mu\nu}$ background.

Very few references

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-  P. A. Grassi, R. Ricci and D. Robles-Llana, “Instanton calculations for $N = 1/2$ super Yang-Mills theory,” [arXiv:hep-th/0311155].
-  R. Britto, B. Feng, O. Lunin and S. J. Rey, “ $U(N)$ instantons on $N = 1/2$ superspace: Exact solution and geometry of moduli space,” [arXiv:hep-th/0311275].